

Application

Federal Award Identification Number (FAIN)	NM-2020-004-00
Temporary Application Number	1564-2019-6
Application Name	NMDOT FY 19 5311 Award
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, New Mexico Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1564	State Agency	NEW MEXICO DEPARTMENT OF TRANSPORTATION	117883178

Location Type	Address	City	State	Zip
Headquarters	1120 Cerrillos Rd	Santa Fe	NM	87505
Physical Address	604 SAN MATEO	SANTA FE	NM	87505
Mailing Address	1120 CERRILLOS ROAD	SANTA FE	NM	87504

Union Information

Union Name	NONE
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	NONE NONE
Telephone	

Fax

E-mail

Website

Part 2: Application Information

Title: NMDOT FY 19 5311 Award

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2020-004-00	Final Concurrence / Reservation	Grant	8/12/2019	8/12/2019	No

Application Start Date

The start date will be set to the date of the award

Application End Date

12/30/2024

Application Executive Summary

8/12/2019 NMDOT is applying for \$11,378,165 (\$11,221,912 general fund and \$156,253 RTAP) of our FFY 2019 5311 apportionment totaling \$11,944,762: 5311 and 5311(b)(3) to the State of New Mexico posted in Federal Register Vol. 84, No. 128 / Wednesday, July 03, 2019 for our 5311 Public Rural Transportation program for Operating, Program Administration, Capital Cost of Contract, State Administration, and RTAP (\$156,253 full allocation).

NMDOT has also accepted a request from Ohkay Owingeh to apply directly for administration (\$18,512) and operating (\$58,925.50) and capital (\$6,214.05) See uploaded direct allocation letter. The capital will be included in the 5339 grant.

The amount of funding remaining for future use is \$645,412 (see attached POP & Budget).
The funding request for this application are as follows:

RTAP Section 5311(b): (435-00) \$156,253 - UZA35000

State Administration: (610-00) \$1,194,476 - UZA 35000

Sub-grantees: (600-00, 634 (ICB over required 15%), and 300) \$10,027,436 - UZA 35000

See attached Program of Projects and Budget workbook.

There are 25 total projects are in Category A, for 20 sub-grantees in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Rural Category A: \$10,027,436 - NMDOT has awarded \$10,027,436 of FY 2019 5311 apportionment to 20 sub-grantees for Rural projects in the upcoming FY 2020 program year for Operating, Program Administration, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program.

State Administration: \$1,194,476 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$156,253 - NMDOT has awarded RTAP \$156,253 for FY 2020. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

NMDOT will apply for the remaining balance for rural projects, to be programmed for FFY 2021, based on our award recommendations which are released in late May of each year.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Deborah	Bach	Rural Transit Programs Supervisor	deborah.bach@state.nm.us	(505) 819-9112
Daniel	Peschell	Transportation Program Specialist	daniel.peschell@dot.gov	817-978-0563

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$11,378,165
Local			\$7,895,573
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$19,273,738

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-004-01-00	635-00 (635-A4) RURAL TRANSIT ASSISTANCE PROGRAM	\$156,253.00	\$0.00	\$156,253.00	0
NM-2020-004-01-00	43.50.01 TRAINING	\$50,000.00	\$0.00	\$50,000.00	0
NM-2020-004-01-00	43.50.04 RELATED SUPPORT SERVICES	\$106,253.00	\$0.00	\$106,253.00	0
NM-2020-004-02-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$1,194,476.00	\$0.00	\$1,194,476.00	0
NM-2020-004-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,194,476.00	\$0.00	\$1,194,476.00	0

NM-2020-004-03-00	600-00 (600-A5)	OTHER PROGRAM COSTS	\$6,063,118.00	\$6,063,118.00	\$12,126,236.00	0
NM-2020-004-03-00	30.09.01	UP TO 50% FEDERAL SHARE	\$6,063,118.00	\$6,063,118.00	\$12,126,236.00	0
NM-2020-004-03-00	620-00 (620-A3)	PROJECT ADMINISTRATION	\$2,159,716.00	\$539,929.00	\$2,699,645.00	0
NM-2020-004-03-00	11.79.00	PROJECT ADMINISTRATION	\$2,159,716.00	\$539,929.00	\$2,699,645.00	0
NM-2020-004-03-00	634-00 (634-A2)	INTERCITY BUS TRANSPORTATION	\$1,804,602.00	\$1,292,526.00	\$3,097,128.00	0
NM-2020-004-03-00	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	\$400,000.00	\$100,000.00	\$500,000.00	0
NM-2020-004-03-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$282,769.00	\$70,693.00	\$353,462.00	0
NM-2020-004-03-00	30.09.01	UP TO 50% FEDERAL SHARE	\$1,121,833.00	\$1,121,833.00	\$2,243,666.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: NMDOT FY19 5311 Rural Transit Assistance Program (RTAP)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-004-01-00	1564-2019-6-P1	8/22/2019	9/30/2019	12/30/2022

Project Description

Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

RTAP Section 5311(b): (Scope 435-00): \$156,253

\$106,253 for related support services

See attached Program of Projects and Budget workbook.

Project Benefits

Project benefits include:

- (1) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (2) promote the coordination of public and private transportation services;
- (3) build a national database of information about the rural transit industry.

Additional Information

None provided.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Martin Heinrich
New Mexico	2	Tom Udall
New Mexico	3	Ben R. Lujan

Program Plan Information

STIP/TIP

Date: Not Provided

Description: <https://estip.dot.state.nm.us/> Control # T900109

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$156,253
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$156,253

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-004-01-00	635-00 (635-A4) RURAL TRANSIT ASSISTANCE PROGRAM	\$156,253.00	\$0.00	\$156,253.00	0
NM-2020-004-01-00	43.50.01 TRAINING	\$50,000.00	\$0.00	\$50,000.00	0
NM-2020-004-01-00	43.50.04 RELATED SUPPORT SERVICES	\$106,253.00	\$0.00	\$106,253.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

\$106,253 for related support services to include:

(1) improve the quality and availability of training and technical assistance resources available to the rural transit industry (NM Transit conference and road-eo);

- (2) promote the coordination of public and private transportation services;
- (3) build a national database of information about the rural transit industry.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$106,253
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$106,253

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	\$106,253 for related support services awarded to New Mexico Transit Association (NMTA) to include: - NM Transit annual conference and road-eo (road-eo winners are sent to CTAA to compete nationally) - 5 NMTA sponsored trainings per year);
End Date	12/31/2021	\$106,253 for related support services awarded to New Mexico Transit Association (NMTA) to include: - NM Transit annual conference and road-eo (road-eo winners are sent to CTAA to compete nationally) - 5 NMTA sponsored trainings per year);

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

\$50,000 for training related activities

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$50,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$50,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Training budget will be used for Conference Scholarships for 5311 subrecipients, specialized training requested by 5311 subrecipients, and mandatory driver training.
End Date	12/31/2022	Training budget will be used for Conference Scholarships for 5311 subrecipients, specialized training requested by 5311 subrecipients, and mandatory driver training for 5311 subrecipients.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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RURAL TRANSIT
 ASSISTANCE PROGRAM 43.50.01 TRAINING 0 \$50,000.00 \$50,000.00
 (635-00)

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	0	\$106,253.00	\$106,253.00

Project Title: NMDOT FY19 5311 Rural Transit State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-004-02-00	1564-2019-6-P2	8/22/2019	9/30/2019	6/29/2024

Project Description

Provide comprehensive program administration to 5311 sub-grantees for Program of Projects in rural areas across the State of New Mexico.

State Administration: (610-00) \$1,194,476 - UZA 35000

See attached Program of Projects and Budget workbook.

Project Benefits

State provided program administration to sub grantees is designed to (1) help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) assist in the development and support of intercity bus transportation; and (5) provide for the participation of private transportation providers in non-urbanized transportation to the maximum extent feasible.

Additional Information

None provided.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Martin Heinrich
New Mexico	2	Tom Udall
New Mexico	3	Ben R. Lujan

Program Plan Information**STIP/TIP**

Date: Not Provided

Description: <https://estip.dot.state.nm.us/> Control # T900109

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,194,476
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$1,194,476

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-004-02-00	610-00 STATE / PROGRAMS (610-A1) ADMINISTRATION	\$1,194,476.00	\$0.00	\$1,194,476.00	0
NM-2020-004-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,194,476.00	\$0.00	\$1,194,476.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity

STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0
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Extended Budget Description

State provided program administration to sub grantees in the amount of \$1,194,476 is designed to (1) help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) assist in the development and support of intercity bus transportation; and (5) provide for the participation of private transportation providers in non-urbanized transportation to the maximum extent feasible.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,194,476
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$1,194,476

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Project start date.
End Date	6/30/2024	All projects will be completed at this time.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,194,476.00	\$1,194,476.00

Project Title: NMDOT FY19 Appropriation 5311 Rural Transit Programs Subrecipient

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2020-004-03-00	1564-2019-6-P3	9/10/2019	10/30/2013	12/30/2023

Project Description

Rural Category A: \$10,027,436 - NMDOT has awarded \$10,027,436 of FY 2019 5311 apportionment to 21 subrecipients for 24 projects (UZA 35000) in the upcoming FY 2020 program year for Project Administration, Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. NMDOT has also accepted a request from Ohkay Owingeh to apply directly for administration and operating costs totaling \$77,438 (see uploaded direct allocation letter). To summarize, the breakdown of the awards are as follows:

Project Administration Rural: \$2,159,716 (Scope 620-00)
 Operating Assistance Rural: \$6,063,118 (Scope 300-00)
 Project Administration Intercity: \$282,769 (Scope 634-00)
 Operating Assistance Intercity: \$1,121,833 (Scope 634-00)
 Capital Cost of Contract Intercity: \$400,000 (Scope 634-00)

See attached Program of Projects and Budget workbook.

Project Benefits

- (1) Help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation;
- (2) assist in the maintenance, development, improvement and use of public transportation systems;
- (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight;
- (4) assist in the development and support of intercity bus transportation;
- (5) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (6) promote the coordination of public and private transportation services;
- (7) build a national database of information about the rural transit industry.

Additional Information

None provided.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Martin Heinrich
New Mexico	2	Tom Udall

Program Plan Information

STIP/TIP

Date: Not Provided

Description: <https://estip.dot.state.nm.us/> Control # T900109

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$10,027,436
Local			\$7,895,573
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$17,923,009

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2020-004-03-00	600-00 (600-A5) OTHER PROGRAM COSTS	\$6,063,118.00	\$6,063,118.00	\$12,126,236.00	0
NM-2020-004-03-00	30.09.01 UP TO 50% FEDERAL SHARE	\$6,063,118.00	\$6,063,118.00	\$12,126,236.00	0
NM-2020-004-03-00	620-00 (620-A3) PROJECT ADMINISTRATION	\$2,159,716.00	\$539,929.00	\$2,699,645.00	0

NM-2020-004-03-00	11.79.00	PROJECT ADMINISTRATION	\$2,159,716.00	\$539,929.00	\$2,699,645.00	0
NM-2020-004-03-00	634-00 (634-A2)	INTERCITY BUS TRANSPORTATION	\$1,804,602.00	\$1,292,526.00	\$3,097,128.00	0
NM-2020-004-03-00	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	\$400,000.00	\$100,000.00	\$500,000.00	0
NM-2020-004-03-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$282,769.00	\$70,693.00	\$353,462.00	0
NM-2020-004-03-00	30.09.01	UP TO 50% FEDERAL SHARE	\$1,121,833.00	\$1,121,833.00	\$2,243,666.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

18 subrecipients across the state of New Mexico will receive operating assistance in FY 20 for their transit agencies as follows:

City of Carlsbad: \$282,076
 City of Clovis: \$323,000
 Gallup Express: \$155,580
 Golden Spread Coalition: \$88,724
 Grant County: \$338,350
 Pueblo of Laguna: \$93,272
 City of Las Vegas: \$94,209
 Los Alamos County: \$997,848 (Also programmed in grant NM-2018-001-03)
 Village of Milan: \$95,479
 NCRTD: \$1,355,279
 City of Portales: \$94,475
 Town of Red River: \$55,500
 RMRTD: \$518,869
 City of Roswell: \$587,925
 SCRTD: \$204,088
 City of Socorro: \$90,371
 Zia Therapy: \$566,657

Zuni Pueblo: \$121,416

Total: \$6,063,118

Description of operating expenses commonly incurred include:

- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
- Fringe Benefits
- Contractual services
- Uniforms and Physicals
- Per Diem to attend training and statewide conference
- Shop Supplies, replacement parts and vehicle maintenance
- Fuel

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,063,118
Local			\$6,063,118
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,126,236

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Program of Projects start date is 10/1/2019.
End Date	12/21/2023	Estimated completion date is 12/31/23.

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

The subrecipients providing Program Administration in rural areas in FY20 were awarded as follows:

City of Carlsbad: \$158,523

City of Clovis: \$182,065

Gallup Express: \$97,833

Golden Spread Coalition: \$66,469

Grant County: \$192,000

City of Hobbs: \$2,798 (Also programmed in grant NM-2018-016)
 Pueblo of Laguna: \$26,029
 City of Las Vegas: \$62,553
 Los Alamos: \$24,218 (Also programmed in grant NM-2018-001-03)
 Village of Milan: \$81,179
 NCRTD: \$440,915
 City of Portales: \$56,942
 Town of Red River: \$45,729
 City of Roswell: \$224,608
 SCRTD: \$155,466
 City of Socorro: \$40,119
 Zia Therapy: \$222,732
 Zuni Pueblo: \$79,538

Total: \$2,159,716

Description of Administration expenses commonly incurred include:

- Salaries for Director, Transit Manager, Financial, Accounting, and Clerical staff
- Fringe Benefits
- Contractual services
- Telephone and utilities
- Advertising
- Dues and Subscriptions
- General Liability and vehicle insurance
- Per Diem and registration fees to attend training and statewide conference
- Office Supplies

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,159,716
Local			\$539,929
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,699,645

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	12/31/2023	

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Subrecipients providing Operating Assistance for Intercity Bus Service in FY20 were awarded as follows:

Navajo Nation: \$363,913
 RMRTD: \$310,900
 NCRTD: \$347,020
 SCRTD: \$100,000

 Total: \$1,121,833

- Description of operating expenses commonly incurred include:
- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
 - Fringe Benefits
 - Contractual services
 - Uniforms and Physicals
 - Per Diem to attend training and statewide conference
 - Shop Supplies, replacement parts and vehicle maintenance
 - Fuel

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,121,833
Local			\$1,121,833
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,243,666

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	12/31/2023	

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

Subrecipients providing Project Administration for Intercity Bus Service in FY 20 were awarded as follows:

Navajo Nation: \$115,179
 NCRTD: \$167,590

Total: \$282,769

- Description of Administration expenses commonly incurred include:
- Salaries for Director, Transit Manager, Financial, Accounting, and Clerical staff
 - Fringe Benefits
 - Contractual services
 - Telephone and utilities
 - Advertising
 - Dues and Subscriptions
 - General Liability and vehicle insurance
 - Per Diem and registration fees to attend training and statewide conference
 - Office Supplies

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$282,769
Local			\$70,693
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$353,462

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	12/31/2023	

Budget Activity Line Item: 11.71.12 - CAPITAL COST OF 3RD PARTY CONTRACTING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	3RD PARTY CONTRACTS	0

Extended Budget Description

\$400,000 to New Mexico Park and Ride to provide intercity bus service. Current program, total cost of \$7,646,777, provides service to Sandoval/Santa Fe/Los Alamos/Rio Arriba/San Miguel counties across New Mexico. NMNDOT will use a third party contractor to provide turnkey operations for the program to serve the general public in 5 counties across the state of New Mexico. NMDOT Park and Ride has been serving New Mexico since 2003. The benefits of this service are impressive. Some of the benefits in fiscal year 2019 included the following:

- Reduced traffic congestion by removing 7.7 million vehicle miles of travel from our busiest highways during the busiest commute hours
- Reduced carbon dioxide emissions (a Greenhouse Gas) by 3,598 tons
- Reduced gasoline consumption by 372,484 gallons (assumes 20 mpg average)
- Served an Average Daily Ridership of 926 passengers
- Provides a safe means of travel: In FY 2019, Park and Ride buses served over 4 million passenger rides without a fatality or serious injury.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$400,000
Local			\$100,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$500,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	
End Date	12/31/2022	
Contract Award Date	7/1/2014	Contract awarded to All Aboard America.
Contract End Date	3/17/2022	Contract end date is March 17, 2022.
RFP Issued	10/31/2013	RFP issued to public for bids on October 31, 2013.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	0	\$400,000.00	\$500,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$282,769.00	\$353,462.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$1,121,833.00	\$2,243,666.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$2,159,716.00	\$2,699,645.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$6,063,118.00	\$12,126,236.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By David Bartels

Comment Type FTA Post Review Comments for Grantee

Date 1/8/2020

Comment See attachment from e-mail dated 12/17/2019. I will resend e-mail today.

Comment By Daniel Peschell

Comment Type FTA Post Review Comments for Grantee

Date 2/4/2020

Comment Please revise scope code 300-00 to scope 600-00.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this

Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, New Mexico Department Of

Recipient ID: 1564

DUNS No: 117883178

Application Information

Federal Award Identification Number: NM-2020-004-00

Application Name: NMDOT FY 19 5311 Award

Application Start Date: The start date will be set to the date of the award

Application End Date: 12/30/2024

Application Executive Summary: 8/12/2019 NMDOT is applying for \$11,378,165 (\$11,221,912 general fund and \$156,253 RTAP) of our FFY 2019 5311 apportionment totaling \$11,944,762: 5311 and 5311(b)(3) to the State of New Mexico posted in Federal Register Vol. 84, No. 128 / Wednesday, July 03, 2019 for our 5311 Public Rural Transportation program for Operating, Program Administration, Capital Cost of Contract, State Administration, and RTAP (\$156,253 full allocation).

NMDOT has also accepted a request from Ohkay Owingeh to apply directly for administration (\$18,512) and operating (\$58,925.50) and capital (\$6,214.05) See uploaded direct allocation letter. The capital will be included in the 5339 grant.

The amount of funding remaining for future use is \$645,412 (see attached POP & Budget).

The funding request for this application are as follows:

RTAP Section 5311(b): (435-00) \$156,253 - UZA35000
State Administration: (610-00) \$1,194,476 - UZA 35000
Sub-grantees: (600-00, 634 (ICB over required 15%), and 300) \$10,027,436 - UZA 35000

See attached Program of Projects and Budget workbook.

There are 25 total projects are in Category A, for 20 sub-grantees in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Rural Category A: \$10,027,436 - NMDOT has awarded \$10,027,436 of FY 2019 5311 apportionment to 20 sub-grantees for Rural projects in the upcoming FY 2020 program year for Operating, Program Administration, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program.

State Administration: \$1,194,476 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$156,253 - NMDOT has awarded RTAP \$156,253 for FY 2020. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

NMDOT will apply for the remaining balance for rural projects, to be programmed for FFY 2021, based on our award recommendations which are released in late May of each year.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$19,273,738.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$11,378,165.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$7,895,573.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$11,378,165.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$7,895,573.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$11,378,165
Local			\$7,895,573
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$19,273,738

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, New Mexico Department Of