

# Application

<b>Federal Award Identification Number (FAIN)</b>	MN-2020-010-00
<b>Temporary Application Number</b>	6935-2020-2
<b>Application Name</b>	5311 Capital Grant Building
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

## Part 1: Recipient Information

**Name: Fond Du Lac Reservation**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6935	Indian Tribe	FOND DU LAC RESERVATION	039582366

Location Type	Address	City	State	Zip
Headquarters	1785 Highway 210	Carlton	MN	55718
Physical Address	1785 Highway 210	Carlton	MN	55718
Mailing Address	1720 BIG LAKE RD	CLOQUET	MN	55720

## Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: 5311 Capital Grant Building**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MN-2020-010-00	Final Concurrence / Reservation	Grant	1/29/2020	1/29/2020	No

**Application Start Date**

The start date will be set to the date of the award

**Application End Date**

3/30/2023

**Application Executive Summary**

This Project will remodel an existing trucking facility and convert it into a bus garage, maintenance area, office and will include connectivity to high speed Internet. The funds will come from the 5311 Tribal Transit discretionary funds in the amount of \$178,963 with Local funds \$19,888 for a total of \$198,851. Local funds come from the Fond du Lac Band of Lake Superior Chippewa Development. Fond du Lac Transit is a service provided directly by the Fond du Lac Band of Lake Superior Chippewa. The service is a demand-response service and includes ten buses. Fond du Lac Transit has a duty to provide transportation services to all Band members who live on or near the Reservation. As a result community members have come to rely on Fond du Lac Transit for access to employment, educational opportunities, health care, shopping, and community services. This project has been addressed in the 2019 - 2025 Fond du Lac Strategic Plan which was adopted in May 2019. One of the highlights of the plan in regards to transit was a need for a new facility and bus barn. The need for the bus barn and maintenance facility also came up during the development of the strategic plan and this input was from staff interviews and the general public. In fall of 2018 The DMP Group did an assessment on Fond du Lac Transit. One of DMP's findings was a need for a bus barn. As a result of this data the Fond du Lac Band purchased an out of business trucking firm's building for the purpose of being converted into a bus barn.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Matthew	Lange	Transportation Program Specialist	matthew.lange@dot.gov	(312) 353-4118
Tammy	Anderson	Transit Manager	tammyanderson@fdlrez.com	(218) 878-8008

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$178,963
Local			\$19,888
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$198,851</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2020-010-01-00	114-00 (114-A1) BUS: SUPPORT EQUIP AND FACILITIES	\$178,963.00	\$19,888.00	\$198,851.00	1
MN-2020-010-01-00	11.44.03 - ADMIN/MAINT FACILITY REHAB/RENOVATE	\$178,963.00	\$19,888.00	\$198,851.00	1

## Earmark and Discretionary Allocations

Earmark ID	Earmark Name	Amount Applied	FAIN	Congressional Release Date
D2020-TRTR-009	Transit Building Rehabilitation Project	\$0	MN-2020-010-00	

## Part 3: Project Information

<b>Project Title: 5311 FY2019 Fond du Lac Garage Remodel</b>
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<b>Project Number</b>	<b>Temporary Project Number</b>	<b>Date Created</b>	<b>Start Date</b>	<b>End Date</b>
MN-2020-010-01-00	6935-2020-2-P1	1/29/2020	3/31/2020	12/30/2020

### **Project Description**

The project is for the rehabilitation and remodel of an existing Band owned facility to be converted into a bus barn, maintenance area, dispatch and staff office space. This project will also include Internet connectivity. A MNDOT permit has been acquired for the use of their Right of Way for the installation of fiber for Internet connectivity to the building, Fond du Lac Resource Management staff has worked with the United States Department of Transportation and determined this site is a categorical exclusion.

This is not a construction project and there are no design requirements or implementation plans. Once the funds are made available project staff will begin the process to create a proposal to acquire bids from contractors, get approval of selected a bidder and then develop a contract to make the renovations.

The funds can be obligated quickly. The funds to purchase the building remodel materials and labor will be obligated. The first step is to send out a request for proposals and get bids. Once, the bids are received the Band will review them and make a recommendation for a contractor. The recommended bidder will be contacted and a contract will be created and the remodel work will commence. This entire process should take 9 to 12 months to complete once notification of funds is announced.

### **Project Benefits**

Funding used in this project will allow Internet connectivity, connection to the Fond du Lac computer network, relocation of dispatch equipment including the antenna. In addition the building will need bay doors, upgrades to the offices and bathrooms to be ADA compliant. The buses will then be stored indoors where they will be better protected from the elements which will increase their lifespan, and will also have their own maintenance shop which will reduce the program overall maintenance costs. This will reduce down time and increase reliability of the fleet which will increase the overall efficiency of the program. By remodeling this facility the program will be better able to provide services to the Reservation with less maintenance expense and increased fleet reliability.

This project will improve the efficiency and reliability of Fond du Lac Transit by providing year round protection from the elements and increase on-site maintenance. This improvement to the program will enable Fond du Lac Transit to more effectively carry out its mission of providing mobility services to the community. With high unemployment and poverty rates people are unable to own or maintain automobiles. Being in a rural area limits access to other type of mobility such as taxis, shuttles and other services. Fond du Lac Transit is able to fill the gap for these people and provide safe and inexpensive transportation. They may use this service for health needs, shopping, work or education opportunities. Fond du Lac Transit has a duty to provide transportation services to all Band members who live on or near the Reservation.

This project will make a large impact for the community by improving efficiency and the effectiveness of Fond du Lac Transit and its mission to serve the Reservation residents. The new facility will allow for more preventive maintenance and visitors to health care, shopping, education, recreation, public services and employment. The community has come to rely on Fond du Lac Transit for access to employment, educational opportunities, health care, shopping, and community services. The renovated facility will have new Internet and network access which will improve communications for clientele and staff in addition to the dispatch system that will need to be relocated from the existing building to the renovated building. Overall with the renovated facility the Band will be able to increase preventive maintenance and reduce program cost by keeping these activities in-house.

The number of riders who use the transit system has been and will continue to be measured on a per-run basis and reported on a monthly basis. This impact indicator relates to our project goal of continuing and expanding public transit services offered as Fond du Lac Transit by showing trends in

transit ridership. We have steadily increased our ridership over the years and we served 47,843 riders in 2015. In 2018 the ridership was 32,278. The benchmark for this indicator will be 40,000 riders in calendar year 2020.

**Additional Information**

*None provided.*

**Location Description**

Fond du Lac Transit serves the Fond du Lac Reservation. The reservation consists of 101,000 acres in Northeastern Minnesota adjacent to the City of Cloquet, approximately 25 miles southwest of Duluth. The Fond du Lac Reservation is rural and is in both Carlton and St. Louis Counties. Fond du Lac Transit also provides two scheduled trips each weekday from the Reservation to Duluth. Fond du Lac Transit is directly operated by the Fond du Lac Band of Lake Superior Chippewa. Coverage for Fond du Lac Transit is the Reservation, Cloquet and two trips to Duluth.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
270000	Minnesota

**Congressional District Information**

State	District	Representative
Minnesota	8	Richard M Nolan

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: 1/30/2017

Description: The 20 year long range Transportation Plan for the Fond du Lac Reservation and is updated every 5 years as required by the Federal Highway Bill.

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$178,963
Local			\$19,888
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$198,851</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2020-010-01-00	114-00 (114-A1) BUS: SUPPORT EQUIP AND FACILITIES	\$178,963.00	\$19,888.00	\$198,851.00	1
MN-2020-010-01-00	11.44.03 REHAB/RENOVATE - ADMIN/MAINT FACILITY	\$178,963.00	\$19,888.00	\$198,851.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.44.03 - REHAB/RENOVATE - ADMIN/MAINT FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	1

### Extended Budget Description

This project will include Infrared Heating, Plumbing and fixtures for 2 bathrooms, 2 Commercial Doors and Openers, Electrical Work, Building Materials, Communication Relocation, Labor, Computer and phone infrastructure, and Fiber optics and Installation.

This project will impact our community by the continued improvement to the access of Reservation residents and visitors to health care, shopping, education, recreation, public services and employment, because we will continue to operate Fond du Lac Transit in calendar year 2019 and beyond. Our people have come to depend on Fond du Lac Transit for access to employment, educational opportunities, health care, shopping, and community services.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Tribal Transit Competitive	5311-1C	20509	\$178,963
Local			\$19,888
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$198,851</b>

Milestone Name	Est. Completion Date	Description
End Date	12/31/2020	Facility remodel completed.
Request For Proposals - Start	4/1/2020	Send Request for Proposals out for building remodel bids.
Request for Proposals - End	4/30/2020	Review and acceptance of proposals, contracting with vendors and issuing notice to proceed.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 06: Acquisition or transfer of an interest in real property that is not within or adjacent to recognized environmentally sensitive areas (e.g., wetlands, non-urban parks, wildlife management areas) and does not result in a substantial change in the functional use of the property or in substantial displacements, such as: acquisition for scenic easements or historic sites for the purpose of preserving the site. This CE extends only to acquisitions and transfers that will not limit the evaluation of alternatives for future FTA-assisted projects that make use of the acquired or transferred property.

Date Description	Date
Class IIc CE Approved	2/27/2020

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

There are no review comments to display at this time.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Fond Du Lac Reservation

Recipient ID: 6935

DUNS No: 039582366

#### **Application Information**

Federal Award Identification Number: MN-2020-010-00

Application Name: 5311 Capital Grant Building

Application Start Date: The start date will be set to the date of the award

Application End Date: 3/30/2023

Application Executive Summary: This Project will remodel an existing trucking facility and convert it into a bus garage, maintenance area, office and will include connectivity to high speed Internet. The funds will come from the 5311 Tribal Transit discretionary funds in the amount of \$178,963 with Local funds \$19,888 for a total of \$198,851. Local funds come from the Fond du Lac Band of Lake Superior Chippewa Development. Fond du Lac Transit is a service provided directly by the Fond du Lac Band of Lake Superior Chippewa. The service is a demand-response service and includes ten buses. Fond du Lac Transit has a duty to provide transportation services to all Band members who live on or near the Reservation. As a result community members have come to rely on Fond du Lac Transit for access to employment, educational opportunities, health care, shopping, and community services. This project has been addressed in the 2019 - 2025 Fond du Lac Strategic Plan which was adopted in May 2019. One of the highlights of the plan in regards to transit was a need for a new facility and bus barn. The need for the bus barn and maintenance facility also came up during the development of the strategic plan and this input was from staff interviews and the general public. In fall of 2018 The DMP Group did an assessment on Fond du Lac Transit. One of DMP's findings was a need for a bus barn. As a result of this data the Fond du Lac Band purchased an out of business trucking firm's building for the purpose of being converted into a bus barn.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$198,851.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$178,963.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$19,888.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$178,963.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$19,888.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Competitive	5311-1C	20509	\$178,963
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Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$198,851</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,

- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Fond Du Lac Reservation*