

## Application

<b>Federal Award Identification Number (FAIN)</b>	IA-2019-016-01
<b>Temporary Application Number</b>	1812-2019-8
<b>Application Name</b>	STP Flex Funding Grant
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

### Part 1: Recipient Information

**Name: Transportation, Iowa Dept Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1812	State Agency	IOWA DEPARTMENT OF TRANSPORTATION	120527275

Location Type	Address	City	State	Zip
Headquarters	800 Lincoln Way	Ames	IA	50010
Physical Address	800 LINCOLN WAY	AMES	IA	50010
Mailing Address	800 LINCOLN WAY	AMES	IA	50010

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: STP Flex Funding Grant**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
IA-2019-016-01	Final Concurrence / Reservation	Grant	1/14/2020	1/14/2020	No

**Application Start Date**

5/3/2019

**Application End Date**

12/30/2023

**Application Executive Summary**

FY20 AMENDMENT 1 SUMMARY TO STBG/STP 5311 GRANT 2019-016 (01) ? January 2020

The Iowa DOT respectfully submits this FY20 amendment application for \$245,620 in FFY2020 Surface Transportation Block Grant Program/Flex funding that was transferred from FHWA to FTA 12/5/19 for three expansion vehicles and one replacement, and two maintenance facility improvements and one dispatch system for Regions 11, 12, and 14, extending Grant to December 31, 2024.

**PA Transit # Sponsor Desc FTA AMT**

RPA-11 5155 Region 11 / HIRTA Conversion Van (VSS) (Expansion) \$ 33,000

RPA-11 4733 Region 11 / HIRTA Light Duty Bus (176" wb) (VSS) (Repl 1139 ) \$ 82,025

RPA-12 5352 5353 Region 12 Two Non-ADA Standard Minivan (Expansion) \$ 48,000

RPA-12 5354 Region 12 Tire Changer \$ 18,000

RPA-12 5355 Region 12 Facility Lighting Improvements \$ 32,000

RPA-14 5663 Region 14 / SIT Dispatching/Scheduling Software & Hardware \$ 32,595

Total: \$245,620

**ABOUT REPLACEMENT VEHICLES:** Region 11 will purchase one ADA compliant LD bus to replace a vehicle that has met its useful life. The new vehicle will have a federal useful life of 4 years/100,000 miles.

**ALL VEHICLES:** Only Transit Vehicle Manufacturers (TVM) with an approved FTA DBE program and goal will be utilized for Iowa vehicle procurements.

**EACH OF THESE TRANSIT SYSTEMS** are public bodies and have been determined to be serving minority populations based on 2010 census data. All transit systems are public bodies, with exception of Region 14 which is a private-non-profit organization. All vehicles will be utilized in providing accessible transportation services to the general public.

**THE FUNDING IS NECESSARY** to allow these regions to continue to meet the increased demand for general public transit services, including services to the elderly, disabled, and transportation disadvantaged. Public transit services not only enhance the quality of life for citizens, but also provide access to local services and employment opportunities.

**PERIOD OF PERFORMANCE:**

The award Start Date is the same as the date of award

The award End Date is December 31, 2024.

Iowa has an RFP/IFP issue date of 04/01/2014 for light duty buses and minivans. The initial date was for two years with two one year extension options.

**PROGRAM OF PROJECTS ?** attached

**CONTACT AND SERVICE AREA INFORMATION -** attached

**FHWA 12/5/19 TRANSFER REQUEST TR2002 --** attached

**FY20 LOCAL FUNDING** comes from general fund levies and sometimes from "Trust and Agency" levies. Each transit system has certified that the local shares for their projects are available.

**PLANNING/PROGRAMMING:** The Iowa DOT requires all transit projects, including those using flex funding to be included in the State Transportation Improvement Program (STIP), approved by FTA October 1, 2019.

**PUBLIC INVOLVEMENT:** Iowa requires a public hearing for each transit project programmed in the STIP. The public hearing may be combined with another hearing. A public hearing notice is required to be published approximately 30 days in advance of the hearing, in a newspaper of general circulation serving the project area. The notice is also submitted to the Office of Public Transit for inclusion in the Private Sector Clearinghouse mailing. An affidavit of publication is required. Proceedings of the hearing must be included with the application. This may be a formal transcript or it may be minutes of the board meeting at which the hearing was held, etc., so long as any comments made at the hearing are recorded. The public hearing documentation is maintained in the subrecipients application files and is available for FTA inspection at any time.

**CERTIFICATION AND ASSURANCES:** Individual subrecipient Certifications and Assurances are a required part of the subrecipients consolidated funding application materials submitted to the Iowa DOT annually. This documentation is on file at the DOT and is available for FTA inspection at any time.

**MASTER AGREEMENT --** October 1 is on file in the Department's Office of Public Transit, located at 800 Lincoln Way, Ames, IA 50010. All sub-recipients have been provided the FTA's Web Site address so that they may obtain a copy.

13(c) LABOR CERTIFICATION INFORMATION ? attached

CERTIFICATE OF EQUAL ACCESS ? attached. No projects in this application are using force account labor for any activities in the budget.

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FY18 ORIGINAL GRANT SUMMARY TO STBG/STP 5311 GRANT 2019-016 (00) ? January 2019

The Iowa DOT respectfully submits this application for \$381,766 in FFY2019 Section 5311 Flex funding (STP) from FHWA to FTA funding for eight (8) vehicle projects for four (4) different non-urban transit systems.

Projects in this application include the purchase of one Light Duty bus, two conversion vans and five minivans. All transit systems are public bodies, with exception of Region 14 which is a private-non-profit organization. The funds would be used for seven vehicles for expansion purposes and one replacement vehicle. For replacement purposes funding for one ADA compliant 176? Light Duty bus for Ottumwa. For expansion purposes funding for One ADA compliant Minivan for Region 14, Three ADA compliant Minivans for Region 3, one non-ADA compliant Minivan for Region 11 and two ADA compliant Conversion Vans for Region 11. Each of these transit systems are public bodies and have been determined to be serving minority populations based on 2010 census data.

FY18 Period of Performance:

The award Start Date is the same as the date of award

The award End Date is December 31, 2023

A copy of the FHWA Transfer Requests are attached to this grant application.

FY18 Local funding comes from general fund levies and sometimes from "Trust and Agency" levies. Each transit system has certified that the local shares for their projects are available.

**Certification and Assurances:** Individual subrecipient Certifications and Assurances are a required part of the subrecipients consolidated funding application materials submitted to the Iowa DOT annually. This documentation is on file at the DOT and is available for FTA inspection at any time.

**Planning/Programming:** The Iowa DOT requires all transit projects, including those using flex funding to be included in the State Transportation Improvement Program (STIP), approved by FTA October 1,

2017.

A copy of the October 1, Master Agreement is on file in the Department's Office of Public Transit, located at 800 Lincoln Way, Ames, IA 50010. All sub-recipients have been furnished with a hard copy of the Master Agreement or have been provided the FTA's Web Site address so that they may obtain a copy.

Public Involvement: Iowa requires a public hearing for each transit project programmed in the STIP. The public hearing may be combined with another hearing. A public hearing notice is required to be published approximately 30 days in advance of the hearing, in a newspaper of general circulation serving the project area. The notice is also submitted to the Office of Public Transit for inclusion in the Private Sector Clearinghouse mailing. An affidavit of publication is required. Proceedings of the hearing must be included with the application. This may be a formal transcript or it may be minutes of the board meeting at which the hearing was held, etc., so long as any comments made at the hearing are recorded. The public hearing documentation is maintained in the subrecipients application files and is available for FTA inspection at any time.

13(c) Labor Certification Information ? attached

Certificate of Equal Access - attached

No projects in this application are using force account labor for any activities in the budget.

Project Details

Ottumwa will purchase one ADA compliant LD bus to replace a vehicle that has met its useful life. The new vehicle will have a federal useful life of 4 years/100,000 miles.

Ottumwa vehicle  
Property ID#910  
TPMS #4683  
VIN: 1GBJ5U1908F414533  
2010 Ford Eldorado Medium Duty bus  
213,344 miles as of June 30, 2018

The vehicles mentioned above will be utilized in providing accessible transportation services to the general public.

Only Transit Vehicle Manufacturers (TVM) with an approved FTA DBE program and goal will be utilized for Iowa vehicle procurements.

Iowa has an RFP/IFP issue date of 04/01/2014 for light duty buses and minivans. The initial date was for two years with two one year extension options.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Jeremiah	Shuler	Transportation Program Specialist	jeremiah.shuler@dot.gov	816-329-3940
Sreeparna	Mitra	Transit Grants Program Administrator	sreeparna.mitra@iowadot.us	515-239-1806

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$627,386
Local			\$208,308
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$835,694</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2019-016-01-01	111-00 (111-A1) BUS - ROLLING STOCK	\$544,791.00	\$187,659.00	\$732,450.00	12
IA-2019-016-01-01	11.12.04 BUY REPLACEMENT	\$118,960.00	\$29,740.00	\$148,700.00	1
IA-2019-016-01-01	11.12.04 FY19 Grant Am1 -- BUY REPLACEMENT	\$82,025.00	\$14,475.00	\$96,500.00	1
IA-2019-016-01-01	11.13.15 BUY VAN FOR SVC EXPANSION	\$19,736.00	\$13,264.00	\$33,000.00	1
IA-2019-016-01-01	11.13.15 BUY VAN FOR SVC EXPANSION	\$146,000.00	\$77,050.00	\$223,050.00	4
IA-2019-016-01-01	11.13.15 BUY VAN FOR SVC EXPANSION	\$97,070.00	\$17,130.00	\$114,200.00	2
IA-2019-016-01-01	11.13.15 FY19 -- Grant A1 -- BUY VAN FOR SVC EXPANSION	\$81,000.00	\$36,000.00	\$117,000.00	3
IA-2019-016-01-01	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$50,000.00	\$12,500.00	\$62,500.00	2
IA-2019-016-01-01	11.42.20 FY19 -- Grant A1 -- ACQUIRE - MISC SUPPORT EQUIPMENT	\$50,000.00	\$12,500.00	\$62,500.00	2
IA-2019-016-01-01	116-00 (116-A3) SIGNAL & COMM EQUIPMENT (BUS)	\$32,595.00	\$8,149.00	\$40,744.00	1
IA-2019-016-01-01	11.62.20 FY19- Grant A1- PURCHASE MISC COMMUNICATIONS EQUIP	\$32,595.00	\$8,149.00	\$40,744.00	1

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

<b>Project Title: STP Vehicles and Other Capital Items</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
IA-2019-016-01-01	1812-2019-8-P1	1/14/2020	1/31/2014	9/29/2023

**Project Description**

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- PA Transit # Sponsor Desc FTA AMT
- RPA-11 5155 Region 11 / HIRTA Conversion Van (VSS) (Expansion) \$ 33,000
- RPA-11 4733 Region 11 / HIRTA Light Duty Bus (176" wb) (VSS) (Repl 1139 -- 1FDXE45P15HB14011) \$ 82,025
- RPA-12 5352 5353 Region 12 Two Non-ADA Standard Minivan (Expansion) \$ 48,000
- RPA-12 5354 Region 12 Tire Changer \$ 18,000
- RPA-12 5355 Region 12 Facility Lighting Improvements \$ 32,000
- RPA-14 5663 Region 14 / SIT Dispatching/Scheduling Software & Hardware \$ 32,595
- \$245,620

ABOUT REPLACEMENT VEHICLES: Region 11 will purchase one ADA compliant LD bus to replace a vehicle that has met its useful life. The new vehicle will have a federal useful life of 4 years/100,000 miles.

ALL VEHICLES: Only Transit Vehicle Manufacturers (TVM) with an approved FTA DBE program and goal will be utilized for Iowa vehicle procurements.

EACH OF THESE TRANSIT SYSTEMS are public bodies and have been determined to be serving minority populations based on 2010 census data. All transit systems are public bodies, with exception of Region 14 which is a private-non-profit organization. All vehicles will be utilized in providing accessible transportation services to the general public.

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FY18 ORIGINAL GRANT SUMMARY TO STBG/STP 5311 GRANT 2019-016 (00) ? January 2019

The Iowa DOT respectfully submits this application for \$381,766 in FFY2018 STP Flex funding from FHWA to FTA funding for nine (8) vehicle projects for four (4) different non-urban transit systems.

Projects in this application include the purchase of one Light Duty bus, two conversion vans and five minivans. All transit systems are public bodies, with exception of Region 14 which is a private-non-profit organization. The funds would be used for seven vehicles for expansion purposes and one replacement vehicle. For replacement purposes funding for one ADA compliant 176" Light Duty bus for Ottumwa. For expansion purposes funding for One ADA compliant Minivan for Region 14, Three ADA compliant Minivans for Region 3, one non-ADA compliant Minivan for Region 11 and two ADA compliant Conversion Vans for Region 11. Each of these transit systems are public bodies and have been determined to be serving minority populations based on 2010 census data.

**Project Benefits**

FY20 THE FUNDING IS NECESSARY to allow these regions to continue to meet the increased demand for general public transit services, including services to the elderly, disabled, and transportation disadvantaged. Public transit services not only enhance the quality of life for citizens, but also provide access to local services and employment opportunities.

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FY18 The funding is necessary to allow these regions to continue to meet the increased demand for general public transit services, including services to the elderly, disabled, and transportation disadvantaged. Public transit services not only enhance the quality of life for citizens, but also provide access to local services and employment opportunities.

**Additional Information**

*None provided.*

**Location Description**

FY19 Grant A1: This grant amendment will allow three regional systems to acquire new vehicles, facility maintenance improvements, and dispatching systems.

FY18 Original Grant: This grant will allow three regional transit systems and one small urban system to acquire new vehicles to better serve the general public as well as the elderly and people with disabilities.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
190000	Iowa

**Congressional District Information**

State	District	Representative
Iowa	2	David Loebsack
Iowa	3	Cynthia Axne
Iowa	4	Steve King

**Program Plan Information**

**STIP/TIP**

Date: 10/13/2019

Description: Grant A1 FFY19: Region 11 Page 219 TPMS #36937 Region 12 Page 234 TPMS #39473& #39474 Region 14 Page 253 TPMS #38656

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**



Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$627,386
Local			\$208,308
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$835,694</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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IA-2019-016-01-01	11.12.04 BUY REPLACEMENT	\$118,960.00	\$29,740.00	\$148,700.00	1
IA-2019-016-01-01	11.12.04 FY19 Grant Am1 -- BUY REPLACEMENT	\$82,025.00	\$14,475.00	\$96,500.00	1
IA-2019-016-01-01	11.13.15 BUY VAN FOR SVC EXPANSION	\$19,736.00	\$13,264.00	\$33,000.00	1
IA-2019-016-01-01	11.13.15 BUY VAN FOR SVC EXPANSION	\$146,000.00	\$77,050.00	\$223,050.00	4
IA-2019-016-01-01	11.13.15 BUY VAN FOR SVC EXPANSION	\$97,070.00	\$17,130.00	\$114,200.00	2
IA-2019-016-01-01	11.13.15 FY19 -- Grant A1 -- BUY VAN FOR SVC EXPANSION	\$81,000.00	\$36,000.00	\$117,000.00	3
IA-2019-016-01-01	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$50,000.00	\$12,500.00	\$62,500.00	2
IA-2019-016-01-01	11.42.20 FY19 -- Grant A1 -- ACQUIRE - MISC SUPPORT EQUIPMENT	\$50,000.00	\$12,500.00	\$62,500.00	2
IA-2019-016-01-01	116-00 SIGNAL & COMM EQUIPMENT (BUS)	\$32,595.00	\$8,149.00	\$40,744.00	1

	(116-A3)					
IA-2019-016-01-01	11.62.20	FY19- Grant A1- PURCHASE MISC COMMUNICATIONS EQUIP	\$32,595.00	\$8,149.00	\$40,744.00	1

### Project Budget Activity Line Items

**Budget Activity Line Item: 11.62.20 - FY19- Grant A1- PURCHASE MISC COMMUNICATIONS EQUIP**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.20	PURCHASE MISC COMMUNICATIONS EQUIP	AQUISITION	1

**Extended Budget Description**

FY19 Grant A1 -- REgion 14 -- Dispatching System

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$32,595
Local			\$8,149
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$40,744</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Dispatching System
End Date	9/30/2023	

**Budget Activity Line Item: 11.42.20 - FY19 -- Grant A1 -- ACQUIRE - MISC SUPPORT EQUIPMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	2
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**Extended Budget Description**

FY Grant A1-- Reg 12  
facility and maintenance improvements

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$50,000
Local			\$12,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$62,500</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	2 facility projs
End Date	9/30/2023	

**Budget Activity Line Item: 11.13.15 - FY19 -- Grant A1 -- BUY VAN FOR SVC EXPANSION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	3

**Extended Budget Description**

Region 11 buy one expansion conversion van with an expected life of 4 years or 100k miles. Region 12 buy two expansion standard minivans with an expected life of 4 years or 100 k miles for a total FTA amount of \$81,000:

PA Transit # Sponsor ALI Description FTA Amt

RPA11 5155 Region 11/HIRTA 11.13.15 Conversion Van (VSS)(Exp) \$33,000

RPA12 5352 5353 Region 12 11.13.15 Two non-ADA standard minivans \$48,000

TOTAL FTA AMT \$81,000

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	vans

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$81,000
Local			\$36,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$117,000</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/26/2018	3 exp vans
Contract Award Date	4/1/2018	
Initial Delivery Date	9/8/2021	
Final Delivery Date	9/8/2022	
Contract Completion Date	12/7/2022	

**Budget Activity Line Item: 11.12.04 - FY19 Grant Am1 -- BUY REPLACEMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	1

**Extended Budget Description**

FY19 Grant Am1 -- Region 11 replace Unit 1139(SN1FDXE45P15HB1401), which has exceeded its useful life, with a new 176" light duty bus which meets ADA requirements and has a useful life of five years or 150k miles.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	176" light duty bus

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$82,025
Local			\$14,475

Local/In-Kind				\$0
State				\$0
State/In-Kind				\$0
Other Federal				\$0
Transportation Development Credit				\$0
Adjustment				\$0
<b>Total Eligible Cost</b>				<b>\$96,500</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/26/2018	R11 -- 176"
Contract Award Date	4/1/2018	
Initial Delivery Date	9/8/2021	
Final Delivery Date	3/2/2023	
Contract Completion Date	5/31/2023	

**Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	1

**Extended Budget Description**

ottumwa is replacing unit 910 that is a 2010 medium duty bus with 213,344 miles on it with a new 176 inch light duty cutaway that will have an expected useful life of 5 years or 150,000 miles. the new cutaway will be ada compliant and is programmed in the FY2019 Iowa TIP as TPMS number 4683

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	176 inch light duty cutaway van

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$118,960
Local			\$29,740
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$148,700</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	2/1/2014	Ottumwa unit 910
Contract Award Date	4/1/2014	
Initial Delivery Date	6/30/2020	
Final Delivery Date	6/30/2021	
Contract Completion Date	9/30/2022	

**Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	1

**Extended Budget Description**

one non-ada compliant standard minivan that will have an expected useful life of 4 years or 100,000 miles. this vehicle purchase is programmed in the Iowa FY2019 TIP as TPMS number 4960

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	17 foot minivan

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$19,736
Local			\$13,264
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$33,000</b>

Milestone Name	Est. Completion Date	Description
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RFP/IFB Issue Date	2/1/2014	Region 11 is purchasing one non-ada standard minivan
Contract Award Date	4/1/2014	
Initial Delivery Date	6/30/2020	
Final Delivery Date	6/30/2021	
Contract Completion Date	9/30/2022	

**Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	4

**Extended Budget Description**

Region 14 is purchasing a new ada compliant minivan with an expected useful life of 4 years or 100,000 miles. This project is programmed in the FY19 Iowa TIP as TPMS number 5112  
Region 3 is purchasing three new ada compliant minivans with an expected useful life of 4 years or 100,000 miles.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	17 foot minivans

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$146,000
Local			\$77,050
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$223,050</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	2/1/2014	Region 14 - 1 new minivan, Region 3 - 3 new minivans
Contract Award Date	4/1/2014	
Initial Delivery Date	6/30/2020	

Final Delivery Date	6/30/2021	
Contract Completion Date	9/30/2022	

**Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	2

**Extended Budget Description**

Region 11 is purchasing two new ada compliant conversion vans that will have an expected useful life of 4 years or 100,000 miles. They are programmed in Iowa 2019 TIP, TPMS numbers 4741 and 4208

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	19 foot conversion vans

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$97,070
Local			\$17,130
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$114,200</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	2/1/2014	Region 11 is purchasing 2 conversion vans
Contract Award Date	4/1/2014	
Initial Delivery Date	6/30/2020	
Final Delivery Date	6/30/2021	
Contract Completion Date	9/30/2022	

**Project Environmental Findings**



<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	2/19/2020

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### Application Review Comments

**Comment By** Beth Held

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Comment Type	Environmental Findings
Date	2/19/2020
Project Title	STP Vehicles and Other Capital Items
Project Number	IA-2019-016-01-01
Comment	The proposed FY20 amendment for acquisition activities for \$245,620 in STBG Program/Flex funding transferred from FHWA to FTA 12/5/19 for three expansion vehicles, one replacement, and msc support and communications equipment, are found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

### Application Review Comments

**Comment By** Jeremiah Shuler

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Comment Type	FTA Post Review Comments for Grantee
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Date 2/12/2020

Comment Please change the funding source in the grant summary to FY 2020.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM ?FTA AWARD? OR ?AWARD? IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, ?AWARD? ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, Iowa Dept Of

Recipient ID: 1812

DUNS No: 120527275

#### **Application Information**

Federal Award Identification Number: IA-2019-016-01

Application Name: STP Flex Funding Grant

Application Start Date: 5/3/2019

Application End Date: 12/30/2023

Application Executive Summary: FY20 AMENDMENT 1 SUMMARY TO STBG/STP 5311 GRANT 2019-016 (01) ? January 2020

The Iowa DOT respectfully submits this FY20 amendment application for \$245,620 in FFY2020 Surface Transportation Block Grant Program/Flex funding that was transferred from FHWA to FTA 12/5/19 for three expansion vehicles and one replacement, and two maintenance facility improvements and one dispatch system for Regions 11, 12, and 14, extending Grant to December 31, 2024.

PA Transit # Sponsor Desc FTA AMT

RPA-11 5155 Region 11 / HIRTA Conversion Van (VSS) (Expansion) \$ 33,000

RPA-11 4733 Region 11 / HIRTA Light Duty Bus (176" wb) (VSS) (Repl 1139 ) \$ 82,025

RPA-12 5352 5353 Region 12 Two Non-ADA Standard Minivan (Expansion) \$ 48,000

RPA-12 5354 Region 12 Tire Changer \$ 18,000

RPA-12 5355 Region 12 Facility Lighting Improvements \$ 32,000

RPA-14 5663 Region 14 / SIT Dispatching/Scheduling Software & Hardware \$ 32,595

Total: \$245,620

ABOUT REPLACEMENT VEHICLES: Region 11 will purchase one ADA compliant LD bus to replace a vehicle that has met its useful life. The new vehicle will have a federal useful life of 4 years/100,000 miles. ALL VEHICLES: Only Transit Vehicle Manufacturers (TVM) with an approved FTA DBE program and goal will be utilized for Iowa vehicle procurements.

EACH OF THESE TRANSIT SYSTEMS are public bodies and have been determined to be serving minority populations based on 2010 census data. All transit systems are public bodies, with exception of Region 14 which is a private-non-profit organization. All vehicles will be utilized in providing accessible transportation services to the general public.

THE FUNDING IS NECESSARY to allow these regions to continue to meet the increased demand for general public transit services, including services to the elderly, disabled, and transportation disadvantaged. Public transit services not only enhance the quality of life for citizens, but also provide access to local services and employment opportunities.

PERIOD OF PERFORMANCE:

The award Start Date is the same as the date of award

The award End Date is December 31, 2024.

Iowa has an RFP/IFP issue date of 04/01/2014 for light duty buses and minivans. The initial date was for two years with two one year extension options.

PROGRAM OF PROJECTS ? attached

CONTACT AND SERVICE AREA INFORMATION - attached

FHWA 12/5/19 TRANSFER REQUEST TR2002 -- attached

FY20 LOCAL FUNDING comes from general fund levies and sometimes from "Trust and Agency" levies. Each transit system has certified that the local shares for their projects are available.

PLANNING/PROGRAMMING: The Iowa DOT requires all transit projects, including those using flex funding to be included in the State Transportation Improvement Program (STIP), approved by FTA October 1, 2019.

PUBLIC INVOLVEMENT: Iowa requires a public hearing for each transit project programmed in the STIP.

The public hearing may be combined with another hearing. A public hearing notice is required to be published approximately 30 days in advance of the hearing, in a newspaper of general circulation serving the project area. The notice is also submitted to the Office of Public Transit for inclusion in the Private Sector Clearinghouse mailing. An affidavit of publication is required. Proceedings of the hearing must be included with the application. This may be a formal transcript or it may be minutes of the board meeting at which the hearing was held, etc., so long as any comments made at the hearing are recorded. The public hearing documentation is maintained in the subrecipients application files and is available for FTA inspection at any time.

**CERTIFICATION AND ASSURANCES:** Individual subrecipient Certifications and Assurances are a required part of the subrecipients consolidated funding application materials submitted to the Iowa DOT annually. This documentation is on file at the DOT and is available for FTA inspection at any time.

**MASTER AGREEMENT --** October 1 is on file in the Department's Office of Public Transit, located at 800 Lincoln Way, Ames, IA 50010. All sub-recipients have been provided the FTA's Web Site address so that they may obtain a copy.

13(c) LABOR CERTIFICATION INFORMATION ? attached

CERTIFICATE OF EQUAL ACCESS ? attached. No projects in this application are using force account labor for any activities in the budget.

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FY18 ORIGINAL GRANT SUMMARY TO STBG/STP 5311 GRANT 2019-016 (00) ? January 2019

The Iowa DOT respectfully submits this application for \$381,766 in FFY2019 Section 5311 Flex funding (STP) from FHWA to FTA funding for eight (8) vehicle projects for four (4) different non-urban transit systems.

Projects in this application include the purchase of one Light Duty bus, two conversion vans and five minivans. All transit systems are public bodies, with exception of Region 14 which is a private-non-profit organization. The funds would be used for seven vehicles for expansion purposes and one replacement vehicle. For replacement purposes funding for one ADA compliant 176? Light Duty bus for Ottumwa. For expansion purposes funding for One ADA compliant Minivan for Region 14, Three ADA compliant Minivans for Region 3, one non-ADA compliant Minivan for Region 11 and two ADA compliant Conversion Vans for Region 11. Each of these transit systems are public bodies and have been determined to be serving minority populations based on 2010 census data.

FY18 Period of Performance:

The award Start Date is the same as the date of award

The award End Date is December 31, 2023

A copy of the FHWA Transfer Requests are attached to this grant application.

FY18 Local funding comes from general fund levies and sometimes from "Trust and Agency" levies. Each transit system has certified that the local shares for their projects are available.

**Certification and Assurances:** Individual subrecipient Certifications and Assurances are a required part of the subrecipients consolidated funding application materials submitted to the Iowa DOT annually. This documentation is on file at the DOT and is available for FTA inspection at any time.

**Planning/Programming:** The Iowa DOT requires all transit projects, including those using flex funding to be included in the State Transportation Improvement Program (STIP), approved by FTA October 1, 2017.

A copy of the October 1, Master Agreement is on file in the Department's Office of Public Transit, located at 800 Lincoln Way, Ames, IA 50010. All sub-recipients have been furnished with a hard copy of the

Master Agreement or have been provided the FTA's Web Site address so that they may obtain a copy.

Public Involvement: Iowa requires a public hearing for each transit project programmed in the STIP. The public hearing may be combined with another hearing. A public hearing notice is required to be published approximately 30 days in advance of the hearing, in a newspaper of general circulation serving the project area. The notice is also submitted to the Office of Public Transit for inclusion in the Private Sector Clearinghouse mailing. An affidavit of publication is required. Proceedings of the hearing must be included with the application. This may be a formal transcript or it may be minutes of the board meeting at which the hearing was held, etc., so long as any comments made at the hearing are recorded. The public hearing documentation is maintained in the subrecipients application files and is available for FTA inspection at any time.

13(c) Labor Certification Information ? attached

Certificate of Equal Access - attached

No projects in this application are using force account labor for any activities in the budget.

#### Project Details

Ottumwa will purchase one ADA compliant LD bus to replace a vehicle that has met its useful life. The new vehicle will have a federal useful life of 4 years/100,000 miles.

Ottumwa vehicle  
Property ID#910  
TPMS #4683  
VIN: 1GBJ5U1908F414533  
2010 Ford Eldorado Medium Duty bus  
213,344 miles as of June 30, 2018

The vehicles mentioned above will be utilized in providing accessible transportation services to the general public.

Only Transit Vehicle Manufacturers (TVM) with an approved FTA DBE program and goal will be utilized for Iowa vehicle procurements.

Iowa has an RFP/IFP issue date of 04/01/2014 for light duty buses and minivans. The initial date was for two years with two one year extension options.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

#### **Application Budget**

Total Application Budget: \$835,694.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$245,620.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$71,124.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$627,386.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$208,308.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$627,386
Local			\$208,308
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$835,694</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION

Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Iowa Dept Of*