

Application

| | |
|---|--------------------------------------|
| Federal Award Identification Number (FAIN) | CO-2020-006-00 |
| Temporary Application Number | 1130-2020-1 |
| Application Name | FY2019 5311(f) ICB Grant (Operating) |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

Part 1: Recipient Information

Name: Transportation, Colorado Department Of

| Recipient ID | Recipient OST Type | Recipient Alias | Recipient DUNS |
|--------------|--------------------|---------------------------------------|----------------|
| 1130 | State Agency | Colorado Department of Transportation | 960738771 |

| Location Type | Address | City | State | Zip |
|------------------|------------------|--------|-------|-------|
| Headquarters | 2829 W HOWARD PL | DENVER | CO | 80204 |
| Physical Address | 2829 W HOWARD PL | DENVER | CO | 80204 |
| Mailing Address | 2829 W HOWARD PL | DENVER | CO | 80204 |

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY2019 5311(f) ICB Grant (Operating)

| FAIN | Application Status | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|------------------|--------------|-------------------|------------|
| CO-2020-006-00 | Final Concurrence / Reservation | Grant | 1/2/2020 | 1/2/2020 | No |

Application Start Date

The start date will be set to the date of the award

Application End Date

9/29/2020

Application Executive Summary

CDOT applies 15% of FY 2019 5311 funds for 2019 ICB Operating projects in Colorado areas totaling \$1,956,441:

2019 5311 Apportionment \$13,042,941
*15%

Total: \$1,956,441

Available 2019 apportionment:

\$2,678,120 - (FFY 2019 5311 remaining Apportionment amount from the grant of CO-2019-030)
-\$1,956,441 - (FFY 2019 5311 applied in this application)

\$721,679 – REMAINING FFY 2019 5311 apportionment (CDOT will apply for remaining FFY 2019 funds in a future application)

Only one ICB project is included in this application for 5 routes:

P1 - ICB Projects scope of 634-00, FTA amount \$1,956,441.

PROGRAM REQUIREMENTS:

15.00% for ICB projects, satisfying the minimum 15% ICB requirement; and

CDOT has entered the FFY2019 5311 program into CDOT SFY2019 STIP document. The STIP # is SST6729.00 for all 5311 pooled activities. The STIP page is attached within the Application Documents.

CDOT has selected 2019 5311(f) projects under pre-award authority. All projects have been evaluated and selected under FTA regulation and CDOT State Management Plan. All documentation is on file with CDOT DTR.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|-----------------------------------|------------------------|--------------|
| Emma | Belmont | Transportation Program Specialist | emma.belmont@dot.gov | 303-362-2392 |
| Qing | Lin | Transit Programming Manager | qing.lin@state.co.us | 303-757-9973 |
| Callion | Maddox | Transportation Program Specialist | callion.maddox@dot.gov | 303-362-2414 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$1,956,441 |
| Local | | | \$0 |
| Local/In-Kind | | | \$1,007,210 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$474,615 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$2,963,651 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|----------------|----------------|-----------------------|----------|
| CO-2020-006-01-00 | 634-00 (634-A1) INTERCITY BUS TRANSPORTATION | \$1,956,441.00 | \$1,007,210.00 | \$2,963,651.00 | 5 |
| CO-2020-006-01-00 | 30.09.01 UP TO 50% | \$1,007,210.00 | \$1,007,210.00 | \$2,014,420.00 | 2 |

| | | | | | | |
|-------------------|----------|--|--------------|--------|--------------|---|
| CO-2020-006-01-00 | 30.09.01 | FEDERAL SHARE UP TO 50% FEDERAL SHARE | \$949,231.00 | \$0.00 | \$949,231.00 | 3 |
|-------------------|----------|--|--------------|--------|--------------|---|

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

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|--|
| Project Title: 634-00 2019 5311 (f) ICB Project |
|--|

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| CO-2020-006-01-00 | 1130-2020-1-P1 | 1/2/2020 | 12/31/2018 | 6/29/2020 |

Project Description

CDOT applies 15% of the FY19 apportionment, or \$1,956,441, towards the operating costs for five (5) ICB routes. Project costs are broken into two (2) separate ALI scopes within this project. Please see POP for subrecipient details. The operating period is Jan 2019 to Dec 2019.

1) The first ALI covers two (2) routes with local match provided through in-kind unsubsidized route expenses for the two sub-recipients (Greyhound and Alpine Express). In-kind match letters are attached within this application. A 50/50 cost share is utilized for this service with \$1,007,210 coming from the in-kind services and \$1,007,210 FTA amount.

2) The second ALI covers three (3) routes with local match provided through Transportation Development Credits, which essentially increases the federal share to 100% of the total service cost. The Transportation Development Credit Memos and approval emails are attached within this application. Please see details on Budget Activity Line item for SRDA projects.

All activities qualify as Class II (c) Categorical Exclusions (C-list) type 04: Planning and Administrative activity.

CDOT has evaluated all 5311(f) projects and confirmed the all projects are eligible for 5311(f) program.

Project Benefits

The project is to develop, support, and promote a network of intercity bus (ICB) transportation services in Colorado.

Additional Information

None provided.

Location Description

Please see detailed descriptions on route services in the ALI and Milestone sections.

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 080000 | Colorado |

Congressional District Information

| State | District | Representative |
|----------|----------|----------------|
| Colorado | 1 | Diana DeGette |
| Colorado | 2 | Jared Polis |
| Colorado | 3 | Scott R Tipton |
| Colorado | 4 | Ken Buck |
| Colorado | 5 | Doug Lamborn |
| Colorado | 6 | Mike Coffman |
| Colorado | 7 | Ed Perlmutter |

Program Plan Information

STIP/TIP

Date: 7/16/2019

Description: The projects were STIPed under the 5311 pool with the STIP ID of SST6729.000.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$1,956,441 |
| Local | | | \$0 |
| Local/In-Kind | | | \$1,007,210 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$474,615 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$2,963,651 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|----------------|----------------|-----------------------|----------|
| CO-2020-006-01-00 | 634-00 (634-A1) INTERCITY BUS TRANSPORTATION | \$1,956,441.00 | \$1,007,210.00 | \$2,963,651.00 | 5 |
| CO-2020-006-01-00 | 30.09.01 UP TO 50% FEDERAL SHARE | \$1,007,210.00 | \$1,007,210.00 | \$2,014,420.00 | 2 |
| CO-2020-006-01-00 | 30.09.01 UP TO 50% FEDERAL SHARE | \$949,231.00 | \$0.00 | \$949,231.00 | 3 |

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|---------------------------------------|-------------|-------------------------|----------------------|----------|
| INTERCITY BUS TRANSPORTATION (634-00) | 30.09.01 | UP TO 50% FEDERAL SHARE | OPERATING ASSISTANCE | 2 |

Extended Budget Description

This line item includes two private for-profit transit providers: Greyhound and Alpine Express.

1. Greyhound was awarded \$639,811 FTA operating assistance to operate Denver to Salt Lake route service from 1/1/2019 to 12/31/2019.
2. Alpine Express was awarded \$367,399 FTA operating assistance to operate Gunnison to Denver route service from 1/1/2019 to 12/31/2019.

The local match will be provided through Greyhound In-Kind match. The in-kind match letters were attached within this application.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------|--------------------|-------------|-------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$1,007,210 |
| Local | | | \$0 |
| Local/In-Kind | | | \$1,007,210 |
| State | | | \$0 |

| | | |
|-----------------------------------|--|--------------------|
| State/In-Kind | | \$0 |
| Other Federal | | \$0 |
| Transportation Development Credit | | \$0 |
| Adjustment | | \$0 |
| Total Eligible Cost | | \$2,014,420 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|---|
| Start Date | 1/1/2019 | The services started at the beginning of 2019. |
| End Date | 6/30/2020 | The services ended at the end of 2019 but the billing process will be complete in June 2020 |

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|---------------------------------------|-------------|-------------------------|----------------------|----------|
| INTERCITY BUS TRANSPORTATION (634-00) | 30.09.01 | UP TO 50% FEDERAL SHARE | OPERATING ASSISTANCE | 3 |

Extended Budget Description

This line item covers three (3) routes operated by two (2) different private non-profit providers:

1. Pueblo SRDA was awarded \$225,000 FTA 5311(f) funds for operating assistance for service between Lamar and Pueblo from 1/1/2019 to 12/31/2019.
2. Pueblo SRDA was also awarded \$244,500 FTA 5311 (f) funds for operating assistance for service between Alamosa and Pueblo from 1/1/2019 to 12/31/2019.
3. Durango SoCoCaa was awarded \$479,731 FTA 5311(f) funds for operating assistance for service between Durango and Grand Junction from 1/1/2019 to 12/31/2019.

The local matching funds were provided through Transportation Development Toll Credits (TDC). The TDC memos to FTA and FHWA were attached within the application.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|------------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$949,231 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$474,615 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$949,231 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|--|
| Start Date | 1/1/2019 | The services started on 1/1/2019. |
| End Date | 6/30/2020 | The services ended on 12/31/2019 but the billing process will take longer to complete. |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date | Description | Date |
|------|-----------------------|------|
| | Class IIc CE Approved | |

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-26, October 1, 2019)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided

below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Colorado Department Of

Recipient ID: 1130

DUNS No: 960738771

Application Information

Federal Award Identification Number: CO-2020-006-00

Application Name: FY2019 5311(f) ICB Grant (Operating)

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2020

Application Executive Summary: CDOT applies 15% of FY 2019 5311 funds for 2019 ICB Operating projects in Colorado areas totaling \$1,956,441:

2019 5311 Apportionment \$13,042,941

*15%

Total: \$1,956,441

Available 2019 apportionment:

\$2,678,120 - (FFY 2019 5311 remaining Apportionment amount from the grant of CO-2019-030)

-\$1,956,441 - (FFY 2019 5311 applied in this application)

\$721,679 – REMAINING FFY 2019 5311 apportionment (CDOT will apply for remaining FFY 2019 funds in a future application)

Only one ICB project is included in this application for 5 routes:
P1 - ICB Projects scope of 634-00, FTA amount \$1,956,441.

PROGRAM REQUIREMENTS:

15.00% for ICB projects, satisfying the minimum 15% ICB requirement; and

CDOT has entered the FFY2019 5311 program into CDOT SFY2019 STIP document. The STIP # is SST6729.00 for all 5311 pooled activities. The STIP page is attached within the Application Documents.

CDOT has selected 2019 5311(f) projects under pre-award authority. All projects have been evaluated and selected under FTA regulation and CDOT State Management Plan. All documentation is on file with CDOT DTR.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$2,963,651.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,956,441.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$1,007,210.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,956,441.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$1,007,210.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------|--------------------|-------------|-------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$1,956,441 |
| Local | | | \$0 |
| Local/In-Kind | | | \$1,007,210 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |

| | | |
|-----------------------------------|--|--------------------|
| Other Federal | | \$0 |
| Transportation Development Credit | | \$474,615 |
| Adjustment | | \$0 |
| Total Eligible Cost | | \$2,963,651 |

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Colorado Department Of