

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	WA-2018-044-01
<b>Temporary Application Number</b>	6679-2018-1
<b>Application Name</b>	FY2018 / 2019 5311 TTP Formula Operations, Indirect
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

## Part 1: Recipient Information

**Name: Confederated Tribes And Bands Of The Yakama Nation, The**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6679	Indian Tribe	CONFEDERATED TRIBES AND BANDS OF THE YAKAMA NATION	803886399

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	401 FORT RD	TOPPENISH	WA	98948
Mailing Address	P.O. BOX 151	TOPPENISH	WA	98948

## Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: FY2018 / 2019 5311 TTP Formula Operations, Indirect**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WA-2018-044-01	Final Concurrence / Reservation	Grant	6/28/2019	6/28/2019	No

**Application Start Date**

7/27/2018

**Application End Date**

1/3/2021

**Application Executive Summary**

Amendment 01:

This amendment will add \$405,307 in FY2019 5311 TTP Formula funds for the administration costs through the use of a Federally approved indirect cost rate and to sustain and expand the Pahto Passage transit services. The new Federal total for this grant agreement is \$805,944 (2018/2019 5311 TTP Formula).

Original Application:

This application includes FY2018 5311 TTP Formula funds in the amount of \$400,637 for administration costs through the use of a Federally approved indirect cost rate and to sustain and expand the Pahto Passage transit services.

There is an intention to amend in 2019 5311 TTP Formula funds once available.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The Department of Interior (DOI) has approved the Confederated Tribes of the Yakama Nation indirect cost rate on 7/13/2018 covering 10/1/2017 through 9/30/2018 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The DOI requires the indirect cost rates for tribes to be updated annually. The currently approved indirect cost rate is 20.99% and the most current rate will continue to be applied to this grant.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Janna	Lewis-Clark	Tribal Transit Manager	janna_lewis-clark@yakama.com	(509) 865-5121 4750
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965
Kristine	Mcguill	Transportation Program Specialist	kristine.mcguill@dot.gov	206-220-7963

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$805,944
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$805,944</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2018-044-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$400,637.00	\$0.00	\$400,637.00	0
WA-2018-044-01-01	11.79.00 Project Administration - Indirect (2018 5311 TTP Formula 100:00)(00)	\$36,422.00	\$0.00	\$36,422.00	0
WA-2018-044-01-01	30.09.01 Operating (2018 5311 TTP Formula 100:00)(00)	\$364,215.00	\$0.00	\$364,215.00	0

WA-2018-044-02-01	600-00 (600-A2)	OTHER PROGRAM COSTS	\$405,307.00	\$0.00	\$405,307.00	0
WA-2018-044-02-01		11.79.00 Project Administration - Indirect (2019 5311 TTP Formula 100:00)(00)	\$36,847.00	\$0.00	\$36,847.00	0
WA-2018-044-02-01		30.09.01 Operating (2019 5311 TTP Formula 100:00)(00)	\$368,460.00	\$0.00	\$368,460.00	0

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: FY2018 5311 TTP Formula Operations, Indirect

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WA-2018-044-01-01	6679-2018-1-P1	6/28/2019	9/30/2017	9/29/2020

#### Project Description

Amendment 01:

No change to this project. FY2019 5311 TTP funds are being amended in under project 2. Renamed project accordingly.

Original Application:

This project will provide \$400,637 in FY2018 5311 TTP Formula funds to sustain and expand operations for the Pahto Passage Transit as well as fund indirect administrative costs through the use of a Federally approved indirect cost rate.

There is an intention to amend in FY2019 5311 TTP Formula funds once available.

#### Project Benefits

Maintain reliable transit options

Increase service frequency by adding Saturday service

Sustain transportation options

#### Additional Information

*None provided.*

#### Location Description

Exterior boundaries of Yakama Reservation within Yakima County and Klickitat County

## Project Location (Urbanized Areas)

UZA Code	Area Name
530000	Washington

## Congressional District Information

State	District	Representative
Washington	4	Dan Newhouse

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Formula and Discretionary Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$400,637
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$400,637</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2018-044-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$400,637.00	\$0.00	\$400,637.00	0
WA-2018-044-01-01	11.79.00 Project Administration - Indirect (2018 5311 TTP Formula 100:00)(00)	\$36,422.00	\$0.00	\$36,422.00	0
WA-2018-044-01-01	30.09.01 Operating (2018 5311 TTP Formula 100:00)(00)	\$364,215.00	\$0.00	\$364,215.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - Operating (2018 5311 TTP Formula 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

### Extended Budget Description

Amendment 01:  
No change to this ALI.

### Original Application:

This activity includes \$364,215 in FY2018 Section 5311 TTP Formula funding at the 100:0 match ratio to sustain and expand the operations of the Pahto Passage operating 5 days a week and expanding to Saturday service in Yakima and Klickitat Counties, the exterior of the Yakama Reservation for the time period of 10/1/2017 through 9/30/2020.

### STIP

There is no STIP requirement for 5311 TTP Formula and Discretionary Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$364,215
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$364,215</b>

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Operating Assistance	10/1/2017	
End Operating Assistance	9/30/2020	

**Budget Activity Line Item: 11.79.00 - Project Administration - Indirect (2018 5311 TTP Formula 100:00)(00)**

<b>Scope Name / Code</b>	<b>Line Item #</b>	<b>Line Item Name</b>	<b>Activity</b>	<b>Quantity</b>
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

Amendment 01:  
No change to this ALI.

**Original Application:**

This grant includes \$36,422 in FY2018 Section 5311 TTP Formula funds at the 100:0 match ratio for administrative costs through the use of a Federally approved indirect cost rate for the time period of 10/1/2017 through 9/30/2020.

Indirect Costs are capped at 10% of the grant award as defined in 9040.1G Page X-3, #9.

**STIP**

There is no STIP requirement for 5311 TTP Formula and Discretionary Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$36,422
Local			\$0
Local/In-Kind			\$0

State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$36,422</b>

Milestone Name	Est. Completion Date	Description
Start Indirect Costs	10/1/2017	
End Indirect Costs	9/30/2020	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

### Project Title: FY2019 5311 TTP Formula Operations, Indirect

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WA-2018-044-02-01	WA-2018-044-01-P2	6/28/2019	9/30/2018	9/29/2020

#### Project Description

Amendment 01:

This project will provide \$405,307 in FY2019 5311 TTP Formula funds to sustain and expand operations for the Pahto Passage Transit as well as fund indirect administrative costs through the use of a Federally approved indirect cost rate.

#### Project Benefits



Maintain reliable transit options  
Increase service frequency by adding Saturday service  
Sustain transportation options

**Additional Information**

*None provided.*

**Location Description**

Exterior boundaries of Yakama Reservation within Yakima County and Klickitat County

**Project Location (Urbanized Areas)**

UZA Code	Area Name
530000	Washington

**Congressional District Information**

State	District	Representative
Washington	4	Dan Newhouse

**Program Plan Information**

**STIP/TIP**

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Formula and Discretionary Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$405,307
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$405,307</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2018-044-02-01	600-00 (600-A2) OTHER PROGRAM COSTS	\$405,307.00	\$0.00	\$405,307.00	0
WA-2018-044-02-01	11.79.00 Project Administration - Indirect (2019 5311 TTP Formula 100:00)(00)	\$36,847.00	\$0.00	\$36,847.00	0
WA-2018-044-02-01	30.09.01 Operating (2019 5311 TTP Formula 100:00)(00)	\$368,460.00	\$0.00	\$368,460.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 11.79.00 - Project Administration - Indirect (2019 5311 TTP Formula 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

### Extended Budget Description

Amendment 01:

This grant includes \$36,847 in FY2019 Section 5311 TTP Formula funds at the 100:0 match ratio for administrative costs through the use of a Federally approved indirect cost rate for the time period of 10/1/2018 through 9/30/2020.

Indirect Costs are capped at 10% of the grant award as defined in 9040.1G Page X-3, #9.

### STIP

There is no STIP requirement for 5311 TTP Formula and Discretionary Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$36,847
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$36,847</b>

Milestone Name	Est. Completion Date	Description
Start 2019 Indirect costs	10/1/2018	
End 2019 Indirect costs	9/30/2020	

**Budget Activity Line Item: 30.09.01 - Operating (2019 5311 TTP Formula 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Amendment 01:

This activity includes \$368,460 in FY2019 Section 5311 TTP Formula funding at the 100:0 match ratio to sustain and expand the operations of the Pahto Passage operating 5 days a week and expanding to Saturday service in Yakima and Klickitat Counties, the exterior of the Yakama Reservation for the time period of 10/1/2018 through 9/30/2020.

STIP

There is no STIP requirement for 5311 TTP Formula and Discretionary Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$368,460
Local			\$0
Local/In-Kind			\$0

State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$368,460</b>

Milestone Name	Est. Completion Date	Description
Start 2019 Operating	10/1/2018	
End 2019 Operating	9/30/2020	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	Project Administration - Indirect (2019 5311 TTP Formula 100:00)(00)	0	\$36,847.00	\$36,847.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	Operating (2019 5311 TTP Formula 100:00)(00)	0	\$368,460.00	\$368,460.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

There are no review comments to display at this time.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Confederated Tribes And Bands Of The Yakama Nation, The

Recipient ID: 6679

DUNS No: 803886399

#### **Application Information**

Federal Award Identification Number: WA-2018-044-01

Application Name: FY2018 / 2019 5311 TTP Formula Operations, Indirect

Application Start Date: 7/27/2018

Application End Date: 1/3/2021

Application Executive Summary: Amendment 01:

This amendment will add \$405,307 in FY2019 5311 TTP Formula funds for the administration costs through the use of a Federally approved indirect cost rate and to sustain and expand the Pahto Passage transit services. The new Federal total for this grant agreement is \$805,944 (2018/2019 5311 TTP Formula).

Original Application:

This application includes FY2018 5311 TTP Formula funds in the amount of \$400,637 for administration costs through the use of a Federally approved indirect cost rate and to sustain and expand the Pahto Passage transit services.

There is an intention to amend in 2019 5311 TTP Formula funds once available.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

#### **Application Budget**

Total Application Budget: \$805,944.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$405,307.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$805,944.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$805,944
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$805,944</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION

Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Confederated Tribes And Bands Of The Yakama Nation, The*