

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	VT-2019-006-00
<b>Temporary Application Number</b>	1393-2019-2
<b>Application Name</b>	5311 Formula Funds Vermont Agency of Transportation FFY19 for SFY20
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

## Part 1: Recipient Information

**Name: Transportation, Vermont Agency Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1393	State Agency	VERMONT AGENCY OF TRANSPORTATION	809376296

Location Type	Address	City	State	Zip
Headquarters	109 STATE ST FL 5	MONTPELIER	VT	056090003
Physical Address	1 NATIONAL LIFE DR	MONTPELIER	VT	05602
Mailing Address	ONE NATIONAL LIFE DRIVE	MONTPELIER	VT	05633

## Union Information

<b>Union Name</b>	<b>INTERNATIONAL BROTHERHOOD OF TEAMSTERS</b>
Address 1	25 LOUISIANA AVE., NW
Address 2	
City	WASHINGTON
State	District of Columbia
Zipcode	20001
Contact Name	TOM SEVER
Telephone	8024764159

Fax	
E-mail	
Website	
<b>Union Name</b>	<b>INTERNATIONAL BROTHERHOOD OF TEAMSTERS</b>
Address 1	QUARRY HILL RD
Address 2	PO BOX 424
City	BARRE
State	Vermont
Zipcode	05641
Contact Name	RONALD RABIDEAU
Telephone	800-371-17
Fax	802-476-41
E-mail	
Website	
<b>Union Name</b>	<b>TEAMSTERS, LOCAL NO. 597</b>
Address 1	149 Quarry Hill Road
Address 2	
City	Barre
State	Vermont
Zipcode	56410
Contact Name	Duane Messier
Telephone	800-371-17
Fax	802-476-41
E-mail	
Website	

## Part 2: Application Information

**Title: 5311 Formula Funds Vermont Agency of Transportation FFY19 for SFY20**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
VT-2019-006-00	Final Concurrence / Reservation	Grant	6/25/2019	6/25/2019	No

### Application Start Date

The start date will be set to the date of the award

### Application End Date

9/29/2022

### Application Executive Summary

The Vermont Agency of Transportation is requesting the following 5311 formula funds totaling \$4,424,614.

VTrans will be using:

\$20,000 in FY17 5311 Lapsing Formula Funds (transferred by NHDOT on 5/10/2017)

\$20,000 in FY18 5311 Formula Funds (transferred by NHDOT on 5/18/2018)

\$1,159,754 in FY18 5311 Formula Funds

\$3,110,327 in FY19 5311 Formula Funds

\$114,533 of RTAP Funds

Please see attached list of Transit Providers for detail description of local fund sources.

These funds will be used for the following activities:

- Project Administration
- Preventive Maintenance
- Operating Assistance
- Intercity Bus Service
- Rural Transit Assistance Program

1) Period of Performance:

Award Start Date: July 1, 2019

Award End Date: September 30, 2022

2) Designated and Direct Recipients:

This application for Section 5311 funds is for the Vermont Agency of Transportation, the designated recipient. VTrans is charged with managing all modes of transportation in Vermont and is authorized to do so under legislation, Title 24 §5094. (See attached). VTrans has oversight responsibility for the Department of Motor Vehicles, Aviation Section, Rail Program and all aspects of Highway and Bridges. VTrans competitively selected Advance Transit, Green Mountain Transit, Green Mountain Community Network, Marble Valley Regional Transit District, Rural Community Transportation, Southeast Vermont Transit, Tri-Valley Transit, Woodstock Area Council on Aging dba/Thompson Senior Center, and the Vermont Association for the Blind & Visually Impaired. The service area is - all of Vermont with the exception of the urban areas of Chittenden Counties. Service Type - Fixed Route, Deviated Fixed Route, Commuter and Demand Response This application will cover the service types listed above. The required intercity minimum, \$660,566, will be covered in the current 5311 Flex grant using CMAQ funds for Intercity service from Manchester, VT, through Bennington to Albany, NY, as well as previously funded service for Greyhound in grant VT-2018-005. For full descriptions of sub-recipients and contact information, see attached description of services with source of local match. State match and other non-federal match is provided through the T-Fund which comes from gas taxes and fees as well as donations from municipalities and institutions.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Susan	Bailey	Financial Administrator III Transit	susan.bailey@vermont.gov	802-828-0450
Ryan	Bartlett	Transportation Program Specialist	ryan.bartlett@dot.gov	617-494-3940

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,424,614
Local			\$3,330,706
Local/In-Kind			\$640,000
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$8,395,320</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
VT-2019-006-01-00	117-00 (117-A4) OTHER CAPITAL ITEMS (BUS)	\$20,000.00	\$5,000.00	\$25,000.00	0

VT-2019-006-01-00		11.7A.00	PREVENTIVE MAINTENANCE	\$20,000.00	\$5,000.00	\$25,000.00	0
VT-2019-006-01-00	300-00 (300-A1)		OPERATING ASSISTANCE	\$3,217,581.00	\$3,217,581.00	\$6,435,162.00	0
VT-2019-006-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$3,217,581.00	\$3,217,581.00	\$6,435,162.00	0
VT-2019-006-01-00	435-00 (435-A3)		RURAL TRANSIT ASST PROGRAM	\$114,533.00	\$0.00	\$114,533.00	0
VT-2019-006-01-00		43.50.01	TRAINING	\$51,800.00	\$0.00	\$51,800.00	0
VT-2019-006-01-00		43.50.02	TECHNICAL ASSISTANCE	\$62,733.00	\$0.00	\$62,733.00	0
VT-2019-006-01-00	620-00 (620-A2)		PROJECT ADMINISTRATION	\$432,500.00	\$108,125.00	\$540,625.00	0
VT-2019-006-01-00		11.79.00	PROJECT ADMINISTRATION	\$262,500.00	\$65,625.00	\$328,125.00	0
VT-2019-006-01-00		11.79.00	PROJECT ADMINISTRATION- for special services	\$170,000.00	\$42,500.00	\$212,500.00	0
VT-2019-006-01-00	634-00 (634-A5)		INTERCITY BUS TRANSPORTATION	\$640,000.00	\$640,000.00	\$1,280,000.00	0
VT-2019-006-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$640,000.00	\$640,000.00	\$1,280,000.00	0

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: 5311 Formula Funds FFY19 for SFY20

Project Number	Temporary Project Number	Date Created	Start Date	End Date
VT-2019-006-01-00	1393-2019-2-P1	6/25/2019	6/30/2019	9/29/2022

**Project Description**

Purpose - to provide statewide transit operations through the following competitively selected sub-recipients and private intercity providers. There are no construction funds in this application: See attached Program of Projects, POP, and the extended Budget Descriptions. This funding, \$4,424,634 is for SFY20, 21 and 22. This project primarily provides operating assistance to all Vermont sub-recipients, see attached description of providers. These providers cover the entire state of Vermont (see attached map of providers coverage area). This project comprises the whole of the application.

**Project Benefits**

These funds will allow continued support for all existing transit projects in the rural areas in the state. All except the urban sections of Chittenden County (small urban) are considered rural. See the VTrans website, <http://publictransit.vermont.gov/providers#buses> for detailed descriptions of statewide services. These services are comprised of fixed route, demand response by bus and volunteers, and ADA paratransit. Rural Transit Providers deliver over half of the rides (in a total of 5,000,000) in Vermont, an admirable accomplishment given the low-density nature of the state's rural areas. Vermont pays particular attention to basic mobility for all often focusing on the elderly, low income, disabled and those seeking to improve their housing and financial sustainability. VTrans invests in extensive commuter service to major employment centers and supports Intercity connectivity to the national bus system.

**Additional Information**

*None provided.*

**Location Description**

Statewide with destinations in surrounding states, Massachusetts, New Hampshire, and New York. All Counties • Recipients, Sub-Recipients and Contractors - (see attached for further descriptions)

1. (TVT) - PNP, Tri-Valley Transit
2. (AT) - PNP, Advance Transit, Inc.
3. (GMT) - Green Mountain Transit, Rural ( a transit authority) ; (Teamsters)
4. (GMCNI) - PNP, Union, Green Mountain Community Network, Inc.; Teamsters
5. (MVRTD) - Public, Regional Transit District
6. (RCT) - PNP, Rural Community Transportation
7. (SEVT) - PNP, Southeast Vermont Transit (formerly CRT and DVTA)
8. Greyhound Lines - Private Contractor, Union (AMA)
9. VT Translines - Private Contractor

**Project Location (Urbanized Areas)**

UZA Code	Area Name
500000	Vermont

**Congressional District Information**

State	District	Representative
Vermont	1	Peter Welch

**Program Plan Information**

**STIP/TIP**

Date: 4/15/2019

Description: STIP See Public Transit section Page 66

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: 6/30/2019

Description: Long Range Plan

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,424,614
Local			\$3,330,706
Local/In-Kind			\$640,000
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$8,395,320</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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VT-2019-006-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$20,000.00	\$5,000.00	\$25,000.00	0
VT-2019-006-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$3,217,581.00	\$3,217,581.00	\$6,435,162.00	0
VT-2019-006-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,217,581.00	\$3,217,581.00	\$6,435,162.00	0

VT-2019-006-01-00	435-00 (435-A3)	RURAL TRANSIT ASST PROGRAM	\$114,533.00	\$0.00	\$114,533.00	0
VT-2019-006-01-00		43.50.01 TRAINING	\$51,800.00	\$0.00	\$51,800.00	0
VT-2019-006-01-00		43.50.02 TECHNICAL ASSISTANCE	\$62,733.00	\$0.00	\$62,733.00	0
VT-2019-006-01-00	620-00 (620-A2)	PROJECT ADMINISTRATION	\$432,500.00	\$108,125.00	\$540,625.00	0
VT-2019-006-01-00		11.79.00 PROJECT ADMINISTRATION	\$262,500.00	\$65,625.00	\$328,125.00	0
VT-2019-006-01-00		11.79.00 PROJECT ADMINISTRATION- for special services	\$170,000.00	\$42,500.00	\$212,500.00	0
VT-2019-006-01-00	634-00 (634-A5)	INTERCITY BUS TRANSPORTATION	\$640,000.00	\$640,000.00	\$1,280,000.00	0
VT-2019-006-01-00		30.09.01 UP TO 50% FEDERAL SHARE	\$640,000.00	\$640,000.00	\$1,280,000.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

#### Extended Budget Description

VTrans requests \$640,000 to fund the operation of Intercity Bus Service for the following:

Greyhound-\$140,000 This is for the Springfield, MA to White River Junction route operated by Greyhound  
VT Translines (Premier Coach)-\$500,000 This is for both the Route 4 run from White River Junction to Rutland, VT and Route 7 from Colchester, VT to Albany, NY modal stations.



**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$640,000
Local			\$0
Local/In-Kind			\$640,000
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,280,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Intercity Bus will begin with the State Fiscal year on 7/1/2019.
End Date	9/30/2022	Intercity Bus operations will continue through the end of this award.

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION-for special services**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

**Extended Budget Description**

Project Administration for special services-VTrans is requesting \$170,000 to support additional service directed toward the elderly and disabled. These funds were awarded under a competitive grant program to the following transit providers who are in good standing with VTrans:

SEVT-\$160,000

GMT-Rural-\$10,000

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$170,000
Local			\$42,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$212,500</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	These funds will be used by SEVT and GMT-Rural beginning with the state fiscal year of 7/1/2019.
End Date	9/30/2022	These funds will be spent prior to the end of the award.

**Budget Activity Line Item: 43.50.01 - TRAINING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

RTAP-VTrans is requesting \$51,800 for scholarships to sub-recipient transit personnel to attend trainings in various subjects.

TVT-\$12,600

AT-\$3,000

SEVT-\$6,000

GMCNI-\$6,200

GMT-Rural-\$18,000

MVRTD-\$3,000

RCT-\$3,000

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$51,800
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$51,800</b>

Milestone Name	Est. Completion Date	Description
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Start Date	7/1/2019	Begin allowable expenses for RTAP Scholarship with the start of the State Fiscal year.
End Date	9/30/2021	Completion of all milestone reimbursements.

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

Preventive Maintenance-Vehicle maintenance such as tire rotation and replacement, brake replacement, transmission replacement, replacement of filters, and other related maintenance due to the normal use of vehicles for the following transit providers:  
AT-\$20,000

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$20,000
Local			\$5,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$25,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Preventive Maintenance will start with the State Fiscal year.
End Date	9/30/2022	Preventive Maintenance will be complete by the end of the award.

**Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

RTAP-VTrans is requesting \$62,753 for statewide activities for technical assistance, safety training, procurement training, ect...

Safety Training- \$5,000

Professional Certifications and Development-\$15,000

Procurement Training-\$5,000

Tri-State Training Conference-\$15,000

LMS Training-\$8,500

Various technical assistance statewide-\$14,253

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$62,733
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$62,733</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	RTAP will begin with the State Fiscal year.
End Date	9/30/2022	RTAP will be complete by the end of the award.

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

**Extended Budget Description**

Project Administration- VTrans is requesting \$262,500 to cover some of the administrative expenses for the following transit providers who are in good standing with VTrans and were awarded these funds as part of a competitive grant process:

AT-\$57,500

SEVT-\$35,000

GMT Rural-\$100,000

RCT-\$50,000

TVT-\$20,000

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$262,500
Local			\$65,625
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$328,125</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Project Administration will begin with the State Fiscal year.
End Date	9/30/2022	Project Administration will be completed by the end of this award.

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Statewide Transit Operations-VTrans is requesting \$3,857,581 for operating purposes of all providers across the state. See VTrans website for detailed route and service information. All of the following operators are in good standing with VTrans and will receive the following awards as part of a competitive grant process:

TVT-\$460,000

AT-\$262,581

GMCNI-\$230,000

GMT-Rural-\$700,000

MVRTD-\$850,000

RCT-\$90,000

SEVT-\$625,000

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,217,581

Local			\$3,217,581
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,435,162</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Operating assistance to start with State Fiscal year.
End Date	9/30/2022	Operating assistance to be complete by the end of the award.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	7/1/2019

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### DOL Certification

Comment By Karen Torre

Comment Type	DOL Review for Information
Date	9/18/2019
Comment	5311 Special Warranty

## FTA Comments for DOL

**Comment By** Ryan Bartlett

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Comment Type	DOL Review for Information
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Date	9/18/2019
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Per DOL's guidelines FTA is transmitting this grant application for informational purposes through TrAMS to the Department of Labor.

The Vermont Agency of Transportation is requesting the following 5311 formula funds totaling \$4,424,634.

Comment	<p>These funds will be used for the following activities:</p> <ul style="list-style-type: none"> <li>- Project Administration</li> <li>- Preventive Maintenance</li> <li>- Operating Assistance</li> <li>- Intercity Bus Service</li> <li>- Rural Transit Assistance Program</li> </ul>
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## Application Review Comments

**Comment By** Ryan Bartlett

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Comment Type	FTA Post Review Comments for Grantee
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Date	8/1/2019
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Comment	Returning for edits
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**Comment By** Ryan Bartlett

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Comment Type	FTA Post Review Comments for Grantee
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Date	9/18/2019
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Comment	Returning for edits
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## Part 6: Agreement

UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION

**GRANT AGREEMENT  
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: Transportation, Vermont Agency Of

Recipient ID: 1393

DUNS No: 809376296

**Application Information**

Federal Award Identification Number: VT-2019-006-00

Application Name: 5311 Formula Funds Vermont Agency of Transportation FFY19 for SFY20

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2022

Application Executive Summary: The Vermont Agency of Transportation is requesting the following 5311 formula funds totaling \$4,424,614.

VTrans will be using:

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\$3,110,327 in FY19 5311 Formula Funds  
\$114,533 of RTAP Funds

Please see attached list of Transit Providers for detail description of local fund sources.

These funds will be used for the following activities:

- Project Administration
- Preventive Maintenance
- Operating Assistance
- Intercity Bus Service
- Rural Transit Assistance Program

1) Period of Performance:

Award Start Date: July 1, 2019

Award End Date: September 30, 2022

2) Designated and Direct Recipients:

This application for Section 5311 funds is for the Vermont Agency of Transportation, the designated recipient. VTTrans is charged with managing all modes of transportation in Vermont and is authorized to do so under legislation, Title 24 §5094. (See attached). VTTrans has oversight responsibility for the Department of Motor Vehicles, Aviation Section, Rail Program and all aspects of Highway and Bridges. VTTrans competitively selected Advance Transit, Green Mountain Transit, Green Mountain Community Network, Marble Valley Regional Transit District, Rural Community Transportation, Southeast Vermont Transit, Tri-Valley Transit, Woodstock Area Council on Aging dba/Thompson Senior Center, and the Vermont Association for the Blind & Visually Impaired. The service area is - all of Vermont with the exception of the urban areas of Chittenden Counties. Service Type - Fixed Route, Deviated Fixed Route, Commuter and Demand Response This application will cover the service types listed above. The required intercity minimum, \$660,566, will be covered in the current 5311 Flex grant using CMAQ funds for Intercity service from Manchester, VT, through Bennington to Albany, NY, as well as previously funded service for Greyhound in grant VT-2018-005. For full descriptions of sub-recipients and contact information, see attached description of services with source of local match. State match and other non-federal match is provided through the T-Fund which comes from gas taxes and fees as well as donations from municipalities and institutions.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$8,395,320.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$4,424,614.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$3,970,706.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$4,424,614.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$3,970,706.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$4,424,614
Local			\$3,330,706
Local/In-Kind			\$640,000
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$8,395,320</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or

the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Vermont Agency Of*