

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	SD-2018-017-01
Temporary Application Number	6860-2018-1
Application Name	Lower Brule Sioux Tribe
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Lower Brule Sioux Tribe

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6860	Indian Tribe	LOWER BRULE SIOUX TRIBE	146099668

Location Type	Address	City	State	Zip
Headquarters	187 OYATE CIR	LOWER BRULE	SD	575488500
Physical Address	187 OYATE CIR	LOWER BRULE	SD	57548
Mailing Address	187 OYATE CIRCLE	LOWER BRULE	SD	57548

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Lower Brule Sioux Tribe

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
SD-2018-017-01	Final Concurrence / Reservation	Grant	8/28/2019	8/28/2019	No

Application Start Date

9/11/2018

Application End Date

9/29/2020

Application Executive Summary

Amendment #1 (Aug. 2019): Adding remaining FFY 17 apportioned TTP formula funds in the amount of \$163,618. These are lapsing funds. Funds will cover operating expenses. Service area has expanded to Gordon, NE and Yankton, SD.

Original: The Lower Brule Sioux Tribe applying for 2016 and 2017 FTA Tribal Transit Program funding. The funding will be used for the continuation of services on the reservation and are expanding services to include trips to Pierre, Aberdeen and Sioux Falls.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The tribe's 2016 negotiated Indirect Costs figure was 11.88%. Indirect cost rates for all years of this grant are loaded in TrAMS.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Tim	Azure	Red Tail Express Transit Director	timazure@lowerbrule.net	605-470-0312

Tracey	MacDonald	Supervisory Transportation Program Specialist	tracey.macdonald@dot.gov	303-362-2386
Tiffany	Gallegos	Supervisory Transportation Program Specialist	Tiffany.Gallegos@dot.gov	(303) 362-2390

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$805,569
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$805,569

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2018-017-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$605,569.00	\$0.00	\$605,569.00	0
SD-2018-017-01-01	30.09.01 100% FEDERAL SHARE	\$605,569.00	\$0.00	\$605,569.00	0
SD-2018-017-02-01	111-00 (111-A2) BUS - ROLLING STOCK	\$200,000.00	\$0.00	\$200,000.00	2
SD-2018-017-02-01	11.13.04 BUY	\$200,000.00	\$0.00	\$200,000.00	2

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: Operating

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2018-017-01-01	6860-2018-1-P1	8/28/2019	9/30/2018	9/29/2019

Project Description

Funding for the continuation of services on the reservation and for the eventual expansion of services to Sioux Falls, Pierre and Aberdeen.

Project Benefits

Provides needed transportation services for all individuals who are transit dependent.

Additional Information

None provided.

Location Description

Lower Brule Sioux Tribe Indian Reservation

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

State	District	Representative
South Dakota	1	Kristi Noem

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$605,569
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$605,569

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2018-017-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$605,569.00	\$0.00	\$605,569.00	0
SD-2018-017-01-01	30.09.01 100% FEDERAL SHARE	\$605,569.00	\$0.00	\$605,569.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - 100% FEDERAL SHARE				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Funds will be used for operating expenses.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$605,569
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$605,569

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2019	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	100% FEDERAL SHARE	0	\$605,569.00	\$605,569.00

Project Title: Capital - Vehicle Purchase

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2018-017-02-01	6860-2018-1-P2	8/28/2019	2/28/2019	6/30/2019

Project Description

Purchase of 2 new ADA vehicles for expansion of services on and off the reservation.

Project Benefits

Provide safe and reliable transportation to our riders.

Additional Information

None provided.

Location Description

Lower Brule Sioux Tribe Indian Reservation

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

State	District	Representative
South Dakota	1	Kristi Noem

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$200,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$200,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2018-017-02-01	111-00 (111-A2) BUS - ROLLING STOCK	\$200,000.00	\$0.00	\$200,000.00	2
SD-2018-017-02-01	11.13.04 BUY	\$200,000.00	\$0.00	\$200,000.00	2

Project Budget Activity Line Items

Budget Activity Line Item: 11.13.04 - BUY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	2

Extended Budget Description

Purchase of two ADA BOC vehicles for service on and off the reservation.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	N/A	N/A	

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$200,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$200,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/1/2019	
Contract Award Date	4/1/2019	
Initial Delivery Date	6/1/2019	

Final Delivery Date	6/1/2019	
Contract Completion Date	7/1/2019	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	2	\$200,000.00	\$200,000.00

Part 4: Fleet Details

Fleet Type: Paratransit

Fleet Comments

Three vehicles for regular service and two spares

		Current Value
I.	Active Fleet	
	A. Peak Requirement	3
	B. Spares	2
	C. Total (A+B)	5
	D. Spare Ratio (B/A)	66.67%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	5

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT (FTA G-25, October 1, 2018)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and

(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Lower Brule Sioux Tribe

Recipient ID: 6860

DUNS No: 146099668

Application Information

Federal Award Identification Number: SD-2018-017-01

Application Name: Lower Brule Sioux Tribe

Application Start Date: 9/11/2018

Application End Date: 9/29/2020

Application Executive Summary: Amendment #1 (Aug. 2019): Adding remaining FFY 17 apportioned TTP formula funds in the amount of \$163,618. These are lapsing funds. Funds will cover operating expenses. Service area has expanded to Gordon, NE and Yankton, SD.

Original: The Lower Brule Sioux Tribe applying for 2016 and 2017 FTA Tribal Transit Program funding. The funding will be used for the continuation of services on the reservation and are expanding services to include trips to Pierre, Aberdeen and Sioux Falls.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$805,569.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$163,618.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$805,569.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$805,569
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$805,569

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Lower Brule Sioux Tribe