

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NE-2019-013-00
Temporary Application Number	1815-2019-3
Application Name	NDOT Sec. 5311 Funds for Operations, Admin, RTAP, and Intercity Bus programmed from SFYs 20 through 23
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, Nebraska Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1815	State Agency	NEBRASKA DEPARTMENT OF ROADS	808881403

Location Type	Address	City	State	Zip
Headquarters	1445 K ST RM 2316	LINCOLN	NE	685082731
Physical Address	1500 HWY 2	LINCOLN	NE	68509
Mailing Address	PO BOX 94759	LINCOLN	NE	68509

Union Information

Union Name	NONE
Address 1	
Address 2	
City	
State	
Zipcode	00000
Contact Name	

Telephone	
Fax	00000
E-mail	
Website	

Part 2: Application Information

Title: NDOT Sec. 5311 Funds for Operations, Admin, RTAP, and Intercity Bus programmed from SFYs 20 through 23

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NE-2019-013-00	Final Concurrence / Reservation	Grant	4/19/2019	4/19/2019	No

Application Start Date

The start date will be set to the date of the award

Application End Date

9/29/2023

Application Executive Summary

The Nebraska Department of Transportation will be scheduling the following FTA Section 5311 apportionments:

FEDERAL FUNDS:

FFY 2017 5311/5340 \$7,892,343 and RTAP(b)(3) \$135,056

FFY 2018 5311/5340 \$8,155,685 and RTAP(b)(3) \$137,236

FFY 2019 5311/5340 \$3,727,823 and RTAP(b)(3) \$145,875

Total Federal Funds \$20,194,018

SOURCES OF LOCAL MATCH:

The local funds from the sub recipients for each of the projects are general fund levies for the city or county government entities and general fund cash balance for the not for profit entities. Each project's application for the FTA funds acknowledges the required local funds available to expend the federal funds from this application.

The funds, for Nebraska's non-urbanized areas, will be utilized to reimburse for or purchase of:

1. Operating and non-operating assistance; (administrative and maintenance), in part, incurred of the eligible Section 5311 sub-recipients providing demand responsive services;
2. Technical assistance and oversight of the 5311 sub-recipients and the RTAP activities; and
3. Operating assistance incurred of the eligible Section 5311 sub-recipients providing intercity bus services.

The Program of Projects is attached containing these components and their respective funding budgeted during fiscal years 20 through 21.

Each non-urbanized sub recipient for operating/ non operating assistance or intercity bus services has submitted a two-year application to provide the proposed transit services. Each application contains the certifications and assurances to complying with the provisions of the FTA master agreement, a labor

certification and Title VI compliance.

The intercity bus service budget sufficiently addresses the needs for this service. Included with this application is a letter from the NDOT Intermodal Planning Division's Director who was authorized by the Nebraska Governor to certify the intercity bus service needs are being addressed with the funding budgeted.

This application's projects are in the Nebraska Statewide Transportation Improvement Program Fiscal Years 2019-2023 documents (both the general information and the projects listing) attached with the application. This STIP and Amendment #1 with the transit projects was approved November 2, 2018 per the ONEDOT letter attached with the application.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Logan	Daniels	Transportation Program Specialist	Logan.Daniels@dot.gov	(816) 329-3933
Frank	Faughn	Federal Grant Administrator	frank.faughn@nebraska.gov	402-479-3680

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$20,193,795
Local			\$4,726,075
Local/In-Kind			\$0
State			\$6,558,681
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$31,478,551

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2019-013-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$7,466,710.00	\$7,466,710.00	\$14,933,420.00	0
NE-2019-013-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$7,466,710.00	\$7,466,710.00	\$14,933,420.00	0
NE-2019-013-02-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$7,941,760.00	\$1,985,440.00	\$9,927,200.00	0
NE-2019-013-02-00	11.79.00 PROJECT ADMINISTRATION	\$7,941,760.00	\$1,985,440.00	\$9,927,200.00	0
NE-2019-013-03-00	435-00 (435-A4) RURAL TRANSIT ASST PROGRAM	\$418,167.00	\$0.00	\$418,167.00	0
NE-2019-013-03-00	43.50.01 TRAINING	\$418,167.00	\$0.00	\$418,167.00	0
NE-2019-013-03-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$2,534,552.00	\$0.00	\$2,534,552.00	0
NE-	11.80.00 STATE OR	\$2,534,552.00	\$0.00	\$2,534,552.00	0

2019-013-03-00		PROGRAM ADMINISTRATION				
NE-2019-013-04-00	634-00 (634-A5)	INTERCITY BUS TRANSPORTATION	\$1,832,606.00	\$1,832,606.00	\$3,665,212.00	0
NE-2019-013-04-00	30.09.01	UP TO 50% FEDERAL SHARE	\$1,832,606.00	\$1,832,606.00	\$3,665,212.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: Operating Assistance for 5311 Sub-Recipients

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2019-013-01-00	1815-2019-3-P1	4/23/2019	6/30/2019	9/29/2021

Project Description

Reimburse eligible 5311 sub recipients for allowable operating expenses incurred in the transport of the general public. Nebraska has 57 rural transit systems providing demand response transportation services generally during hours throughout each week that has been published for public awareness. These services incur operating expenses of drivers, dispatchers, fuel and oil.

A two-year funding agreement for an approved operating assistance application will be in place beginning 07/01/2019 and ending 06/30/2021.

Project Benefits

Rural public transportation provides access to and from medical appointments, grocery and other retail outlets, church and other social activities, work and other meaningful opportunities when private transportation is either not available or affordable.

Nebraska's mobility management initiatives continue to connect the transit systems for the general public. The 5311 funding in this grant application will continue to facilitate these connections.

Additional Information

None provided.

Location Description

All non-urbanized areas in Nebraska where transit services are available.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska
310490	Omaha, NE-IA
311310	Lincoln, NE
312460	Grand Island, NE

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry
Nebraska	2	Brad Ashford
Nebraska	3	Adrian Smith

Program Plan Information

STIP/TIP

Date: 7/16/2019

Description: Transit 5311 projects are referred on page 47 as a listing. The STIP's listing of projects is attached in the Documents section of the application. The Transit listing of projects begins on Page 115. For this application, a revised Transit listing has been added as a document in the application and awaits an amendment and ONEDOT approval. The revisions are: 1. updating the program years from 2018-2022 to 2019-2023; 2. segmenting the listing by FTA funding section; 3. adding the FTA awards and applications to the FTA funding sections with funds programmed by State fiscal year; 4. adding comments, where needed, to provide clarification.

UPWP

Date: Not Provided

Description: The UPWP is not applicable for this rural 5311 grant application.

Long Range Plan

Date: 5/17/2012

Description: Beginning on page 20, Vision 2032's Goals are presented. Transit services, such as NDOT's FTA Section 5311 transit operations, incorporate the goals of safety, mobility, environmental stewardship, coordination and cooperation to address rural transit needs in Nebraska.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,466,710
Local			\$3,733,355
Local/In-Kind			\$0
State			\$3,733,355
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$14,933,420

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2019-013-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$7,466,710.00	\$7,466,710.00	\$14,933,420.00	0
NE-2019-013-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$7,466,710.00	\$7,466,710.00	\$14,933,420.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

This project is a two-year funding term for Nebraska's rural transit systems. A two-year funding agreement for an approved operating assistance application will be in place beginning 07/01/2019 and ending 06/30/2021.

This Project's Activity Line Item (ALI) will include the transit systems' operating costs eligible for 50% federal share and 50% state and local share.

Eligible costs will be:

- . Personnel salaries, wages and employer costs for the drivers, driver substitutes and dispatcher.
- . Vehicle fuel and oil.

The total Federal funding of \$7,466,710 are the following apportionments and amounts:

FFY 2018 \$6,173,300 - NDOT's apportionment to be used in SFYs 20 & 21

FFY 2019 \$1,293,410 - NDOT's apportionment to be used in SFYs 20 & 21

Total \$7,466,710

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,466,710
Local			\$3,733,355
Local/In-Kind			\$0
State			\$3,733,355
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$14,933,420

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	NDOT's Transit Section released a two-year operating assistance application on the nebraskatransit.com transit systems' dashboards in December 2018. The rural transit systems responded favorably by submitting applications by March 1, 2019 and allow review, approval and development of the program of projects for this grant application.
End Date	9/30/2021	A two-year funding agreement for an approved operating assistance application will be in place beginning 07/01/2019 and ending 06/30/2021. The Estimated Completion Date extends beyond the end of the agreement's term to permit invoices for the funding term to be submitted and processed for payment.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/30/2019

Project Title: Non-Operating Assistance for 5311 Sub-Recipients

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2019-013-02-00	1815-2019-3-P2	4/23/2019	6/30/2019	9/29/2021

Project Description

Reimburse eligible 5311 sub recipients for non-operating expenses incurred in the transport of the general public. Nebraska has 57 rural transit systems providing demand response transportation services generally during hours throughout each week that has been published for public awareness. These services incur non-operating expenses of project manager, insurance, maintenance, bus and office occupancy, administrative staff, training, and other administrative costs.

Project Benefits

Rural public transportation provides access to and from medical appointments, grocery and other retail outlets, church and other social activities, work and other meaningful opportunities when private transportation is either not available or affordable.

Nebraska's mobility management initiatives continue to connect the transit systems for the general public. The 5311 funding in this grant application will continue to facilitate these connections.

Additional Information

None provided.

Location Description

All non-urbanized areas in Nebraska where transit services are available.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska
310490	Omaha, NE-IA
311310	Lincoln, NE
312460	Grand Island, NE

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry
Nebraska	2	Brad Ashford
Nebraska	3	Adrian Smith

Program Plan Information

STIP/TIP

Date: 7/16/2019

Description: Transit 5311 projects are referred on page 47 as a listing. The STIP's listing of projects is attached in the Documents section of the application. The Transit listing of projects begins on Page 115. For this application, a revised Transit listing has been added as a document in the application and awaits an amendment and ONEDOT approval. The revisions are: 1. updating the program years from 2018-2022 to 2019-2023; 2. segmenting the listing by FTA funding section; 3. adding the FTA awards and applications to the FTA funding sections with funds programmed by State fiscal year; 4. adding comments, where needed, to provide clarification.

UPWP

Date: Not Provided

Description: The UPWP is not applicable for this rural 5311 grant application.

Long Range Plan

Date: 5/17/2012

Description: Beginning on page 20, Vision 2032's Goals are presented. Transit services, such as NDOT's FTA Section 5311 transit non-operation assistance (e.g. vehicle maintenance), incorporate the goals of safety, mobility, environmental stewardship, coordination and cooperation to address rural transit needs in Nebraska.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,941,760
Local			\$992,720
Local/In-Kind			\$0
State			\$992,720
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$9,927,200

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2019-013-02-00	620-00 PROJECT ADMINISTRATION	\$7,941,760.00	\$1,985,440.00	\$9,927,200.00	0
NE-2019-013-02-00	11.79.00 PROJECT ADMINISTRATION	\$7,941,760.00	\$1,985,440.00	\$9,927,200.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

This ALI will include the Nebraska 57 rural transit systems' non-operating costs eligible for 80% federal share and 20% local share.

This project is a two-year funding term for Nebraska's rural transit systems. A two-year funding agreement for an approved operating assistance application will be in place beginning 07/01/2019 and ending 06/30/2021.

This Project's Activity Line Item (ALI) will include the transit systems' non-operating costs eligible for 80% federal share and 20% state and local share.

Eligible costs will be:

- . Administrative personnel salaries, wages and employer expenses for the transit manager, clerical and accountant.
- . Support personnel salaries and wages for mechanics and maintenance (excluding fuel and oil).
- . Bus facility and administrative occupancy expenses of rent, utilities and insurance.
- . Administrative overhead of insurance (general and vehicle), supplies, marketing, and expenses attributed to approved indirect cost agreements.

The total Federal funding of \$7,941,760 are the following apportionments and amounts:

FFY 2018 \$6,409,867 - NDOT's apportionment to be used in SFYs 20 & 21

FFY 2019 \$1,531.893 - NDOT's apportionment to be used in SFYs 20 & 21

Total \$7,941,760

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,941,760
Local			\$992,720
Local/In-Kind			\$0
State			\$992,720
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$9,927,200

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	NDOT's Transit Section released a two-year operating assistance application on the nebraskatransit.com transit systems' dashboards in December 2018. The rural transit systems responded favorably by submitting applications by March 1, 2019 and allow review, approval and development of the program of projects for this grant application.
End Date	9/30/2021	A two-year funding agreement for an approved operating assistance application will be in place beginning 07/01/2019 and ending 06/30/2021. The Estimated Completion Date extends beyond the end of the agreement's term to permit invoices for the funding term to be submitted and processed for payment.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	8/30/2019

Project Title: NDOT Technical Assistance, Oversight and RTAP* Activities

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2019-013-03-00	1815-2019-3-P3	4/23/2019	6/30/2019	9/29/2023

Project Description

The NDOT Local Assistance Division/Transit Section is responsible for for the technical assistance, program and financial oversight, and administration of the RTAP* activities for the NE public rural demand response and intercity transit systems. This project will carry out this responsibility either by staff or third-party contractors.

Project Benefits

Compliance with critical components of transit services such as public awareness, asset management, driver training, Title VI education, drug and alcohol training and documentation is achieved and maintained. Efficient and effective results in application and invoice submissions, employee training and vehicle inventory controls are realized with funds directed at maintaining a statewide transit portal whereby each transit system utilizes an internet portal dashboard.

Additional Information

*Rural Transportation Assistance Program

Location Description

All non-urbanized areas in Nebraska where transit services are available.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska
310490	Omaha, NE-IA
311310	Lincoln, NE
312460	Grand Island, NE

Congressional District Information

State	District	Representative
Nebraska	1	Jeff Fortenberry
Nebraska	2	Brad Ashford
Nebraska	3	Adrian Smith

Program Plan Information**STIP/TIP**

Date: 7/16/2019

Description: Transit 5311 projects are referred on page 47 as a listing. The STIP's listing of projects is attached in the Documents section of the application. The Transit listing of projects begins on Page 115. For this application, a revised Transit listing has been added as a document in the application and awaits an amendment and ONEDOT approval. The revisions are: 1. updating the program years from 2018-2022 to 2019-2023; 2. segmenting the listing by FTA funding section; 3. adding the FTA awards and applications to the FTA funding sections with funds programmed by State fiscal year; 4. adding comments, where needed, to provide clarification.

UPWP

Date: Not Provided

Description: The UPWP is not applicable for this rural 5311 grant application.

Long Range Plan

Date: 5/17/2012

Description: Beginning on page 20, Vision 2032's Goals are presented. Transit services, such as NDOT's FTA Section 5311 technical assistance and RTAP activities, incorporate the goals of safety, mobility, environmental stewardship, coordination and cooperation to address rural transit needs in Nebraska.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,952,719
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,952,719

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2019-013-03-00	435-00 (435-A4) RURAL TRANSIT ASST PROGRAM	\$418,167.00	\$0.00	\$418,167.00	0
NE-2019-013-03-00	43.50.01 TRAINING	\$418,167.00	\$0.00	\$418,167.00	0
NE-2019-013-03-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$2,534,552.00	\$0.00	\$2,534,552.00	0
NE-2019-013-03-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$2,534,552.00	\$0.00	\$2,534,552.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

RTAP related training opportunities either offered by NDOT through the University of Nebraska Kearney or the Nebraska Association of Transportation Providers (NATP) for the rural transit systems. These training opportunities include driver curriculum work with passenger assistance, defensive driving, drug and alcohol awareness, ROADEO driving testing, transit managers' workshop, and work related personal development.

The work performed by the University of Nebraska Kearney and the NATP to conduct these training opportunities will be as 3rd party contractors through written and approved agreements by NDOT.

The total Federal funding of \$274,521 are the following apportionments and amounts::

FFY 2017 \$135,056 - NDOT's apportionment to be used in SFYs 20 and 21

FFY 2018 \$137,236 - NDOT's apportionment to be used in SFYs 22 and 23

FFY 2019 \$145,875 - NDOT's apportionment to be used in SFYs 23

Total \$ 418,167

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$418,167
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$418,167

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	The work performed by the University of Nebraska Kearney (UNK) and the NATP to conduct these training opportunities will be as 3rd party contractors through written and approved agreements by NDOT. Work plans developed by UNK and NATP outlining the training and budget for the costs are approved by NDOT prior to the agreements executed.
End Date	9/30/2023	Periodic progress reports, towards completing or exceeding the work plans, are submitted by UNK and NATP for review by NDOT.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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STATE / PROGRAMS 11.80.00 STATE OR PROGRAM STATE / PROGRAM 0
 ADMINISTRATION (610-00) ADMINISTRATION ADMINISTRATION

Extended Budget Description

This project is a two-year funding term to coincide with the state of Nebraska's oversight responsibilities for Nebraska's rural transit systems.

NDOT public transportation section staff or third party contractors will provide the technical assistance, program and financial oversight for the Nebraska public rural and intercity bus services.

The total Federal funding of \$1,632,255 are the following apportionments and amounts::

FFY 2017 \$802,740 - NDOT's apportionment to be used in SFY 21 (Amount was calculated from total FFY 2017 apportionment of \$8,027,399 x 10%.)

FFY 2018 \$829,292 - NDOT's apportionment to be used in SFY 22 (Amount was calculated from total FFY 2018 apportionment of \$8,295,150 x 10%.)

FFY 2019 \$902,520 - NDOT's apportionment to be used in SFY 23 (Amount was calculated from total FFY 2019 apportionment of \$9,025,203 x 10%.)

Total \$2,534,552

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,534,552
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,534,552

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	NDOT public transportation section staff or third party contractors will provide the technical assistance, program and financial oversight for the Nebraska public rural and intercity bus services.
End Date	9/30/2023	NDOT public transportation section staff or third party contractors will provide the technical assistance, program and financial oversight for the Nebraska public rural and intercity bus services.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/30/2019

Project Title: Operating Assistance for Intercity Bus Services

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2019-013-04-00	1815-2019-3-P4	4/23/2019	6/30/2019	9/29/2023

Project Description

The net operating expenses of the Nebraska intercity bus service operators are reimbursed with 50% federal and 50% local and state funds.

Project Benefits

These services serve the needs of the Nebraska areas for intercity bus.

Additional Information

None provided.

Location Description

The non-urbanized areas in Nebraska where intercity bus service is or can be available.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska
310490	Omaha, NE-IA
311310	Lincoln, NE
312460	Grand Island, NE

Congressional District Information

State	District	Representative
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Nebraska	1	Jeff Fortenberry
Nebraska	2	Brad Ashford
Nebraska	3	Adrian Smith

Program Plan Information

STIP/TIP

Date: 7/16/2019

Description: Transit 5311 projects are referred on page 47 as a listing. The STIP's listing of projects is attached in the Documents section of the application. The Transit listing of projects begins on Page 115. For this application, a revised Transit listing has been added as a document in the application and awaits an amendment and ONEDOT approval. The revisions are: 1. updating the program years from 2018-2022 to 2019-2023; 2. segmenting the listing by FTA funding section; 3. adding the FTA awards and applications to the FTA funding sections with funds programmed by State fiscal year; 4. adding comments, where needed, to provide clarification.

UPWP

Date: Not Provided

Description: The UPWP is not applicable for this rural 5311 grant application.

Long Range Plan

Date: 5/17/2012

Description: Beginning on page 20, Vision 2032's Goals are presented. Transit services, such as NDOT's FTA Section 5311 transit operations for intercity bus, incorporate the goals of safety, mobility, environmental stewardship, coordination and cooperation to address rural transit needs in Nebraska.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,832,606
Local			\$0
Local/In-Kind			\$0
State			\$1,832,606
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,665,212

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2019-013-04-00	634-00 INTERCITY BUS TRANSPORTATION	\$1,832,606.00	\$1,832,606.00	\$3,665,212.00	0

	(634-A5)						
NE-2019-013-04-00		30.09.01	UP TO 50% FEDERAL SHARE	\$1,832,606.00	\$1,832,606.00	\$3,665,212.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

The Nebraska intercity bus services throughout the state are funded with this grant for FYS 2020 and 2021. The net operating expenses of the Nebraska intercity bus service operators are reimbursed with 50% federal and 50% local and state funds.

The total Federal funding of \$1,832,606 are the following apportionments and amounts:
 FFY 2017 \$916,303 - NDOT's apportionment to be used in SFYs 20 and 21
 FFY 2018 \$916,303 - NDOT's apportionment to be used in SFYs 22 and 23
 Total \$1,832,606

Providers and service areas approved for funding are:

1. Black Hills Stage Lines dba Express Arrow - providing service between Norfolk NE and Omaha NE along NE Highways 81, 30 and 275.
2. Black Hills Stage Lines dba Express Arrow - providing service from Omaha to the western state line towards Denver Colorado with scheduled stops at Lincoln, Grand Island, Kearney, Lexington, North Platte & Ogallala.
3. Burlington Trailways - providing service along Interstate 80 from Omaha to the western state line towards Denver Colorado with scheduled stops at Lincoln, Aurora, Grand Island, Kearney, Lexington, North Platte and Ogallala.
4. Panhandle Trails - providing service with three routes throughout 9 NE Panhandle counties, with scheduled stops at Alliance, Hemingford, Chadron, Whitney, Crawford, Marsland, Angora, Scottsbluff, Gering, Bayard, Minatare, Bridgeport, Dalton, Gurley, Sidney, Sunol, Lodgepole, Chappell, Brule and Ogallala.

Current intercity bus service needs are met and attested by the Governor's certification letter attached to this application.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$1,832,606
Local			\$0
Local/In-Kind			\$0
State			\$1,832,606
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,665,212

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	The Nebraska intercity bus services throughout the state are funded with this grant for FYS 2020 and 20121. The net operating expenses of the Nebraska intercity bus service operators are reimbursed with 50% federal and 50% local and state funds. Providers and service areas approved for funding are: 1. Black Hills Stage Lines dba Express Arrow - providing service between Norfolk NE and Omaha NE along NE Highways 81, 30 and 275. 2. Black Hills Stage Lines dba Express Arrow - providing service from Omaha to the western state line towards Denver Colorado with scheduled stops at Lincoln, Grand Island, Kearney, Lexington, North Platte & Ogallala. 3. Burlington Trailways - providing service along Interstate 80 from Omaha to the western state line towards Denver Colorado with scheduled stops at Lincoln, Aurora, Grand Island, Kearney, Lexington, North Platte and Ogallala. 4. Panhandle Trails - providing service with three routes throughout 9 NE Panhandle counties, with scheduled stops at Alliance, Hemingford, Chadron, Whitney, Crawford, Marsland, Angora, Scottsbluff, Gering, Bayard, Minatare, Bridgeport, Dalton, Gurley, Sidney, Sunol, Lodgepole, Chappell, Brule and Ogallala. Current intercity bus service needs are met and attested by the Governor's certification letter attached to this application.
End Date	9/30/2023	The Nebraska intercity bus services throughout the state are funded with this grant for FYS 2020 and 20121. The net operating expenses of the Nebraska intercity bus service operators are reimbursed with 50% federal and 50% local and state funds. Providers and service areas approved for funding are: 1. Black Hills Stage Lines dba Express Arrow - providing service between Norfolk NE and Omaha NE along NE Highways 81, 30 and 275. 2. Black Hills Stage Lines dba Express Arrow - providing service from Omaha to the western state line towards Denver Colorado with scheduled stops at Lincoln, Grand Island, Kearney,

	<p>Lexington, North Platte & Ogallala. 3. Burlington Trailways - providing service along Interstate 80 from Omaha to the western state line towards Denver Colorado with scheduled stops at Lincoln, Aurora, Grand Island, Kearney, Lexington, North Platte and Ogallala. 4. Panhandle Trails - providing service with three routes throughout 9 NE Panhandle counties, with scheduled stops at Alliance, Hemingford, Chadron, Whitney, Crawford, Marsland, Angora, Scottsbluff, Gering, Bayard, Minatare, Bridgeport, Dalton, Gurley, Sidney, Sunol, Lodgepole, Chappell, Brule and Ogallala. Current intercity bus service needs are met and attested by the Governor's certification letter attached to this application.</p>
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Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	8/30/2019

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By **Beth Held**

Comment Type Environmental Findings

Date 8/30/2019

Project Title Operating Assistance for Intercity Bus Services

Project Number	NE-2019-013-04-00
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Comment	The proposed operating assistance activity for intercity bus service is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).
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Comment By Beth Held

Comment Type	Environmental Findings
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Date	8/30/2019
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Project Title	NDOT Technical Assistance, Oversight and RTAP* Activities
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Project Number	NE-2019-013-03-00
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Comment	The proposed planning and program administration activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).
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Comment By Beth Held

Comment Type	Environmental Findings
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Date	8/30/2019
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Project Title	Non-Operating Assistance for 5311 Sub-Recipients
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Project Number	NE-2019-013-02-00
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Comment	The proposed project administration activity to reimburse eligible 5311 sub recipients for non-operating expenses incurred in the transport of the general public for demand response services, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).
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Comment By Beth Held

Comment Type	Environmental Findings
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Date	8/30/2019
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Project Title	Operating Assistance for 5311 Sub-Recipients
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Project Number	NE-2019-013-01-00
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Comment	The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).
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Comment By Beth Held

Comment Type	Environmental Findings
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Date	9/12/2019
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Project Title	Operating Assistance for Intercity Bus Services
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Project Number	NE-2019-013-04-00
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Comment	The proposed operating assistance activity for intercity bus service is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).
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Comment By Beth Held

Comment Type	Environmental Findings
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Date	9/12/2019
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Project Title	NDOT Technical Assistance, Oversight and RTAP* Activities
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Project Number	NE-2019-013-03-00
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Comment	The proposed technical and operating assistance activities for RTAP is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).
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Comment By Beth Held

Comment Type	Environmental Findings
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Date	9/12/2019
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Project Title	Non-Operating Assistance for 5311 Sub-Recipients
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Project Number	NE-2019-013-02-00
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Comment	The proposed project administration activity to reimburse eligible 5311 sub recipients for non-operating expenses for demand response services, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).
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Comment By Beth Held

Comment Type	Environmental Findings
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Date	9/12/2019
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Project Title	Operating Assistance for 5311 Sub-Recipients
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Project Number	NE-2019-013-01-00
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Comment	The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).
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Application Review Comments

Comment By Logan Daniels

Comment	FTA Post Review Comments for Grantee
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Type

Date	5/6/2019
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Grant looks great just a few things missing:
- Please state which FY of Federal funding and program funds in each ALI, we don't know where to put the different years.
- I'm not seeing the FTA/FHWA OneDOT STIP Approval letter; I see a FHWA Amendment approval letter, but FTA didn't sign it, don't we have to be included even if it's an amendment.
- Please update and send back quickly, thank you.
No more comments.

Comment By Logan Daniels

Comment Type FTA Post Review Comments for Grantee

Date	5/7/2019
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Comment returned per grantee request to update attachment info.

Comment By Logan Daniels

Comment Type FTA Post Review Comments for Grantee

Date	5/29/2019
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Comment
- Please review the funding amounts throughout the grant where you are using the FY2018 funds (see Executive Summary), because I think you have the wrong dollar amount of \$139,465 versus \$137,236.
- I think that affects ALI: 43.50.01 for Training you have \$274,521 versus I think it should be \$272,292, check
- Change the Operating Scope Code to 634-00 for Intercity Bus Transportation
- Please go into each project and insert the required project numbers, short description and page number for the STIP, UPWP and LRTP

Comment By Logan Daniels

Comment Type FTA Post Review Comments for Grantee

Date	9/10/2019
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Comment
Frank I have to send this grant back to you, because you are mixing RTAP and regular 5311 funds in the same Scope/ALI. Please add another project (-P5) and move the RTAP funds there and just copy all the information for RTAP into there and use Scope 635-00 and ALI 11.80.00.

Part 6: Agreement

**GRANT AGREEMENT
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Nebraska Department Of

Recipient ID: 1815

DUNS No: 808881403

Application Information

Federal Award Identification Number: NE-2019-013-00

Application Name: NDOT Sec. 5311 Funds for Operations, Admin, RTAP, and Intercity Bus programmed from SFYs 20 through 23

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2023

Application Executive Summary: The Nebraska Department of Transportation will be scheduling the following FTA Section 5311 apportionments:

FEDERAL FUNDS:

FFY 2017 5311/5340 \$7,892,343 and RTAP(b)(3) \$135,056
FFY 2018 5311/5340 \$8,155,685 and RTAP(b)(3) \$137,236
FFY 2019 5311/5340 \$3,727,823 and RTAP(b)(3) \$145,875
Total Federal Funds \$20,194,018

SOURCES OF LOCAL MATCH:

The local funds from the sub recipients for each of the projects are general fund levies for the city or county government entities and general fund cash balance for the not for profit entities. Each project's application for the FTA funds acknowledges the required local funds available to expend the federal funds from this application.

The funds, for Nebraska's non-urbanized areas, will be utilized to reimburse for or purchase of:

1. Operating and non-operating assistance; (administrative and maintenance), in part, incurred of the eligible Section 5311 sub-recipients providing demand responsive services;
2. Technical assistance and oversight of the 5311 sub-recipients and the RTAP activities; and
3. Operating assistance incurred of the eligible Section 5311 sub-recipients providing intercity bus services.

The Program of Projects is attached containing these components and their respective funding budgeted during fiscal years 20 through 21.

Each non-urbanized sub recipient for operating/ non operating assistance or intercity bus services has submitted a two-year application to provide the proposed transit services. Each application contains the certifications and assurances to complying with the provisions of the FTA master agreement, a labor certification and Title VI compliance.

The intercity bus service budget sufficiently addresses the needs for this service. Included with this application is a letter from the NDOT Intermodal Planning Division's Director who was authorized by the Nebraska Governor to certify the intercity bus service needs are being addressed with the funding budgeted.

This application's projects are in the Nebraska Statewide Transportation Improvement Program Fiscal Years 2019-2023 documents (both the general information and the projects listing) attached with the application. This STIP and Amendment #1 with the transit projects was approved November 2, 2018 per the ONEDOT letter attached with the application.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$31,478,551.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$20,193,795.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$11,284,756.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$20,193,795.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$11,284,756.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$20,193,795
Local			\$4,726,075
Local/In-Kind			\$0
State			\$6,558,681
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$31,478,551

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Nebraska Department Of