

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	AK-2019-028-00
<b>Temporary Application Number</b>	1725-2019-2
<b>Application Name</b>	FY18 Sec 5311 - Administration, Operations, Preventive Maintenance, RTAP, Intercity
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

## Part 1: Recipient Information

**Name: Transportation And Public Facilities, Alaska Department Of**

<b>Recipient ID</b>	<b>Recipient OST Type</b>	<b>Recipient Alias</b>	<b>Recipient DUNS</b>
1725	State Agency	ALASKA DEPARTMENT OF TRANSPORTATION AND PUBLIC FACILITIES	809387434

<b>Location Type</b>	<b>Address</b>	<b>City</b>	<b>State</b>	<b>Zip</b>
Headquarters	CAPITOL BLDG 3RD FL	JUNEAU	AK	99811
Physical Address	3132 CHANNEL DR	JUNEAU	AK	99801
Mailing Address	PO BOX 112500	JUNEAU	AK	99801

## Union Information

<b>Union Name</b>	<b>AFSCME</b>
Address 1	1625 L Street NW
Address 2	
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Gerald McEntee

Telephone	2024291000
Fax	2024291293
E-mail	kkorpi@afscme.org
Website	www.afscme.org/
<b>Union Name</b>	<b>Alaska Public Employees Association</b>
Address 1	211 4th Street, Suite 306
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Bruce Ludwig
Telephone	9075862334
Fax	9074862334
E-mail	bludwig@apea-aft.org
Website	www.apea-aft.org/
<b>Union Name</b>	<b>Confidential Employees Association</b>
Address 1	211 4th Street
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Bruce Ludwig
Telephone	9075862334
Fax	9074862334
E-mail	cea@apea-aft.org
Website	www.apea-aft.org/
<b>Union Name</b>	<b>InlandBoatmans Union National Office</b>
Address 1	1711 West Nickerson ST Suite D
Address 2	
City	Seattle
State	Washington
Zipcode	98111
Contact Name	David Freiboth
Telephone	2062846001
Fax	2062845043
E-mail	david.ibu@mindspring.com
Website	www.ibu.org/
<b>Union Name</b>	<b>International Org of Masters, Mates and Pilots International HQ</b>
Address 1	700 Maritime BLVD

Address 2	
City	Linthicum Heights
State	Maryland
Zipcode	21090
Contact Name	Timothy Brown
Telephone	4108508700
Fax	4108500973
E-mail	president@bridgedeck.org
Website	www.bridgedeck.org/
<b>Union Name</b>	<b>Laborers International Union of North America</b>
Address 1	905 16th ST NW
Address 2	
City	Washington
State	District of Columbia
Zipcode	20006
Contact Name	Terence OSullivan
Telephone	2027378320
Fax	2027372754
E-mail	communications@liuna.org
Website	www.liuna.org/
<b>Union Name</b>	<b>MARINE ENGINEERS BENEFICIAL ASSOCIATION</b>
Address 1	444 North Capitol St., NW, Sui
Address 2	
City	Washington
State	District of Columbia
Zipcode	20001
Contact Name	Ron Davis
Telephone	2026385355
Fax	2026385369
E-mail	mebahq@d1meba.org
Website	www.d1meba.org
<b>Union Name</b>	<b>Public Employees Local 71 AFL-CIO Anchorage Office</b>
Address 1	2510 Arctic Boulevard
Address 2	
City	Anchorage
State	Alaska
Zipcode	99530
Contact Name	Jim Ashton
Telephone	9072767211

Fax	9072797171
E-mail	tracy@local71.com
Website	ak.aflcio.org/anchorageclc
<b>Union Name</b>	<b>Public Employees Local 71 AFL-CIO Juneau Office</b>
Address 1	710 West 9th St
Address 2	
City	Juneau
State	Alaska
Zipcode	99801
Contact Name	Tom Brice
Telephone	9075866993
Fax	9075865757
E-mail	tom@local71.com
Website	www.ak.aflcio.org/12

## Part 2: Application Information

**Title: FY18 Sec 5311 - Administration, Operations, Preventive Maintenance, RTAP, Intercity**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2019-028-00	Final Concurrence / Reservation	Grant	5/20/2019	5/20/2019	No

### Application Start Date

The start date will be set to the date of the award

### Application End Date

9/29/2023

### Application Executive Summary

This grant application includes \$7,903,352 in FY18 Section 5311 formula program funding and \$98,756 in FY18 Section 5311 RTAP funding allocations.

This grant will provide funding for rural project administration, operating, preventive maintenance, and intercity project administration and operating for subrecipients as well as direct and indirect state administration funding for AKDOT. RTAP funds will be used for training and technical assistance.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The Federal Highway Administration approved Alaska DOT & PF's FY 2019 indirect cost rate on 6/14/2019 and a copy of the approved indirect cost rate is on file with FTA. The approved rate of 10% will be applied to the applicable activity line descriptions.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461
meleta	Winn	Grant Administrator	meleta.winn@alaska.gov	(907) 465-2780
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,002,108
Local			\$3,137,779
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$11,139,887</b>
----------------------------	---------------------

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2019-028-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$839,374.00	\$0.00	\$839,374.00	2
AK-2019-028-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION- Direct (18 5311 100:0)(00)	\$545,593.00	\$0.00	\$545,593.00	1
AK-2019-028-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION - Indirect (18 5311 100:0)(00)	\$293,781.00	\$0.00	\$293,781.00	1
AK-2019-028-02-00	117-00 (117-A5) OTHER CAPITAL ITEMS (BUS)	\$488,117.00	\$48,452.00	\$536,569.00	0
AK-2019-028-02-00	11.7A.00 Preventive Maintenance - Rural (18 5311 90.97:9.03)(00)	\$488,117.00	\$48,452.00	\$536,569.00	0
AK-2019-028-02-00	300-00 (300-A2) OPERATING ASSISTANCE	\$3,564,757.00	\$2,704,601.00	\$6,269,358.00	0
AK-2019-028-02-00	30.09.01 Operating Assistance - Rural (18 5311 56.86:43.14)(00)	\$3,564,757.00	\$2,704,601.00	\$6,269,358.00	0
AK-2019-028-02-00	620-00 (620-A3) PROJECT ADMINISTRATION	\$2,683,322.00	\$266,356.00	\$2,949,678.00	0
AK-2019-028-02-00	11.79.00 Project Administration - Rural (18 5311 90.97:9.03)(00)	\$2,683,322.00	\$266,356.00	\$2,949,678.00	0
AK-2019-028-02-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$327,782.00	\$118,370.00	\$446,152.00	0

AK-2019-028-02-00	11.79.00	Project Administration - Intercity (18 5311 90.97:9.03)(00)	\$173,059.00	\$17,178.00	\$190,237.00	0
AK-2019-028-02-00	11.7A.00	Preventive Maintenance - Intercity (18 5311 90.97:9.03)(00)	\$24,562.00	\$2,438.00	\$27,000.00	0
AK-2019-028-02-00	30.09.02	Operating Assistance - Intercity (18 5311 56.86:43.14)(00)	\$130,161.00	\$98,754.00	\$228,915.00	0
AK-2019-028-03-00	635-00 (635-A6)	RURAL TRANSIT ASSISTANCE PROGRAM	\$98,756.00	\$0.00	\$98,756.00	0
AK-2019-028-03-00	43.50.01	Training (18 5311 RTAP 100:0)(00)	\$80,000.00	\$0.00	\$80,000.00	0
AK-2019-028-03-00	43.50.02	Technical Assistance (18 5311 RTAP 100:0)(00)	\$17,756.00	\$0.00	\$17,756.00	0
AK-2019-028-03-00	43.50.04	Related Support Services (18 5311 RTAP 100:0)(00)	\$1,000.00	\$0.00	\$1,000.00	0

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: FY18 Sec 5311 - State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2019-028-01-00	1725-2019-2-P1	5/20/2019	6/30/2019	6/29/2023

#### Project Description

This project applies for \$839,374 in FY2018 Section 5311 rural program funds for direct and indirect State Administration expenses.

#### Project Benefits

As a result of this project, the 5311 funds will be managed in an efficient and effective manner, allowing for subrecipients to utilize the opportunities provided for by the grant funds.

#### Additional Information

These rural public transit projects will take place in communities across Alaska, see the program of projects for all locations.

#### Location Description

Locations for subrecipient projects will take place in various rural Alaska locations as referenced in the attached Program of Projects.

### Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

### Congressional District Information

State	District	Representative
Alaska	1	Don Young

### Program Plan Information

#### STIP/TIP

Date: 6/20/2019

Description: 2018-2021 Alaska State Transportation Improvement Program Amendment 3, Need ID 19120 Federally approved on June 21, 2019

#### UPWP

Date: N/A

Description: N/A

#### Long Range Plan

Date: N/A

Description: N/A

### Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$839,374
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$839,374</b>



## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2019-028-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$839,374.00	\$0.00	\$839,374.00	2
AK-2019-028-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION- Direct (18 5311 100:0)(00)	\$545,593.00	\$0.00	\$545,593.00	1
AK-2019-028-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION - Indirect (18 5311 100:0)(00)	\$293,781.00	\$0.00	\$293,781.00	1

## Project Budget Activity Line Items

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION - Indirect (18 5311 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

### Extended Budget Description

INITIAL APPLICATION (00)

This project makes use of FY18 5311 funds in the amount of \$293,781 for Indirect State Administration for 5311 rural public transit for the period of July 1, 2019 to June 30, 2023. The Indirect Cost Rate Agreement is attached to this application. The federal-to-local match ratio is 100:0.

2018-2021 Alaska State Transportation Improvement Program  
Amendment 3, Need ID 19120  
Federally approved on June 21, 2019

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$293,781

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$293,781</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	
End Date	6/30/2023	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION-Direct (18 5311 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

**Extended Budget Description**

INITIAL APPLICATION (00)

This project makes use of FY2018 Section 5311 program funds in the amount of \$545,593 for Direct State Administration for the 5311 rural public transit program during the period of July 1, 2019 to June 30, 2023. The federal-to-local match ratio is 100:0.

2018-2021 Alaska State Transportation Improvement Program  
Amendment 3, Need ID 19120  
Federally approved on June 21, 2019

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$545,593
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$545,593</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	
End Date	6/30/2023	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

### Project Title: FY18 5311 - Project Administration, Operations, Preventive Maintenance, Intercity Bus

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2019-028-02-00	1725-2019-2-P2	9/9/2019	6/30/2019	6/29/2023

#### Project Description

This project includes \$7,063,978 in FY2018 Section 5311 funding for administrative, operating assistance, preventive maintenance and intercity bus transportation for rural and small urban public transportation systems.

#### Project Benefits

The benefits of this project will be the continued operation of public transportation systems throughout the State of Alaska.

#### Additional Information

*None provided.*

**Location Description**

Locations for subrecipient projects will take place in various rural State of Alaska locations, as provided in the attached Program of Projects.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
020000	Alaska
021700	Anchorage, AK
024340	Fairbanks, AK

**Congressional District Information**

State	District	Representative
Alaska	1	Don Young

**Program Plan Information****STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,063,978
Local			\$3,137,779
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$10,201,757</b>

## Project Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2019-028-02-00	117-00 (117-A5)	OTHER CAPITAL ITEMS (BUS)	\$488,117.00	\$48,452.00	\$536,569.00	0
AK-2019-028-02-00		11.7A.00 Preventive Maintenance - Rural (18 5311 90.97:9.03)(00)	\$488,117.00	\$48,452.00	\$536,569.00	0
AK-2019-028-02-00	300-00 (300-A2)	OPERATING ASSISTANCE	\$3,564,757.00	\$2,704,601.00	\$6,269,358.00	0
AK-2019-028-02-00		30.09.01 Operating Assistance - Rural (18 5311 56.86:43.14)(00)	\$3,564,757.00	\$2,704,601.00	\$6,269,358.00	0
AK-2019-028-02-00	620-00 (620-A3)	PROJECT ADMINISTRATION	\$2,683,322.00	\$266,356.00	\$2,949,678.00	0
AK-2019-028-02-00		11.79.00 Project Administration - Rural (18 5311 90.97:9.03)(00)	\$2,683,322.00	\$266,356.00	\$2,949,678.00	0
AK-2019-028-02-00	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$327,782.00	\$118,370.00	\$446,152.00	0
AK-2019-028-02-00		11.79.00 Project Administration - Intercity (18 5311 90.97:9.03)(00)	\$173,059.00	\$17,178.00	\$190,237.00	0
AK-2019-028-02-00		11.7A.00 Preventive Maintenance - Intercity (18 5311 90.97:9.03)(00)	\$24,562.00	\$2,438.00	\$27,000.00	0
AK-2019-028-02-00		30.09.02 Operating Assistance - Intercity (18 5311 56.86:43.14)(00)	\$130,161.00	\$98,754.00	\$228,915.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.7A.00 - Preventive Maintenance - Intercity (18 5311 90.97:9.03)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

#### Extended Budget Description

This project makes use of FY18 5311 funds in the amount of \$24,562 for preventive maintenance for inter-city public transit agencies as referenced in the attached Program of Projects. The federal-to-local match ratio is Preventive Maintenance 90.97%/9.03%. The local match derives from a combination of State general funds and local funds. The Governor's certification that all intercity bus services have been met is attached to the Recipient Profile.

2018-2021 Alaska State Transportation Improvement Program  
Amendment 3, Need ID 19120  
Federally approved on June 21, 2019

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$24,562
Local			\$2,438
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$27,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	
End Date	6/30/2023	

### Budget Activity Line Item: 11.79.00 - Project Administration - Intercity (18 5311 90.97:9.03)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

#### Extended Budget Description

This project makes use of FY18 5311 funds in the amount of \$173,059 for Intercity project administration for Gulkana Village Council & Interior Alaska Bus Line as referenced in the attached Program of Projects. The federal-to-local match ratio is Administration 90.97%/9.03%. The local match derives from a combination of State federal funds and local funds. The Governor's certification that all intercity bus services have been met is attached to the Recipient Profile.

2018-2021 Alaska State Transportation Improvement Program  
Amendment 3, Need ID 19120  
Federally approved on June 21, 2019

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$173,059
Local			\$17,178
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$190,237</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	
End Date	6/30/2023	

**Budget Activity Line Item: 11.7A.00 - Preventive Maintenance - Rural (18 5311 90.97:9.03)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

This project makes use of FY2018 5311 funds in the amount of \$466,117 for preventive maintenance for rural public transit agencies as referenced in the attached Program of Projects. The federal-to-local match ratio is Preventive Maintenance 90.97:9.03. The local match derives from a combination of State general funds and local funds.

2018-2021 Alaska State Transportation Improvement Program  
Amendment 3, Need ID 19120  
Federally approved on June 21, 2019

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$488,117
Local			\$48,452
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$536,569</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	
End Date	6/30/2023	

**Budget Activity Line Item: 30.09.02 - Operating Assistance - Intercity (18 5311 56.86:43.14)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	0

**Extended Budget Description**

This project makes use of FY2018 Section 5311 funds in the amount of \$130,161 for Inter-city operating assistance for Gulkana Village Council & Interior Alaska Bus Line as referenced in the attached Program of Projects. The federal-to-local match ratios is Operating 56.86:43.14. The local match derives from a combination of State general funds and local funds. The Governor's certification that all intercity bus services have been met is attached to the Recipient Profile.

2018-2021 Alaska State Transportation Improvement Program  
Amendment 3, Need ID 19120  
Federally approved on June 21, 2019

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$130,161
Local			\$98,754
Local/In-Kind			\$0
State			\$0



State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$228,915</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	
End Date	6/30/2023	

**Budget Activity Line Item: 11.79.00 - Project Administration - Rural (18 5311 90.97:9.03)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

**Extended Budget Description**

Initial Application (00)

This project makes use of FY18 5311 funds in the amount of \$2,683,322 for rural subrecipient public transit systems project administration expenses as referenced in the attached Program of Projects. The federal-to-local match ratio is Administration and Capital 90.97%/9.03%; Operating 56.86%/43.14%. The local match derives from a combination of State general funds and local funds.

2018-2021 Alaska State Transportation Improvement Program  
Amendment 3, Need ID 19120  
Federally approved on June 21, 2019

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,683,322
Local			\$266,356
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,949,678</b>

Milestone Name	Est. Completion Date	Description
Start Project Administration	7/1/2019	
End Project Administration	6/30/2023	

**Budget Activity Line Item: 30.09.01 - Operating Assistance - Rural (18 5311 56.86:43.14)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

**INITIAL APPLICATION**

This project makes use of the FY2018 5311 funds in the amount of \$3,564,757 for subrecipient operating assistance for rural public transit as referenced in the attached Program of Projects. The federal-to-local match ration is Operating 56.86:43.14. The local match derives from a combination of State general funds and local funds.

2018-2021 Alaska State Transportation Improvement Program  
Amendment 3, Need ID 19120  
Federally approved on June 21, 2019

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,564,757
Local			\$2,704,601
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,269,358</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	
End Date	6/30/2023	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	Operating Assistance - Rural (18 5311 56.86:43.14)(00)	0	\$3,564,757.00	\$6,269,358.00

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	Project Administration - Rural (18 5311 90.97:9.03)(00)	0	\$2,683,322.00	\$2,949,678.00

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment

and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### **Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

<b>Date</b>	<b>Description</b>	<b>Date</b>
	Class IIc CE Approved	

<b>Scope Name / Code</b>	<b>Line Item Number</b>	<b>Line Item Name</b>	<b>Quantity</b>	<b>FTA Amount</b>	<b>Total Eligible Cost</b>
INTERCITY BUS TRANSPORTATION (634-00)	30.09.02	Operating Assistance - Intercity (18 5311 56.86:43.14)(00)	0	\$130,161.00	\$228,915.00

#### **Finding: Class II(c) - Categorical Exclusions (C-List)**

##### **Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### **Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

<b>Date</b>	<b>Description</b>	<b>Date</b>
	Class IIc CE Approved	

<b>Scope Name / Code</b>	<b>Line Item Number</b>	<b>Line Item Name</b>	<b>Quantity</b>	<b>FTA Amount</b>	<b>Total Eligible Cost</b>
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	Preventive Maintenance - Rural (18 5311 90.97:9.03)(00)	0	\$488,117.00	\$536,569.00

#### **Finding: Class II(c) - Categorical Exclusions (C-List)**

##### **Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	Project Administration - Intercity (18 5311 90.97:9.03)(00)	0	\$173,059.00	\$190,237.00

#### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.7A.00	Preventive Maintenance - Intercity (18 5311 90.97:9.03)(00)	0	\$24,562.00	\$27,000.00

#### Project Title: FY18 5311 - Rural Transit Assistance Program (RTAP)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2019-028-03-00	1725-2019-2-P3	9/9/2019	6/30/2019	6/29/2023

#### Project Description

This project includes \$98,756 in FY2018 Section 5311 Rural Transit Assistance Program (RTAP) funding for technical assistance and training to current subrecipients.

**Project Benefits**

The benefits of this project is that subrecipients will receive the training and technical assistance they need in a timely manner and will be able to more effectively manage the federal funds they receive to operate public transit.

**Additional Information**

*None provided.*

**Location Description**

RTAP projects will take place in locations throughout the State of Alaska, as provided in the attached Program of Projects.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
020000	Alaska
021700	Anchorage, AK
024340	Fairbanks, AK

**Congressional District Information**

State	District	Representative
Alaska	1	Don Young

**Program Plan Information****STIP/TIP**

Date: 6/20/2019

Description: 2018-2021 Alaska State Transportation Improvement Program Amendment 3, Need ID 19120 Federally approved on June 21, 2019

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
----------------	--------------------	-------------	--------

5311 - Rural Area Formula	5311-1A	20509	\$98,756
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$98,756</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2019-028-03-00	635-00 (635-A6) RURAL TRANSIT ASSISTANCE PROGRAM	\$98,756.00	\$0.00	\$98,756.00	0
AK-2019-028-03-00	43.50.01 Training (18 5311 RTAP 100:0)(00)	\$80,000.00	\$0.00	\$80,000.00	0
AK-2019-028-03-00	43.50.02 Technical Assistance (18 5311 RTAP 100:0)(00)	\$17,756.00	\$0.00	\$17,756.00	0
AK-2019-028-03-00	43.50.04 Related Support Services (18 5311 RTAP 100:0)(00)	\$1,000.00	\$0.00	\$1,000.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.04 - Related Support Services (18 5311 RTAP 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	0

### Extended Budget Description

Initial Application (00)

This project makes use of FY18 5311 RTAP funds in the amount of \$1,000 for related support services for rural public transit. The federal-to-local match ratio is 100:0.

2018-2021 Alaska State Transportation Improvement Program  
Amendment 3, Need ID 19120  
Federally approved on June 21, 2019

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,000</b>

Milestone Name	Est. Completion Date	Description
Start Support Services	7/1/2019	
End Support Services	6/30/2023	

**Budget Activity Line Item: 43.50.02 - Technical Assistance (18 5311 RTAP 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

Initial Application (00)

This project makes use of FY18 5311 RTAP funds in the amount of \$17,756 for technical assistance for rural public transit. The federal-to-local match ratio is 100:0.

2018-2021 Alaska State Transportation Improvement Program  
Amendment 3, Need ID 19120  
Federally approved on June 21, 2019

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$17,756



Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$17,756</b>

Milestone Name	Est. Completion Date	Description
Start Technical Assistance	7/1/2019	
End Technical Assistance	6/30/2023	

**Budget Activity Line Item: 43.50.01 - Training (18 5311 RTAP 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

Initial Application (00)

This project makes use of FY18 5311 RTAP funds in the amount of \$80,000 for training for 5311 rural public transit. The federal-to-local match ratio is 100:00.

2018-2021 Alaska State Transportation Improvement Program

Amendment 3, Need ID 19120

Federally approved on June 21, 2019

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$80,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$80,000</b>
----------------------------	-----------------

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Training	7/1/2019	
End Training	6/30/2023	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

<b>Date</b>	<b>Description</b>	<b>Date</b>
	Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### Application Review Comments

**Comment By** Annette McKelvey

Comment Type FTA Post Review Comments for Grantee

Date 7/25/2019

Comment for application title updates

**Comment By** Annette McKelvey

Comment Type FTA Post Review Comments for Grantee

Date	9/5/2019
Comment	For edits to budget and narratives

**Comment By** Annette McKelvey

Comment Type	FTA Post Review Comments for Grantee
Date	9/12/2019
Comment	Please edit to include two projects--one for rural and one for small urban projects. Thank you.

## Part 6: Agreement

### UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

#### GRANT AGREEMENT (FTA G-25, October 1, 2018)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

#### FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### Recipient Information

Recipient Name: Transportation And Public Facilities, Alaska Department Of

Recipient ID: 1725

DUNS No: 809387434

## Application Information

Federal Award Identification Number: AK-2019-028-00

Application Name: FY18 Sec 5311 - Administration, Operations, Preventive Maintenance, RTAP, Intercity

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2023

Application Executive Summary: This grant application includes \$7,903,352 in FY18 Section 5311 formula program funding and \$98,756 in FY18 Section 5311 RTAP funding allocations.

This grant will provide funding for rural project administration, operating, preventive maintenance, and intercity project administration and operating for subrecipients as well as direct and indirect state administration funding for AKDOT. RTAP funds will be used for training and technical assistance.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

## Application Budget

Total Application Budget: \$11,139,887.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$8,002,108.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$3,137,779.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$8,002,108.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$3,137,779.00

## Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,002,108
Local			\$3,137,779
Local/In-Kind			\$0

State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$11,139,887</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation And Public Facilities, Alaska Department Of*