

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	AK-2019-027-00
Temporary Application Number	7287-2019-3
Application Name	FY18 Sec 5311 TTP Discretionary - Planning and Indirect Costs
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Ketchikan Indian Corporation

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7287	Indian Tribe	KETCHIKAN INDIAN CORPORATION DBA KETCHIKAN INDIAN COMMUNITY	093681401

Location Type	Address	City	State	Zip
Headquarters	2960 TONGASS AVE	KETCHIKAN	AK	999015742
Physical Address	2960 TONGASS AVE	KETCHIKAN	AK	99901
Mailing Address	2960 TONGASS AVENUE	KETCHIKAN	AK	99901

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY18 Sec 5311 TTP Discretionary - Planning and Indirect Costs

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
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AK-2019-027-00 Final Concurrence / Reservation Grant 5/8/2019 5/8/2019 No

Application Start Date

The start date will be set to the date of the award

Application End Date

3/30/2020

Application Executive Summary

This application applies \$25,000 in FY2018 5311 TTP discretionary funds under Discretionary ID number D2018-TRTR-008 for planning and administration activities.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Department of Interior (DOI) has approved the Ketchikan Indian Corporation indirect cost rate on August 8, 2019 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The DOI requires the indirect cost rates for tribes to be updated annually. The currently approved indirect cost rate is 58.17% and the most current rate will continue to be applied to this grant.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461

Joel	Azure	Development Manager	jazure@kictribe.org	907-228-9431
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$25,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$25,000

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2019-027-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$25,000.00	\$0.00	\$25,000.00	0
AK-2019-027-01-00	11.79.00 PROJECT ADMINISTRATION (18 5311 TTP Disc 100:00)(00)	\$2,500.00	\$0.00	\$2,500.00	0
AK-2019-027-01-00	44.24.00 SHORT RANGE TRANSIT PLANNING (18 5311 TTP Disc 100:00)(00)	\$22,500.00	\$0.00	\$22,500.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: FY18 5311 TTP Discretionary for Planning & Indirect Costs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2019-027-01-00	7287-2019-3-P1	6/5/2019	12/31/2018	12/30/2019

Project Description

This application applies \$25,000 in FY2018 5311 TTP discretionary funds under Discretionary ID number D2018-TRTR-008 for planning activities. KIC will contract with a third party to assist with implementation of a previous program review improvement plan.

Project Benefits

KIC will update policy and procedures and review new technology options to increase efficiency

Additional Information

None provided.

Location Description

The KIC service are consists of the Ketchikan Gateway Borough.

Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

Congressional District Information

State	District	Representative
Alaska	1	Don Young

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$25,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$25,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2019-027-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$25,000.00	\$0.00	\$25,000.00	0
AK-2019-027-01-00	11.79.00 PROJECT ADMINISTRATION (18 5311 TTP Disc 100:00)(00)	\$2,500.00	\$0.00	\$2,500.00	0
AK-2019-027-01-00	44.24.00 SHORT RANGE TRANSIT PLANNING (18 5311 TTP Disc 100:00)(00)	\$22,500.00	\$0.00	\$22,500.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 44.24.00 - SHORT RANGE TRANSIT PLANNING (18 5311 TTP Disc 100:00)(00)				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	44.24.00	SHORT RANGE TRANSIT PLANNING	METROPOLITAN PLANNING	0

Extended Budget Description

This grant includes \$22,500 in FY2018 Section 5311 TTP Discretionary funds under Discretionary ID number D2018-TRTR-008 with a federal-to-local match ratio of 100:0 for planning funds to understand how to help meet increasing demand from elderly and tribal members with disabilities to access healthcare, shopping and other services.

STIP

There is no STIP requirement for 5311 TTP Formula and Discretionary Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$22,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$22,500

Milestone Name	Est. Completion Date	Description
Start Planning	1/1/2019	
End Planning	12/31/2019	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION (18 5311 TTP Disc 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This ALI uses \$2,500 in FY2018 5311 TPP discretionary funds under Discretionary ID number D2018-TRTR-008 for project costs with a federal-to-local match ratio of 100:0 under a federally approved indirect cost rate for the 1/1/2019 - 12/31/2019 time period.

STIP

There is no STIP requirement for 5311 TTP Formula and Discretionary Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$2,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,500

Milestone Name	Est. Completion Date	Description
Start Indirect Costs	1/1/2019	
End Indirect Costs	12/31/2019	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Ketchikan Indian Corporation

Recipient ID: 7287

DUNS No: 093681401

Application Information

Federal Award Identification Number: AK-2019-027-00

Application Name: FY18 Sec 5311 TTP Discretionary - Planning and Indirect Costs

Application Start Date: The start date will be set to the date of the award

Application End Date: 3/30/2020

Application Executive Summary: This application applies \$25,000 in FY2018 5311 TTP discretionary funds under Discretionary ID number D2018-TRTR-008 for planning and administration activities.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$25,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$25,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$25,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$25,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$25,000

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Ketchikan Indian Corporation