

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	AK-2019-024-00
Temporary Application Number	6669-2019-2
Application Name	FY17-18 Sec 5311 TTP Competitive - Replacement Buses, Indirect
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Sitka Tribe Of Alaska

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6669	Indian Tribe	SITKA TRIBE OF ALASKA	067348383

Location Type	Address	City	State	Zip
Headquarters	456 KATLIAN ST	SITKA	AK	998357505
Physical Address	456 KATLIAN ST	SITKA	AK	99835
Mailing Address	456 KATLIAN ST	SITKA	AK	99835

Union Information

Union Name	NONE
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	-
Telephone	

Fax

E-mail

Website

Part 2: Application Information

Title: FY17-18 Sec 5311 TTP Competitive - Replacement Buses, Indirect

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2019-024-00	Final Concurrence / Reservation	Grant	7/2/2019	7/2/2019	No

Application Start Date

The start date will be set to the date of the award

Application End Date

9/29/2020

Application Executive Summary

This grant includes a total of \$550,000 in Section 5311 Tribal Transit Competitive program funding allocations (\$250,000 in FY 17, ID #D2017-TRTR-004 and \$300,000 in FY 18, ID #5311 D2018-TRTR-011) to purchase replacement buses.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The BIA approved the Sitka Tribe of Alaska indirect cost rate on July 11, 2019 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The BIA requires tribes to

renegotiate their rates annually. The approved rate of 42.35% or any future approved rates will be applied to the applicable activity line descriptions.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461
Gerry	Hope	Transportation Director	gerry.hope@sitkatriben.gov	907-747-5910
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$550,000
Local			\$49,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$599,500

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2019-024-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$550,000.00	\$49,500.00	\$599,500.00	2
AK-2019-024-01-00	11.12.03 BUY REPLACEMENT	\$495,000.00	\$49,500.00	\$544,500.00	2

AK-2019-024-01-00	11.79.00	30-FT BUS (17-18 5311 TTP Disc 90:10)(00)	\$55,000.00	\$0.00	\$55,000.00	0
		PROJECT ADMINISTRATION (17-18 5311 TTP Disc 100:0)(00)				

Earmark and Discretionary Allocations

Earmark ID	Earmark Name	Amount Applied	FAIN	Congressional Release Date
D2018-TRTR-011	The Sitka Tribe of Alaska will receive funding to purchase a bus to replace a vehicle that has exceeded its useful life, improving access for The RIDE bus passengers to access jobs, school, healthcare and ferry service in and around Sitka, about 95 miles from Juneau.	\$300,000	AK-2019-024-00	
D2017-TRTR-004	Replacement of existing capital for Sitka Tribe transit services	\$250,000	AK-2019-024-00	

Part 3: Project Information

Project Title: FY17-18 5311 TTP Discretionary - Replacement Buses, Indirect

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2019-024-01-00	6669-2019-2-P1	7/2/2019	11/24/2018	6/29/2020

Project Description

This Project includes a total of \$550,000 in FY2017 and FY2018 funding as selected for award under the Section 5311 Tribal Transit competitive program allocations to purchase replacement buses.

\$250,000 - FY2017 Section 5311 TTP Discretionary (D2017-TRTR-004)

\$300,000 - FY2018 Section 5311 TTP Discretionary (D2018-TRTR-011)

\$550,000 - Total Award

Project Benefits

Maintaining bus service for Tribal Citizens & Public

Additional Information

None provided.

Location Description

Baranof Island in the municipality of Sitka

Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

Congressional District Information

State	District	Representative
Alaska	1	Don Young

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$550,000
Local			\$49,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$599,500

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2019-024-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$550,000.00	\$49,500.00	\$599,500.00	2
AK-2019-024-01-00	11.12.03 BUY REPLACEMENT 30-FT BUS (17-18 5311 TTP Disc 90:10)(00)	\$495,000.00	\$49,500.00	\$544,500.00	2
AK-2019-024-01-00	11.79.00 PROJECT ADMINISTRATION (17-18 5311 TTP Disc 100:0)(00)	\$55,000.00	\$0.00	\$55,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION (17-18 5311 TTP Disc 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Initial Application (00)

This activity includes \$55,000 in FY2017 and FY2018 Section 5311 TTP discretionary funding at the 100:0 federal-to-local match ratio for Administration costs related to operating transit service using an approved Indirect Cost Rate from the time period of November 25, 2018 to June 30, 2020. There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

\$25,000 - FY2017 Section 5311 TTP Discretionary (D2017-TRTR-004)

\$30,000 - FY2018 Section 5311 TTP Discretionary (D2018-TRTR-011)

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$55,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$55,000

Milestone Name	Est. Completion Date	Description
Start Indirect Expenses	11/25/2018	
End Indirect Expenses	6/30/2020	

Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS (17-18 5311 TTP Disc 90:10)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	2

Extended Budget Description

Initial Application (00)

This grant will fund the purchase of approximately two (2) 30-foot replacement vehicles. The federal-to-local match ratio is 90:10. The expected vehicle useful life is 10 years or 350,000 miles. There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

\$225,000 - FY2017 Section 5311 TTP Competitive (D2017-TRTR-004)

\$270,000 - FY2018 Section 5311 TTP Competitive (D2018-TRTR-011)

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$495,000
Local			\$49,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$544,500

Milestone Name	Est. Completion Date	Description
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RFP/IFB Issue Date	11/25/2018	FY2017 Funds (Purchasing off of Washington State DES' Master Contract #04115)
Contract Award Date	12/5/2018	FY2017 Funds
RFP/IFB Issue Date	8/21/2019	FY2018 Funds (Purchasing off of Washington State DES' Master Contract #04115)
Contract Award Date	8/26/2019	FY2018 Funds
Initial Delivery Date	10/1/2019	FY2017 Funds (1 of 2 Buses)
Final Delivery Date	5/15/2020	FY2018 Funds (2 of 2 Buses)
Contract Completion Date	6/30/2020	FY2018 Funds
Contract Completion Date	12/31/2019	FY2017 Funds

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS (17-18 5311 TTP Disc 90:10)(00)	2	\$495,000.00	\$544,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION (17-18 5311 TTP Disc 100:0)(00)	0	\$55,000.00	\$55,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By **Annette McKelvey**

Comment Type FTA Post Review Comments for Grantee

Date 9/4/2019

Comment To make edits to budget activity line item

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Sitka Tribe Of Alaska

Recipient ID: 6669

DUNS No: 067348383

Application Information

Federal Award Identification Number: AK-2019-024-00

Application Name: FY17-18 Sec 5311 TTP Competitive - Replacement Buses, Indirect

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2020

Application Executive Summary: This grant includes a total of \$550,000 in Section 5311 Tribal Transit Competitive program funding allocations (\$250,000 in FY 17, ID #D2017-TRTR-004 and \$300,000 in FY 18, ID #5311 D2018-TRTR-011) to purchase replacement buses.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$599,500.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$550,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$49,500.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$550,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$49,500.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$550,000
Local			\$49,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$599,500

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Sitka Tribe Of Alaska