

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	WA-2019-067-00
<b>Temporary Application Number</b>	6686-2019-1
<b>Application Name</b>	FY2017 / 2018 5311 TTP Formula - Operating Assistance and Indirect Cost
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

## Part 1: Recipient Information

**Name: Squaxin Island Tribe**

<b>Recipient ID</b>	<b>Recipient OST Type</b>	<b>Recipient Alias</b>	<b>Recipient DUNS</b>
6686	Indian Tribe	SQUAXIN ISLAND TRIBE OF THE SQUAXIN ISLAND RESERVATION	606460475

<b>Location Type</b>	<b>Address</b>	<b>City</b>	<b>State</b>	<b>Zip</b>
Headquarters	10 SE SQUAXIN LN	SHELTON	WA	985849200
Physical Address	10 SE SQUAXIN LN	SHELTON	WA	98584
Mailing Address	10 S.E. SQUAXIN LANE	SHELTON	WA	98584

## Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: FY2017 / 2018 5311 TTP Formula - Operating Assistance and Indirect Cost**

<b>FAIN</b>	<b>Application Status</b>	<b>Application</b>	<b>Date</b>	<b>Last Updated</b>	<b>From</b>
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		Type	Created	Date	TEAM?
WA-2019-067-00	Final Concurrence / Reservation	Grant	2/26/2019	2/26/2019	No

#### **Application Start Date**

The start date will be set to the date of the award

#### **Application End Date**

12/31/2020

#### **Application Executive Summary**

This application includes a total of \$58,324 in FY2017 / 2018 Section 5311 TTP Formula funding for operating assistance and administration costs through the use of a Federally approved indirect cost rate for the Squaxin Island Transit.

\$30,371 FY2017 5311 TTP Formula Funds

\$27,953 FY2018 5311 TTP Formula Funds

#### **Frequency of Milestone Progress Reports (MPR)**

Annual

#### **Frequency of Federal Financial Reports (FFR)**

Annual

#### **Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

#### **Pre-Award Authority**

This award is using Pre-Award Authority.

#### **Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

#### **Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

#### **Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The DOI approved the Squaxin Island Tribe indirect cost rate 5/11/2018 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The approved rate of 37.82% or the most recent annually approved rate will be applied to the applicable activity line item description. The 2019 Indirect Cost Rate has been submitted for review.

#### **Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

#### **Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## **Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Pamela	Hillstrom	Program Services Manager	phillstrom@squaxin.us	360-432-3951
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965
Kristine	Mcguill	Transportation Program Specialist	kristine.mcguill@dot.gov	206-220-7963

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$58,324
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$58,324</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2019-067-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$58,324.00	\$0.00	\$58,324.00	0
WA-2019-067-01-00	11.79.00 PROJECT ADMINISTRATION - INDIRECT (2017/2018 5311 TTP FORMULA 100:00)(00)	\$5,832.00	\$0.00	\$5,832.00	0
WA-2019-067-01-00	30.09.01 OPERATING (2017/2018 5311 TTP FORMULA 100:00)(00)	\$52,492.00	\$0.00	\$52,492.00	0

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

<b>Project Title: FY2017 / 2018 5311 TTP Formula - Operating Assistance and Indirect Cost</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
WA-2019-067-01-00	6686-2019-1-P1	2/26/2019	9/30/2018	9/29/2020

**Project Description**

This application includes a total of \$58,324 in FY2017 / 2018 Section 5311 TTP Formula funding for operating assistance and administration costs through the use of a Federally approved indirect cost rate for the Squaxin Island Transit.

\$30,371 FY2017 5311 TTP Formula Funds

\$27,953 FY2018 5311 TTP Formula Funds

Squaxin Island Transit operates a fixed route, route deviation and dial-a-ride from 7 a.m. to 4:30 p.m. Monday thru Friday. SI Transit serves the South end of Mason County from Shelton to Steamboat Island Road that is located in Thurston County along with McCleary/Elma area located in Grays Harbor County.

**Project Benefits**

Sustain reliable transportation options

**Additional Information**

*None provided.*

**Location Description**

Kamilche, Shelton, Steamboat Island, McCleary & Elma in Mason, Grays Harbor & Thurston County.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
530000	Washington

**Congressional District Information**

State	District	Representative
Washington	6	Derek Kilmer

**Program Plan Information****STIP/TIP**

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10.

Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**UPWP**

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$58,324
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$58,324</b>

## Project Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2019-067-01-00	600-00 (600-A1)	OTHER PROGRAM COSTS	\$58,324.00	\$0.00	\$58,324.00	0
WA-2019-067-01-00		11.79.00 PROJECT ADMINISTRATION - INDIRECT (2017/2018 5311 TTP FORMULA 100:00)(00)	\$5,832.00	\$0.00	\$5,832.00	0
WA-2019-067-01-00		30.09.01 OPERATING (2017/2018 5311 TTP FORMULA 100:00)(00)	\$52,492.00	\$0.00	\$52,492.00	0

## Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - INDIRECT (2017/2018 5311 TTP FORMULA 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

#### Extended Budget Description

This ALI includes \$5,832 in FY2017/2018 5311 TTP Formula funds at the match ratio of 100:0 for administration costs through the use of a Federally approved indirect cost rate for the time period of 10/1/2018 through 9/30/2020.

\$3,037 FY2017 5311 TTP Formula Funds

\$2,795 FY2018 5311 TTP Formula Funds

#### Match

The match ratio for this project is 100:0. There is no match requirement for 5311 TTP Formula funds.

#### STIP

There is no STIP requirement for 5311 TTP Formula and Discretionary Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$5,832
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,832</b>

Milestone Name	Est. Completion Date	Description
Start Indirect Costs	10/1/2018	
End Indirect Costs	9/30/2020	

#### Budget Activity Line Item: 30.09.01 - OPERATING (2017/2018 5311 TTP FORMULA 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

This ALI applies for \$52,492 in FY2017/2018 Section 5311 TTP Formula funds at the match ratio of 100:0 for continued operations of the Squaxin Island Transit service for the time period of 10/1/2018 to 9/30/2020.

**Service Description:**

Squaxin Island Transit operates a fixed route, route deviation and dial-a-ride from 7 a.m. to 4:30 p.m. Monday thru Friday. SI Transit serves the South end of Mason County from Shelton to Steamboat Island Road that is located in Thurston County along with McCleary/Elma area located in Grays Harbor County.

\$27,334 FY2017 5311 TTP Formula Funds

\$25,158 FY2018 5311 TTP Formula Funds

**Match**

The match ratio for this project is 100:0. There is no match requirement for 5311 TTP Formula funds.

**STIP**

There is no STIP requirement for 5311 TTP Formula and Discretionary Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$52,492
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$52,492</b>

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Operations	10/1/2018	
End Operations	9/30/2020	

**Project Environmental Findings****Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment

and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### **Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

## **Part 4: Fleet Details**

No fleet data exists for this application.

## **Part 5: FTA Review Comments**

There are no review comments to display at this time.

## **Part 6: Agreement**

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL



ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Squaxin Island Tribe

Recipient ID: 6686

DUNS No: 606460475

#### **Application Information**

Federal Award Identification Number: WA-2019-067-00

Application Name: FY2017 / 2018 5311 TTP Formula - Operating Assistance and Indirect Cost

Application Start Date: The start date will be set to the date of the award

Application End Date: 12/31/2020

Application Executive Summary: This application includes a total of \$58,324 in FY2017 / 2018 Section 5311 TTP Formula funding for operating assistance and administration costs through the use of a Federally approved indirect cost rate for the Squaxin Island Transit.

\$30,371 FY2017 5311 TTP Formula Funds

\$27,953 FY2018 5311 TTP Formula Funds

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

#### **Application Budget**

Total Application Budget: \$58,324.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$58,324.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$58,324.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$58,324
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State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$58,324</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or

the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Squaxin Island Tribe*