

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	SC-2019-028-00
<b>Temporary Application Number</b>	6852-2019-1
<b>Application Name</b>	2018/19 Catawba Transit Allocation
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

### Part 1: Recipient Information

**Name: Catawba Indian Nation**

<b>Recipient ID</b>	<b>Recipient OST Type</b>	<b>Recipient Alias</b>	<b>Recipient DUNS</b>
6852	Indian Tribe	CATAWBA INDIAN NATION	831001185

<b>Location Type</b>	<b>Address</b>	<b>City</b>	<b>State</b>	<b>Zip</b>
Headquarters	996 AVE OF THE NATIONS	ROCK HILL	SC	297307645
Physical Address	996 AVE OF THE NATIONS	ROCK HILL	SC	29730
Mailing Address	996 AVENUE OF THE NATIONS	ROCK HILL	SC	29730

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: 2018/19 Catawba Transit Allocation**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
SC-2019-028-00	Final Concurrence / Reservation	Grant	4/26/2019	4/26/2019	No

**Application Start Date**

The start date will be set to the date of the award

**Application End Date**

3/29/2024

**Application Executive Summary**

The application is for use of Catawba Transit Service which is a demand response provider for the Catawba Nation government started in June 2011. This application covers federal allocations from both 2018 and 2019 combined into one grant application for a total of \$815,314.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The Catawba Indian Nation has an approved IDC rate from the Department of Interior at 25.91%.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Suzanne	Skaryak	Catawba Transit Manager	suzanne.skaryak@catawbaindian.net	(803) 366-4792 293
Valencia	Williams	Transportation Program Specialist	valencia.williams@dot.gov	(404) 865-5634

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$815,314
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$815,314</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SC-2019-028-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$25,500.00	\$0.00	\$25,500.00	1
SC-2019-028-01-00	11.12.16 BUY REPL SEDAN/STATION WAGON	\$25,500.00	\$0.00	\$25,500.00	1
SC-2019-028-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$455,786.00	\$0.00	\$455,786.00	6
SC-2019-028-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$455,786.00	\$0.00	\$455,786.00	6
SC-2019-028-01-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$334,028.00	\$0.00	\$334,028.00	8
SC-2019-028-01-00	11.80.00 PROGRAM ADMINISTRATION	\$334,028.00	\$0.00	\$334,028.00	8

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

## **Project Title: 2018/2019 Catawba Indian Nation Transit Allocation**

<b>Project Number</b>	<b>Temporary Project Number</b>	<b>Date Created</b>	<b>Start Date</b>	<b>End Date</b>
SC-2019-028-01-00	6852-2019-1-P1	5/24/2019	6/30/2019	12/31/2023

### **Project Description**

The Catawba Transit Service started in June 2011. The program continues to grow and become part of many community member's lives. The 2018 & 2019 combined allocation is \$815,314 in 5311 Tribal Transit funds. The money will be used for on-going support of the operations and administration of the Catawba Transit department including salaries, training, fuel, maintenance, and more as broken down below:

#### **Capital-**

Vehicle Purchase \$25,500

#### **Operations-**

Salaries & Fringe- \$394,786

Fuel- \$24,000

Training- \$3,000

Phone- \$12,000 (includes main office line for customers and cell phones for communication with all drivers on a daily basis)

Maintenance- \$20,000

Drug Testing- \$2,000

Total- \$455,786

#### **Administrative-**

Salary & Fringe- \$107,500

Office Supplies- \$6,000

Insurance- \$40,000

Space Cost- \$5000

Utility Cost- \$4000

Audit- \$5000

Dues & Subscriptions- \$4000 (includes dues to Unified Carrier, DOT, Rural Transit, etc)

Indirect Costs- \$162,528

Total- \$334,028

### **Project Benefits**

The project benefits the citizens of the Catawba Nation as well as the surrounding community by providing demand response services in rural York County, SC. The project will secure ongoing operations of the service to provide rides to those in need of service. The service stays very busy since no other provider comes in this part of the county.

### **Additional Information**

The service is located on the Catawba Nation lands in eastern York County, SC. This is located south of Charlotte, NC with the closest city being Rock Hill, SC.

### **Location Description**

We are located on the Catawba Nation reservation located in eastern York County outside of Rock Hill, SC.

## Project Location (Urbanized Areas)

UZA Code	Area Name
450000	South Carolina

## Congressional District Information

State	District	Representative
South Carolina	5	Mick Mulvaney

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$815,314
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$815,314</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SC-2019-028-	111- BUS - ROLLING STOCK	\$25,500.00	\$0.00	\$25,500.00	1

01-00	00 (111-A1)						
SC-2019-028-01-00		11.12.16	BUY REPL SEDAN/STATION WAGON	\$25,500.00	\$0.00	\$25,500.00	1
SC-2019-028-01-00	300-00 (300-A2)		OPERATING ASSISTANCE	\$455,786.00	\$0.00	\$455,786.00	6
SC-2019-028-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$455,786.00	\$0.00	\$455,786.00	6
SC-2019-028-01-00	610-00 (610-A3)		STATE / PROGRAMS ADMINISTRATION	\$334,028.00	\$0.00	\$334,028.00	8
SC-2019-028-01-00		11.80.00	PROGRAM ADMINISTRATION	\$334,028.00	\$0.00	\$334,028.00	8

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	8

#### Extended Budget Description

Administrative-  
 Salary & Fringe- \$107,500  
 Office Supplies- \$6,000  
 Insurance- \$40,000  
 Space Cost- \$5000  
 Utility Cost- \$4000  
 Audit- \$5000  
 Dues & Subscriptions- \$4000 (includes dues to Unified Carrier, DOT, Rural Transit, etc)  
 Indirect Costs- \$162,528  
 Total- \$334,028

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$334,028
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$334,028</b>

Milestone Name	Est. Completion Date	Description
Begin spending funds on administration	7/1/2019	Begin spending funds from this budget item
Complete spending funds on administration	12/31/2021	Spending of funds from this budget line will be complete

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	6

**Extended Budget Description**

Operations-  
Salaries & Fringe- \$394,786  
Fuel- \$24,000  
Training- \$3,000  
Phone- \$12,000  
Maintenance- \$20,000  
Drug Testing- \$2,000  
Total- \$455,786

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$455,786
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$455,786</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Start spending operations funds
End Date	12/31/2021	End of spending operations funds

**Budget Activity Line Item: 11.12.16 - BUY REPL SEDAN/STATION WAGON**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.16	BUY REPL SEDAN/STATION WAGON	BUY REPLACEMENTS - CAPITAL BUS	1

**Extended Budget Description**

Replacement of SUV coming to end of useful life in 2019; plan to purchase a Ford Flex due to the leg room and ease for riders to get in and out of the vehicle. Vehicle has a projected useful life of 4 years/100,000 miles which is projected through January 1, 2024. Vehicle replacement schedule has been uploaded in documents.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	15 ft

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$25,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$25,500</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2019	Issue RFP for vehicle
Contract Award Date	11/1/2019	Award low bidder
Initial Delivery Date	12/1/2019	Deliver to site
Final Delivery Date	1/1/2020	Finalize and approve delivery
Contract Completion Date	1/1/2020	Contract closed
Period of use	1/1/2024	End of useful life for this vehicle



## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.16	BUY REPL SEDAN/STATION WAGON	1	\$25,500.00	\$25,500.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	6	\$455,786.00	\$455,786.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	PROGRAM ADMINISTRATION	8	\$334,028.00	\$334,028.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### Application Review Comments

**Comment By** Valencia Williams

Comment Type	Application Details
Date	6/7/2019
Comment	<ol style="list-style-type: none"><li>1. Attach a copy of the signed 2019 C&amp;As to the Recipient profile.</li><li>2. Add the Federal Fiscal Year of funding being used in the executive summary.</li><li>3. Add the vehicle information to the extended budget description for ali 11.12.16. Also, add the useful in years/miles (i.e. 5 years/25,000 miles).</li><li>4. Attach the vehicle replacement schedule.</li><li>5. Add the period of use to the extended budget description for the operating ali (dates should match the milestone dates).</li></ol>

**Comment By** Valencia Williams

Comment Type	Application Details
Date	7/24/2019
Comment	Additional comments received from OFPO Director and Legal:

1. Add the specific amount of FTA funding being applied for to the executive summary.
2. Break out the "phone" and "due & subscriptions" cost some more.
3. Delete the project administration scope/ali and use the 600-00 scope and 610-00 ali for program administration.

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**Comment By Elizabeth Harris**

Comment Type Application Details

Date 7/25/2019

Comment All comments have been addressed. Please let me know if there are any questions. Thanks.

## Application Review Comments

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**Comment By Valencia Williams**

Comment Type FTA Post Review Comments for Grantee

Date 6/7/2019

Comment Address the FTA comments under the "application review comment" section. Re-transmit the application when all of the comments have been addressed.

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**Comment By Valencia Williams**

Comment Type FTA Post Review Comments for Grantee

Date 7/24/2019

Additional comments received from OFPO Director and Legal:

Comment

1. Add the specific amount of FTA funding being applied for to the executive summary.
2. Break out the "phone" and "due & subscriptions" cost some more.
3. Delete the project administration scope/ali and use the 600-00 scope and 610-00 ali for program administration.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided

below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Catawba Indian Nation

Recipient ID: 6852

DUNS No: 831001185

#### **Application Information**

Federal Award Identification Number: SC-2019-028-00

Application Name: 2018/19 Catawba Transit Allocation

Application Start Date: The start date will be set to the date of the award

Application End Date: 3/29/2024

Application Executive Summary: The application is for use of Catawba Transit Service which is a demand response provider for the Catawba Nation government started in June 2011. This application covers federal allocations from both 2018 and 2019 combined into one grant application for a total of \$815,314.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$815,314.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$815,314.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$815,314.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$815,314
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$815,314</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Catawba Indian Nation*