

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NY-2019-068-00
Temporary Application Number	1791-2019-2
Application Name	NY-2019-5311 Non-Urbanized Area Program
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, New York Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1791	State Agency	NEW YORK STATE DEPARTMENT OF TRANSPORTATION	835422064

Location Type	Address	City	State	Zip
Headquarters	EXECUTIVE CHAMBER CAPITOL	ALBANY	NY	12224
Physical Address	50 WOLF RD STE 5	ALBANY	NY	12205
Mailing Address	50 WOLF RD STE 5	ALBANY	NY	12205

Union Information

Union Name	PUBLIC EMPLOYEE'S FEDERATION
Address 1	P.O. Box 12414
Address 2	
City	Albany
State	New York
Zipcode	12212
Contact Name	Wayne Spence
Telephone	518-785-1900

Fax	518-785-1814
E-mail	WSpence@pef.org
Website	www.pef.org

Part 2: Application Information

Title: NY-2019-5311 Non-Urbanized Area Program

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NY-2019-068-00	Final Concurrence / Reservation	Grant	6/6/2019	6/6/2019	No

Application Start Date

The start date will be set to the date of the award

Application End Date

12/30/2024

Application Executive Summary

NYSDOT is requesting federal assistance in the amount of \$22,339,467 for rural programs which include \$21,786,947 FY2018 for Section 5311 Non urbanized Area Formula Program, \$160,500 FY 2018 for Section 5311(c)(2) Appalachian Development Public Transportation Assistance Program and \$352,520 FY2018 for Section 5311(b)(3) Rural Transit Assistance Program (RTAP).

Transfers: A total of \$1,111,200 in Section 5311 funds from New York's FFY 2018 apportionment, including \$39,500 in FY 2018 Appalachian Development Public Transportation funding, will be transferred to the Section 5307 Urbanized Area Accounts in Broome County, Chemung County, Tompkins County, and Ulster County. These funds will be used for mobility management, operating and capital assistance.

5307 Program- Binghamton Urbanized Area Account-Broome County
Appalachian Development Funds-Operating for CY 2020 \$13,500
Operating Assistance Funds for CY 2019 \$110,000
Account Total \$123,500

5307 Program- Elmira Urbanized Area Account-Chemung County
Appalachian Development Funds-Operating for CY 2020 \$5,500
Appalachian Development Funds-Operating for CY 2020 (Tioga Allocation) \$9,000
Mobility Management 2019 \$68,754
Operating Assistance Funds for CY 2019 \$74,500
Operating Assistance Funds for CY 2019 (Tioga Service) \$55,000
Supportive Employment Services Operating Assistance (SES) 2019 \$94,560
Account Total \$307,314

5307 Program- Ithaca Urbanized Area Account-Tompkins County
Appalachian Development Funds-Operating for CY 2020 \$11,500
Mobility Management 2019 \$229,886
Operating Assistance Funds for CY 2019 \$255,000
Account Total \$496,386

5307 Program- Kingston Urbanized Area Account-Ulster County
Operating Assistance Funds for CY 2019 \$184,000
Account Total \$184,000

The FFY 2018 program will provide technical, operating and capital grants to support transit and intercity bus operations in New York State of 52 sub-recipients under Section 5311, RTAP and project administration.

All sub-recipient projects are Category A and ready to advance once this grant is awarded.

The period of performance for this grant is from January 1, 2019 through December 31, 2024.

State Project Administration funds of 10% will be used as part of this grant to cover NYSDOT salaries and fringe and technical assistance provided by an oversight consultant.

COORDINATION: Locally Developed, Coordinated Public Transit – Human Services Transportation Plans (Coordinated Plans) have been developed and approved in all rural areas. NYSDOT has notified Section 5311 sub-recipients that their rural service be coordinated and included in the appropriate Coordinated Plan.

STATE MANAGEMENT PLAN: The State Management Plan (SMP) was updated in April 2019. The SMP is attached in TrAMS. Pre-Award Authority is invoked for projects in this grant as noted in each project ALI.

Jonathan Howard is the FTA Project Manager.

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: New York State office of State Comptroller (OSC) indirect charges may be applied to this application and its scope of work.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ann	Williamson	Transportation Analyst	ann.williamson@dot.ny.gov	518-457-1396
Jonathan	Howard	Transportation Program Specialist	j.howard@dot.gov	212-824-2421

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$21,219,267
Local			\$6,673,361
Local/In-Kind			\$0
State			\$6,673,361
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$34,565,989

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2019-068-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$8,302,276.00	\$8,302,276.00	\$16,604,552.00	58
NY-2019-068-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$6,553,276.00	\$6,553,276.00	\$13,106,552.00	47
NY-2019-068-01-00	30.09.05 JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	\$1,749,000.00	\$1,749,000.00	\$3,498,000.00	11
NY-2019-068-02-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$3,147,000.00	\$3,147,000.00	\$6,294,000.00	9
NY-2019-068-02-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,147,000.00	\$3,147,000.00	\$6,294,000.00	9

NY-2019-068-03-00	648-00 (648-A3)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$160,500.00	\$127,875.00	\$288,375.00	11
NY-2019-068-03-00		11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	\$43,500.00	\$10,875.00	\$54,375.00	3
NY-2019-068-03-00		30.09.01 UP TO 50% FEDERAL SHARE	\$117,000.00	\$117,000.00	\$234,000.00	8
NY-2019-068-04-00	435-00 (435-A4)	RURAL TRANSIT ASST PROGRAM	\$352,520.00	\$0.00	\$352,520.00	3
NY-2019-068-04-00		43.50.01 TRAINING	\$225,000.00	\$0.00	\$225,000.00	1
NY-2019-068-04-00		43.50.02 TECHNICAL ASSISTANCE	\$80,000.00	\$0.00	\$80,000.00	1
NY-2019-068-04-00		43.50.04 RELATED SUPPORT SERVICES	\$47,520.00	\$0.00	\$47,520.00	1
NY-2019-068-05-00	610-00 (610-A5)	STATE / PROGRAMS ADMINISTRATION	\$2,178,695.00	\$0.00	\$2,178,695.00	1
NY-2019-068-05-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$2,178,695.00	\$0.00	\$2,178,695.00	1
NY-2019-068-06-00	114-00 (114-A6)	BUS: SUPPORT EQUIP AND FACILITIES	\$5,120,000.00	\$1,280,000.00	\$6,400,000.00	2
NY-2019-068-06-00		11.43.03 CONSTRUCT - ADMIN/MAINT FACILITY	\$5,120,000.00	\$1,280,000.00	\$6,400,000.00	2
NY-2019-068-07-00	117-00 (117-A7)	OTHER CAPITAL ITEMS (BUS)	\$1,958,276.00	\$489,571.00	\$2,447,847.00	15
NY-2019-		11.7L.00 MOBILITY MANAGEMENT	\$1,958,276.00	\$489,571.00	\$2,447,847.00	15

068-07-00	(5302(A)(1)(L))
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Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2019-068-01-00	1791-2019-2-P1	6/6/2019	12/31/2018	12/30/2019

Project Description

Operating Assistance to support rural bus service across New York State.

Project Benefits

Maintain and improve operations of services in rural areas.

Additional Information

Operating Assistance and Supportive Employment Services (SES) Operating Assistance

Location Description

Rural areas of upstate New York

Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

Congressional District Information

State	District	Representative
New York	18	Sean P Maloney
New York	19	Christopher P Gibson
New York	20	Paul Tonko
New York	21	Elise M Stefanik
New York	22	Richard L Hanna
New York	23	Tom Reed
New York	24	John Katko
New York	25	Louise Slaughter
New York	26	Brian Higgins

New York	27	Chris Collins
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Program Plan Information

STIP/TIP

Date: 6/12/2019

Description: Projects are included in NYS STIP as PIN SWFTA5311, with description: "NON-URBANIZED PUBLIC TRANSIT INCL APPALACHIAN DEV FUND, INTERCITY OPERATORS: CAPITAL-PURCHASE EXP/REPL BUSES, ITS SYSTEMS, SHELTERS & BUS SIGNS, TRANSIT FACILITY DESIGN/CONST/REHAB, SHOP AND SUPPORT EQUIP, OPERATING ASSISTANCE, STATE ADMIN & RTAP PROGRAM"

UPWP

Date: 6/12/2019

Description: Not applicable

Long Range Plan

Date: 6/12/2019

Description: Not applicable

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,302,276
Local			\$4,151,138
Local/In-Kind			\$0
State			\$4,151,138
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$16,604,552

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2019-068-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$8,302,276.00	\$8,302,276.00	\$16,604,552.00	58
NY-2019-068-01-00	UP TO 50% 30.09.01 FEDERAL SHARE	\$6,553,276.00	\$6,553,276.00	\$13,106,552.00	47

NY-2019-068-01-00	30.09.05	JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	\$1,749,000.00	\$1,749,000.00	\$3,498,000.00	11
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Project Budget Activity Line Items

Budget Activity Line Item: 30.09.05 - JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.05	JOB ACCESS AND REVERSE COMMUTE OPERATING ASSISTANCE	OPERATING ASSISTANCE	11

Extended Budget Description

Supportive Employment Services provided by sub-recipients or their third-party contractors during 2019.

City of Gloversville

NYSDOT PIN 2798.85.401

2019 SES OA Federal \$200,000

2019 SES OA Total \$400,000

City of Hornell

NYSDOT PIN 6798.05.404

2019 SES OA Federal \$130,000

2019 SES OA Total \$260,000

City of Olean

NYSDOT PIN 5798.13.401

2019 SES OA Federal \$140,000

2019 SES OA Total \$280,000

Essex County

NYSDOT PIN 1798.37.402

2019 SES OA Federal \$285,000

2019 SES OA Total \$570,000

Franklin County

NYSDOT PIN 7798.42.402

2019 SES OA Federal \$250,000

2019 SES OA Total \$500,000

Lewis County

NYSDOT PIN 7798.12.404

2019 SES OA Federal \$100,000

2019 SES OA Total \$200,000

Montgomery County

NYSDOT PIN 2798.86.405
 2019 SES OA Federal \$155,000
 2019 SES OA Total \$310,000

Schoharie County
 NYSDOT PIN 9798.49.405
 2019 SES OA Federal \$65,000
 2019 SES OA Total \$130,000

Schuyler County
 NYSDOT PIN 6798.46.403
 2019 SES OA Federal \$139,000
 2019 SES OA Total \$278,000

St. Lawrence County
 NYSDOT PIN 7798.34.405
 2019 SES OA Federal \$200,000
 2019 SES OA Total \$400,000

RGRTA-Wayne County
 NYSDOT PIN 4798.43.405
 2019 SES OA Federal \$85,000
 2019 SES OA Total \$170,000

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,749,000
Local			\$874,500
Local/In-Kind			\$0
State			\$874,500
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,498,000

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2019	Projects start
End Date	12/31/2019	Projects complete

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	47
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Extended Budget Description

Allegany County

NYSDOT PIN 6798.24.401

2019 OA Federal \$335,000

2019 OA Total \$670,000

Cayuga (CNYRTA)

NYSDOT PIN 3798.59.401

2019 OA Federal \$235,000

2019 OA Total \$470,000

Chautauqua County

NYSDOT PIN 5798.56.402

2019 OA Federal \$381,500

2019 OA Total \$763,000

Chenango County

NYSDOT PIN 9798.34.402

2019 OA Federal \$118,500

2019 OA Total \$237,000

City of Corning

NYSDOT PIN 6798.98.404

2019 OA Federal \$108,500

2019 OA Total \$217,000

City of Gloversville

NYSDOT PIN 2798.83.401

2019 OA Federal \$104,500

2019 OA Total \$209,000

City of Hornell

NYSDOT PIN 6798.99.404

2019 OA Federal \$140,000

2019 OA Total \$280,000

City of Niagara Falls

NYSDOT PIN 5798.39.404

2019 OA Federal \$102,000

2019 OA Total \$204,000

City of Olean

NYSDOT PIN 5798.11.401

2019 OA Federal \$164,500

2019 OA Total \$329,000

City of Oneonta

NYSDOT PIN 9798.67.404

2019 OA Federal \$135,000

2019 OA Total \$270,000

Clinton County

NYSDOT PIN 7798.38.401
2019 OA Federal \$178,000
2019 OA Total \$356,000

Columbia County
NYSDOT PIN 8798.60.401
2019 OA Federal \$78,000
2019 OA Total \$156,000

Cortland County
NYSDOT PIN 3798.32.402
2019 OA Federal \$242,500
2019 OA Total \$485,000

Dutchess County
NYSDOT PIN 8798.49.402
2019 OA Federal \$117,000
2019 OA Total \$234,000

Erie (NFTA)
NYSDOT PIN 5798.38.403
2019 OA Federal \$77,000
2019 OA Total \$154,000

Essex County
NYSDOT PIN 1798.35.402
2019 OA Federal \$162,000
2019 OA Total \$324,000

Franklin County
NYSDOT PIN 7798.40.402
2019 OA Federal \$137,000
2019 OA Total \$274,000

Fulton County
NYSDOT PIN 2798.84.401
2019 OA Federal \$65,000
2019 OA Total \$130,000

Genesee (RGRTA)
NYSDOT PIN 4798.42.401
2019 OA Federal \$125,500
2019 OA Total \$251,000

Greene County
NYSDOT PIN 1798.41.403
2019 OA Federal \$121,500
2019 OA Total \$243,000

Herkimer County
NYSDOT PIN 2798.30.403
2019 OA Federal \$28,000
2019 OA Total \$56,000

Lewis County

NYS DOT PIN 7798.10.404
2019 OA Federal \$92,000
2019 OA Total \$184,000

Livingston (RGRTA)
NYS DOT PIN 4798.41.402
2019 OA Federal \$145,000
2019 OA Total \$290,000

Madison County
NYS DOT PIN 2798.28.404
2019 OA Federal \$125,000
2019 OA Total \$250,000

Monroe (RGRTA)
NYS DOT PIN 4798.37.403
2019 OA Federal \$63,000
2019 OA Total \$126,000

Montgomery County
NYS DOT PIN 2798.84.405
2019 OA Federal \$135,000
2019 OA Total \$270,000

Niagara County
NYS DOT PIN 5798.40.404
2019 OA Federal \$110,000
2019 OA Total \$220,000

Oneida County
NYS DOT PIN 2798.44.406
2019 OA Federal \$175,500
2019 OA Total \$351,000

Onondaga (CNYRTA)
NYS DOT PIN 3798.43.403
2019 OA Federal \$82,000
2019 OA Total \$164,000

Ontario (RGRTA)
NYS DOT PIN 4798.28.404
2019 OA Federal \$377,000
2019 OA Total \$754,000

Orange County
NYS DOT PIN 8798.65.406
2019 OA Federal \$323,500
2019 OA Total \$647,000

Orleans (RGRTA)
NYS DOT PIN 4798.23.405
2019 OA Federal \$85,000
2019 OA Total \$170,000

Oswego (CNYRTA)

NYSDOT PIN 3798.69.404
2019 OA Federal \$262,000
2019 OA Total \$524,000

Oswego County (OCO)
NYSDOT PIN 3798.70.404
2019 OA Federal \$85,000
2019 OA Total \$170,000

Otsego County
NYSDOT PIN 9798.68.404
2019 OA Federal \$225,500
2019 OA Total \$451,000

Putnam County
NYSDOT PIN 8798.39.404
2019 OA Federal \$94,000
2019 OA Total \$188,000

Rensselaer County
NYSDOT PIN 1798.37.404
2019 OA Federal \$65,000
2019 OA Total \$130,000

Schoharie County
NYSDOT PIN 9798.46.405
2019 OA Federal \$231,000
2019 OA Total \$462,000

Schuyler County
NYSDOT PIN 6798.43.403
2019 OA Federal \$127,000
2019 OA Total \$254,000

Seneca (RGRTA)
NYSDOT PIN 3798.16.405
2019 OA Federal \$80,000
2019 OA Total \$160,000

Seneca Nation of Indians (SNI)
NYSDOT PIN 5798.12.401
2019 OA Federal \$111,000
2019 OA Total \$222,000

St. Lawrence County
NYSDOT PIN 7798.32.405
2019 OA Federal \$159,000
2019 OA Total \$318,000

Steuben County
NYSDOT PIN 6798.42.404
2019 OA Federal \$198,500
2019 OA Total \$397,000

Sullivan County

NYSDOT PIN 9798.42.406
2019 OA Federal \$45,000
2019 OA Total \$90,000

Wayne (RGRTA)
NYSDOT PIN 4798.41.406
2019 OA Federal \$183,000
2019 OA Total \$366,000

Wyoming (RGRTA)
NYSDOT PIN 4798.30.407
2019 OA Federal \$130,000
2019 OA Total \$260,000

Yates County
NYSDOT PIN 6798.02.405
2019 OA Federal \$57,000
2019 OA Total \$114,000

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,553,276
Local			\$3,276,638
Local/In-Kind			\$0
State			\$3,276,638
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,106,552

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2019	Projects start
End Date	12/31/2019	Projects complete

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: Intercity Bus Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2019-068-02-00	1791-2019-2-P2	6/6/2019	12/31/2018	12/30/2019

Project Description

Operating assistance to support rural intercity bus service across New York State.

Project Benefits

Maintain and improve mobility from rural areas to small urban and urbanized areas.

Additional Information

None provided.

Location Description

Statewide intercity bus service. Focus is to support rural intercity bus service to small urbanized and urban areas.

Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

Congressional District Information

State	District	Representative
New York	18	Sean P Maloney
New York	19	Christopher P Gibson
New York	20	Paul Tonko
New York	21	Elise M Stefanik
New York	22	Richard L Hanna
New York	23	Tom Reed

New York	24	John Katko
New York	25	Louise Slaughter
New York	26	Brian Higgins
New York	27	Chris Collins

Program Plan Information

STIP/TIP

Date: 6/12/2019

Description: Projects are included in NYS STIP as PIN SWFTA5311, with description: "NON-URBANIZED PUBLIC TRANSIT INCL APPALACHIAN DEV FUND, INTERCITY OPERATORS: CAPITAL-PURCHASE EXP/REPL BUSES, ITS SYSTEMS, SHELTERS & BUS SIGNS, TRANSIT FACILITY DESIGN/CONST/REHAB, SHOP AND SUPPORT EQUIP, OPERATING ASSISTANCE, STATE ADMIN & RTAP PROGRAM"

UPWP

Date: 6/12/2019

Description: Not applicable

Long Range Plan

Date: 6/12/2019

Description: Not applicable

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,147,000
Local			\$1,573,500
Local/In-Kind			\$0
State			\$1,573,500
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,294,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2019-068-02-00	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$3,147,000.00	\$3,147,000.00	\$6,294,000.00	9

NY-2019-068-02-00	30.09.01	UP TO 50% FEDERAL SHARE	\$3,147,000.00	\$3,147,000.00	\$6,294,000.00	9
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Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	9

Extended Budget Description

ALI 30.09.01 Intercity Operating Assistance

Adirondack Trailways

NYSDOT PIN S797.36.500

2020 OA Federal: \$830,000

2020 OA Total: \$1,660,000

Birnie Bus

NYSDOT PIN S797.37.500

2020 OA Federal: \$572,000

2020 OA Total: \$1,144,000

Butler/Coach USA

NYSDOT PIN S797.38.500

2020 OA Federal: \$450,000

2020 OA Total: \$900,000

Chenango Valley Bus Lines

NYSDOT PIN S797.39.500

2020 OA Federal: \$222,000

2020 OA Total: \$444,000

First Transit - North Country Express (NCE)

NYSDOT PIN S797.42.500

2020 OA Federal: \$238,000

2020 OA Total: \$476,000

Fullington Trailways

NYSDOT PIN S797.40.500

2020 OA Federal: \$125,000

2020 OA Total: \$250,000

Hudson Transit (Shortline)

NYSDOT PIN S797.41.500

2020 OA Federal: \$212,000

2020 OA Total: \$424,000

Passenger Bus/New York Trailways
 NYSDOT PIN S797.43.500
 2020 OA Federal: \$230,000
 2020 OA Total: \$460,000

Pine Hill - Kingston Trailways
 NYSDOT PIN S797.44.500
 2020 OA Federal: \$268,000
 2020 OA Total: \$536,000

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,147,000
Local			\$1,573,500
Local/In-Kind			\$0
State			\$1,573,500
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,294,000

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2019	All operating assistance projects begin at the start of the calendar year.
End Date	12/31/2019	Operating assistance projects complete.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Class IIc CE Approved

Project Title: Appalachian Development Projects
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2019-068-03-00	1791-2019-2-P3	6/7/2019	12/31/2019	6/29/2022

Project Description

Allocations of assistance for transit projects located within the Appalachian Region.

Project Benefits

Improve access to transit in the Appalachian Region to improve employment, educational, and social opportunities.

Additional Information

None provided.

Location Description

Congressional districts that include the Appalachian Region.

Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

Congressional District Information

State	District	Representative
New York	19	Christopher P Gibson
New York	22	Richard L Hanna
New York	23	Tom Reed

Program Plan Information**STIP/TIP**

Date: 6/12/2019

Description: Projects are included in NYS STIP as PIN SWFTA5311, with description: "NON-URBANIZED PUBLIC TRANSIT INCL APPALACHIAN DEV FUND, INTERCITY OPERATORS: CAPITAL-PURCHASE EXP/REPL BUSES, ITS SYSTEMS, SHELTERS & BUS SIGNS, TRANSIT FACILITY DESIGN/CONST/REHAB, SHOP AND SUPPORT EQUIP, OPERATING ASSISTANCE, STATE ADMIN & RTAP PROGRAM"

UPWP

Date: 6/12/2019
Description: Not applicable

Long Range Plan

Date: 6/12/2019
Description: Not applicable

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$160,500
Local			\$63,938
Local/In-Kind			\$0
State			\$63,937
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$288,375

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2019-068-03-00	648-00 (648-A3) APPALACHIAN DEV PUB TRANSIT ASSIST	\$160,500.00	\$127,875.00	\$288,375.00	11
NY-2019-068-03-00	11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	\$43,500.00	\$10,875.00	\$54,375.00	3
NY-2019-068-03-00	30.09.01 UP TO 50% FEDERAL SHARE	\$117,000.00	\$117,000.00	\$234,000.00	8

Project Budget Activity Line Items

Budget Activity Line Item: 11.7L.00 - MOBILITY MANAGEMENT (5302(A)(1)(L))				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.7L.00	MOBILITY MANAGEMENT	BUS OTHER CAPITAL ITEMS APPALACHIAN	3

(5302(A)(1)(L))

Extended Budget Description

Allegany County

NYSDOT PIN: 6798.26.301

Federal Share: \$13,000

Total Project Cost: \$16,250

Congressional Dist: 23

NYSDOT Rep: Lihua Shi

Schuyler County

NYSDOT PIN: 6798.45.303

Federal Share: \$5,000

Total Project Cost: \$6,250

Congressional Dist: 23

NYSDOT Rep: Lihua Shi

Steuben County

NYSDOT PIN 6798.97.304

Federal Share: \$25,500

Total Project Cost: \$31,875

Congressional Dist: 23

NYSDOT Rep: Lihua Shi

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$43,500
Local			\$5,438
Local/In-Kind			\$0
State			\$5,437
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$54,375

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	Project services start
End Date	6/30/2021	Project reimbursements complete

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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APPALACHIAN DEV PUB 30.09.01 UP TO 50% OPERATING ASSISTANCE 8
 TRANSIT ASSIST (648-00) FEDERAL SHARE - APPALACHIAN

Extended Budget Description

City of Olean, CATTARAUGUS County

NYSDOT PIN: 5798.16.401

2020 OA Federal: \$15,500 (ADTAP)

2020 OA Total: \$31,000 (ADTAP)

Chautauqua County

NYSDOT PIN: 5798.58.402

2020 OA Federal: \$35,000 (ADTAP)

2020 OA Total: \$70,000 (ADTAP)

Chenango County

NYSDOT PIN: 9798.36.402

2020 OA Federal: \$13,000 (ADTAP)

2020 OA Total: \$26,000 (ADTAP)

Cortland County

NYSDOT PIN: 3798.34.402

2020 OA Federal: \$13,000 (ADTAP)

2020 OA Total: \$26,000 (ADTAP)

Delaware County

NYSDOT PIN: 9798.06.403

2020 OA Federal: \$11,000 (ADTAP)

2020 OA Total: \$22,000 (ADTAP)

Otsego County

NYSDOT PIN: 9798.71.404

2020 OA Federal: \$16,000 (ADTAP)

2020 OA Total: \$32,000 (ADTAP)

Schoharie County

NYSDOT PIN: 9798.48.405

2020 OA Federal: \$8,500 (ADTAP)

2020 OA Total: \$17,000 (ADTAP)

Seneca Nation of Indians

NYSDOT PIN: 5798.17.401

2020 OA Federal: \$5,000 (ADTAP)

2020 OA Total: \$10,000 (ADTAP)

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$117,000
Local			\$58,500
Local/In-Kind			\$0
State			\$58,500

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$234,000

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	All projects start on 1/1/20
End Date	6/30/2022	Final reimbursement for complete projects

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: Rural Transit Assistance Program (RTAP)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2019-068-04-00	1791-2019-2-P4	6/10/2019	12/31/2018	12/31/2021

Project Description

Provide training, technical assistance and support services to rural transportation sub-recipients.

Project Benefits

Improve training for rural transportation system staff resulting in safer and more efficient operations and improved customer service.

Additional Information

None provided.

Location Description

Rural areas of upstate New York

Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

Congressional District Information

State	District	Representative
New York	18	Sean P Maloney
New York	19	Christopher P Gibson
New York	20	Paul Tonko
New York	21	Elise M Stefanik
New York	22	Richard L Hanna
New York	23	Tom Reed
New York	24	John Katko
New York	25	Louise Slaughter
New York	26	Brian Higgins
New York	27	Chris Collins

Program Plan Information**STIP/TIP**

Date: 6/12/2019

Description: Projects are included in NYS STIP as PIN SWFTA5311, with description: "NON-URBANIZED PUBLIC TRANSIT INCL APPALACHIAN DEV FUND, INTERCITY OPERATORS: CAPITAL-PURCHASE EXP/REPL BUSES, ITS SYSTEMS, SHELTERS & BUS SIGNS, TRANSIT FACILITY DESIGN/CONST/REHAB, SHOP AND SUPPORT EQUIP, OPERATING ASSISTANCE, STATE ADMIN & RTAP PROGRAM"

UPWP

Date: 6/12/2019

Description: Not Provided

Long Range Plan

Date: 6/12/2019

Description: Not Provided

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$352,520
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$352,520

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2019-068-04-00	435-00 (435-A4) RURAL TRANSIT ASST PROGRAM	\$352,520.00	\$0.00	\$352,520.00	3
NY-2019-068-04-00	43.50.01 TRAINING	\$225,000.00	\$0.00	\$225,000.00	1
NY-2019-068-04-00	43.50.02 TECHNICAL ASSISTANCE	\$80,000.00	\$0.00	\$80,000.00	1
NY-2019-068-04-00	43.50.04 RELATED SUPPORT SERVICES	\$47,520.00	\$0.00	\$47,520.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

Equipment and supplies as needed, such as supplies to support training activities and conferences, web hosting, public outreach, schedule development, etc., as needed.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$47,520
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$47,520

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2019	Continuation of RTAP activity
End Date	1/1/2022	Activity funds expended and complete

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

Provide on-site technical assistance to sub-recipients as needed on specific areas, such as marketing, drug and alcohol program compliance, program development, financial management, etc.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$80,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$80,000

Milestone Name	Est. Completion Date	Description
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Start Date	1/1/2019	Continuation of RTAP activity
End Date	1/1/2022	Project funds expended

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

Training activities and opportunities are provided to promote the safe and effective delivery of public transportation by all NYS 5311 sub-recipients on a first-come, first-serve basis. Rural sub-recipients of the 5310 program are permitted to participate in RTAP-funded training but they are a secondary audience for the training. Contractors may be utilized to deliver some of the training activities as needed.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$225,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$225,000

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2019	Continuation of RTAP activity
End Date	1/1/2022	Training activities complete

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)
Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: State Project Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2019-068-05-00	1791-2019-2-P5	6/10/2019	12/31/2018	12/30/2024

Project Description

State Project Administration funds of 10% will be used as part of this grant to cover NYSDOT salaries and fringe and technical oversight consultants.

Project Benefits

Management of Section 5311 Program and Projects.

Additional Information

Projects for rural 5311 subrecipients across upstate New York.

Location Description

Projects for rural 5311 subrecipients across upstate New York.

Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

Congressional District Information

State	District	Representative
New York	18	Sean P Maloney
New York	19	Christopher P Gibson
New York	20	Paul Tonko
New York	21	Elise M Stefanik
New York	22	Richard L Hanna
New York	23	Tom Reed
New York	24	John Katko

New York	25	Louise Slaughter
New York	26	Brian Higgins
New York	27	Chris Collins

Program Plan Information

STIP/TIP

Date: 6/12/2019

Description: Projects are included in NYS STIP as PIN SWFTA5311, with description: "NON-URBANIZED PUBLIC TRANSIT INCL APPALACHIAN DEV FUND, INTERCITY OPERATORS: CAPITAL-PURCHASE EXP/REPL BUSES, ITS SYSTEMS, SHELTERS & BUS SIGNS, TRANSIT FACILITY DESIGN/CONST/REHAB, SHOP AND SUPPORT EQUIP, OPERATING ASSISTANCE, STATE ADMIN & RTAP PROGRAM"

UPWP

Date: 6/12/2019

Description: Not applicable

Long Range Plan

Date: 6/12/2019

Description: Not applicable

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,178,695
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,178,695

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2019-068-05-00	610-00 STATE / PROGRAMS ADMINISTRATION (610-A5)	\$2,178,695.00	\$0.00	\$2,178,695.00	1

NY-2019-068-05-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$2,178,695.00	\$0.00	\$2,178,695.00	1
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Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

Support for NYSDOT administration of the 5311 program and projects. State Project Administration funds of 10% will be used as part of this grant to cover NYSDOT salaries and fringe and technical support provided by oversight consultants.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,178,695
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,178,695

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2019	Continuation support for 5311 program management
End Date	12/31/2024	Complete spending admin funds

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment

and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Project Title: Bus Support Equipment and Facilities

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2019-068-06-00	1791-2019-2-P6	6/11/2019	4/30/2020	12/30/2022

Project Description

Construction for administration and maintenance facilities at RTS-Genesee and RTS-Seneca

Project Benefits

Improved facilities to improve maintenance and operations of services. The construction of these facilities will allow RGRTA to maintain a state of good repair for its RTS Genesee and RTS Seneca fleet through internal storage of buses and increased cleaning of the buses, reducing the impact to the buses from harsh winter conditions these buses are operated in.

Additional Information

None provided.

Location Description

Genesee and Seneca Counties

Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

Congressional District Information

State	District	Representative
New York	23	Tom Reed
New York	27	Chris Collins

Program Plan Information

STIP/TIP

Date: 6/12/2019

Description: Projects are included in NYS STIP as PIN SWFTA5311, with description: "NON-URBANIZED PUBLIC TRANSIT INCL APPALACHIAN DEV FUND, INTERCITY OPERATORS: CAPITAL-PURCHASE EXP/REPL BUSES, ITS SYSTEMS, SHELTERS & BUS SIGNS, TRANSIT FACILITY DESIGN/CONST/REHAB, SHOP AND SUPPORT EQUIP, OPERATING ASSISTANCE, STATE ADMIN & RTAP PROGRAM"

UPWP

Date: 6/12/2019

Description: Not applicable

Long Range Plan

Date: 6/12/2019

Description: Not applicable

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,120,000
Local			\$640,000
Local/In-Kind			\$0
State			\$640,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,400,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2019-068-06-00	114-00 (114-A6) BUS: SUPPORT EQUIP AND FACILITIES	\$5,120,000.00	\$1,280,000.00	\$6,400,000.00	2
NY-2019-068-06-00	11.43.03 - CONSTRUCT ADMIN/MAINT FACILITY	\$5,120,000.00	\$1,280,000.00	\$6,400,000.00	2

Project Budget Activity Line Items

Budget Activity Line Item: 11.43.03 - CONSTRUCT - ADMIN/MAINT FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.03	CONSTRUCT - ADMIN/MAINT FACILITY	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	2

Extended Budget Description

RGRTA – Genesee County

NYSDOT PIN 4798.27.301

Federal Share \$2,440,000

Total Project \$3,050,000

Procurement Method: BID

NYSDOT Rep M. Garcia

Congressional Dist: 27

Genesee construction of a bus storage facility. RGRTA intends to purchase land and construct a facility which will include office space, bus storage and a bus wash. The facility location will be on Ag Park Drive West, Batavia, NY.

RGRTA – Seneca County

NYSDOT PIN 3798.18.305

Federal Share \$2,680,000

Total Project \$3,350,000

Procurement Method: BID

NYSDOT Rep M. Garcia

Congressional Dist: 23

Seneca construction of an admin/storage facility. RGRTA intends to purchase land and construct a facility which will include office space, bus storage and a bus wash. The facility location will be on Thurber Drive, Waterloo, NY.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,120,000
Local			\$640,000
Local/In-Kind			\$0
State			\$640,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,400,000

Milestone Name	Est. Completion Date	Description
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Start Date	5/1/2020	Land Acquisition complete
End Date	12/31/2022	Construction complete
Final Design	12/31/2020	Final Design complete
Construction Contract Award	5/1/2021	Construction contract awarded

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date Description	Date
Class IIc CE Approved	

Project Title: Mobility Management

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2019-068-07-00	1791-2019-2-P7	6/12/2019	12/31/2018	12/30/2019

Project Description

Continuation of Mobility Management Projects in rural areas.

Project Benefits

Mobility Management improves education on public transportation and other mobility options in rural areas and facilitates service coordination.

Additional Information

None provided.

Location Description

Rural areas of New York State

Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

Congressional District Information

State	District	Representative
New York	18	Sean P Maloney
New York	19	Christopher P Gibson
New York	20	Paul Tonko
New York	21	Elise M Stefanik
New York	22	Richard L Hanna
New York	23	Tom Reed
New York	24	John Katko
New York	25	Louise Slaughter
New York	26	Brian Higgins
New York	27	Chris Collins

Program Plan Information

STIP/TIP

Date: 6/12/2019

Description: Projects are included in NYS STIP as PIN SWFTA5311, with description: "NON-URBANIZED PUBLIC TRANSIT INCL APPALACHIAN DEV FUND, INTERCITY OPERATORS: CAPITAL-PURCHASE EXP/REPL BUSES, ITS SYSTEMS, SHELTERS & BUS SIGNS, TRANSIT FACILITY DESIGN/CONST/REHAB, SHOP AND SUPPORT EQUIP, OPERATING ASSISTANCE, STATE ADMIN & RTAP PROGRAM"

UPWP

Date: 6/12/2019

Description: Not applicable

Long Range Plan

Date: 6/12/2019

Description: Not applicable

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,958,276
Local			\$244,785
Local/In-Kind			\$0
State			\$244,786

State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,447,847

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2019-068-07-00	117-00 OTHER CAPITAL ITEMS (BUS) (117-A7)	\$1,958,276.00	\$489,571.00	\$2,447,847.00	15
NY-2019-068-07-00	MOBILITY 11.7L.00 MANAGEMENT (5302(A)(1)(L))	\$1,958,276.00	\$489,571.00	\$2,447,847.00	15

Project Budget Activity Line Items

Budget Activity Line Item: 11.7L.00 - MOBILITY MANAGEMENT (5302(A)(1)(L))

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	15

Extended Budget Description

Allegany County
 NYSDOT PIN: 6798.25.301
 2019 Federal Share: \$268,089
 2019 Total Project Cost: \$335,111
 Congressional Dist: 23
 NYSDOT Rep: Lee Gottfried

The Allegany County Mobility Management System (ACMMS) continues to expand on the provisions of Map 21 to improve transportation services for the elderly, individuals with disabilities, and individuals with lower incomes. ACMMS has and will continue to expand partnerships in Allegany County and on a regional/state level to enhance mobility options, and effectively communicate these options to the public. ACMMS: Complements the traditional model of moving large numbers of people with one that meets the unique set of needs of specific populations and the community as a whole; Replaces the business strategy of exclusively managing owned assets (silos) with a strategy that encompasses customer-driven partnerships and alliances among multiple public/private transportation providers with the goal of saving costs overall; Relies on expanded partnerships and alliances with both public and private organizations; for-profit and not-for-profit; and community service providers; Emphasizes, above all, multiple transportation options rather than single-mode solutions; Enhance local rural economic growth including increasing the local customer base for a range of services; Encourages economic and social activities

which helps create a stable, safe and productive community; and Connects people with life's essential services. Overall, the Allegany County Mobility Management System enhances transportation access, minimizes duplication of Federal, State and Local resources, and offers the most cost-effective and efficient transportation possible.

Chautauqua County
NYSDOT PIN: 5798.35.302
2019 Federal Share: \$61,204
2019 Total Project Cost: \$76,505
Congressional Dist: 23
NYSDOT Rep: Lee Gottfried

The Chautauqua County Transportation Coalition (CCTC) is comprised of various transportation providers and human service agencies in the Chautauqua County area, which develops, implements, and updates the Chautauqua County Coordination Transportation Plan to meet the needs of Chautauqua County residents. This grant is for a continuation of "Mobility Management Coordination Services" which includes the ongoing efforts of the Mobility Manager from the Workforce Investment Board (WIB), to assist individuals in overcoming transportation barriers within Chautauqua County, paying close attention to people with mobility limitations including seniors, people with physical or cognitive disabilities and low income individuals seeking integration into the work force and full participation into society.

Clinton County
NYSDOT PIN 7798.34.301
2019 Federal Share: \$19,776
2019 Total Project Cost: \$24,720
Congressional Dist: 23
NYSDOT Rep: Lee Gottfried

NYSDOT has encouraged Clinton County to pursue a Mobility Management program to aid coordination efforts and better educate the community about the transportation options that are available. Eastern Adirondack Health Network has expressed interest in hosting a Mobility Manager position and providing office space for them to work. The value of the office space and utilities will be used as local match.

Cortland County
NYSDOT PIN 3798.33.302
2019 Federal Share: \$148,469
2019 Total Project Cost: \$185,587
Congressional Dist: 22
NYSDOT Rep: Lee Gottfried

5311 Mobility Management funding will allow Cortland County to continue the efforts of the Mobility Management System (MMS), which has been branded as Way2Go Cortland. The Cortland County Transportation Advisory Committee (TAC) guides the work of the MMS. TAC is led by the Director of Cortland County Planning Department and is comprised of staff from agencies that represent a diverse clientele including social service, health, and labor organizations as well as those who represent the student, disabled and elderly populations. The Mobility Management Coordinator (MMC) acts as staff support for the TAC. The Cortland MMS, under the brand of Way2Go Cortland, has instituted programs and projects to improve coordination and transportation options both within the county and regionally, with an emphasis on low income workers, the elderly, and persons with disabilities.

Some of the past initiatives include:

- *Formation of a Transportation Coalition (comprised of consumers and representatives from the Chamber of Commerce, City Police Dept., Cortland Downtown Partnership) – buses, taxis, walking and biking
- *Trial expanded bus service –Super Saturdays and Winter Route
- *Travel training – Bus Buddy program utilizing RSVP volunteers

- *Awarded a CTAA technical assistance grant
 - *Partner in the Regional Transportation Study
 - *Active in the DOH Medicaid workgroup
 - *Advocate for the public (MAS, transit, taxis, walking & bicycling)
 - *Branding and marketing of the MMS.
 - *Educating the public utilizing website and social media
 - *Car Free Challenge and other alternative transportation initiatives
 - *Purchasing scheduling software which is being utilized by public transit and several other agencies
- We are requesting 5311 funding to continue and/or develop the following future initiatives:
- *Develop a countywide rideshare system, starting with local businesses
 - *Continue efforts to improve local taxi service
 - *Continue the efforts to coordinate agency transportation with public transit, including sustaining the First Transit/JM Murray center contract.
 - *Exploring the feasibility of a one-call center to coordinate and schedule transportation for multiple entities, including potentially working with the statewide Medicaid broker.
 - *Work with transit to improve their image and utilization of transit
 - *Improve efficiency and avoid duplication of services
 - *Continue to explore options to coordinate services with SUNY Cortland and TC3 and find funding streams for transit as well as alternative transportation modes
 - *Continue to explore ways to make walking, bicycling and transit more accessible, safer and efficient
- ?

Essex County
 NYSDOT PIN 1798.50.302
 2019 Federal Share: \$142,835
 2019 Total Project Cost: \$178,544
 Congressional Dist: 21
 NYSDOT Rep: Lee Gottfried

Essex County Transports coordinated efforts include all public, private and human service transportation in an attempt to reduce duplicative county coverage. The goal of mobility management is to increase service areas by utilizing existing vehicles in a more efficient manner. Over the past several years, Essex County has made a tenacious effort to coordinate all county transportation providers to work together for the greater good of our residents and visitors.

Gloversville, Fulton County
 NYSDOT PIN 2798.32.301
 2019 Federal Share: \$67,201
 2019 Total Project Cost: \$84,002
 Congressional Dist: 21
 NYSDOT Rep: Lee Gottfried

The Mobility Manager has developed a "Fulton County Regional Coordinated Transportation Plan" which covers Fulton and Montgomery Counties. Participants in the plan are Gloversville Transit, Amsterdam Community Transit, HFM BOCES, Medical Answering Service (MAS), Fulton County DSS (FCDSS), Brown Coach Commuter Transportation, Fulton County Veterans Service Agency, Fulton County Office for Aging, Fulmont Community Action Agency, Lexington Inc, Adirondack Trailways, Fulton-Montgomery Community College (FMCC), NYS ACCESS-VR, Fulton-Montgomery-Schoharie Workforce Development, and the Fulton County and Montgomery County Planning Departments. Agencies share transportation, fleets, and maintenance facilities. Gloversville Transit coordinates with MAS providing low-cost rides to Medicaid recipients and with FMCC providing low cost rides to college for students. Fulmont Community Action provides transportation for Veterans. Lexington provides fleet maintenance for Fulmont Community Action. Brown Coach provides low cost rides to FMCC for students. Gloversville Transit, Amsterdam Community Transit and Brown Coach coordinate transfer points so riders can transverse systems. HFM BOCES coordinates transportation for Gloversville and Johnstown School Districts. Gloversville Transit provides transportation for FCDSS and NYS ACCESS-VR employment services. The "Coordinated Plan"

delivers coordinated transportation services to customers, including older adults, people with disabilities, low income and work-related rides.

Greene County

NYSDOT PIN 1798.12.303

2019 Federal Share: \$119,546

2019 Total Project Cost: \$149,432

Congressional Dist: 22

NYSDOT Rep: Lee Gottfried

Brief Summary of Project:

The goal of mobility management, through 5311, is to improve access to transportation services for employment and employment-related activities for welfare recipients and eligible low-income individuals, as well as removing barriers to transportation that exist for individuals with disabilities, by providing transportation alternatives beyond the requirements of the Americans with Disabilities Act. It is also intended to provide trip planning and collect data on needs to provide travel training activities for customers. The mobility management and coordination program facilitates coordination of public transportation and human services transportation in Greene County, a rural county in the Catskill region of New York State.

Madison County

NYSDOT PIN 2798.11.304

2019 Federal Share: \$67,131

2019 Total Project Cost: \$83,914

Congressional Dist: 22

NYSDOT Rep: Laura Hacker

Agency - Madison County Rural Health Council, Inc.

Madison County has been involved in mobility management since 2016 as part of our 2017-2018 5311 application, and will continue in 2019 with this application. With the Rural Health Council as the lead, an effort has been underway to develop a mobility management plan for Madison County. The next phase of the process involves completing the Human Services Coordinated plan and implementing the goals and recommendations. The County and Public Transit provider have developed a very strong working relationship with the Rural Health Council and feel their continued participation is integral to making mobility management a reality in Madison County.

Oneida County

NYSDOT PIN 2798.34.301

2019 Federal Share: \$128,464

2019 Total Project Cost: \$160,580

Congressional Dist: 22

NYSDOT Rep: Laura Hacker

ElderLife, Inc. dba Parkway Center is a human service agency with over 50 years of experience. The agency history dates back to 1957 and has undergone several consolidations, with the most recent in 2005, where the Cornhill Senior Center and ElderLife, Inc. merged to become the Parkway Senior Center. In 2013, the Parkway Senior Center was rebranded to the Parkway Center, to reflect the diverse population being served. The Parkway Center offers programs and services of health & wellness, socialization, volunteerism, access to resources and transportation. Under the auspices of the Volunteer Department of the Parkway Center, the Way2Go Transportation of Oneida and Herkimer Cos. Mobility Management Program is operated, as well as the Volunteer Medical Transportation, Parkway Center Transportation and CarFit. In addition, the Parkway Center is the sponsor agency of The Retired and Senior Volunteer Program of Oneida County and the lead agency for the Cities of Service - Utica Serves. The Parkway Center has been the Mobility Management Program for Oneida and Herkimer Counties under NYS DOT's 5310 and 5311 Programs since 2013. The program adopted the Way2Go name in 2014.

and is one of three in the State. The program provides information on anything transportation in Oneida and Herkimer Counties for older adults, people with disabilities and others who are in need of transportation assistance.

Oswego County
NYSDOT PIN 3796.31.304
2019 Federal Share: \$82,509
2019 Total Project Cost: \$103,136
Congressional Dist: 22 & 24
NYSDOT Rep: Laura Hacker

Project: Transportation beyond ADA from the Rural Oswego County to Route 31 Corridor with the focus on gainful employment. 2017-2018

Objective: 1: Provide Transportation options for employees/potential employees with the focus of those in poverty. To improve access from Rural Oswego County to Route 31 Corridor, Northern portion of Onondaga County, for employment where there is extreme growth of entry level jobs.

It will be the task and planning of the Oswego Mobility Manager with the use of Intelligent Transportation Software (ITS), in partnership with Oswego County Transportation Coalition, Oswego County Work Force, MPO, and CNYRTA – Centro.

A goal of this project will merge beyond Employment and will also entertain Medical and Education.

Poverty Reduction Task Force to plan a demonstration that reaches the unmet or underserved population beyond ADA requirements and Mobility challenges.

Milestones, Accomplishment/Success – Challenges, Opportunities will be provided on a quarterly bases.

It will be the task of the Oswego Mobility Manager with Intelligent Transportation Software, (ITS) and Oswego County Transportation Coalition in partnership with Oswego County Poverty Reduction Task Force to plan a demonstration that reaches the unmet or underserved population beyond ADA requirements and Mobility challenges.

Mobility Manager will work Collaborate with Oswego County Poverty Reduction Task Force and Local Employers to provide services for their employees in poverty. Either employees will receive reimbursement as an offset to their work-related travel expenses or a non-profit transportation provider to provide the supported services.

Rural poverty creates additional issues, from the lack of public transportation and longer commute times to places of employment. A program/plan could be done as reimbursement to companies that provide transportation services for employees or to an existing non-profit to provide transportation to employees that do not have transportation to/from work and meet poverty thresholds.

Milestones, Accomplishment/Success – Challenges, Opportunities will be provided on a quarterly basis as part of the payment request.

Schoharie County
NYSDOT PIN 9798.31.305
2019 Federal Share: \$69,588
2019 Total Project Cost: \$86,985
Congressional Dist: 19
NYSDOT Rep: Lee Gottfried

Schoharie County's Mobility Manager grant was approved in September 2016. Our Mobility Manager was hired and housed at the Schoharie County Public Transportation building within the same month. Previous outreach and coordinating activities were accomplished by Transportation office staff as time permitted.

Our current youth initiative employs multiple strategies to increase the ridership of this demographic group. A youth bus brochure and schedule has been crafted to instruct and guide young passengers using our transit system. An educational video is being created with a youth focused story portraying a young man using the bus to accomplish his transportation goal. To permit unlimited rides to activities and summer employment from June thru August, a new Youth Bus Pass has been designed. It is anticipated that the pass will allow opportunities for youth lacking transportation to attain summer employment and social/recreational activities. Reducing youth unemployment will have a positive impact on the county's economic condition. In addition, Schoharie County Public Transit offers a Summer Pool Program for youth that operates on the regular bus routes. This successful program transports youth to pools in Schoharie and Cobleskill and exposes them to public transit at an early age. Studies show that children who grow up comfortable with public transit are more likely to use it as adults which has many benefits for society.

Schuyler County
NYSDOT PIN: 6798.48.303
2019 Federal Share: \$118,737
2019 Total Project Cost: \$148,422
Congressional Dist: 23
NYSDOT Rep: Lee Gottfried

The Schuyler County Mobility Management Program coordinates all public transit and most human services transportation in and around Schuyler County, a rural county in the Finger Lakes region of New York State. These include operation of the Transportation Link-Line, a One Call, One Click transportation call center that coordinates and schedules transportation for transit, Office For the Aging and Veterans Service Agency. The Center also administers a transportation voucher reimbursement program that supports transportation disadvantaged residents to assist with the cost of transportation to employment, employment related education or interviews as well as wellness classes or appointments. The Schuyler Mobility Manager is very active in regional transportation efforts and supports and promotes the Schuyler County expansion of a regional rideshare program, 511 NY Southern Tier Rideshare. This program originated as a rural pilot in Chemung and Steuben Counties and has gained approval to expand into neighboring, rural counties. In February, 2016 it was identified as an efficiency and better practice to share expertise with a regional program in Tompkins County. The Mobility Manager is advising and assists with the Move Together NY program, administered by Cornell Cooperative Extension of Tompkins County. This has proven to be a mutually beneficial program to share in projects and procedures as well as future regional opportunities. The mobility management program supports the Mobility Manager who facilitates the public transit human services coordinated transportation committee, actively seeks to understand and close the gaps in unmet transportation needs both locally and on a regional scale as well as supervises the Transportation Link-Line and the Mobility Management Coordinator, who is responsible for the daily operations of the Transportation Link-Line Call center and helps determine the most appropriate, cost effective source for the ride.

St. Lawrence County
NYSDOT PIN: 7798.13.305
2019 Federal Share: \$74,732
2019 Total Project Cost: \$93,415
Congressional Dist: 21
NYSDOT Rep: Laura Hacker

The St. Lawrence County Connecting Communities Task Force has prepared the following plan update to document and outline current and future efforts to improve coordination of all transportation services provided to all residents of St. Lawrence County. The services are provided by the Arc of St Lawrence who is the current operator for the 5311 County service. This UPDATED St. Lawrence County Connecting Communities Mobility Management Plan (hereafter referred to as The Plan) is prepared to comply with regulations of the Fixing America's Surface Transportation Act (FAST).

Steuben County
NYSDOT PIN 6798.98.304
2019 Federal Share: \$249,017
2019 Total Project Cost: \$311,271
Congressional Dist: 23
NYSDOT Rep: Lee Gottfried

Steuben County Mobility Management serves the people and providers in Steuben County, NY through transportation coordination, service analysis and development, and promotion of effective, collaborative solutions to meet transportation needs for all. Arranged through the county Department of Planning, Mobility Management is contracted to and coordinated by the Institute for Human Services, Inc. (IHS). Our Mobility Management goals are derived from priorities established in the

Project Goals:

1. Increase the availability of transportation options and the coordination of services
2. Improve the delivery of transportation services for the target population
3. Generate efficiencies in operation that can lead to increased level of service
4. Encourage cooperation and coordination among transportation providers
5. Provide a framework for project development and the allocation of financial resources

Project Objectives:

1. Build the effectiveness of the Steuben Coordinated Transportation Advisory Committee through team-building, effective communication and facilitation, planning, and resource development
2. Enhance the ability of transportation providers to develop transportation solutions in Steuben County through the analysis of key assessment and unmet need data
3. Build the base of support for volunteer driver service recruitment and retention
4. Develop marketing and outreach strategies to continue promotion of a Single Point of Contact for transportation information and referral
5. Develop transportation enhancement through technology development and management

Administering Agency:

The Institute for Human Services, Inc. (IHS) is a nonprofit management support agency founded in 1984. IHS was created as a means to link public, county programs with the world of nonprofit human services. Its experience in managing large-scale service projects, as well as countywide planning efforts, has made it the identified choice as the best agency to host the Steuben County Rural Mobility Management project. IHS was previously awarded JARC and New Freedom funds for rural mobility management, with the project commencing January 1, 2010 and renewed April, 2012.

Mobility Management Concepts – Steuben:

The transportation project builds upon a coalition of transportation providers that crosses the public, private, nonprofit, and volunteer service sectors. It additionally incorporates transportation information access into the established 2-1-1 Helpline information and referral service, a 24-hour, toll-free service that connects callers with information about human service and community programs best able to meet their individual needs. 2-1-1 is a state and national initiative to give easy-to-remember, toll-free access to anyone who needs information and referral to public and human service agencies to meet individual and family needs. Because 2-1-1 also tracks callers' requests and their location by Zip Code, the service gives a real-time perspective of the issues faced by people geographically within the service area, and over time.

By linking transportation and mobility counseling into the 2-1-1 service, callers are able to identify and access transportation that meets their needs to get to and from employment (meeting JARC goals) or to services relating to their independence and disability management (relating to New Freedom goals). At the same time, callers receive information about services, employment and training programs, or community programs about which they may not have been familiar. The linkage thus incorporates transportation into a holistic personal service plan, increasing access and better meeting needs.

The role of the Mobility Management Specialist is to assist in transportation scheduling and related support services for individuals on Medicaid. These transportations represent a large portion of the county's transportation duties, and coordinating between available public services, private county transporters, and other services as needed, is a substantial task. IHS receives some county funding for performing this coordination, which serves as the majority of the match for the overall Mobility Management coordination

services. The Specialist's work enhances this. Other, more general and more regional coordination is performed or overseen by the Director of Mobility Management.

Tioga County

NYSDOT PIN 9798.31.307

2019 Federal Share: \$340,978

2019 Total Project Cost: \$426,223

Congressional Dist: 23

NYSDOT Rep: Lee Gottfried

Tioga County is applying for Mobility Management funding through FTA Section 5311 to support Mobility Management of South Central New York (MMSCNY). Tioga County has an existing third-party agreement with the Rural Health Network of South-Central New York (Rural Health Network), the agency that operates and administers MMSCNY. Consistent with guidance from NYS Department of Transportation, the Rural Health Network will establish Memoranda of Understanding with the five counties (Tioga, Broome, Chenango, Delaware, and Otsego) served by MMSCNY. The RHNSCNY has a call center for working with individuals and agencies, they have a

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,958,276
Local			\$244,785
Local/In-Kind			\$0
State			\$244,786
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,447,847

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2019	Project start
End Date	12/31/2019	Project complete

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By Jonathan Howard

Comment Type Application Details

Date 8/20/2019

Comment Corrections made by grantee to mobility management info.

Application Review Comments

Comment By Jonathan Howard

Comment Type FTA Post Review Comments for Grantee

Date 8/14/2019

Comment Corrections needed as instructed

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-25, October 1, 2018)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided

below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, New York Department Of

Recipient ID: 1791

DUNS No: 835422064

Application Information

Federal Award Identification Number: NY-2019-068-00

Application Name: NY-2019-5311 Non-Urbanized Area Program

Application Start Date: The start date will be set to the date of the award

Application End Date: 12/30/2024

Application Executive Summary: NYSDOT is requesting federal assistance in the amount of \$22,339,467 for rural programs which include \$21,786,947 FY2018 for Section 5311 Non urbanized Area Formula Program, \$160,500 FY 2018 for Section 5311(c)(2) Appalachian Development Public Transportation Assistance Program and \$352,520 FY2018 for Section 5311(b)(3) Rural Transit Assistance Program (RTAP).

Transfers: A total of \$1,111,200 in Section 5311 funds from New York's FFY 2018 apportionment, including \$39,500 in FY 2018 Appalachian Development Public Transportation funding, will be transferred to the Section 5307 Urbanized Area Accounts in Broome County, Chemung County, Tompkins County, and Ulster County. These funds will be used for mobility management, operating and capital assistance.

5307 Program- Binghamton Urbanized Area Account-Broome County
Appalachian Development Funds-Operating for CY 2020 \$13,500
Operating Assistance Funds for CY 2019 \$110,000
Account Total \$123,500

5307 Program- Elmira Urbanized Area Account-Chemung County
Appalachian Development Funds-Operating for CY 2020 \$5,500
Appalachian Development Funds-Operating for CY 2020 (Tioga Allocation) \$9,000
Mobility Management 2019 \$68,754
Operating Assistance Funds for CY 2019 \$74,500
Operating Assistance Funds for CY 2019 (Tioga Service) \$55,000
Supportive Employment Services Operating Assistance (SES) 2019 \$94,560
Account Total \$307,314

5307 Program- Ithaca Urbanized Area Account-Tompkins County
Appalachian Development Funds-Operating for CY 2020 \$11,500
Mobility Management 2019 \$229,886
Operating Assistance Funds for CY 2019 \$255,000
Account Total \$496,386

5307 Program- Kingston Urbanized Area Account-Ulster County
Operating Assistance Funds for CY 2019 \$184,000
Account Total \$184,000

The FFY 2018 program will provide technical, operating and capital grants to support transit and intercity bus operations in New York State of 52 sub-recipients under Section 5311, RTAP and project administration.

All sub-recipient projects are Category A and ready to advance once this grant is awarded.

The period of performance for this grant is from January 1, 2019 through December 31, 2024.

State Project Administration funds of 10% will be used as part of this grant to cover NYSDOT salaries and fringe and technical assistance provided by an oversight consultant.

COORDINATION: Locally Developed, Coordinated Public Transit – Human Services Transportation Plans (Coordinated Plans) have been developed and approved in all rural areas. NYSDOT has notified Section 5311 sub-recipients that their rural service be coordinated and included in the appropriate Coordinated Plan.

STATE MANAGEMENT PLAN: The State Management Plan (SMP) was updated in April 2019. The SMP is attached in TrAMS. Pre-Award Authority is invoked for projects in this grant as noted in each project ALI.

Jonathan Howard is the FTA Project Manager.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$34,565,989.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$21,219,267.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$13,346,722.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$21,219,267.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$13,346,722.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$21,219,267
Local			\$6,673,361
Local/In-Kind			\$0
State			\$6,673,361
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$34,565,989

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, New York Department Of