

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NM-2019-035-00
Temporary Application Number	6952-2018-1
Application Name	FFY 2017, 2018 & 2019 Tesuque 5311(c) Operating Formula Grant and Bus Replacement 5311(c) Capital Funds FY2017 TTP Discretionary Award
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Tesuque Pueblo Administration

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6952	Indian Tribe	TESUQUE PUEBLO	962345328

Location Type	Address	City	State	Zip
Headquarters	20 TP828	Santa Fe	NM	87506-5512
Physical Address	20 TP828	Santa Fe	NM	87506
Mailing Address	20 TP 828	SANTA FE	NM	87506

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY 2017, 2018 & 2019 Tesuque 5311(c) Operating Formula Grant and Bus Replacement 5311(c) Capital Funds FY2017 TTP Discretionary Award

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2019-035-00	Final Concurrence / Reservation	Grant	8/11/2018	8/11/2018	No

Application Start Date

The start date will be set to the date of the award

Application End Date

9/29/2021

Application Executive Summary

Pueblo of Tesuque contracts with NCRTD for continued transit services as depicted in the District's recently adopted service plan. Tesuque is located in North Central New Mexico and borders the City of Santa Fe on the north. The Pueblo of Tesuque is a member of the North Central Regional Transit District. These services will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the district it costs the NCRTD approximately \$ 45,000 to fund this route. The multi-year award of \$ 40,130 can be allocated as follows:

FY 2017 = \$ 9,546

FY 2018 = \$ 16,043

FY 2019 = \$ 14,541

Total Award = \$ 40,130 operating assistance

In addition to the multi-year award from the 5311c Formula Program the Pueblo of Tesuque was awarded \$ 97,200 from the FY17 5311c TTP Discretionary Program for one bus replacement The local match for the formula and discretionary awards will be provided by the North Central Regional Transit District from gross receipts tax (GRT) revenue sourced received by the District. The TTP program will provide \$97,200 and a local match of \$10,800 from gross receipts tax (GRT). The Bus that will be replaced its a 2010 Glaval Titan II Asset T-539 with VIN# 1GB9G5BGXA1156203

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Abel	Ayala	Program Management Specialist	Abel.Ayala@dot.gov	(817) 978-0560
Hector	Ordonez	Finance Director	hectoro@ncrtd.org	(505) 629-4701

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$40,130
5311 - Tribal Transit Competitive	5311-1C	20509	\$97,200
Local			\$10,800
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$148,130

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2019-035-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$40,130.00	\$0.00	\$40,130.00	0
NM-2019-035-01-00	UP TO 50% 30.09.01 FEDERAL SHARE	\$40,130.00	\$0.00	\$40,130.00	0
NM-2019-035-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$97,200.00	\$10,800.00	\$108,000.00	1
NM-2019-035-	11 12 04 BUY	\$97,200.00	\$10,800.00	\$108,000.00	1

02-00	REPLACEMENT
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Earmark and Discretionary Allocations

Earmark ID	Earmark Name	Amount Applied	FAIN	Congressional Release Date
D2017-TRTR-022	Capital Replacement of Tesuque Route Vehicle	\$97,200	NM-2019-035-00	

Part 3: Project Information

Project Title: Operating Apportionment for FY 2017, 2018 & 2019

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2019-035-01-00	6952-2018-1-P1	6/7/2019	9/30/2016	9/29/2020

Project Description

This grant will provide the Pueblo of Tesuque funds for services as depicted in the District's reservice plan. Tesuque is located in North Central New Mexico and borders the City of Santa Fe on the north. This application includes multi year funding to operate the route.

Project Benefits

These services will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the district.

Additional Information

The Pueblo of Tesuque is a member of the North Central Regional Transit District. Funds will be used for partial reimbursement of continued transit services as well as bus.

Location Description

Tesuque is located in North Central New Mexico and is located about 10 miles (16 km.) north of Santa Fe off U.S. 84-285. Entrances to the pueblo lie near Camel Rock, Tesuque Pueblo is located about 10 miles (16 km.) north of Santa Fe off U.S. 84-285. Entrances to the pueblo lie near Camel Rock, Tesuque is one of the state's smallest pueblos, with a population of about 800, but the pueblo encompasses more than 17,000 acres, including Aspen Ranch and the Vigil Land Grant high in the Santa Fe National Forest near the Santa Fe ski area.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico
353790	Santa Fe, NM

Congressional District Information

State	District	Representative
New Mexico	3	Ben R Lujan

Program Plan Information

STIP/TIP

Date: 6/6/2019

Description: Not Provided

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 6/6/2019

Description: Pages 17,19, 24,31, 33, 49, 50, 66, 75-77, 81-82, 123, 131, 135 & 136 talk significantly about Tesuque and the services NCRTD provides.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$40,130
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$40,130

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2019-035-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$40,130.00	\$0.00	\$40,130.00	0
NM-2019-035-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$40,130.00	\$0.00	\$40,130.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

FY17-19 Operational funds will cover the following time-frame: 10/1/2016 - 9/30/20 for service of bus transportation to and from community destinations as mapped out in the service plan. Due to staff turnover at the District (NCRTD) funds awarded in prior years were not applied for until now. The services for prior years were provided with District funds from local taxes, this grant will reimburse some of those costs incurred.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$40,130
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$40,130

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2016	Start Date 10/1/2016 Funds will be used for partial reimbursement to the NCRTD of continued transit services in the FY2018-2020 NCRTD Budget. The allocation of the \$40,130 per Fiscal year is as follows: 2017 \$9,546 2018 \$ 16,043 2019 \$ 14,541
End Date	9/30/2020	Funds will be used for partial reimbursement to the NCRTD of continued transit services in the FY2018-2020 NCRTD Budget. The allocation of the \$40,130 per Fiscal year is as follows: 2017 \$9,546 2018 \$ 16,043 2019 \$ 14,541

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$40,130.00	\$40,130.00

Project Title: Bus Replacement 5311(c) Capital Funds FY2017 TTP Discretionary Award
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2019-035-02-00	6952-2018-1-P2	8/26/2019	9/30/2018	10/30/2019

Project Description

This grant will provide the Pueblo of Tesuque funds for some and capital needs in the form of a vehicle replacement.

Project Benefits

These services will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the District.

Additional Information

The Pueblo of Tesuque is a member of the North Central Regional Transit District. Funds will be used for partial reimbursement of continued transit services as well as bus.

Location Description

Tesuque is located in North Central New Mexico and is located about 10 miles (16 km.) north of Santa Fe off U.S. 84-285. Entrances to the pueblo lie near Camel Rock, Tesuque Pueblo is located about 10 miles (16 km.) north of Santa Fe off U.S. 84-285. Entrances to the pueblo lie near Camel Rock, Tesuque is one of the state's smallest pueblos, with a population of about 800, but the pueblo encompasses more than 17,000 acres, including Aspen Ranch and the Vigil Land Grant high in the Santa Fe National Forest near the Santa Fe ski area.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico
353790	Santa Fe, NM

Congressional District Information

State	District	Representative
New Mexico	3	Ben R Lujan

Program Plan Information

STIP/TIP

Date: 6/6/2019

Description: NMDOT STIPP

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 8/25/2019

Description: District's Long Range Strategic Plan pages 17,19, 24,31, 33, 49, 50, 66, 75-77, 81-82, 123, 131, 135 & 136 talk significantly about Tesuque and the services NCRTD provides.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$97,200
Local			\$10,800
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$108,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2019-035-	600- OTHER PROGRAM COSTS	\$97,200.00	\$10,800.00	\$108,000.00	1

02-00	00 (600-A2)					
NM-2019-035-02-00	11.12.04	BUY REPLACEMENT	\$97,200.00	\$10,800.00	\$108,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

This grant will allow for the purchase of one replacement vehicle for current services. Useful 5 years 100,000 miles. This will be a 2019 F-550 El Dorado Bus (26 Passengers Diesel Fuel) is 28 Feet Long that will replace the current bus being used.

The bus that will be replaced its a 2010 Glaval Titan II Asset# T-539 with VIN 1GB9G5BGXA1156203

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$97,200
Local			\$10,800
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$108,000

Milestone Name	Est. Completion Date	Description
Start Date - RFP/IFB Issue Date	10/1/2018	There was no RFP or IFB issued for this, the purchase was made through a vendor with a state price agreement.
Contract Completion End Date	10/31/2019	The contract should be completed by the end of the federal fiscal year
Contract Award Date	12/1/2018	The Contract and purchase order was completed in

		December 2018
Initial Delivery Date 7/30	7/30/2019	The bus was expected between 6/15/2019 and 7/30/2019
Final Delivery Date	10/31/2019	The bus should is expected to be received by the end of the Federal fiscal year

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	8/13/2018

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By Abel Ayala

Comment Type	FTA Post Review Comments for Grantee
Date	7/11/2019
Comment	Please include FY and amounts in Executive Summary. Provide VIN#s for vehicles being replaced in Executive Summary. Please use Scope 600 for all ALI's except for Operating (Operating will use the 300 Scope).

Comment By Abel Ayala

Comment Type	FTA Post Review Comments for Grantee
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Date	7/16/2019
Comment	<p>returning the application so the following edit can be made in the executive summary regarding the \$97,200 5311 Discretionary award:</p> <p>-Please include the year of the funds.</p> <p>-Please reword that "Pueblo of Tesuque was awarded \$97,200 from the 5311c TTP Discretionary Program for a bus replacement"</p>

Comment By Abel Ayala

Comment Type	FTA Post Review Comments for Grantee
Date	8/16/2019
Comment	Change Operating Scope to 600.

Comment By Abel Ayala

Comment Type	FTA Post Review Comments for Grantee
Date	8/22/2019
Comment	6952: The discretionary award should be in a separate project (P1 or P2). This will be returned so you can edit and re-transmit. Please also update SAM registration www.sam.gov and let me know when updated.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Tesuque Pueblo Administration

Recipient ID: 6952

DUNS No: 962345328

Application Information

Federal Award Identification Number: NM-2019-035-00

Application Name: FFY 2017, 2018 & 2019 Tesuque 5311(c) Operating Formula Grant and Bus Replacement 5311(c) Capital Funds FY2017 TTP Discretionary Award

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2021

Application Executive Summary: Pueblo of Tesuque contracts with NCRTD for continued transit services as depicted in the District's recently adopted service plan. Tesuque is located in North Central New Mexico and borders the City of Santa Fe on the north. The Pueblo of Tesuque is a member of the North Central Regional Transit District. These services will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the district it costs the NCRTD approximately \$ 45,000 to fund this route. The multi-year award of \$ 40,130 can be allocated as follows:

FY 2017 = \$ 9,546

FY 2018 = \$ 16,043

FY 2019 = \$ 14,541

Total Award = \$ 40,130 operating assistance

In addition to the multi-year award from the 5311c Formula Program the Pueblo of Tesuque was awarded \$ 97,200 from the FY17 5311c TTP Discretionary Program for one bus replacement The local match for the formula and discretionary awards will be provided by the North Central Regional Transit District from gross receipts tax (GRT) revenue sourced received by the District. The TTP program will provide \$97,200 and a local match of \$10,800 from gross receipts tax (GRT). The Bus that will be replaced its a 2010 Glaval Titan II Asset T-539 with VIN# 1GB9G5BGXA1156203

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$148,130.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$137,330.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$10,800.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$137,330.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$10,800.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$40,130
5311 - Tribal Transit Competitive	5311-1C	20509	\$97,200
Local			\$10,800
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$148,130

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Tesuque Pueblo Administration