

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NM-2019-031-00
Temporary Application Number	6953-2018-1
Application Name	FFY 2017, 2018 & 2019 Santa Clara Operating 5311c Formula
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Santa Clara, Pueblo Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6953	Indian Tribe	SANTA CLARA, PUEBLO OF	075762740

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	1 KEE ST	ESPANOLA	NM	87532
Mailing Address	P.O. BOX 580	ESPANOLA	NM	87532

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY 2017, 2018 & 2019 Santa Clara Operating 5311c Formula

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2019-031-00	Final Concurrence / Reservation	Grant	8/11/2018	8/11/2018	No

Application Start Date

The start date will be set to the date of the award

Application End Date

9/29/2020

Application Executive Summary

Pueblo of Santa Clara was awarded \$ 112,032 for FY2017, 143,990 FY18 and 147,118 for FY 2019. These grants will provide Santa Clara Pueblo funds for enhanced and expanded services as depicted in the District's adopted service plan. Santa Clara Pueblo is located in north central New Mexico and is 25 miles north of Santa Fe. Santa Clara Pueblo is a member of the North Central Regional Transit District. These services being proposed will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the Transit District. The District spends approximately \$ 151,000 per year to operate this route. The allocation of the multi-year award is as follows:

FY2017= \$112,032

FY2018= \$143,990

FY2019= \$147,118

The Funding Type for these years is 5311c Formula.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Abel	Ayala	Program Management Specialist	Abel.Ayala@dot.gov	(817) 978-0560
Hector	Ordonez	Finance Director	hectoro@ncrtd.org	(505) 629-4701

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$403,140
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$403,140

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2019-031-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$403,140.00	\$0.00	\$403,140.00	0
NM-2019-031-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$403,140.00	\$0.00	\$403,140.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: FFY 2017, 2018 & 2019 Operating

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2019-031-01-00	6953-2018-1-P1	6/7/2019	9/30/2016	9/29/2020

Project Description

These grants will provide the Pueblo of Santa Clara funds for services as depicted in the District's recently adopted service plan. The Pueblo of Santa Clara is located in North Central New Mexico and

borders the City of Española on the southwest. The Operational funds to commence after 10/01/2016 for service of bus transportation to and from community destinations there are three years included in this application/project.

Project Benefits

These services provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the district.

Additional Information

Funds will be used for partial reimbursement of continued transit services provided with District's reserves during Fiscal years 2017-2018 and for expenses to be incurred in FY 2019.

Location Description

Santa Clara Pueblo (is a census-designated place (CDP) in Rio Arriba County, New Mexico, United States and a federally recognized tribe of Native American Pueblo people. The Pueblo was established about 1550 and is located in North Central New Mexico and borders the City of Española on the southwest. The pueblo is next to the Rio Grande, between Ohkay Owingeh (formerly San Juan Pueblo) to the north and San Ildefonso Pueblo to the south.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
Alabama	1	Bradley Byrne

Program Plan Information

STIP/TIP

Date: 6/6/2019

Description: Not Provided

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 6/6/2019

Description: Not Provided

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Tribal Transit Program Formula	5311-1B	20509	\$403,140
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
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Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$403,140

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2019-031-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$403,140.00	\$0.00	\$403,140.00	0
NM-2019-031-01-00	UP TO 50% 30.09.01 FEDERAL SHARE	\$403,140.00	\$0.00	\$403,140.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

This grant will provide the Pueblo of Santa Clara funds for services as depicted in the District's recently adopted service plan. The funds for FY 2017, 2018 & 2019 will reimburse NCRTD for services provided during FY 2017, 2018 & 2019. The breakdown of the Formula Funding is as follows:

FY2017 =\$ 112,032

FY2018 =\$ 143,990

FY2019 =\$ 147,118

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$403,140
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$403,140

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2016	This is the Allocation for FY 2018 5311(C) Public Transportation on Indian reservation formula funds will be use for partial reimbursement on continued transit services for FY 2017-2019.
End Date	9/30/2020	Funds will be used to partially reimburse the District to continue transit services for Santa Clara Pueblo during FY2017-FY2019, the allocation of the funding is as follows: FY2017= \$ 112,032 FY2018= \$ 143,990 FY2019= \$ 147,118

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/13/2018

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By Abel Ayala

Comment Type	FTA Post Review Comments for Grantee
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Date	7/16/2019
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Comment	please include the type of funding (i.e. 5311, Formula or Discretionary) in the Executive Summary
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Comment By Abel Ayala

Comment Type	FTA Post Review Comments for Grantee
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Date	8/16/2019
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Comment	Change Operating Scope to 600.
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Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Santa Clara, Pueblo Of

Recipient ID: 6953

DUNS No: 075762740

Application Information

Federal Award Identification Number: NM-2019-031-00

Application Name: FFY 2017, 2018 & 2019 Santa Clara Operating 5311c Formula

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2020

Application Executive Summary: Pueblo of Santa Clara was awarded \$ 112,032 for FY2017, 143,990 FY18 and 147,118 for FY 2019. These grants will provide Santa Clara Pueblo funds for enhanced and expanded services as depicted in the District's adopted service plan. Santa Clara Pueblo is located in north central New Mexico and is 25 miles north of Santa Fe. Santa Clara Pueblo is a member of the North Central Regional Transit District. These services being proposed will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the Transit District. The District spends approximately \$ 151,000 per year to operate this route. The allocation of the multi-year award is as follows:

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The Funding Type for these years is 5311c Formula.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$403,140.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$403,140.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$403,140.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$403,140
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$403,140

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Santa Clara, Pueblo Of