

DOT

U.S. Department of Transportation

FTA

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NM-2018-016-01
Temporary Application Number	1564-2018-2
Application Name	NMDOT FY 18 5311 Award
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, New Mexico Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1564	State Agency	NEW MEXICO DEPARTMENT OF TRANSPORTATION	117883178

Location Type	Address	City	State	Zip
Headquarters	1120 CERRILLOS ROAD	SANTA FE	NM	875051842
Physical Address	1350 ALTA VISTA ST	SANTA FE	NM	87505
Mailing Address	1120 CERRILLOS ROAD	SANTA FE	NM	87504

Union Information

Union Name	NONE
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	NONE NONE
Telephone	
Fax	
E-mail	

Website

Part 2: Application Information

Title: NMDOT FY 18 5311 Award

FAIN	Application Status	Application Type
NM-2018-016-01	Final Concurrence / Reservation	Grant

Application Start Date

9/21/2018

Application End Date

9/29/2023

Application Executive Summary

7/29/19 Amendment: NMDOT is applying for the remaining balance of our FFY 2018 5311 apportionment to the State of NM Transportation program for FFY 2020 Operating and Program Administration, in the amount of \$450,134. The funding request is for:

Reservationist: UZA 350000 |2018.25.18.81.2|
P3- Scope 600-00, FPC 09 Amount \$450,135

Total \$450,135

Sub-grantees: (600-00) \$450,135 - UZA 35000

There is 1 total project are in Category A, for the City of Hobbs in Lea, county. Source of local match is City Funds.

See attached Program of Projects and Budget workbook.

Rural Category A: NMDOT has awarded the remaining \$450,135 of FY 2018 5311 apportionment in the upcoming FY 2020.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

8/27/2018 NMDOT is applying for \$10,546,384 of our FFY 2018 5311 apportionment totaling \$10,399,880: 5311 and 5311 Public Rural Transportation program for FFY 2019 Operating, Program Administration, Capital Cost of Contract, State

NMDOT has also accepted a request from Ohkay Owingeh to apply directly for administration (\$22,988) and operating (\$5,000) grant.

The funding request for this application are as follows:

RTAP Section 5311(b): (435-00) \$146,504 - UZA35000
State Administration: (610-00) \$1,092,591 - UZA 35000
Sub-grantees: (600-00) \$9,307,289 - UZA 35000

See attached Program of Projects and Budget workbook.

There are 25 total projects are in Category A, for 20 sub-grantees in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Roosevelt, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, P

Rural Category A: \$9,307,289 - NMDOT has awarded \$9,307,289 of FY 2018 5311 apportionment to 20 sub-grantees for F Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the req Ride Program.

State Administration: 1,092,591 - provide project administration, oversight and technical assistance to sub-grantees include

Rural Transit Assistance Program (RTAP): \$146,504 - NMDOT has awarded RTAP \$146,504 for FY19. RTAP will promote of public transportation resources by providing training and technical assistance to rural transit agencies.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplement revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

NMDOT will apply for the remaining balance in FFY 19 when projects will be programmed for FFY 20, based on our award

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

Yes, this application requires E.O. 12372 Review

State Application ID:

Date Received by State:

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title
Deborah	Bach	Rural Transit Programs Supervisor
Heriberto	Chavarria	Program Management Specialist

Application Budget Control Totals

Funding Source	Section of Statute
5311 - Rural Area Formula	5311-1A
Local	
Local/In-Kind	
State	
State/In-Kind	
Other Federal	
Transportation Development Credit	
Adjustment	
Total Eligible Cost	

Application Budget

Project Number	Budget Item
NM-2018-016-01-01	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION
NM-2018-016-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATIVE
NM-2018-016-02-01	435-00 (435-A2) RURAL TRANSIT ASST PROGRAM
NM-2018-016-02-01	43.50.01 TRAINING
NM-2018-016-02-01	43.50.04 RELATED SUPPLIES SERVICES
NM-2018-016-03-01	600-00 (600-A4) OTHER PROGRAM COSTS
NM-2018-016-03-01	11.79.00 PROJECT ADMINISTRATION
NM-2018-016-03-01	30.09.01 UP TO 50% FEDERAL
NM-2018-016-03-01	634-00 (634-A3) INTERCITY BUS TRANSPORTATION
NM-2018-016-03-01	11.71.12 CAPITAL COSTS CONTRACTING
NM-2018-016-03-01	11.79.00 PROJECT ADMINISTRATION
NM-2018-016-03-01	30.09.01 UP TO 50% FEDERAL

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: NMDOT FY18 5311 Rural Transit State Administration

Project Number

NM-2018-016-01-01

Temporary Project Number

1564-2018-2-P1

Project Description

Provide comprehensive program administration to 5311 sub-grantees for Program of Projects in rural areas across the State.

State Administration: (610-00) \$1,092,591 - UZA 35000

See attached Program of Projects and Budget workbook.

Project Benefits

State provided program administration to sub grantees is designed to (1) help meet the transportation needs of transit-dependent populations; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage transit use in urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) and (5) encourage private transportation providers in non-urbanized transportation to the maximum extent feasible.

Additional Information

None provided.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, Santa Fe, Sandoval, Socorro, Taos, Torrance, Yuma, and Yavapai) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Michelle Lujan
New Mexico	2	Stevan Pearson
New Mexico	3	Ben R Lujan

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute
5311 - Rural Area Formula	5311-1A
Local	
Local/In-Kind	
State	
State/In-Kind	
Other Federal	
Transportation Development Credit	
Adjustment	
Total Eligible Cost	

Project Budget

Project Number	Budget Item
NM-2018-016-01-01	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION
NM-2018-016-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATION

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION		
Scope Name / Code	Line Item #	Line Item Name
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION

Extended Budget Description

State provided program administration to sub grantees is designed to (1) help meet the transportation needs of transit-dependent populations; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage the development of transit-oriented development in urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) and (5) assist private transportation providers in non-urbanized transportation to the maximum extent feasible.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute
5311 - Rural Area Formula	5311-1A
Local	

Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2023	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant effect on the environment. They are categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance; engineering; and operating assistance to transit authorities to continue existing service or increase service.

Date Description
Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM

Application Point of Contact Information

First Name	Last Name	Title
Deborah	Bach	Rural Transit Programs Supervisor
Heriberto	Chavarria	Program Management Specialist

Application Budget Control Totals

Funding Source	Section of Statute
5311 - Rural Area Formula	5311-1A
Local	
Local/In-Kind	
State	

State/In-Kind	
Other Federal	
Transportation Development Credit	
Adjustment	
Total Eligible Cost	

Application Budget

Project Number	Budget Item
NM-2018-016-01-01	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION
NM-2018-016-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATIVE
NM-2018-016-02-01	435-00 (435-A2) RURAL TRANSIT ASST PROGRAM
NM-2018-016-02-01	43.50.01 TRAINING
NM-2018-016-02-01	43.50.04 RELATED SUPPORT SERVICES
NM-2018-016-03-01	600-00 (600-A4) OTHER PROGRAM COSTS
NM-2018-016-03-01	11.79.00 PROJECT ADMINISTRATION
NM-2018-016-03-01	30.09.01 UP TO 50% FEDERAL
NM-2018-016-03-01	634-00 (634-A3) INTERCITY BUS TRANSPORTATION
NM-2018-016-03-01	11.71.12 CAPITAL COSTS CONTRACTING
NM-2018-016-03-01	11.79.00 PROJECT ADMINISTRATION
NM-2018-016-03-01	30.09.01 UP TO 50% FEDERAL

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: NMDOT FY18 5311 Rural Transit State Administration

Project Number	Temporary Project Number
NM-2018-016-01-01	1564-2018-2-P1

Project Description

Provide comprehensive program administration to 5311 sub-grantees for Program of Projects in rural areas across the State.

State Administration: (610-00) \$1,092,591 - UZA 35000

See attached Program of Projects and Budget workbook.

Project Benefits

State provided program administration to sub grantees is designed to (1) help meet the transportation needs of transit-dependent

recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) encourage private transportation providers in non-urbanized transportation to the maximum extent feasible.

Additional Information

None provided.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, Mora, Sandoval, Santa Rita, Socorro, Taos, Torrance, Yuma, and Zuni) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Michelle Lujan
New Mexico	2	Stevan Pearson
New Mexico	3	Ben R Lujan

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute
5311 - Rural Area Formula	5311-1A
Local	
Local/In-Kind	
State	
State/In-Kind	
Other Federal	

Transportation Development Credit	
Adjustment	
Total Eligible Cost	

Project Budget

Project Number	Budget Item
NM-2018-016-01-01	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION
NM-2018-016-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATION

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION

Extended Budget Description

State provided program administration to sub grantees is designed to (1) help meet the transportation needs of transit-dependent recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) and private transportation providers in non-urbanized transportation to the maximum extent feasible.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute
5311 - Rural Area Formula	5311-1A
Local	
Local/In-Kind	
State	
State/In-Kind	
Other Federal	
Transportation Development Credit	
Adjustment	
Total Eligible Cost	

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2023	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant effect on the environment. They are categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance; engineering; and operating assistance to transit authorities to continue existing service or increase service.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM

Project Title: NMDOT FY18 5311 Rural Transit Assistance Program (RTAP)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2018-016-02-01	1564-2018-2-P2	7/29/2019	9/30/2018	9/29/2021

Project Description

Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and to facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

RTAP Section 5311(b): (Scope 435-00): \$146,504

\$50,000 for training related activities

\$96,504 for related support services

See attached Program of Projects and Budget workbook.

Project Benefits

Project benefits include:

- (1) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (2) promote the coordination of public and private transportation services;
- (3) build a national database of information about the rural transit industry.

Additional Information

None provided.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo,

Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Michelle Lujan Grisham
New Mexico	2	Stevan Pearce
New Mexico	3	Ben R Lujan

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$146,504
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$146,504

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2018-016-02-01	435-00 (435-A2) RURAL TRANSIT ASST PROGRAM	\$146,504.00	\$0.00	\$146,504.00	0
NM-2018-016-02-01	43.50.01 TRAINING	\$50,000.00	\$0.00	\$50,000.00	0
NM-2018-016-02-01	43.50.04 RELATED SUPPORT SERVICES	\$96,504.00	\$0.00	\$96,504.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and to facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

\$50,000 for training related activities

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$50,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$50,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	

End Date	9/30/2021
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Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

\$96,504 for related support services to include:

- (1) improve the quality and availability of training and technical assistance resources available to the rural transit industry (NM Transit conference and road-eo);
- (2) promote the coordination of public and private transportation services;
- (3) build a national database of information about the rural transit industry.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$96,504
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$96,504

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	12/31/2020	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	0	\$50,000.00	\$50,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.04	RELATED SUPPORT SERVICES	0	\$96,504.00	\$96,504.00

Project Title: NMDOT FY18 5311 Rural Transit Programs Subrecipient

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2018-016-03-01	1564-2018-2-P3	7/29/2019	6/30/2018	9/29/2022

Project Description

Rural Category A: \$9,307,289 - NMDOT has awarded \$9,307,289 of FY 2018 5311 apportionment to 20 subrecipients for 25 projects (UZA 35000) in the upcoming FY 2019 program year for Project Administration, Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. NMDOT has also

accepted a request from Ohkay Owingeh to apply directly for administration and operating costs totaling \$75,895 (see uploaded direct allocation letter). To summarize, the breakdown of the awards are as follows:

Project Administration Rural: \$2,176,037 (Scope 600-00)
Operating Assistance Rural: \$5,491,752 (Scope 600-00)
Project Administration Intercity: \$312,603 (Scope 634-00)
Operating Assistance Intercity: \$1,026,897 (Scope 634-00)
Capital Cost of Contract Intercity: \$300,000 (Scope 634-00)

See attached Program of Projects and Budget workbook.

Project Benefits

Project benefits include:

- (1) Help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation;
- (2) assist in the maintenance, development, improvement and use of public transportation systems;
- (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight;
- (4) assist in the development and support of intercity bus transportation;
- (5) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (6) promote the coordination of public and private transportation services;
- (7) build a national database of information about the rural transit industry.

Additional Information

None provided.

Location Description

The service area covers 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Michelle Lujan Grisham
New Mexico	2	Stevan Pearce
New Mexico	3	Ben R Lujan

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,757,424
Local			\$7,615,311
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$17,372,735

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2018-016-03-01	600-00 (600-A4) OTHER PROGRAM COSTS	\$8,117,924.00	\$6,435,263.00	\$14,553,187.00	0
NM-2018-016-03-01	11.79.0 PROJECT ADMINISTRATION	\$2,243,549.00	\$560,888.00	\$2,804,437.00	0
NM-2018-016-03-01	30.09.0 UP TO 50% FEDERAL SHARE	\$5,874,375.00	\$5,874,375.00	\$11,748,750.00	0
NM-2018-016-03-01	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$1,639,500.00	\$1,180,048.00	\$2,819,548.00	0
NM-2018-	11.71.1 CAPITAL COST OF 3RD PARTY	\$300,000.00	\$75,000.00	\$375,000.00	0

016-03-01		CONTRACTING				
NM-2018-016-03-01	11.79.00	PROJECT ADMINISTRATIO N	\$312,603.00	\$78,151.00	\$390,754.00	0
NM-2018-016-03-01	30.09.01	UP TO 50% FEDERAL SHARE	\$1,026,897.00	\$1,026,897.00	\$2,053,794.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.71.12 - CAPITAL COST OF 3RD PARTY CONTRACTING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	3RD PARTY CONTRACTS	0

Extended Budget Description

\$300,000 to New Mexico Park and Ride to provide intercity bus service. Current program, total cost of \$6,224,016, provides service to Sandoval/Santa Fe/Los Alamos/Rio Arriba/San Miguel counties across New Mexico. NMNDOT will use a third party contractor to provide turnkey operations for the program to serve the general public in 5 counties across the state of New Mexico. NMDOT Park and Ride has been serving New Mexico since 2003. The benefits of this service are impressive. Some of the benefits in fiscal year 2015 included the following:

- Reduced traffic congestion by removing 9.2 million vehicle miles of travel from our busiest highways during the busiest commute hours
- Reduced carbon dioxide emissions (a Greenhouse Gas) by 4,464 tons
- Reduced gasoline consumption by 460,262 gallons (assumes 20 mpg average)
- Served an Average Daily Ridership of 1,162.9 passengers
- Provides a safe means of travel: In FY 2015, Park and Ride buses provided over 12 million passenger miles without a fatality or serious injury.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$300,000
Local			\$75,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$375,000
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Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	
End Date	6/30/2019	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Sub-grantees providing Project Administration for Intercity Bus Service include:

Navajo Nation: \$112,603

NCRTD: \$200,000

Total: \$312,603

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$312,603
Local			\$78,151
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$390,754

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2022	

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0
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Extended Budget Description

Sub-grantees providing Operating Assistance for Intercity Bus Service include:

Navajo Nation: \$336,957
 RMRTD: \$250,000
 NCRTD: \$389,940
 SCRTD: \$50,000

 Total: \$1,026,897

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,026,897
Local			\$1,026,897
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,053,794

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2022	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

7/29/19 Amendment: NMDOT has awarded the City of Hobbs \$67,512 in Program Administration funds for FY 2020 to provide public transportation service in Lea County, NM. Source of local match is City Funds.

 The sub-grantees providing Program Administration in FY 2019 in rural areas include:

City of Carlsbad: \$150,975

City of Clovis: \$209,966
 The Community Pantry: \$93,174
 Golden Spread Coalition: \$63,303
 Grant County: \$228,800
 City of Hobbs: \$66,961
 Pueblo of Laguna: \$24,789
 City of Las Vegas: \$67,434
 Los Alamos: \$56,452
 Village of Milan: \$81,179
 NCRTD: \$337,445
 City of Portales: \$56,943
 Town of Red River: \$43,551
 City of Roswell: \$215,992
 SCRTD: \$148,062
 City of Socorro: \$38,209
 Zia Therapy: \$217,052
 Zuni Pueblo: \$75,750

Total: \$2,176,037

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,243,549
Local			\$560,888
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,804,437

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2022	

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

7/29/19 Amendment: NMDOT has awarded the City of Hobbs \$382,623 in Operating funds for FY 2020 to

provide public transportation service in Lea County, NM. Source of local match is City Funds.

20 subgrantees across the state of New Mexico will receive operating assistance for their transit agencies as follows:

City of Carlsbad: \$256,432
City of Clovis: \$323,000
Gallup Express: \$134,121
Golden Spread Coalition: \$82,152
Grant County: \$344,017
City of Hobbs: \$354,621
Pueblo of Laguna: \$84,793
City of Las Vegas: \$85,645
Los Alamos County: \$830,629
Village of Milan: \$98,378
NCRTD: \$639,645
City of Portales: \$94,475
Town of Red River: \$49,478
RMRTD: \$582,094
City of Roswell: \$568,097
SCRTD: \$226,444
City of Socorro: \$82,155
Zia Therapy: \$547,168
Zuni Pueblo: \$108,408

Total: \$5,491,752

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,874,375
Local			\$5,874,375
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$11,748,750

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	0	\$300,000.00	\$375,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	0	\$312,603.00	\$390,754.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$1,026,897.00	\$2,053,794.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	0	\$2,243,549.00	\$2,804,437.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$5,874,375.00	\$11,748,750.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By Christopher Macneith

Comment Type	Application Details
Date	8/9/2019
	<p>New Mexico Department of Transportation must comply with all applicable Federal laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board's ADA Accessibility Guidelines. Found here: http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities</p> <p>Specifically, New Mexico Department of Transportation must comply with the ADA Standards for Transportation Facilities in the construction/renovation for the following project:</p> <ul style="list-style-type: none"> - Provide comprehensive program administration to 5311 sub-grantees for Program of Projects in rural areas across the State of New Mexico. - Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and to facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies. - Rural Category A: \$9,307,289 - NMDOT has awarded \$9,307,289 of FY 2018 5311 apportionment to 20 subrecipients for 25 projects (UZA 35000) in the upcoming FY 2019 program year for Project Administration, Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. NMDOT has also accepted a request from Ohkay Owingeh to apply directly for administration and operating costs totaling \$75,895 (see uploaded direct allocation letter). To summarize, the breakdown of the awards are as follows:
Comment	

The following is a non-exhaustive list of ADA standards that New Mexico Department of Transportation must comply with when completing this project:

Requirements to Remember for ADA Compliance in Construction Projects

The ADA Standards issued by the Department of Transportation (DOT) apply to facilities used by state and local governments to provide designated public transportation services, including bus stops and stations, and rail stations. Other types of facilities covered by the ADA are subject to similar ADA Standards issued by the Department of Justice. Both the DOT and DOJ standards are based on the United States Access Board ADA Accessibility Guidelines (ADAAG).

DOT's ADA Standards (2006) are consistent with the Access Board's updated ADA (and ADAAG) guidelines but includes a few additional requirements concerning:

- Location of Accessible Routes (206.3)
- Detectable Warnings on Curb Ramps (406.8)
- Bus Boarding and Alighting Areas (810.2.2)
- Rail Station Platforms (810.5.3)

The complete ADA Standards for Transportation Facilities can be found at the US Access Board Website: (ADA Standards for Transportation Facilities)

Requirements to Remember for: New Construction of Facilities

Sixty percent of all public entrances to the facility must be accessible. If there are only two entrances, both must be accessible. (DOT ADA Standard 206.4.1.)

For rail projects, no flange way gap can be greater than 2.5" where passenger circulation paths cross tracks at grade (i.e. a street-level pedestrian crossing over streetcar tracks). (DOT ADA Standard 810.10.)

Accessible routes that coincide with, or are located in the same area, as general circulation paths and elements such as ramps, elevators, and fare vending and collection must be placed so as to minimize the distance that wheelchair users and other persons who cannot climb steps must travel in comparison to the general public. (DOT ADA Standard 206.3.)

Curb ramps must have detectable warnings. (DOT ADA Standard 406.8.)

Bus boarding and alighting areas must be in compliance with the ADA-ABA Guidelines (Section 810.2), which address surfaces (sturdy), dimensions (96" long x 60" wide); connection to sidewalks, streets and pedestrian paths; slope (not steeper than 1:48); signs; and public address systems. (DOT ADA Standard 810.2.)

Station platforms must be coordinated with the vehicle floor height. (DOT ADA Standard 810.5.3.)

Requirements to Remember for: Alterations or Additions to Facilities

Altered or added portions of the facility must be made accessible. (DOT ADA Standard 201 and DOT ADA Regulation 49 C.F.R. 37.43(a)(1).)

The path of travel to the altered or added portion of the facility must be made accessible, to the maximum extent feasible. (DOT ADA Regulation 49 C.F.R. 37.43(a)(2) and DOT ADA Standard 202.3.)

If the path of travel cannot be made accessible, the grantee must submit to FTA an analysis demonstrating that the cost of making the path of travel accessible is disproportionate to (i.e., > 20%) the cost of the alterations or additions to the primary function area. (DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(e)(1).)

If the path of travel cannot be made accessible, the grantee must submit to FTA an analysis demonstrating that site-specific conditions prevent you from making the path of travel accessible. Include relevant diagrams and maps. (DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(b).)

Requirements to Remember for: FTA-assisted vehicle purchases:

Only those transit vehicle manufacturers listed on FTA's certified list of Transit Vehicle Manufacturers, or that have submitted a goal methodology to FTA that have been approved or has not been disapproved, at the time of solicitation are eligible to bid per 49 CFR Part 26.49(a)(1).

As your agency undergoes the procurement process for vehicles, please ensure that you are in compliance with these requirements and verifying that any manufacturers bidding on the contract have so complied. Please find linked here a list of transit vehicle manufacturers (TVMs) that have DBE programs and goals which are FTA-approved. Therefore, these TVMs are approved to bid on and be awarded FTA-assisted vehicle contracts. This list is regularly updated by FTA and should be used as reference for your upcoming vehicle purchases, but if you do not see a TVM on the list and are under the impression that it had an FTA-approved program, please contact me to confirm.

As a side note, there are a number of TVMs that have submitted DBE programs to FTA, but they are currently under review. The list of TVMs that fall into this category is not published by FTA, but if you receive a bid from a TVM that is not on the list linked above and would like to verify whether it has submitted a program under review, please feel free to contact me for that information.

The 2014 DBE regulation updates now require FTA recipients to report transit vehicle procurement awards. Effective November 2014, FTA recipients are required to submit, within 30 days of making an award, the name of the successful bidder and the total dollar value of the contract. Remember, only eligible TVMs may bid on FTA-assisted transit vehicle procurements.

Recipients must use the online Transit Vehicle Award Reporting Form [external link] to report the required information on transit vehicle procurement awards. For more information on the TVM program requirements, please refer to the TVM webpage. The link to the electronic reporting form may also be found on the list of eligible TVMs.

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to ADA and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA.

Application Review Comments

Comment By Heriberto Chavarria

Comment Type	FTA Post Review Comments for Grantee
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Date	8/8/2019
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Comment	Returned for edits per phone call
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Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, New Mexico Department Of

Recipient ID: 1564

DUNS No: 117883178

Application Information

Federal Award Identification Number: NM-2018-016-01

Application Name: NMDOT FY 18 5311 Award

Application Start Date: 9/21/2018

Application End Date: 9/29/2023

Application Executive Summary: 7/29/19 Amendment: NMDOT is applying for the remaining balance of our FFY 2018 5311 apportionment to the State of New Mexico posted in Federal Register Vol. 83, No. 136 / Monday, July 16, 2018 for our 5311 Public Rural Transportation program for FFY 2020 Operating and Program Administration, in the amount of \$450,134. The funding request for this application is as follows:

Reservationist: UZA 350000 |2018.25.18.81.2|
P3- Scope 600-00, FPC 09 Amount \$450,135

Total \$450,135

Sub-grantees: (600-00) \$450,135 - UZA 35000

There is 1 total project are in Category A, for the City of Hobbs in Lea, county. Source of local match is City Funds.

See attached Program of Projects and Budget workbook.

Rural Category A: NMDOT has awarded the remaining \$450,135 of FY 2018 5311 apportionment in the upcoming FY 2020 program year for Operating and Program Administration.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

8/27/2018 NMDOT is applying for \$10,546,384 of our FFY 2018 5311 apportionment totaling \$10,399,880: 5311 and 5311(b)(3) to the State of New Mexico posted in Federal Register Vol. 83, No. 136 / Monday, July 16, 2018 for our 5311 Public Rural Transportation program for FFY 2019 Operating, Program Administration, Capital Cost of Contract, State Administration, and RTAP (\$146,504 full allocation).

NMDOT has also accepted a request from Ohkay Owingeh to apply directly for administration (\$22,988) and operating (\$52,906) and capital (\$48,920) See uploaded direct allocation letter. The capital will be included in the 5339 grant.

The funding request for this application are as follows:

RTAP Section 5311(b): (435-00) \$146,504 - UZA35000
State Administration: (610-00) \$1,092,591 - UZA 35000
Sub-grantees: (600-00) \$9,307,289 - UZA 35000

See attached Program of Projects and Budget workbook.

There are 25 total projects are in Category A, for 20 sub-grantees in 25 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Lincoln, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Rural Category A: \$9,307,289 - NMDOT has awarded \$9,307,289 of FY 2018 5311 apportionment to 20

sub-grantees for Rural projects in the upcoming FY 2019 program year for Operating, Program Administration, Capital Cost of Contract, and including Intercity Bus service 5311(f). 5 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program.

State Administration: 1,092,591 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$146,504 - NMDOT has awarded RTAP \$146,504 for FY19. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

NMDOT will apply for the remaining balance in FFY 19 when projects will be programmed for FFY 20, based on our award recommendations which are released in late May of each year.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$18,611,830.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$450,135.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$399,501.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$10,996,519.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$7,615,311.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$10,996,519
Local			\$7,615,311
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$18,611,830

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,

- (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
 - (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, New Mexico Department Of