

Application

Federal Award Identification Number (FAIN)	OR-2017-030-01
Temporary Application Number	1723-2017-3
Application Name	17 5311 OPS, PM, PROJ ADM, INTERCITY, RTAP, STATE ADM
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, Oregon Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1723	State Agency	OREGON DEPARTMENT OF TRANSPORTATION	195234364

Location Type	Address	City	State	Zip
Headquarters	355 CAPITOL ST NE MS21	SALEM	OR	973013935
Physical Address	555 13TH ST NE STE 3	SALEM	OR	97301
Mailing Address	555 13TH ST NE STE 3	SALEM	OR	97301

Union Information

Union Name	NONE
Address 1	
Address 2	
City	
State	
Zipcode	00000
Contact Name	

Telephone	
Fax	00000
E-mail	
Website	

Part 2: Application Information

Title: 17 5311 OPS, PM, PROJ ADM, INTERCITY, RTAP, STATE ADM

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OR-2017-030-01	Final Concurrence / Reservation	Grant	3/15/2019	3/15/2019	No

Application Start Date

9/21/2017

Application End Date

9/29/2019

Application Executive Summary

This amendment includes \$1,078,141 in FY17 Section 5311 funding for rural operations, intercity operations, rural training assistance, and state administration as follows:

\$806,636 Rural

\$160,955 Intercity

\$3,313 RTAP

\$107,237 State Administration

Indirect costs will be included, and state will be applying an indirect cost rate of 8.60%.

Amended program of projects is attached in TrAMS.

This amendment also includes an adjustment of local match for the intercity bus preventive maintenance project. The adjustment will correct the local match amount to ensure it meets the 80:20 match ratio. The federal funding amount for the project remains unchanged.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Federal Highway Administration (FHWA) approved the ODOT indirect cost rate on 6/30/2019 and a copy of the approved indirect cost rate is uploaded to the recipient profile in TrAMS. The approved rate of 8.60% will be applied to the applicable activity line item descriptions.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Maile	Boals	Analyst	maile.boals@odot.state.or.us	503-986-3372
Jeremy	Borrego	Transportation Program Specialist	jeremy.borrego@dot.gov	206-220-7956
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$12,606,681
Local			\$7,562,349
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$20,169,030

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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OR-2017-030-01-01	117-00 (117-A1)	OTHER CAPITAL ITEMS (BUS)	\$308,877.00	\$35,351.00	\$344,228.00	0
OR-2017-030-01-01	11.7A.00	Preventive Maintenance (FY17 5311 89.73:10.27)(00)	\$293,877.00	\$33,634.00	\$327,511.00	0
OR-2017-030-01-01	11.7L.00	Mobility Management (FY17 5311 89.73:10.27)(00)	\$15,000.00	\$1,717.00	\$16,717.00	0
OR-2017-030-01-01	300-00 (300-A2)	OPERATING ASSISTANCE	\$7,883,141.00	\$6,173,814.00	\$14,056,955.00	0
OR-2017-030-01-01	30.09.02	Operating (FY17 5311 56.08:43.92)(00)(01)	\$7,883,141.00	\$6,173,814.00	\$14,056,955.00	0
OR-2017-030-01-01	610-00 (610-A6)	STATE / PROGRAMS ADMINISTRATION	\$1,242,237.00	\$0.00	\$1,242,237.00	0
OR-2017-030-01-01	11.80.00	State Administration - Direct (FY17 5311 100:0)(00)(01)	\$674,737.00	\$0.00	\$674,737.00	0
OR-2017-030-01-01	11.80.00	State Administration Indirect (FY17 5311 100:0)(00)	\$567,500.00	\$0.00	\$567,500.00	0
OR-2017-030-01-01	620-00 (620-A3)	PROJECT ADMINISTRATION	\$1,124,758.00	\$128,733.00	\$1,253,491.00	0
OR-2017-030-01-01	11.79.00	Project Administration (FY17 5311 89.73:10.27)(00)	\$1,124,758.00	\$128,733.00	\$1,253,491.00	0
OR-2017-030-01-01	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$1,863,355.00	\$1,224,451.00	\$3,087,806.00	3
OR-2017-030-01-01	11.12.02	Buy Approx 3 Replacement 35-ft Bus (FY17 5311(f) 80:20)(00)	\$389,951.00	\$97,489.00	\$487,440.00	3
OR-2017-030-01-01	11.7A.00	Preventive Maintenance (FY17 5311(f) 80:20)(00)(01)	\$461,923.00	\$115,481.00	\$577,404.00	0

OR-2017-030-01-01	30.09.01	Operating (FY17 5311 50:50)(00)(01)	\$1,011,481.00	\$1,011,481.00	\$2,022,962.00	0
OR-2017-030-01-01	635-00 (635-A5)	RURAL TRANSIT ASSISTANCE PROGRAM	\$184,313.00	\$0.00	\$184,313.00	0
OR-2017-030-01-01	43.50.01	RTAP Training (FY17 5311 100:0)(00)(01)	\$128,061.00	\$0.00	\$128,061.00	0
OR-2017-030-01-01	43.50.02	RTAP Technical Assistance (2017 5311 100:0)(00)	\$56,252.00	\$0.00	\$56,252.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: FY17 5311 Operations, Preventive Maintenance, Project Administration, Intercity, Rural Transit Assistance Program, and State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OR-2017-030-01-01	1723-2017-3-P1	3/15/2019	6/30/2017	6/29/2019

Project Description

Amendment -01

This amendment adds a total of \$1,078,141 in previously unobligated funds to the grant. New operating projects for assistance to 36 non-urbanized area transit providers throughout Oregon are added in the amount of \$806,636. New intercity projects are added in the amount of \$160,955. New training projects are added in the amount of \$3,313. New state administration projects are added in the amount of \$107,237.

MATCH

The Federal/Non-Federal match ratios for the projects under this grant remain unchanged:

Rural 5311: 56.08:43.92 for operating

Intercity 5311(f): 50:50 for operating

RTAP 5311: 100:0 for training

State Administration: 100:0

The Non-Federal share will be provided through state funds, local taxes, donations, cash reserves, and/or contract revenues.

STIP keys for amendment projects are as follows:

STIP Key 21484 federally approved on 10/1/2017 and amended on 6/18/2019 Amendment Number 18-21-2498

STIP Key 21485 federally approved on 10/1/2017 and amended on 6/18/2019 Amendment Number 18-21-2501

STIP Key 21486 federally approved on 10/1/2017 and amended on 6/18/2019 Amendment Number 18-21-

2502

STIP Key 21487 federally approved on 10/1/2017 and amended on 6/18/2019 Amendment Number 18-21-2503

STIP documents are attached.

End amendment.

This project provides \$11,528,540 in 2017 5311 funds for operating, preventive maintenance, and project administration assistance to 36 non-urbanized area transit providers throughout Oregon. It also funds intercity operations and capital projects, training and technical assistance to rural transit providers, and state administration.

MATCH

The Federal/Non-Federal match ratio for this grant is:

- Intercity 5311(f): 50:50 for operating, 80:20 for capital
- 5311: 89.73:10.27 for capital, 56.08:43.92 for operating, and 100:0 for state administration
- RTAP 5311: 100:0 for training and technical assistance

The Non-Federal share will be provided through state funds, local taxes, donations, cash reserves, and/or contract revenues

Project Benefits

This project enables rural transit providers to continue operations to serve rural populations' transit needs. This includes trips to work, leisure, school, medical appointments, and social events. It also provides connections for travelers between rural areas, learning opportunities (drug and alcohol program training, conferences, etc.) and technical assistance to transit providers.

Additional Information

These are lapsing funds.

Location Description

This project occurs throughout and between the rural areas of Oregon, so all "Place of Performance" codes have been selected.

Project Location (Urbanized Areas)

UZA Code	Area Name
410000	Oregon
410280	Portland, OR-WA
411440	Eugene, OR
411940	Salem, OR
413540	Longview, WA--OR
413560	Medford, OR
413930	Corvallis, OR
414010	Bend, OR
415160	Grants Pass, OR
419550	Albany, OR

Congressional District Information

State	District	Representative
Oregon	1	Suzanne Bonamici
Oregon	2	Greg Walden
Oregon	3	Earl Blumenauer
Oregon	4	Peter A DeFazio
Oregon	5	Kurt Schrader

Program Plan Information

STIP/TIP

Date: 6/17/2019

Description: STIP keys for amendment projects are as follows: STIP Key 21484 federally approved on 10/1/2017 and amended on 6/18/2019 Amendment Number 18-21-2498 STIP Key 21485 federally approved on 10/1/2017 and amended on 6/18/2019 Amendment Number 18-21-2501 STIP Key 21486 federally approved on 10/1/2017 and amended on 6/18/2019 Amendment Number 18-21-2502 STIP Key 21487 federally approved on 10/1/2017 and amended on 6/18/2019 Amendment Number 18-21-2503

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$12,606,681
Local			\$7,562,349
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$20,169,030

Project Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2017-030-01-01	117-00 (117-A1)	OTHER CAPITAL ITEMS (BUS)	\$308,877.00	\$35,351.00	\$344,228.00	0
OR-2017-030-01-01		11.7A.00 Preventive Maintenance (FY17 5311 89.73:10.27)(00)	\$293,877.00	\$33,634.00	\$327,511.00	0
OR-2017-030-01-01		11.7L.00 Mobility Management (FY17 5311 89.73:10.27)(00)	\$15,000.00	\$1,717.00	\$16,717.00	0
OR-2017-030-01-01	300-00 (300-A2)	OPERATING ASSISTANCE	\$7,883,141.00	\$6,173,814.00	\$14,056,955.00	0
OR-2017-030-01-01		30.09.02 Operating (FY17 5311 56.08:43.92)(00)(01)	\$7,883,141.00	\$6,173,814.00	\$14,056,955.00	0
OR-2017-030-01-01	610-00 (610-A6)	STATE / PROGRAMS ADMINISTRATION	\$1,242,237.00	\$0.00	\$1,242,237.00	0
OR-2017-030-01-01		11.80.00 State Administration - Direct (FY17 5311 100:0)(00)(01)	\$674,737.00	\$0.00	\$674,737.00	0
OR-2017-030-01-01		11.80.00 State Administration Indirect (FY17 5311 100:0)(00)	\$567,500.00	\$0.00	\$567,500.00	0
OR-2017-030-01-01	620-00 (620-A3)	PROJECT ADMINISTRATION	\$1,124,758.00	\$128,733.00	\$1,253,491.00	0
OR-2017-030-01-01		11.79.00 Project Administration (FY17 5311 89.73:10.27)(00)	\$1,124,758.00	\$128,733.00	\$1,253,491.00	0
OR-2017-030-01-01	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$1,863,355.00	\$1,224,451.00	\$3,087,806.00	3
OR-2017-030-01-01		11.12.02 Buy Approx 3 Replacement 35-ft Bus (FY17 5311(f) 80:20)(00)	\$389,951.00	\$97,489.00	\$487,440.00	3

OR-2017-030-01-01	11.7A.00	Preventive Maintenance (FY17 5311(f) 80:20)(00)(01)	\$461,923.00	\$115,481.00	\$577,404.00	0
OR-2017-030-01-01	30.09.01	Operating (FY17 5311 50:50)(00)(01)	\$1,011,481.00	\$1,011,481.00	\$2,022,962.00	0
OR-2017-030-01-01	635-00 (635-A5)	RURAL TRANSIT ASSISTANCE PROGRAM	\$184,313.00	\$0.00	\$184,313.00	0
OR-2017-030-01-01	43.50.01	RTAP Training (FY17 5311 100:0)(00)(01)	\$128,061.00	\$0.00	\$128,061.00	0
OR-2017-030-01-01	43.50.02	RTAP Technical Assistance (2017 5311 100:0)(00)	\$56,252.00	\$0.00	\$56,252.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - Preventive Maintenance (FY17 5311 89.73:10.27)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$293,877 in FY17 5311 funds for preventive maintenance on vehicles for 10 providers for the period 07/01/2017 thru 06/30/2019 - see attached POP for details.

STIP

This project was approved in the 2015-2018 STIP, Key #18948 federally approved on May 20, 2015 and amended on August 25, 2017, amendment No 15-18-2855.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$293,877
Local			\$33,634

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$327,511

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2017	First subrecipient agreement executed.
End Date	6/30/2019	All projects complete and subrecipient agreements closed.

Budget Activity Line Item: 11.7L.00 - Mobility Management (FY17 5311 89.73:10.27)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$15,000 in FY17 5311 funds for a mobility management project for 1 provider (Malheur County 31926) for the period 07/01/2017 thru 06/30/2019 - see attached POP for details.

STIP

This project was approved in the 2015-2018 STIP, Key #18948 federally approved on May 20, 2015 and amended on August 25, 2017, amendment No 15-18-2855.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$15,000
Local			\$1,717
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$16,717
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Milestone Name	Est. Completion Date	Description
Start Date	7/1/2017	Subrecipient agreement executed.
End Date	6/30/2019	Project complete and subrecipient agreement closed.

Budget Activity Line Item: 30.09.02 - Operating (FY17 5311 56.08:43.92)(00)(01)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	0

Extended Budget Description

AMENDMENT (01)

This amendment adds \$806,636 in FY17 5311 funds for rural operations projects for the period 07/01/2017 through 06/30/2019. There is also a slight correction to the total project cost. See attached POP for details.

STIP key number 21486 was created and amended into the current STIP, federally approved on 10/1/2017 and amended on 6/18/2019 - Amendment Number 18-21-2502. STIP documentation attached.

INITIAL APPLICATION (00)

This grant will use \$7,076,505 in FY17 5311 funds for rural operations for 33 providers for the period 07/01/2017 thru 06/30/2019 - see attached POP for details.

STIP

This project was approved in the 2015-2018 STIP, Key #18948 federally approved on May 20, 2015 and amended on August 25, 2017, amendment No 15-18-2855.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$7,883,141
Local			\$6,173,814
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$14,056,955

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2017	First subrecipient agreement executed and first project begins.
End Date	6/30/2019	All projects complete and all subrecipient agreements closed.

Budget Activity Line Item: 11.79.00 - Project Administration (FY17 5311 89.73:10.27)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$1,124,758 in FY17 5311 funds for project administration for 21 providers for the period 07/01/2017 thru 06/30/2019 - see attached POP for details.

STIP

This project was approved in the 2015-2018 STIP, Key #18948 federally approved on May 20, 2015 and amended on August 25, 2017, amendment No 15-18-2855.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$1,124,758
Local			\$128,733
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,253,491

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2017	First agreement executed.
End Date	6/30/2019	All projects complete and last agreement closed.

Budget Activity Line Item: 11.12.02 - Buy Approx 3 Replacement 35-ft Bus (FY17 5311(f) 80:20)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	3

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$389,951 in FY17 5311 funds for the purchase of 3 standard 35 foot diesel intercity buses for 3 providers for the period 07/01/2017 thru 06/30/2019 - see attached POP for details.

STIP

This project was approved in the 2015-2018 STIP, Key #18947 federally approved on May 20, 2015 and amended on August 25, 2017, amendment No 15-18-2854.

USEFUL LIFE

The expected useful life of a 25-30ft bus is 10 years or 350,000 miles

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$389,951
Local			\$97,489
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$487,440

Milestone Name	Est. Completion Date	Description
Contract Award Date	11/1/2017	Subrecipients are procuring off the State Price Agreement.
RFP Issue Date	11/1/2017	Subrecipients are procuring off the State Price Agreement.
Initial Delivery	1/31/2019	
Last Vehicle Delivered	6/30/2019	
Contracts Complete	6/30/2019	

Budget Activity Line Item: 11.7A.00 - Preventive Maintenance (FY17 5311(f) 80:20)(00)(01)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

AMENDMENT (01)

This grant amendment adds \$30,454 in local match funding to the project activity to correct the total local match funding for preventive maintenance. The federal amount is unchanged and remains \$461,923 in FY17 5311(f) funding.

END AMENDMENT (01)

INITIAL APPLICATION (00)

This grant will use \$461,923 in FY17 5311 (f) funds for preventive maintenance on intercity vehicles for 6 providers for the period 07/01/2017 thru 06/30/2019 - see attached POP for details.

STIP

This project was approved in the 2015-2018 STIP, Key #18947 federally approved on May 20, 2015 and amended on August 25, 2017, amendment No 15-18-2854.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$461,923
Local			\$115,481
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$577,404

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2017	First subrecipient agreement executed and projects begin.
End Date	6/30/2019	All projects complete and last subrecipient agreement closed.

Budget Activity Line Item: 30.09.01 - Operating (FY17 5311 50:50)(00)(01)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

AMENDMENT (01)

This amendment adds \$160,955 of previously unobligated FY17 5311 funds for intercity operating projects for the period 07/01/2017 through 06/30/2019. See attached POP for details.

STIP

STIP key number 21485 was created and amended into the current STIP, federally approved on 10/1/2017 and amended on 6/18/2019 - Amendment Number 18-21-2501. STIP documentation attached.

INITIAL APPLICATION (00)

This grant will use \$850,526 in FY17 5311 funds for intercity operations for 3 providers for the period 07/01/2017 thru 06/30/2019 - see attached POP for details.

STIP

This project was approved in the 2015-2018 STIP, Key #18947 federally approved on May 20, 2015 and amended on August 25, 2017, amendment No 15-18-2854.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$1,011,481
Local			\$1,011,481
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,022,962

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2017	First subrecipient agreement executed and first project begins.
End Date	6/30/2019	All projects complete and last subrecipient agreement closed.

Budget Activity Line Item: 43.50.02 - RTAP Technical Assistance (2017 5311 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$56,252 in FY17 5311 funds for rural technical assistance across the state for the period 07/01/2017 thru 06/30/2019 - see attached POP for details.

STIP

This project was approved in the 2015-2018 STIP, Key #18949 federally approved on May 20, 2015.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$56,252
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$56,252

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2017	First rural technical assistance project begins.
End Date	6/30/2019	All rural technical assistance projects complete.

Budget Activity Line Item: 43.50.01 - RTAP Training (FY17 5311 100:0)(00)(01)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

AMENDMENT (01)

This amendment adds \$3,313 of previously unobligated FY17 5311 funds for rural training across the state for the period 07/01/2017 through 06/30/2019. See attached POP for details.

STIP

STIP key number 21487 was created and amended into the current STIP, federally approved on 10/1/2017 and amended on 6/18/2019 - Amendment Number 18-21-2503. STIP documentation attached.

INITIAL APPLICATION (00)

This grant will use \$124,748 in FY17 5311 funds for rural training across the state for the period 07/01/2017 thru 06/30/2019 - see attached POP for details.

STIP

This project was approved in the 2015-2018 STIP, Key #18949 federally approved on May 20, 2015.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$128,061
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$128,061

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2017	First rural training project begins.
End Date	6/30/2019	All training projects complete.

Budget Activity Line Item: 11.80.00 - State Administration - Direct (FY17 5311 100:0)(00)(01)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

AMENDMENT (01)

This amendment adds \$107,237 of previously unobligated (lapsing) FY17 5311 funds for state's direct administration for the period 07/01/2017 through 06/30/2019. See attached POP for details.

STIP

STIP key number 21484 was created and amended into the current STIP, federally approved on 10/1/2017

and amended on 6/18/2019 - Amendment Number 18-21-2498. STIP documentation attached.

INITIAL APPLICATION (00)

This grant will use \$567,500 in FY17 5311 funds for state's direct administration for the period 07/01/2017 thru 06/30/2019 - see attached POP for details.

STIP

This project was approved in the 2015-2018 STIP, Key #18946 federally approved on May 20, 2015.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$674,737
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$674,737

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2017	State direct administration begins.
End Date	6/30/2019	State direct administration activities are complete.

Budget Activity Line Item: 11.80.00 - State Administration Indirect (FY17 5311 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$567,500 in FY17 5311 funds for state's indirect administration for the period 07/01/2017 thru 06/30/2019 - see attached POP for details.

STIP

This project was approved in the 2015-2018 STIP, Key #18946 federally approved on May 20, 2015.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$567,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$567,500

Milestone Name	Est. Completion Date	Description
State indirect administration begins.	7/1/2017	
State indirect administration activities are complete.	6/30/2019	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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OTHER CAPITAL ITEMS (BUS) (117- 00)	11.7A.00	Preventive Maintenance (FY17 5311 89.73:10.27)(00)	0	\$293,877.00	\$327,511.00
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Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117- 00)	11.7L.00	Mobility Management (FY17 5311 89.73:10.27)(00)	0	\$15,000.00	\$16,717.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.02	Operating (FY17 5311 56.08:43.92)(00)(01)	0	\$7,883,141.00	\$14,056,955.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	Project Administration (FY17 5311 89.73:10.27)(00)	0	\$1,124,758.00	\$1,253,491.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.12.02	Buy Approx 3 Replacement 35-ft Bus (FY17 5311(f) 80:20)(00)	3	\$389,951.00	\$487,440.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.7A.00	Preventive Maintenance (FY17 5311(f) 80:20)(00)(01)	0	\$461,923.00	\$577,404.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	Operating (FY17 5311 50:50)(00)(01)	0	\$1,011,481.00	\$2,022,962.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	RTAP Technical Assistance (2017 5311 100:0)(00)	0	\$56,252.00	\$56,252.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	RTAP Training (FY17 5311 100:0)(00)(01)	0	\$128,061.00	\$128,061.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	State Administration - Direct (FY17 5311 100:0)(00)(01)	0	\$674,737.00	\$674,737.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	State Administration Indirect (FY17 5311 100:0)(00)	0	\$567,500.00	\$567,500.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By **Jeremy Borrego**

Comment Type FTA Post Review Comments for Grantee

Date 4/29/2019

Comment Please find my initial comments for ODOT grant amendment OR-2017-030-01 in my email dated April 29, 2019. Please make the necessary edits, and let me know when they are complete. Please do not re-transmit the grant in TrAMS until I have reviewed and approved the changes. If you have any questions, please let me know.

Comment By Jeremy Borrego

Comment Type FTA Post Review Comments for Grantee

Date 5/13/2019

Comment Please see my email dated May 13, 2019 for review comments to be addressed by the grantee. Please make the changes in TrAMS and let me know when the edits are complete. I will review the edits prior to having you re-transmit the grant. If you have any questions, please let me know. Thank you.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Oregon Department Of

Recipient ID: 1723

DUNS No: 195234364

Application Information

Federal Award Identification Number: OR-2017-030-01

Application Name: 17 5311 OPS, PM, PROJ ADM, INTERCITY, RTAP, STATE ADM

Application Start Date: 9/21/2017

Application End Date: 9/29/2019

Application Executive Summary: This amendment includes \$1,078,141 in FY17 Section 5311 funding for rural operations, intercity operations, rural training assistance, and state administration as follows:

\$806,636 Rural

\$160,955 Intercity

\$3,313 RTAP

\$107,237 State Administration

Indirect costs will be included, and state will be applying an indirect cost rate of 8.60%.

Amended program of projects is attached in TrAMS.

This amendment also includes an adjustment of local match for the intercity bus preventive maintenance project. The adjustment will correct the local match amount to ensure it meets the 80:20 match ratio. The federal funding amount for the project remains unchanged.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$20,169,030.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,078,141.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$562,663.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$12,606,681.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$7,562,349.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$12,606,681
Local			\$7,562,349
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$20,169,030

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: DOL Concur - Certified
Original Certification Date: 9/15/2017

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or

the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Oregon Department Of