

Application

Federal Award Identification Number (FAIN)	ND-2019-005-00
Temporary Application Number	5842-2019-1
Application Name	FY17/18/19 TTP Formula - Operations
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Spirit Lake Tribe

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
5842	Indian Tribe	SPIRIT LAKE TRIBE OF FORT TOTTEN	060487915

Location Type	Address	City	State	Zip
Headquarters	816 3 AVE N	FORT TOTTEN	ND	583359998
Physical Address	816 3 AVE N	FORT TOTTEN	ND	58335
Mailing Address	PO BOX 359	FORT TOTTEN	ND	58335

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY17/18/19 TTP Formula - Operations

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
ND-2019-005-00	Final Concurrence / Reservation	Grant	7/8/2019	7/8/2019	No

Application Start Date

The start date will be set to the date of the award

Application End Date

9/29/2020

Application Executive Summary

FFY 2017, 2018, and 2019 funds for transit services on the Spirit Lake Reservation and surrounding areas. FY 2017 funds are lapsing. The total grant award is for \$706,079.00.

2017 - \$138,071

2018 - \$176,683

2019 - \$391,325

This grant will be used to support extended evening and weekend transit services and pay for transit employees salaries.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: Indirect cost will be applied to this application and apply to one or more if its activities contained within the award scope of work. The cognizant agency US Department of Interior approved Spirit Lake Tribe's IDC Rate on September 26, 2018 and a copy of the organization's approved rate will be uploaded and on file with FTA in TrAMs. The approved rate of 23.36% will be applied to the applicable activity line item descriptions.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Tiffany	Gallegos	Supervisory Transportation Program Specialist	Tiffany.Gallegos@dot.gov	(303) 362-2390
Terry	Gonzales	Administrative Officer	terry.gonzales@dot.gov	(303) 362-2401
Jolene	Crosswhite	Director	jolene1@gondtc.com	701-766-1200

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$706,079
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$706,079

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2019-005-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$706,079.00	\$0.00	\$706,079.00	4
ND-2019-005-01-00	11.12.04 BUY REPLACEMENT	\$192,384.00	\$0.00	\$192,384.00	3
ND-2019-005-01-00	30.09.01 UP TO 100% Federal Share	\$513,695.00	\$0.00	\$513,695.00	1

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: Spirit Lake Public Transportation Operations

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2019-005-01-00	5842-2019-1-P1	7/9/2019	6/30/2018	6/29/2020

Project Description

The Spirit Lake Public Transportation currently operates a Demand Response and a Dial-a-Ride transit services on the Spirit Lake Reservation and the off reservation community of Devils Lake. The hours of operation are 6:00 a.m. to 12:30 a.m., seven (7) days a week. Transportation is provided by the means of two (2) buses, 1 mini-van, and two 15 passenger vans and the fare rate is \$1.00 per ride.

Transportation services are provided to The Spirit Lake Reservation which encompasses all of Benson County and the communities of Fort Totten, St Michaels, Tokio and Crowhill. Transportation services are also provided to the off reservation community of Devils Lake. The transportation program plans to increase services and increase the current ridership by hiring another full time evening driver and two part time drivers for the weekend hours due to the large amount of riders during these hours.

Project Benefits

The Spirit Lake Public Transportation provides transportation services and is continually establishing ways to better serve the Spirit Lake Reservation's transportation needs. The impact of these efforts on the Reservation is evident in the increase of ridership numbers. The ridership numbers are continuing to increase due the public awareness, convenience, and affordability of the transportation services. The General Assistance/Welfare Assistance is a cash assistance program available to low income families to meet their basic living needs and two years ago the monthly case load was at 360, currently the case load has decreased to 120 families per month! These numbers have decreased largely due to these families gaining employment and utilizing the available transportation services to get to work. The transportation services maintains the independence of our senior citizens, meets the health care needs, child care, and educational needs of families and helps the low income households achieve self-sufficiency by providing a reliable and affordable means of getting to work. By implementing and sustaining the Spirit Lake's Transportation services we are one step closer to eliminating the cycle of poverty on the Spirit Lake Reservation.

Additional Information

None provided.

Location Description

The Spirit Lake Reservation is located in North Dakota and encompasses all of Benson County and the communities of Fort Totten, St. Michaels, Tokio and Crowhill.

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kevin Cramer

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$706,079
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$706,079

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2019-005-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$706,079.00	\$0.00	\$706,079.00	4
ND-2019-005-01-00	11.12.04 BUY REPLACEMENT	\$192,384.00	\$0.00	\$192,384.00	3
ND-2019-005-01-00	30.09.01 UP TO 100% Federal Share	\$513,695.00	\$0.00	\$513,695.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	3

Extended Budget Description

Will purchase 3 new vehicles to replace 3 vehicles that have met useful life. The estimated useful of the new vehicles is 7 years or 200,000 miles.

VIN# Vehicle Year Vehicle Make/Model Current Mileage

1FDFE4FL8BDA68543 2011 Ford E-450 179,067

1FBSS3BL2BDA78629 2011 Ford E-350 298,643

1FBSS3BL0BDA78628 2011 Ford E-350 296,426

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$192,384
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$192,384

Milestone Name	Est. Completion Date	Description
Place order with Vendor	8/20/2019	The 3 replacement vehicles will be ordered through Harlow's Bus Service.
Delivery date for replacement vehicles	10/23/2019	Harlow's Bus Service to deliver the 3 replacement vehicles
Contract completion date	1/23/2020	Contract completion

Budget Activity Line Item: 30.09.01 - UP TO 100% Federal Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Funds will be used for operating expense July 1, 2018 to June 30, 2020.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$513,695
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$513,695

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Start of operations
End Date	6/30/2020	Funds expended for operations.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 100% Federal Share	1	\$513,695.00	\$513,695.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT	3	\$192,384.00	\$192,384.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Spirit Lake Tribe

Recipient ID: 5842

DUNS No: 060487915

Application Information

Federal Award Identification Number: ND-2019-005-00

Application Name: FY17/18/19 TTP Formula - Operations

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2020

Application Executive Summary: FFY 2017, 2018, and 2019 funds for transit services on the Spirit Lake Reservation and surrounding areas. FY 2017 funds are lapsing. The total grant award is for \$706,079.00.

2017 - \$138,071

2018 - \$176,683

2019 - \$391,325

This grant will be used to support extended evening and weekend transit services and pay for transit employees salaries.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$706,079.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$706,079.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$706,079.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$706,079
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$706,079

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Spirit Lake Tribe