DOT FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	IA-2019-024-00
Temporary Application Number	1812-2019-12
Application Name	5311 Operating/RTAP/Planning/Capital
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, Iowa Dept Of					
Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS		
1812	State Agency	IOWA DEPARTMENT OF TRANSPORTATION	120527275		

Location Type	Address	City	State	Zip
Headquarters	800 LINCOLN WAY	AMES	IA	500106915
Physical Address	800 LINCOLN WAY	AMES	IA	50010
Mailing Address	800 LINCOLN WAY	AMES	IA	50010

Union Information

Union Name	NONE - NOT APPLICABLE
Address 1	
Address 2	
City	
State	District of Columbia
Zipcode	00000
Contact Name	
Telephone	

Fax	00000
E-mail	
Website	

Part 2: Application Information

Title: 5311 Operating/RTAP/Planning/Capital

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
IA-2019- 024-00	Final Concurrence / Reservation	Grant	5/17/2019	5/17/2019	No

Application Start Date

The start date will be set to the date of the award

Application End Date

12/30/2024

Application Executive Summary

Executive Summary FFY2019 Section 5311 Funding Project Details

May 2019

This FFY19 5311 Non-Urbanized Area Formula Program grant application is for \$12,709,612.

FUNDING SUMMARY:

\$12,124,324 FY19 5311 Operating Amount \$350,000 FY19 Statewide Planning Project \$235,288 RTAP apportionment \$12,709,612 Total amount of FTA FY19 5311 application

lowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for lowa's small urban and regional transit systems and their planners to attend lowa DOT sponsored seminars, as well as transit-related courses, conferences or inhouse training, and also include a portion of State-wide training seminars, and a Statewide annual driver roadeo,

Planning funds in this application will be used to support regional transportation planning activities that are listed in each of the Transportation Planning Work Programs (TPWPs) that each agency is required to prepare and is approved by Iowa DOT. Some of these activities include Passenger Transportation Development Planning (PTDP-Coordinated Human Service Planning) and general transit/transportation planning.

The twenty-three (23) subrecipients in this application include seven (7) private not for profits and sixteen (16) public bodies. These subrecipients cover fifteen (15) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Local funding comes from general fund levies and sometimes from "Trust and Agency" levies. Each transit system has certified that local shares for their projects are available.

Certification and Assurances: Individual subrecipient Certifications and Assurances are a required part of the subrecipients consolidated funding application materials. This documentation is on file at the DOT and is available for FTA inspection at any time.

Coordination: The Iowa DOT requires all transit projects to be included in the Passenger Transportation Plans (PTPs).

Period of Performance:

Start Date is: The Award Start Date is the same as the date of the award.

End Date is: 12/31/2024

Public Involvement: Iowa requires a public hearing for each consolidated transit funding application. This may be combined with another hearing. The public hearing notice is required to be published approximately 30 days in advance of the hearing, in a newspaper of general circulation serving the project area. The notice is also submitted to the Office of Public Transit for inclusion in the Private Sector Clearinghouse mailing. An affidavit of publication is required. Proceedings of the hearing must be included with the application. This may be a formal transcript or it may be minutes of the board meeting at which the hearing was held, etc., so long as any comments made at the hearing are recorded. The public hearing documentation is maintained in the subrecipients application files and is available for FTA inspection at any time.

Program of Projects - attached

13(c) Labor Certification Information - attached

No projects in this application are using force account labor for any activities in the budget.

Subrecipient contact information is attached.

Subrecipient service area and type of service is attached.

Section 5311 Operating Draft Contract is attached.

All transit systems contained in this application have been determined to be serving minority populations based on most recent census data.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A
Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jeremiah	Shuler	Transportation Program Specialist	jeremiah.shuler@dot.gov	816-329- 3940
Laurie	Carnahan	Administrative Assistant	laurie.carnahan@iowadot.us	515-233- 7870

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$12,709,612
Local			\$12,211,824
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$24,921,436

Application Budget

Project Number	dget Item FTA	Amount Non-FTA Amount	Total Eligible Amount	Quantity
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IA-2019- 024-01-00	300- 00 (300- A1)	OPERATIN ASSISTAN		\$12,124,324.00	\$12,124,324.00	\$24,248,648.00	23
IA-2019- 024-01-00		30.09.01 ⁵ F	IP TO 0% EDERAL HARE	\$12,124,324.00	\$12,124,324.00	\$24,248,648.00	23
IA-2019- 024-01-00	441- 00 (441- A2)	STATE PLA & RESEAR		\$350,000.00	\$87,500.00	\$437,500.00	0
IA-2019- 024-01-00		44.24.00 R	HORT ANGE RANSIT LANNING	\$350,000.00	\$87,500.00	\$437,500.00	0
IA-2019- 024-01-00	635- 00 (635- A3)	RURAL TR ASSISTAN PROGRAM	CE	\$235,288.00	\$0.00	\$235,288.00	0
IA-2019- 024-01-00		43.50.01 T	RAINING	\$235,288.00	\$0.00	\$235,288.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IA-2019-024-01-00	1812-2019-12-P1	5/17/2019	6/30/2019	9/29/2024

Project Description

This FFY19 5311 Non-Urbanized Area Formula Program grant application is for \$12,709,612.

FUNDING SUMMARY:

\$14,097,605 Total Original FY19 5311 Apportionment \$491,360 FY18 ICB funds reallocation (see attachment of governor's letter to DOT) (\$2,114,641) FY19 ICB (A separate FTA grant application for FFY19 ICB funds at a later date) \$235,288 Section 5311(b)(3) Rural Transit Assistance Program apportionment \$12,709,612 Total amount of FTA FY19 5311 application

\$12,124,324 FY19 5311 Operating Amount \$350,000 FY19 Statewide Planning Project \$235,288 RTAP apportionment \$12,709,612 Total amount of FTA FY19 5311 application

lowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The

fellowships pay 100 percent of the cost for lowa's small urban and regional transit systems and their planners to attend lowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of State-wide training seminars, and a Statewide annual driver roadeo,

Planning funds in this application will be used to support regional transportation planning activities that are listed in each of the Transportation Planning Work Programs (TPWPs) that each agency is required to prepare and is approved by Iowa DOT. Some of these activities include Passenger Transportation Development Planning (PTDP-Coordinated Human Service Planning) and general transit/transportation planning.

Project Benefits

The twenty-three (23) subrecipients in this application include seven (7) private not for profits and sixteen (16) public bodies. These subrecipients cover fifteen (15) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area. These 23 subrecipients will receive the benefit of reliable source of operating funding. All statewide transit systems will receive the benefit of a travel training program under RTAP (and STA funding for larger urban areas), and statewide planning resources.

lowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for lowa's small urban and regional transit systems and their planners to attend lowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of State-wide training seminars, and a Statewide annual driver roadeo.

Planning funds in this application will be used to support regional transportation planning activities that are listed in each of the Transportation Planning Work Programs (TPWPs) that each agency is required to prepare and is approved by Iowa DOT. Some of these activities include Passenger Transportation Development Planning (PTDP-Coordinated Human Service Planning) and general transit/transportation planning.

Additional Information

Funding Match Sources: 5311 RURAL OPERATIONAL FORMULA -- local communities/tax base/ commitment through TIP/STIP RTAP TRAINING OPPORTUNITIES -- state funding source for minimal match because RTAP rural policy offers 100% STATEWIDE PLANNING -- The RPAs, through agreement with the lowa DOT, have made a commitment to provide the 20 percent local share of the total project cost.

Location Description

This is for operating assistance for rural agencies throughout lowa. Also, statewide regional planning and RTAP

Project Location (Urbanized Areas)

UZA Code	Area Name
190000	lowa

Congressional District Information

State	District	Representative	
Iowa	1	Rod Blum	
Iowa	2	David Loebsack	
Iowa	3	David Young	
Iowa	4	Steve King	

Program Plan Information

STIP/TIP

Date: 9/30/2018

Description: Subrecipient STIP Page Subrecipient STIP Page Subrecipient STIP Page Region 1 274 Region 3 282 Region 4 286 Region 5 289 Region 6 291 Region 7 292 Region 8 294 Region 9 297 Region 10 301 Region 11 305 Des Moines 262 Region 12 309 Region 13 311 Region 14 313 Region 15 316 Region 16 318 Burlington 313 Clinton 294 Fort Dodge 289 Marshalltown 291 Mason City 273 Muscatine 297 Ottumwa 318 Statewide 253 2019-2022 STIP Introduction Transit Administration Programming Process 5 Financial Analysis 5 Public Participation 10 Changing an Approved STIP 11 FHWA and FTA Programs FHWA FTA

UPWP

357

Date: N/A Description: N/A

Long Range Plan

Date: N/A
Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$12,709,612
Local			\$12,211,824
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$24,921,436

Project Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2019- 024-01-00	300- 00 (300- A1)	OPERATING ASSISTANCE	\$12,124,324.00	\$12,124,324.00	\$24,248,648.00	23
IA-2019- 024-01-00		30.09.01 UP TO 50% FEDERAL SHARE	\$12,124,324.00	\$12,124,324.00	\$24,248,648.00	23
IA-2019- 024-01-00	441- 00 (441- A2)	STATE PLANNING & RESEARCH	\$350,000.00	\$87,500.00	\$437,500.00	0
IA-2019- 024-01-00		SHORT RANGE TRANSIT PLANNING	\$350,000.00	\$87,500.00	\$437,500.00	0
IA-2019- 024-01-00	635- 00 (635- A3)	RURAL TRANSIT ASSISTANCE PROGRAM	\$235,288.00	\$0.00	\$235,288.00	0
IA-2019- 024-01-00		43.50.01 TRAINING	\$235,288.00	\$0.00	\$235,288.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Training Assistance

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$235,288
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$235,288

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	RTAP
End Date	9/30/2024	RTAP

Budget Activity Line Hem. 44	4.24.00 - SHORT RANGE TRANSIT PLANNING
Budget Activity Line Item: 44	4.24.00 - SHURT RANGE TRANSIT PLANNING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE PLANNING & RESEARCH (441-00)	44.24.00	SHORT RANGE TRANSIT PLANNING	STATE PLANNING & RESEARCH	0

Extended Budget Description

Rural transit planning assistance

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$350,000
Local			\$87,500
Local/In-Kind			\$0

Total Eligible Cost	 \$437,500
Adjustment	\$0
Transportation Development Credit	\$0
Other Federal	\$0
State/In-Kind	\$0
State	\$0

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	Planning
End Date	12/31/2020	Planning

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	23

Extended Budget Description

Operating assistance for rural transit providers --The twenty-three (23) subrecipients in this application include seven (7) private not for profits and sixteen (16) public bodies. These subrecipients cover fifteen (15) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$12,124,324
Local			\$12,124,324
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$24,248,648

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2019	OPERATING
End Date	12/31/2020	Operating

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/16/2019

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By Beth Held

Comment Type	Environmental Findings
Date	7/16/2019
Project Title	Operating assistance, Planning and RTAP
Project Number	IA-2019-024-01-00
Comment	The proposed operating assistance, RTAP planning activities are found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Application Review Comments

Comment By Jeremiah Shuler

Comment Type	FTA Post Review Comments for Grantee
Date	5/31/2019

Comment

Please extend the application end date a few months past the last project end date (9/30/24), use scope code 635 for RTAP projects. Consider listing funding sources rather than in paragraph form for clarity.

Comment By Jeremiah Shuler

Comment Type	FTA Post Review Comments for Grantee
Date	6/18/2019
Comment	Please adjust the funding in the ex. summary and add Gov's Letter.

Comment By Jeremiah Shuler

Comment Type	FTA Post Review Comments for Grantee
Date	7/2/2019
Comment	Please address comments regarding grant executive summary. Just include funding description of funds to be used in the grant.

Comment By Laurie Carnahan

Comment Type	Grantee Post Review Comments for FTA
Date	7/17/2019
Comment	please add "Captial" to title of grant

Part 6: Agreement

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT (FTA G-25, October 1, 2018)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, http://www.transit.dot.gov,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Iowa Dept Of

Recipient ID: 1812

DUNS No: 120527275

Application Information

Federal Award Identification Number: IA-2019-024-00

Application Name: 5311 Operating/RTAP/Planning/Capital

Application Start Date: The start date will be set to the date of the award

Application End Date: 12/30/2024

Application Executive Summary: Executive Summary FFY2019 Section 5311 Funding

Project Details

May 2019

This FFY19 5311 Non-Urbanized Area Formula Program grant application is for \$12,709,612.

FUNDING SUMMARY:

\$12,124,324 FY19 5311 Operating Amount \$350,000 FY19 Statewide Planning Project \$235,288 RTAP apportionment \$12,709,612 Total amount of FTA FY19 5311 application

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Planning funds in this application will be used to support regional transportation planning activities that

are listed in each of the Transportation Planning Work Programs (TPWPs) that each agency is required to prepare and is approved by Iowa DOT. Some of these activities include Passenger Transportation Development Planning (PTDP-Coordinated Human Service Planning) and general transit/transportation planning.

The twenty-three (23) subrecipients in this application include seven (7) private not for profits and sixteen (16) public bodies. These subrecipients cover fifteen (15) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Local funding comes from general fund levies and sometimes from "Trust and Agency" levies. Each transit system has certified that local shares for their projects are available.

Certification and Assurances: Individual subrecipient Certifications and Assurances are a required part of the subrecipients consolidated funding application materials. This documentation is on file at the DOT and is available for FTA inspection at any time.

Coordination: The Iowa DOT requires all transit projects to be included in the Passenger Transportation Plans (PTPs).

Period of Performance:

Start Date is: The Award Start Date is the same as the date of the award.

End Date is: 12/31/2024

Public Involvement: Iowa requires a public hearing for each consolidated transit funding application. This may be combined with another hearing. The public hearing notice is required to be published approximately 30 days in advance of the hearing, in a newspaper of general circulation serving the project area. The notice is also submitted to the Office of Public Transit for inclusion in the Private Sector Clearinghouse mailing. An affidavit of publication is required. Proceedings of the hearing must be included with the application. This may be a formal transcript or it may be minutes of the board meeting at which the hearing was held, etc., so long as any comments made at the hearing are recorded. The public hearing documentation is maintained in the subrecipients application files and is available for FTA inspection at any time.

Program of Projects - attached

13(c) Labor Certification Information – attached

No projects in this application are using force account labor for any activities in the budget.

Subrecipient contact information is attached.

Subrecipient service area and type of service is attached.

Section 5311 Operating Draft Contract is attached.

All transit systems contained in this application have been determined to be serving minority populations based on most recent census data.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

<u>Suballocation Funds:</u> Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$24,921,436.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$12,709,612.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$12,211,824.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$12,709,612.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$12,211,824.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$12,709,612
Local			\$12,211,824
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$24,921,436

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION U.S. DEPARTMENT OF TRANSPORTATION Contact Info: Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Iowa Dept Of