

## Application

<b>Federal Award Identification Number (FAIN)</b>	GU-2019-001-00
<b>Temporary Application Number</b>	1641-2018-1
<b>Application Name</b>	5311   Operating Assistance, State or Programs Administration, Construct Maintenance Facility, Purchase Communication Equipment   FFYs 2018\$ & 2019\$
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

### Part 1: Recipient Information

**Name: Guam Regional Transit Authority**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1641	Transit Authority	GUAM REGIONAL TRANSIT AUTHORITY	855025297

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	542 NORTH MARINE CORPS DR	UPPER TUMON	GU	96913
Mailing Address	P.O. BOX 2896	HAGATNA	GU	96932

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: 5311 | Operating Assistance, State or Programs Administration, Construct Maintenance Facility, Purchase Communication Equipment | FFYs 2018\$ & 2019\$**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
GU-2019-001-00	Final Concurrence / Reservation	Grant	6/26/2018	6/26/2018	No

**Application Start Date**

The start date will be set to the date of the award

**Application End Date**

9/29/2023

**Application Executive Summary**

The FY 2018 federal funding are as follows: Section 5310 amounting to \$45,068 (Enhanced Mobility of Seniors & Individuals with Disabilities Grant Program), Section 5311 (b) (3) amounting to \$23,637 (Rural Transit Assistance Program [RTAP] ), Section 5339 amounting to \$1,000,000 are consolidated to Section 5311 amounting to \$849,487 for a grand total amounting amounting to \$1,918,192 which will be used as operating assistance to reimburse the GovGuam General Funds for partial expenditures incurred by GRTA's paratransit service in FY 2018, state or programs administration for all FTA grant programs, and purchase of communication systems.

FFY 2018 funds:

\$45,068 – 5310  
 \$849,487 – 5311  
 \$23,637 – 5311(b)(3) RTAP  
 \$1,000,000 – 5339  
 \$1,918,192 – TOTAL

The FY 2019 federal funding are as follows: Section 5310 amounting to \$44,964 (Enhanced Mobility of Seniors & Individuals with Disabilities Grant Program), Section 5311 (b) (3) amounting to \$25,268 (Rural Transit Assistance Program [RTAP] ), Section 5339 amounting to \$1,000,000 and Section 5311 amounting to \$931,415 for a grand total amounting to \$2,001,647 which will be used as operating assistance to reimburse the GovGuam General Funds for partial expenditures incurred by GRTA's paratransit service in FY 2019, state or programs administration for all FTA grant programs, purchase of ADA compliant buses and the construction of GRTA Maintenance Facility.

FFY 2019 funds:

\$44,964 - 5310  
 \$931,415 - 5311  
 \$25,268 - 5311(b)(3) RTAP  
 \$1,000,000 - 5339  
 \$2,001,647 - TOTAL

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration funds totaling \$191,819 for FY 2018 and amount totaling \$200,165 for FY 2019 which is 10 percent of the combined total of FY 2018 and FY 2019 Sections 5310, 5311, 5311 (b) (3), 5339, will be used by GRTA for funding the salaries and benefits in hiring 2 (two) limited-term employees to administer all FTA grant programs, off-island travel/training, and office supplies and equipment in FY 2019 and FY 2020 amounting to \$321,984. A partial funding of the FY 2018 funds are for the purchase of communications system amounting to \$70,000.

In addition, as per FTA C9040.1G, Page iii-11, 3.c., the FY 2018 Operating Assistance amounting to

\$726,373 will be used to reimburse GovGuam General Funds for the partial operational expenditures incurred by the GRTA paratransit service in FY 2018. To include for FY 2019 the amount of \$801,482. For the total amount to \$1,527,855.

GRTA earmarks the FY 2018 Section 5339 grant funds which are consolidated to FY 2018 Section 5311 amounting to \$1,000,000 will be used to construct GRTA's proposed maintenance facility. To include FY 2019 amounting to \$500,000. For the total amount to \$1,500,000. The remaining funds of \$500,000 of FY 2019 will be to procure two (2) 24 passenger ADA compliant gasoline or diesel fueled buses which are more than 30 ft. long.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Catherine	Luu	General Engineer	Catherine.Luu@dot.gov	(415) 734-9467
Pilar	Carbullido	Program Coordinator IV	pilar.carbullido@grta.guam.gov	(671) 475-4686
Darin	Allan	Community Planner	Darin.Allan@dot.gov	(415) 734-9470

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,419,839
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,919,839</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
GU-2019-001-01-00	111-00 (111-A7) BUS - ROLLING STOCK	\$500,000.00	\$0.00	\$500,000.00	1
GU-2019-001-01-00	11.17.00 VEH OVERHAUL (UP TO 20% VEH MAINT)	\$500,000.00	\$0.00	\$500,000.00	1
GU-2019-001-01-00	114-00 (114-A4) BUS: SUPPORT EQUIP AND FACILITIES	\$1,500,000.00	\$0.00	\$1,500,000.00	1
GU-2019-001-01-00	11.43.02 CONSTRUCT - MAINTENANCE FACILITY	\$1,500,000.00	\$0.00	\$1,500,000.00	1
GU-2019-001-01-00	116-00 (116-A3) SIGNAL & COMM EQUIPMENT (BUS)	\$70,000.00	\$0.00	\$70,000.00	1
GU-2019-001-01-00	11.62.02 PURCHASE COMMUNICATIONS SYSTEM	\$70,000.00	\$0.00	\$70,000.00	1
GU-2019-001-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$1,457,623.00	\$0.00	\$1,457,623.00	1
GU-2019-001-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$1,457,623.00	\$0.00	\$1,457,623.00	1

GU-2019-001-01-00	610-00 (610-A2)	STATE / PROGRAMS ADMINISTRATION	\$253,279.00	\$0.00	\$253,279.00	1
GU-2019-001-01-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$253,279.00	\$0.00	\$253,279.00	1
GU-2019-001-02-00	300-00 (300-A5)	OPERATING ASSISTANCE	\$90,032.00	\$0.00	\$90,032.00	1
GU-2019-001-02-00		30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$90,032.00	\$0.00	\$90,032.00	1
GU-2019-001-03-00	300-00 (300-A6)	OPERATING ASSISTANCE	\$48,905.00	\$0.00	\$48,905.00	1
GU-2019-001-03-00		30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$48,905.00	\$0.00	\$48,905.00	1

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: Operating Assistance, State or Programs Administration, Construct Maintenance Facility, and Purchase of Communication Equipment

Project Number	Temporary Project Number	Date Created	Start Date	End Date
GU-2019-001-01-00	1641-2018-1-P1	8/20/2018	9/30/2018	9/29/2023

#### Project Description

As per FTA C9040.1G, Page III-7,3.a., a state or program administration funds totaling \$191,819 which is 10 percent of the combined total of FY 2018 Sections 5310, 5311,5311 (b) (3), 5339 will be used by GRTA for funding the salaries and benefits in hiring 2 (two) limited-term employees to administer all FTA grant programs, off-island travel/training and office supplies/equipment in FY 2019 amounting to \$121,819, and for the partial funding of the purchase of communications system amounting to \$70,000.

In addition, as per FTA C9040.1G, Page iii-11, 3.c., the FY 2018 Operating Assistance amounting to \$726,373 will be used to reimburse GovGuam General Funds for the partial operational expenditures incurred by the GRTA paratransit service in FY 2018. To include for FY 2019 the amount of \$801,482. For the total amount to \$1,527,855.

The Section 5339 funds amounting to \$1,000,000 which is consolidated to Section 5311 will be used to fund the construction of GRTA's Maintenance Facility.

**Project Benefits**

The seniors & individuals with disabilities including the economically disadvantaged target populace of the island will reap the benefits of partially funding GRTA paratransit service which translates to more operating hours and committed federal funding. Moreover, the construction of the proposed GRTA's Maintenance Facility would make the maintenance and repairs of GRTA vehicles more efficient. Further, with the partial funding of the communications system purchase, GRTA will be able to employ a transportation management system with computer-aided reservations, scheduling, and dispatching thereby making GRTA Dispatch Center more efficient and effective. Finally, all federal grant program requirements will be complied with thereby ensuring continued funding for GRTA's paratransit service with the funding of the salaries and benefits in hiring 2 (two) limited-term employees to administer the grant programs.

**Additional Information**

No additional information.

**Location Description**

GRTA fixed route and paratransit services operate throughout the island. Thus, the grant project locations are also island-wide.

Hagatna Office  
330 Hernan Cortez Ave  
3rd FL. Hagatna, Guam 96910

**Project Location (Urbanized Areas)**

UZA Code	Area Name
660000	Guam

**Congressional District Information**

State	District	Representative
Guam	1	Michael San Nicolas

**Program Plan Information****STIP/TIP**

Date: 8/21/2018

Description: Program Plan-STIP\_TIP: Guam Transportation Improvement Plan (GTIP). The second paragraph of the GTIP cover letter mentioned GRTA funding.

**UPWP**

Date: Not Provided

Description: FY 2018 State Program of Projects. Since the FY 2018 grant projects were not specifically mentioned in the GTIP documents, GRTA has published a notice of the FY 2018 State Program of Projects to a local newspaper.

**Long Range Plan**

Date: 8/21/2018

Description: Long Range Plan: 2030 Guam Transportation Plan. Section 5.6 Mass Transit Improvements on Page 5-33, Subsection 5.6.1 Fixed Route System on Page 5-34 to 5-35 and Subsection 5.6.2 Immediate Opportunities for Guam Mass Transit on 5-36.

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,280,902
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,780,902</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
GU-2019-001-01-00	111-00 (111-A7) BUS - ROLLING STOCK	\$500,000.00	\$0.00	\$500,000.00	1
GU-2019-001-01-00	11.17.00 VEH OVERHAUL (UP TO 20% VEH MAINT)	\$500,000.00	\$0.00	\$500,000.00	1
GU-2019-001-01-00	114-00 (114-A4) BUS: SUPPORT EQUIP AND FACILITIES	\$1,500,000.00	\$0.00	\$1,500,000.00	1
GU-2019-001-01-00	11.43.02 CONSTRUCT - MAINTENANCE FACILITY	\$1,500,000.00	\$0.00	\$1,500,000.00	1
GU-2019-001-01-00	116-00 (116-A3) SIGNAL & COMM EQUIPMENT (BUS)	\$70,000.00	\$0.00	\$70,000.00	1
GU-2019-001-01-00	11.62.02 PURCHASE COMMUNICATIONS SYSTEM	\$70,000.00	\$0.00	\$70,000.00	1

GU-2019-001-01-00	300-00 (300-A1)	OPERATING ASSISTANCE	\$1,457,623.00	\$0.00	\$1,457,623.00	1
GU-2019-001-01-00		SLIDING SCALE 30.09.02 (5311 OR 5310 PILOT ONLY)	\$1,457,623.00	\$0.00	\$1,457,623.00	1
GU-2019-001-01-00	610-00 (610-A2)	STATE / PROGRAMS ADMINISTRATION	\$253,279.00	\$0.00	\$253,279.00	1
GU-2019-001-01-00		STATE OR PROGRAM ADMINISTRATION	\$253,279.00	\$0.00	\$253,279.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.17.00 - VEH OVERHAUL (UP TO 20% VEH MAINT)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.17.00	VEH OVERHAUL (UP TO 20% VEH MAINT)	BUS - ROLLING STOCK	1

#### Extended Budget Description

GRTA is applying a portion of FY 2019 Section 5339, Bus and Bus Facilities amounting to \$500,000 for the purchase of two (2) 24 passenger ADA compliant gasoline or diesel fueled buses which are more than 30 ft. long.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	More than 30 ft. long

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$500,000</b>



Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	2/28/2020	GRTA will go through the IFB process. The initial RFP/IFB will be packaged and submitted to Guam's Attorney Generals Office for review and compliance. The packet will then be submitted to GSA for RFP/IFB process.
Contract Award Date	8/31/2020	GRTA will then receive notice of vendor select and contract award. GRTA will coordinate with vendor select on the breakdown of the bus procurement.
Initial Delivery Date	1/29/2021	GRTA will accept delivery of some of the buses on or before the estimated date of 1/29/2021.
Final Delivery Date	2/26/2021	GRTA will accept delivery of all remaining vehicles on or before 2/26/2021.
Contract Completion Date	9/30/2021	Upon completion of the contract on or before 9/30/2021, GRTA will finalize the close out of the contract.

**Budget Activity Line Item: 11.43.02 - CONSTRUCT - MAINTENANCE FACILITY**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.02	CONSTRUCT - MAINTENANCE FACILITY	CONSTRUCTION OF BUS SUPPORT EQUIP/FACIL	1

**Extended Budget Description**

GRTA will use the funds from FY 2018 and FY 2019 for the construction of a GRTA Bus Maintenance Facility to accommodate all GRTA buses and provide efficient service of all fleet.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,500,000</b>

Milestone Name	Est. Completion Date	Description
Construction of GRTA Maintenance Facility	10/1/2022	Construction of the GRTA Maintenance Facility will service as a bus maintenance, operations and service facility for all GRTA buses in achieving more effective daily operations of its fleet.
End Date	9/30/2023	Construction of the GRTA Maintenance Facility will service as a bus maintenance, operations and service facility for all GRTA buses in achieving more effective daily operations of its fleet.
RFP/IFB Issued for A & E Design	1/30/2020	GRTA currently has \$237, 500 for the partial preliminary architectural and engineering design (\$137,500) of GRTA's Maintenance Facility, to include \$100,000 for the NEPA environmental review. The additional amount of funds in FY 2019 grant will complete the final A & E design project.
Final and Bidding Process	7/31/2020	GRTA will engage with the final and award vendor during this final bid process. Once a vendor is selected based on the bid process, notice to proceed will be issued for the construction of GRT Maintenance Facility. This will include the construction management of the project.

**Budget Activity Line Item: 11.62.02 - PURCHASE COMMUNICATIONS SYSTEM**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.02	PURCHASE COMMUNICATIONS SYSTEM	AQUISITION 1	

**Extended Budget Description**  
 Partial funding for the Transportation Management System Software & Hardware for GRTA One-Call/One-Click Dispatch Center.

**Will 3rd Party contractors be used to fulfill this activity line item?**  
 Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$70,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$70,000</b>
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<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Purchase Communications Equipment	9/30/2019	GRTA will use a portion from FY 2018 grant for Partial funding for the Transportation Management System Software & Hardware for GRTA One-Call/One-Click Dispatch Center. The initial procurement was provided from GU-04-0004 grant for the Transportation Management System Software & Hardware for GRTA One-Call/One-Click Dispatch Center. Additional funds of \$70,000 from FY 2018 grant will pay for the Transportation Management System Software & Hardware for GRTA One-Call/One-Click Dispatch Center.
End Date	9/30/2020	Partial funding for the Transportation Management System Software & Hardware for GRTA One-Call/One-Click Dispatch Center.

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

<b>Scope Name / Code</b>	<b>Line Item #</b>	<b>Line Item Name</b>	<b>Activity</b>	<b>Quantity</b>
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

**Extended Budget Description**

GRTA will use the funding of FY 2018 and FY 2019 for funding for salaries and benefits in hiring 2 (two) limited-term employees that will be assigned to administer the federal programs in FY 2019 (to replace the 2 (two) limited-term employees who left the agency recently) including off-island travel/training and office supplies/equipment.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$253,279
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$253,279</b>

Milestone Name	Est. Completion Date	Description
State or Program Administration	10/1/2018	FTA funding for salaries and benefits of hiring 2 (two) limited-term employees to administer all FTA grant programs including off-island travel/training and office supplies/equipment in FY 2019 amounting to \$121,819.
End Date	9/30/2022	FTA Funding for salaries and benefits in hiring 2 (two) limited-term employees that will be assigned to administer the federal programs including off-island travel/training and office supplies/equipment in FY 2019.

**Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

**Extended Budget Description**

GRTA will use funds from FY 2018 and FY 2019 for the expenditures incurred for the operational expenses of GRTA paratransit service in FY 2019 and FY 2020.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,457,623
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,457,623</b>

Milestone Name	Est. Completion Date	Description
Operating Assistance	10/1/2018	The FY 2018 Operating Assistance amounting to \$657,668 will be used to reimburse the GovGuam General Funds for the partial operational expenditures incurred by GRTA paratransit service in FY 2018.

End Date	9/30/2021	GRTA will use the funds to reimburse GovGuam for the partial operational expenditures incurred by GRTA paratransit service in FY 2018.
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## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/29/2018

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$1,457,623.00	\$1,457,623.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/29/2018

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$253,279.00	\$253,279.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	8/29/2018

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.02	PURCHASE COMMUNICATIONS SYSTEM	1	\$70,000.00	\$70,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.43.02	CONSTRUCT - MAINTENANCE FACILITY	1	\$1,500,000.00	\$1,500,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.17.00	VEH OVERHAUL (UP TO 20% VEH MAINT)	1	\$500,000.00	\$500,000.00

**Project Title: Operating Assistance**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
GU-2019-001-02-00	1641-2018-1-P2	2/27/2019	9/30/2018	9/29/2019

**Project Description**

As per FTA C9040.7G, Page iii-11, 3c., the total FY 2018 Operating Assistance amounting to \$726,373 (breakdown as \$657,668, \$45,068 and \$23,637) will be used to reimburse the GovGuam General Funds for the partial operational expenditures incurred by GRTA paratransit service in FY 2018. For Project Number 1641-2018-P2, a partial funding of \$45,068 is budgeted.

**Project Benefits**

The seniors & individuals with disabilities including the economically disadvantaged target populace of the island will reap the benefits of partially funding GRTA paratransit service which translates to more operating hours and committed federal funding.

**Additional Information**

No additional information

**Location Description**

GRTA Fixed Route and Paratransit Services operate throughout the island thus the grant project locations are also island-wide.

Hagatna Office  
 330 Hernan Cortez Ave.  
 3rd Fl. Hagatna, Guam 96910

**Project Location (Urbanized Areas)**

UZA Code	Area Name
660000	Guam

**Congressional District Information**

State	District	Representative
Guam	1	Michael San Nicolas

**Program Plan Information**

**STIP/TIP**

Date: N/A  
 Description: N/A

**UPWP**

Date: N/A  
 Description: N/A

**Long Range Plan**

Date: N/A  
 Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$90,032
Local			\$0
Local/In-Kind			\$0
State			\$0



State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$90,032</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
GU-2019-001-02-00	300-00 (300-A5) OPERATING ASSISTANCE	\$90,032.00	\$0.00	\$90,032.00	1
GU-2019-001-02-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$90,032.00	\$0.00	\$90,032.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

### Extended Budget Description

GRTA will use the funds to reimburse GovGuam for the partial operational expenditures incurred by GRTA Paratransit Service in FY 2018.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$90,032
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$90,032</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2019	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$90,032.00	\$90,032.00

### Project Title: Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
GU-2019-001-03-00	1641-2018-1-P3	2/27/2019	9/30/2018	9/29/2019

#### Project Description

As per FTA C9040.7G, Page iii-11, 3c., the FY 2018 Operating Assistance amounting to \$726,373 (breakdown as \$657,668, \$45,068 and \$23,637) will be used to reimburse the GovGuam General Funds for the partial operational expenditures incurred by GRTA paratransit service in FY 2018. For Project Number 1641-2018-1-P3 a partial funding amounting to \$23,637 is budgeted.

#### Project Benefits

The seniors & individuals with disabilities including the economically disadvantaged target populace of the island will reap the benefits of partially funding GRTA paratransit service which translates to more operating hours and committed federal funding.

**Additional Information**

No additional information

**Location Description**

GRTA Fixed Routes and Paratransit Services operate throughout the island thus the grant project locations are also island-wide.

Hagatna Office  
 330 Hernan Cortez Avenue 3rd Floor  
 Hagatna, GU 96910

**Project Location (Urbanized Areas)**

UZA Code	Area Name
660000	Guam

**Congressional District Information**

State	District	Representative
Guam	1	Michael San Nicolas

**Program Plan Information**

**STIP/TIP**

Date: 2/26/2019

Description: Program Plan STIP\_TIP

**UPWP**

Date: 2/26/2019

Description: Newspaper publication/announcement

**Long Range Plan**

Date: 2/26/2019

Description: Long Range Plan

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$48,905
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$48,905</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
GU-2019-001-03-00	300-00 (300-A6) OPERATING ASSISTANCE	\$48,905.00	\$0.00	\$48,905.00	1
GU-2019-001-03-00	30.09.02 (5311 OR 5310 PILOT ONLY) SLIDING SCALE	\$48,905.00	\$0.00	\$48,905.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

### Extended Budget Description

GRTA will use the funds to reimburse GovGuam for the Partial Operational Expenditures incurred by GRTA Paratransit Service in FY 2018.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$48,905
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$48,905</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2019	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$48,905.00	\$48,905.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### Application Review Comments

Comment By **Celestin Babauta**

Comment Type Application Details

Date 7/10/2019

Comment Submitting Application for FTA Final Concurrence

## Application Review Comments

**Comment By** Darin Allan

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Comment Type FTA Post Review Comments for Grantee

Date 4/26/2019

Comment I have reviewed GRTA's application in TrAMS and I have a few comments/questions that maybe you can consider prior to our chat:

1. FFY 2019 funding is now available. Would you like to add this to this application? The advantage would be for you to to develop (revise) only one application for FTA review and approval, versus two review processes with this application and a subsequent amendment (or separate application, depending upon scope).
2. The draft application only includes two milestones each for purchase of communications system (Scope 116-00) and for construct maintenance facility (Scope 114-00). Typically procurements and facility construction involve multiple milestones. Can you add more?
3. The TIP documentation provided is 19 pp and begins with the FHWA/FTA approval letter that indicates "Since Guam Regional Transit Authority's (GRTA) program was not submitted, we were not able to consider it within this approval." Can you provide the relevant pages (only) of the current TIP which indicate the projects to be funded in this application? It would help if you highlighted the projects (highlight, circle, cite "page #" or "project id..."). Otherwise, if you can point me to the projects in the documentation already uploaded, this may be helpful. (It's unnecessary to attach documentation or pages that don't relate to the FTA application in TrAMS.)

**Comment By** Darin Allan

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Comment Type FTA Post Review Comments for Grantee

Date 7/22/2019

Comment Pilar, please adjust the budgeted amounts for the ALIs as indicated in the Proposed Budget attachment (Word document) in my 7/22/2019 email. When you have accomplished this, Cel may re-Submit. Thank you. Darin

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this

FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA'S AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Guam Regional Transit Authority

Recipient ID: 1641

DUNS No: 855025297

#### **Application Information**

Federal Award Identification Number: GU-2019-001-00

Application Name: 5311 | Operating Assistance, State or Programs Administration, Construct Maintenance Facility, Purchase Communication Equipment | FFYs 2018\$ & 2019\$

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2023

Application Executive Summary: The FY 2018 federal funding are as follows: Section 5310 amounting to \$45,068 (Enhanced Mobility of Seniors & Individuals with Disabilities Grant Program), Section 5311 (b) (3) amounting to \$23,637 (Rural Transit Assistance Program [RTAP] ), Section 5339 amounting to \$1,000,000 are consolidated to Section 5311 amounting to \$849,487 for a grand total amounting amounting to \$1,918,192 which will be used as operating assistance to reimburse the GovGuam General Funds for partial expenditures incurred by GRTA's paratransit service in FY 2018, state or programs administration for all FTA grant programs, and purchase of communication systems.

FFY 2018 funds:  
\$45,068 – 5310  
\$849,487 – 5311

\$23,637 – 5311(b)(3) RTAP  
\$1,000,000 – 5339  
\$1,918,192 – TOTAL

The FY 2019 federal funding are as follows: Section 5310 amounting to \$44,964 (Enhanced Mobility of Seniors & Individuals with Disabilities Grant Program), Section 5311 (b) (3) amounting to \$25,268 (Rural Transit Assistance Program [RTAP] ), Section 5339 amounting to \$1,000,000 and Section 5311 amounting to \$931,415 for a grand total amounting to \$2,001,647 which will be used as operating assistance to reimburse the GovGuam General Funds for partial expenditures incurred by GRTA's paratransit service in FY 2019, state or programs administration for all FTA grant programs, purchase of ADA compliant buses and the construction of GRTA Maintenance Facility.

FFY 2019 funds:  
\$44,964 - 5310  
\$931,415 - 5311  
\$25,268 - 5311(b)(3) RTAP  
\$1,000,000 - 5339  
\$2,001,647 - TOTAL

As per FTA C9040.1G, Page III-7, 3.a., a state or program administration funds totaling \$191,819 for FY 2018 and amount totaling \$200,165 for FY 2019 which is 10 percent of the combined total of FY 2018 and FY 2019 Sections 5310, 5311, 5311 (b) (3), 5339, will be used by GRTA for funding the salaries and benefits in hiring 2 (two) limited-term employees to administer all FTA grant programs, off-island travel/training, and office supplies and equipment in FY 2019 and FY 2020 amounting to \$321,984. A partial funding of the FY 2018 funds are for the purchase of communications system amounting to \$70,000.

In addition, as per FTA C9040.1G, Page iii-11, 3.c., the FY 2018 Operating Assistance amounting to \$726,373 will be used to reimburse GovGuam General Funds for the partial operational expenditures incurred by the GRTA paratransit service in FY 2018. To include for FY 2019 the amount of \$801,482. For the total amount to \$1,527,855.

GRTA earmarks the FY 2018 Section 5339 grant funds which are consolidated to FY 2018 Section 5311 amounting to \$1,000,000 will be used to construct GRTA's proposed maintenance facility. To include FY 2019 amounting to \$500,000. For the total amount to \$1,500,000. The remaining funds of \$500,000 of FY 2019 will be to procure two (2) 24 passenger ADA compliant gasoline or diesel fueled buses which are more than 30 ft. long.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$3,919,839.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$3,919,839.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00



Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$3,919,839.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$3,419,839
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,919,839</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

## EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Guam Regional Transit Authority*