

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	CT-2019-007-00
Temporary Application Number	1334-2019-2
Application Name	Section 5311 Program Funding FFY 2017, 2018 & 2019
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, Connecticut Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1334	State Agency	CONNECTICUT DEPARTMENT OF TRANSPORTATION	807854583

Location Type	Address	City	State	Zip
Headquarters	210 CAPITOL AVE STE 202	HARTFORD	CT	061061535
Physical Address	2800 BERLIN TURNPIKE	NEWINGTON	CT	06111
Mailing Address	2800 BERLIN TPKE	NEWINGTON	CT	06111

Union Information

Union Name	AMALGAMATED TRANSIT UNION - LOCAL 281
Address 1	139 Orange Street
Address 2	
City	New Haven
State	Connecticut
Zipcode	06510
Contact Name	Philip Madonna

Telephone	2035622252
Fax	
E-mail	
Website	
Union Name	AMALGAMATED TRANSIT UNION - LOCAL 425
Address 1	222 BURNSIDE AVE APT. 2A
Address 2	
City	EAST HARTFORD
State	Connecticut
Zipcode	06108
Contact Name	Alvin Douglas
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Fax	8602829933
E-mail	
Website	
Union Name	AMALGAMATED TRANSIT UNION - LOCAL 443
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Address 2	PARK SQUARE STATION
City	STAMFORD
State	Connecticut
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Telephone	2034060181
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Website	
Union Name	AMERICAN RAILWAY SUPERVISORS ASSOCIATION
Address 1	24 Tina Lane
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City	Hopewell Junction
State	New York
Zipcode	12533
Contact Name	William Mills
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Website	
Union Name	AMERICAN RAILWAY SUPERVISORS ASSOCIATION
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City	New York
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Zipcode	10017
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Fax	2125992029
E-mail	ajb1956@gmail.com
Website	
Union Name	ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 113 RAIL TRAFFI
Address 1	420 Lexington Avenue, S.215
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Union Name	ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 37 POWER DIRECTO
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State	New York
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Zipcode	10703
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Website	
Union Name	INTERNATIONAL BROTHERHOOD OF TEAMSTERS
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State	New York
Zipcode	11101
Contact Name	Glenn Conklin
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Fax	7183892171
E-mail	
Website	None
Union Name	NATIONAL CONFERENCE OF FIREMEN & OILERS
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Address 2	Suite 7
City	Mt. Laurel
State	New Jersey
Zipcode	08054
Contact Name	Dean DeVita

Telephone	8567784411
Fax	8567786169
E-mail	
Website	
Union Name	SHEETMETAL WORKERS INTERNATIONAL ASSOCIATION
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Address 2	
City	Albany
State	New York
Zipcode	12205
Contact Name	Charles Fraley
Telephone	5184822070
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E-mail	cfraley@smwia.org
Website	
Union Name	TRANSPORT WORKERS UNION OF AMERICA
Address 1	570 Taxter Road
Address 2	
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State	New York
Zipcode	10523
Contact Name	Peter McMahon
Telephone	9145920221
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E-mail	hfe2001@aol.com ; Jrf624@aol.com
Website	
Union Name	TRANSPORTATION COMMUNICATIONS INTERNATIONAL UNION
Address 1	309 A Street
Address 2	
City	Wilmington
State	Delaware
Zipcode	19801
Contact Name	Russell Oathout
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Fax	3024980969
E-mail	tcussb86@aol.com
Website	

Part 2: Application Information

Title: Section 5311 Program Funding FFY 2017, 2018 & 2019

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM
CT-2019-007-00	Final Concurrence / Reservation	Grant	6/6/2019	6/6/2019	No

Application Start Date

The start date will be set to the date of the award

Application End Date

6/29/2024

Application Executive Summary

The Connecticut Department of Transportation is requesting FFY 2017, 2018 & 2019 Section 5311 program funds in the amount of \$9,837,505 (federal FY 17-\$3,117,375; FY 18-\$3,231,068 and FY 19-\$3,489,062), \$17,076,654 (total) for capital operating assistance, administration, planning, technical assistance and RTAP in support of the rural transit program in the State of Connecticut. The local funding match and source is from the State of Connecticut Legislative Appropriation.

The FY 2017, 2018 & 2019 Program of Projects file, CT-2019-XXX Section 5311 POP All, is attached in the Project Information section of this application.

All projects associated with this grant are included on pages 4 & 5 of the 2018 STIP under Federal Aid Code (FA Code) 5 which was most recently changed via STIP Administrative Amendment 7/3/2019. Planning elements are contained in the respective regional UPWP's which were approved 5/3/19 - NECOG and 5/9/19 - NHCOG and effective on 6/6/2019.

CTDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports.

Period of Performance: 9/16/2015 - 6/30/2024

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Sergio	Coronado	Transportation Program Specialist	sergio.coronado@dot.gov	617-494-2792
Kelsey	Bertrand	Fiscal Administrative Officer	kelsey.bertrand@ct.gov	860-594-2812

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,837,505
Local			\$0
Local/In-Kind			\$0
State			\$7,239,149
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$17,076,654

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CT-2019-007-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$1,729,600.00	\$432,400.00	\$2,162,000.00	
CT-2019-007-01-00	11.12.01 BUY REPLACEMENT 40-FT BUS	\$1,080,000.00	\$270,000.00	\$1,350,000.00	
CT-2019-007-01-00	11.12.04 BUY REPLACEMENT	\$649,600.00	\$162,400.00	\$812,000.00	
CT-2019-007-01-00	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$59,224.00	\$14,806.00	\$74,030.00	

CT-2019-007-01-00		11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	\$59,224.00	\$14,806.00	\$74,030.00	
CT-2019-007-01-00	300-00 (300-A3)		OPERATING ASSISTANCE	\$6,760,443.00	\$6,760,443.00	\$13,520,886.00	
CT-2019-007-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$6,760,443.00	\$6,760,443.00	\$13,520,886.00	
CT-2019-007-01-00	442-00 (442-A5)		METROPOLITAN PLANNING	\$126,000.00	\$31,500.00	\$157,500.00	
CT-2019-007-01-00		44.22.00	GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING	\$126,000.00	\$31,500.00	\$157,500.00	
CT-2019-007-01-00	610-00 (610-A6)		STATE / PROGRAMS ADMINISTRATION	\$823,919.00	\$0.00	\$823,919.00	
CT-2019-007-01-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$823,919.00	\$0.00	\$823,919.00	
CT-2019-007-01-00	635-00 (635-A4)		RURAL TRANSIT ASSISTANCE PROGRAM	\$338,319.00	\$0.00	\$338,319.00	
CT-2019-007-01-00		43.50.01	TRAINING	\$280,000.00	\$0.00	\$280,000.00	
CT-2019-007-01-00		43.50.02	TECHNICAL ASSISTANCE	\$58,319.00	\$0.00	\$58,319.00	

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: Section 5311 Program Funding FFY 17, 18 & 19

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CT-2019-007-01-00	1334-2019-2-P1	6/6/2019	9/15/2015	6/29/2024

Project Description

Region 1 - This grant application for the FFY 2017, 2018 & 2019 Section 5311 program requests funds in the amount of \$9,837,505 (federal FY 17-\$3,117,375; FY 18-\$3,231,068 and FY 19-\$3,489,062), \$17,076,654 (total) for capital, operating

assistance, administration, planning, technical assistance and RTAP in support of the rural transit program in the State of Connecticut. The local funding match and source is from the State of Connecticut Legislative Appropriation.

The FY 2017, 2018 & 2019 Program of Projects file, CT-2019-XXX Section 5311 POP All, is attached in the Project Information section of this application.

All projects associated with this grant are included on pages 4 & 5 of the 2018 STIP under Federal Aid Code (FA Code) 5311 which was most recently amended and approved 5/13/19. Planning elements are contained in the respective region UPWP's which were approved 5/3/19 - NECOG and 5/9/19 - NHCOC and effective on 6/6/2019.

CTDOT will follow the disposition requirements for replaced vehicles as outlined in FTA Circular 5010.

CTDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports.

The 5 Rural Transit Operators provide transit services in the following areas:

Estuary Transit District - Chester, Clinton, Deep River, Durham, Essex, East Haddam, Haddam, Killingworth, Lyme, Old Lyme, Old Saybrook, and Westbrook

Middletown Area Transit District – East Hampton and Portland

Northeastern CT Transit District - Brooklyn, Canterbury, Killingly, Putnam, Thompson, Eastford, Plainfield, Pomfret, Woodstock, and Union

Northwestern CT Transit District - Torrington, Winsted and Litchfield Barkhamsted, Canaan, Falls Village, Sharon, Salisbury, Colebrook, Cornwall, Goshen, Harwinton, Kent, Warren, Litchfield, Morris, New Hartford, Norfolk, Torrington, Winchester Winsted

Windham Region Transit District – Ashford, Chaplin, Columbia, Coventry, Lebanon, Mansfield, Scotland, Willington and Windham

Project Benefits

These projects support the rural transit program in the State of Connecticut and provide continued service to the State's residents.

Funds are necessary to continue providing transit services to general public in the Section 5311 Subrecipients' nonurbanized areas. Those services which primarily include deviated fixed routes, dial a ride services and job access and reverse commute services are designed to maximize usage by members of the general public and especially those who are transportation disadvantaged persons, including elderly persons, persons with disabilities, minorities and low income who often do not have any other transportation options. Majority of operating assistance funds are used for drivers wages and maintenance and fuel of the vehicles. Without these funds those services would cease to exist.

The RTAP program provides various courses and technical assistance at no-cost to drivers and sub-recipients of 5311 funding. These funds are to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the specific needs of transit operators in nonurbanized areas.

Additional Information

None provided.

Location Description

Locations across the State of Connecticut.

Project Location (Urbanized Areas)

UZA Code	Area Name
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090000

Connecticut

Congressional District Information

State	District	Representative
Connecticut	1	John B Larson
Connecticut	2	Joe Courtney
Connecticut	3	Rosa L DeLauro
Connecticut	4	James A Himes
Connecticut	5	Jahana Hayes

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,837,505
Local			\$0
Local/In-Kind			\$0
State			\$7,239,149
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$17,076,654

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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CT- 2019- 007-01- 00	111- 00 (111- A1)	BUS - ROLLING STOCK	\$1,729,600.00	\$432,400.00	\$2,162,000.00	
CT- 2019- 007-01- 00		11.12.01 BUY REPLACEMENT 40-FT BUS	\$1,080,000.00	\$270,000.00	\$1,350,000.00	
CT- 2019- 007-01- 00		11.12.04 BUY REPLACEMENT	\$649,600.00	\$162,400.00	\$812,000.00	
CT- 2019- 007-01- 00	114- 00 (114- A2)	BUS: SUPPORT EQUIP AND FACILITIES	\$59,224.00	\$14,806.00	\$74,030.00	
CT- 2019- 007-01- 00		11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$59,224.00	\$14,806.00	\$74,030.00	
CT- 2019- 007-01- 00	300- 00 (300- A3)	OPERATING ASSISTANCE	\$6,760,443.00	\$6,760,443.00	\$13,520,886.00	
CT- 2019- 007-01- 00		30.09.01 UP TO 50% FEDERAL SHARE	\$6,760,443.00	\$6,760,443.00	\$13,520,886.00	
CT- 2019- 007-01- 00	442- 00 (442- A5)	METROPOLITAN PLANNING	\$126,000.00	\$31,500.00	\$157,500.00	
CT- 2019- 007-01- 00		44.22.00 GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING	\$126,000.00	\$31,500.00	\$157,500.00	
CT- 2019- 007-01- 00	610- 00 (610- A6)	STATE / PROGRAMS ADMINISTRATION	\$823,919.00	\$0.00	\$823,919.00	
CT- 2019- 007-01- 00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$823,919.00	\$0.00	\$823,919.00	
CT- 2019- 007-01- 00	635- 00 (635- A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$338,319.00	\$0.00	\$338,319.00	
CT- 2019-		43.50.01 TRAINING	\$280,000.00	\$0.00	\$280,000.00	

007-01-00					
CT-2019-007-01-00	43.50.02	TECHNICAL ASSISTANCE	\$58,319.00	\$0.00	\$58,319.00

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

Funds in the amount of \$823,919 (federal & total) for state administration and to provide technical support as related to the Rural program. No more than 10% of apportionment will be used on state/program administration as demonstrated in attachment CT-2019-XXX Section 5311 POP.

Funds are used for the salaries and overhead of CTDOT's personnel whose duties include administration, planning, and oversight of the Section 5311 program. There is no plan to use State Administration funds to hire any third party contractors.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$823,919
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$823,919

Milestone Name	Est. Completion Date	Description
Begin Activities	1/31/2020	State or program administration and to provide technical support as related to the Rural program.
Complete Activities	9/30/2022	

Budget Activity Line Item: 44.22.00 - GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
METROPOLITAN PLANNING (442-00)	44.22.00	GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING	METROPOLITAN PLANNING	0

Extended Budget Description

Funds in the amount of \$126,000 (federal); \$157,500 (total) for the Northeastern Connecticut Council of Governments (NECCOG) and the Northwest Hills Council of Governments (NHCOCG). The funds under this ALI will be used for planning work as specified in the attached scopes of work for the NECCOG and NHCOCG agencies.

NECCOG Tasks:

Task 1: Management of the Planning Process - 3%

Task 2: Data Collection/Analysis, Planning and Technical Assistance - 92%

Task 3: Public Participation - 5%

NHCOCG Tasks:

a. Regional Planning technical assistance

b. NHCOCG assistance with installation of bus signs (FY 2020) and development of bus shelter plans for the NWTD (FY 2021).

c. Technical assistance and coordination by NWTD to member towns, the general public and others on transit matters.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$126,000
Local			\$0
Local/In-Kind			\$0
State			\$31,500
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$157,500

Milestone Name	Est. Completion Date	Description
Begin Activities	1/31/2020	Funds for planning work as specified in the attached scopes of work for the NECCOG and NHCOCG agencies.
Complete Activities	9/30/2022	

Budget Activity Line Item: 11.12.01 - BUY REPLACEMENT 40-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	3

Extended Budget Description

Funds in the amount of \$1,080,000 (federal); \$1,350,000 (total) for the purchase of three (3) 40ft buses for the Windham Region Transit District (WRTD). WRTD will replace three (3) 2008 30ft buses with three (3) 40ft buses. The buses being replaced will reach the end of their useful life of 12 yrs. or 500,000 miles by the time the new buses are delivered. The service life of the new buses is 12 yrs. or 500,000 miles. The buses will operate in the Windham Region Transit District service area. The average cost of a 40ft diesel bus is \$450,000. These buses will be purchased off the Department's bus procurement contract awarded on April 28, 2016.

The WRTD will follow the disposition requirements for this (these) vehicle(s), as outlined in circular 5010.

Vehicle replacements can be found in attachment "CT-2019-Section 5311 Grant App Bus Replacements".

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	40 FT

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,080,000
Local			\$0
Local/In-Kind			\$0
State			\$270,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,350,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	9/16/2015	Bus specifications are advertised which invites vendors to submit proposals.
Contract Award Date	4/28/2016	Proposals are reviewed and upon completion a contract(s) is awarded to a manufacturer(s).
Option Order 1	10/31/2019	Issue a purchase order for the purchase of a bus(es).
All Vehicles Delivered	11/30/2020	All buses are delivered and accepted.
Contract Complete	12/31/2020	All buses are delivered and accepted and any open issues are resolved.
First Vehicle Delivered	10/31/2020	1st bus(es) are delivered and accepted.

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	10

Extended Budget Description

Funds in the amount of \$649,000 (federal); \$812,000 (total) for the purchase of 10 replacement small buses. The vehicles being replaced have reached the end of their useful life in years (4 – 5 years) or miles (100,000 – 150,000). The vehicles being replaced are medium-size light-duty transit buses and other light-duty vehicles such as vans with lifts. The average prices of new vehicles \$75,000 - \$95,000 each and the new vehicles have a useful life of 4 years or 100,000 - 150,000 miles. See attached excel spread sheet POP for a breakdown of vehicle costs.

Fuel type for the new vehicles is anticipated to be gasoline. These vehicles will be purchased off the Greater New Haven Transit District open bus procurement contract awarded on 3/10/17. Titles will be retained by the Transit Districts and vehicles will be operated in the respective operator's service areas.

The following rural transit districts will be purchasing vehicles:

Estuary Transit District - 1 Small Bus
Middletown Area Transit District - 2 Small Buses
Northeastern CT Transit District - 2 Small Buses
Northwestern CT Transit District - 1 Small Bus
Windham Region Transit District - 4 Small Bus

The Transit Districts will follow the disposition requirements for this (these) vehicle(s), as outlined in circular 5010.

Vehicle replacements can be found in attachment "CT-2019-Section 5311 Grant App Bus Replacements".

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$649,600
Local			\$0
Local/In-Kind			\$0
State			\$162,400
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$812,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Out for Bid	11/28/2016	Bus specifications are advertised which invites vendors to submit proposals.

Contract Award Date	3/10/2017	Proposals are reviewed and upon completion a contract(s) is awarded to a manufacturer(s).
First Vehicle Delivered	7/31/2020	1st bus(es) are delivered and accepted.
All Vehicles Delivered	8/31/2020	All buses are delivered and accepted.
Contract Complete	9/30/2020	All buses are delivered and accepted and any open issues are resolved.
Option Order	1/31/2020	Issue a purchase order for the purchase of a bus(es).

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

The Department is requesting funds in the amount of \$59,224 (federal); \$74,030 (total) for the Rural Transit Operators to purchase support equipment. The local funding source is from the State of Connecticut Legislative Appropriation.

The equipment that is anticipated to be purchased include security cameras, scheduling software, computer hardware and software, computer stations, bus shelters, benches and signs, office equipment and furniture and maintenance equipment. The following operators will receive funding under this line item:

Estuary Transit District
Middletown Area Transit District
Northeastern CT Transit District
Northwestern CT Transit District
Windham Region Transit District

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$59,224
Local			\$0
Local/In-Kind			\$0
State			\$14,806
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$74,030

Milestone Name	Est. Completion Date	Description
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Begin Activities	10/31/2019	Initiate the purchase of support equipment or implementation of activities.
Complete Activities	6/30/2021	All necessary support equipment has been purchased and activities are complete.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Funds in the amount of \$6,760,443 (federal); \$13,520,886 (total) for the operating assistance for the Rural Transit Operator including Estuary Transit District, Middletown Area Transit District, Northeastern CT Transit District, Northwestern CT Transit District and Windham Region Transit District. The local funding source is from the State of Connecticut Legislative Appropriation.

Funds are necessary to continue providing transit services to general public in the Section 5311 Subrecipients' nonurbanized areas. Those services which primarily include deviated fixed routes, dial a ride services and job access and reverse commute services are designed to maximize usage by members of the general public and especially those who are transportation disadvantaged persons, including elderly persons, persons with disabilities, minorities and low income who often do not have any other transportation options. Majority of operating assistance funds are used for drivers wages and maintenance and fuel of the vehicles. Without these funds those services would cease to exist.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,760,443
Local			\$0
Local/In-Kind			\$0
State			\$6,760,443
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,520,886

Milestone Name	Est. Completion Date	Description
Begin Operations	1/1/2020	Operating assistance to the rural transit districts.
End Operations	6/30/2024	Operating funds fully expended.

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

RTAP Funds in the amount \$280,000 (federal & total) to provide training to 5 Rural Transit Operators for approved training programs and professional staff development as well as travel and hosting of professional conferences and regional meetings geared toward training and coordination.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$280,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$280,000

Milestone Name	Est. Completion Date	Description
Begin Activities	1/31/2020	RTAP Funds for training, attendance at workshops, conferences for rural transit operators.
Complete Activities	6/30/2022	

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

RTAP Funds in the amount \$58,319 (federal & total) for CTDOT staff to attend professional conferences enabling staff to develop and improve their skills in the areas of rural, elderly and handicap transportation.

Funds are used for Rural Transit Operators' drivers and administrative staff to attend trainings, conferences, workshops. These funds are to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the specific needs of transit operators in nonurbanized areas. Examples of trainings provided are Defensive Driving, Driving Simulator, Customer Service, Wheelchair and Lift Securement, Passenger Service and Safety (PASS) certifications, Professional Scheduling and Dispatching, Bus Collision Investigations and various other safety and security related trainings. Funds are also used to hold Annual Connecticut Spring Conference which is attended by all transit operators in CT. Sessions are geared towards current trends and problem areas experienced by transit operators. Other

conferences which rural transit operators staff attend are American Public Transportation Association (APTA) meetings, Community Transportation Association of America (CTAA) Expo, NorthEast Passenger Transportation Association (NEPTA).

Funds are also used for CTDOT's staff to attend the above conferences and trainings.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$58,319
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$58,319

Milestone Name	Est. Completion Date	Description
Begin Activities	1/31/2020	RTAP Funds for training, attendance at workshops, conferences for CDOT staff.
Complete Activities	6/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	3	\$1,080,000.00	\$1,350,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	10	\$649,600.00	\$812,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	0	\$59,224.00	\$74,030.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$6,760,443.00	\$13,520,886.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$280,000.00	\$280,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	0	\$58,319.00	\$58,319.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
METROPOLITAN PLANNING (442-00)	44.22.00	GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING	0	\$126,000.00	\$157,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$823,919.00	\$823,919.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Connecticut Department Of

Recipient ID: 1334

DUNS No: 807854583

Application Information

Federal Award Identification Number: CT-2019-007-00

Application Name: Section 5311 Program Funding FFY 2017, 2018 & 2019

Application Start Date: The start date will be set to the date of the award

Application End Date: 6/29/2024

Application Executive Summary: The Connecticut Department of Transportation is requesting FFY 2017, 2018 & 2019 Section 5311 program funds in the amount of \$9,837,505 (federal FY 17-\$3,117,375; FY 18-\$3,231,068 and FY 19-\$3,489,062), \$17,076,654 (total) for capital, operating assistance, administration, planning, technical assistance and RTAP in support of the rural transit program in the State of Connecticut. The local funding match and source is from the State of Connecticut Legislative Appropriation.

The FY 2017, 2018 & 2019 Program of Projects file, CT-2019-XXX Section 5311 POP All, is attached in the Project Information section of this application.

All projects associated with this grant are included on pages 4 & 5 of the 2018 STIP under Federal Aid Code (FA Code) 5311 which was most recently changed via STIP Administrative Amendment 7/3/2019. Planning elements are contained in the respective regional UPWP's which were approved 5/3/19 - NECOG and 5/9/19 - NHCOC and effective on 6/6/2019.

CTDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports.

Period of Performance: 9/16/2015 - 6/30/2024

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$17,076,654.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$9,837,505.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$7,239,149.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$9,837,505.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$7,239,149.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,837,505
Local			\$0
Local/In-Kind			\$0
State			\$7,239,149
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$17,076,654

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Connecticut Department Of