

Application

Federal Award Identification Number (FAIN)	WA-2019-044-00
Temporary Application Number	6684-2019-1
Application Name	2017/2018/2019 5311 TTP Formula Ops, Training, Indirect Cost
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Lummi Indian Business Council

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6684	Indian Tribe	LUMMI TRIBE OF THE LUMMI RESERVATION	020245247

Location Type	Address	City	State	Zip
Headquarters	2665 KWINA RD	BELLINGHAM	WA	982269291
Physical Address	2665 KWINA RD	BELLINGHAM	WA	98226
Mailing Address	2665 KWINA ROAD	BELLINGHAM	WA	98226

Union Information

Union Name	NONE
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	---

Telephone	
Fax	
E-mail	
Website	

Part 2: Application Information

Title: 2017/2018/2019 5311 TTP Formula Ops, Training, Indirect Cost

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
WA-2019-044-00	Final Concurrence / Reservation	Grant	6/4/2019	6/4/2019	No

Application Start Date

The start date will be set to the date of the award

Application End Date

9/30/2020

Application Executive Summary

The application includes \$299,306 in FY2017-2019 5311 TTP Formula funds for operating assistance and administration costs using a Federally approved indirect cost rate, and staff training.

\$110,218 FY2017 5311 TTP Formula

\$101,509 FY2018 5311 TTP Formula

\$ 87,579 FY2019 5311 TTP Formula

\$299,306 Total 5311 TTP Formula

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The BIA approved the Lummi Tribe indirect cost rate on 2/14/18. A copy of the approved indirect cost rate is on file in TrAMS. The approved rate of 20.70% or any future approved rates will be applied to the applicable ALI's. Per the ICR, the tribe must submit new rates for negotiation within six months of the current rates expiring. The rates for 2019/2020 have been submitted for negotiation but are not currently approved.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965
Kristine	Mcguill	Transportation Program Specialist	kristine.mcguill@dot.gov	206-220-7963
Kirk	Vinish	Planning and Public Works Director	kirkv@lummi-nsn.gov	360-312-2340

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$299,306
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$299,306

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2019-044-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$299,306.00	\$0.00	\$299,306.00	0

WA-2019-044-01-00	11.79.00	PROJECT ADMINISTRATION - INDIRECT (2017/2018/2019 5311 TTP Formula 100:00)(00)	\$29,931.00	\$0.00	\$29,931.00	0
WA-2019-044-01-00	11.79.00	EMPLOYEE TRAINING (2019 5311 TTP FORMULA 100:00)(00)	\$10,000.00	\$0.00	\$10,000.00	0
WA-2019-044-01-00	30.09.01	Operating (2017/2018/2019 5311 TTP Formula 100:00)(00)	\$259,375.00	\$0.00	\$259,375.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: 2017/2018/2019 5311 TTP Formula Ops, Training, Indirect Cost

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WA-2019-044-01-00	6684-2019-1-P1	6/4/2019	12/31/2017	5/31/2020

Project Description

The application includes \$299,306 in FY2017-2019 5311 TTP Formula funds for operating assistance and administration costs using a Federally approved indirect cost rate, and staff training.

\$110,218 FY2017 5311 TTP Formula

\$101,509 FY2018 5311 TTP Formula

\$ 87,579 FY2019 5311 TTP Formula

\$299,306 Total 5311 TTP Formula

Project Benefits

Sustain transportation options
Maintain reliable transportation

Additional Information

None provided.

Location Description

Lummi Transit provides service around the Lummi Reservation (outside the City of Bellingham in unincorporated county) 7 days a week with 5 days a week services to and from the Lummi Reservation and the City of Ferndale.

Project Location (Urbanized Areas)

UZA Code	Area Name
530000	Washington

Congressional District Information

State	District	Representative
Washington	2	Rick Larsen

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$299,306
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$299,306

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2019-044-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$299,306.00	\$0.00	\$299,306.00	0
WA-2019-044-01-00	11.79.00 PROJECT ADMINISTRATION - INDIRECT (2017/2018/2019 5311 TTP Formula 100:00)(00)	\$29,931.00	\$0.00	\$29,931.00	0
WA-2019-044-01-00	11.79.00 EMPLOYEE TRAINING (2019 5311 TTP FORMULA 100:00)(00)	\$10,000.00	\$0.00	\$10,000.00	0
WA-2019-044-01-00	30.09.01 Operating (2017/2018/2019 5311 TTP Formula 100:00)(00)	\$259,375.00	\$0.00	\$259,375.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - EMPLOYEE TRAINING (2019 5311 TTP FORMULA 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This activity includes \$10,000 in 2019 5311 TTP Formula funds for employee training within 6/1/2019-6/1/2020.

STIP

There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$10,000

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$10,000

Milestone Name	Est. Completion Date	Description
Start Employee Training	6/1/2019	
End Employee Training	6/1/2020	

Budget Activity Line Item: 30.09.01 - Operating (2017/2018/2019 5311 TTP Formula 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

\$99,196 2017 5311 TTP Formula
 \$91,358 2018 5311 TTP Formula
 \$68,821 2019 5311 TTP Formula

 \$259,375 Total 2017-2019 5311 TTP Formula

This activity includes \$259,375 in 2017/2018/2019 5311 TTP Formula funds for operating the Lummi Transit for the time period of 1/1/2018-12/31/2019. Lummi Transit provides services seven days a week on the Lummi reservation (unincorporated City of Bellingham, outside urban area) with 5 days a week service to the City of Ferndale.

STIP

There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$259,375
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$259,375

Milestone Name	Est. Completion Date	Description
Start Operating	1/1/2018	
End Operating	12/31/2019	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - INDIRECT (2017/2018/2019 5311 TTP Formula 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

\$11,022 2017 5311 TTP Formula

\$10,151 2018 5311 TTP Formula

\$ 8,758 2019 5311 TTP Formula

\$29,931 Total 2017-2019 5311 TTP Formula

This activity includes \$29,931 in FY2017/2018/2019 5311 TTP Formula funds for project administration costs related to operating the Lummi Transit through the use of a Federally approved indirect cost rate for the time period of 1/1/2018-12/31/2019. Lummi Transit provides services seven days a week on the Lummi reservation (unincorporated City of Bellingham, outside urban area) with 5 days a week service to the City of Ferndale.

STIP

There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$29,931
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$29,931

Milestone Name	Est. Completion Date	Description
Start Indirect Costs	1/1/2018	
End Indirect Costs	12/31/2019	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	Operating (2017/2018/2019 5311 TTP Formula 100:00)(00)	0	\$259,375.00	\$259,375.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION - INDIRECT (2017/2018/2019 5311 TTP Formula 100:00)(00)	0	\$29,931.00	\$29,931.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	EMPLOYEE TRAINING (2019 5311 TTP FORMULA 100:00)(00)	0	\$10,000.00	\$10,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Lummi Indian Business Council

Recipient ID: 6684

DUNS No: 020245247

Application Information

Federal Award Identification Number: WA-2019-044-00

Application Name: 2017/2018/2019 5311 TTP Formula Ops, Training, Indirect Cost

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/30/2020

Application Executive Summary: The application includes \$299,306 in FY2017-2019 5311 TTP Formula funds for operating assistance and administration costs using a Federally approved indirect cost rate, and staff training.

\$110,218 FY2017 5311 TTP Formula

\$101,509 FY2018 5311 TTP Formula

\$ 87,579 FY2019 5311 TTP Formula

\$299,306 Total 5311 TTP Formula

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$299,306.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$299,306.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$299,306.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$299,306
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$299,306

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Lummi Indian Business Council