

# DOT

U.S. Department of Transportation

# FTA

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	NM-2018-001-01
<b>Temporary Application Number</b>	1564-2017-2
<b>Application Name</b>	NMDOT FY 17 5311 AWARD
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

### Part 1: Recipient Information

**Name: Transportation, New Mexico Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1564	State Agency	NEW MEXICO DEPARTMENT OF TRANSPORTATION	117883178

Location Type	Address	City	State	Zip
Headquarters	1120 CERRILLOS ROAD	SANTA FE	NM	875051842
Physical Address	1350 ALTA VISTA ST	SANTA FE	NM	87505
Mailing Address	1120 CERRILLOS ROAD	SANTA FE	NM	87504

### Union Information

<b>Union Name</b>	<b>NONE</b>
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	NONE NONE
Telephone	
Fax	

E-mail

Website

## Part 2: Application Information

**Title: NMDOT FY 17 5311 AWARD**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
NM-2018-001-01	Final Concurrence / Reservation	Grant	2/25/2019	2/25/2019	No

**Application Start Date**  
12/22/2017

**Application End Date**  
9/29/2023

### Application Executive Summary

2/25/2019 Amendment 1: NMDOT is applying for the remaining balance in the amount of \$429,738, of our FFY 2017 5311 apportionment (\$10,758,251) to the State of New Mexico posted in the Federal Register / Vol. 82, No. 130 / Monday, July 10, 2017 for our 5311 Public Rural Transportation program for FFY 2019 Operating and Program Administration. The funding will be programmed for the Rural Category A.

Rural Category A FY 2019 - NMDOT has awarded RMRTD an amount of \$429,738 for Operating Assistance for Rural projects in Sandoval, Bernalillo, and Valencia counties.

UZA 350000

Rural: \$429,738

Operating Assistance Rural: \$429,738 (Scope 600-00) FPC 09

See attached POP and Budget workbook.

---

9/11/2017 NMDOT is applying for \$10,253,909 of our FFY 2017 5311 apportionment (\$10,758,251) to the State of New Mexico posted in the Federal Register / Vol. 82, No. 130 / Monday, July 10, 2017 for our 5311 Public Rural Transportation program for FFY 2018 Operating, Program Administration, Capital Cost of Contract, State Administration, and RTAP (\$144,045 full allocation). The total application request is for \$10,253,909. NMDOT has also accepted a request from Ohkay Owingeh to apply directly for administration and operating costs totaling \$74,604.80 (see uploaded direct allocation letter).

The funding request for the grant projects are as follows:

RTAP Section 5311(b): (435-00) \$144,045 - UZA35000

State Administration: (610-00) \$1,061,421 - UZA 35000

Sub-grantees: (600-00) \$9,048,443 - UZA 35000

See attached Program of Projects and Budget workbook.

There are 25 total projects are in Category A, for 21 sub-grantees in 24 counties (Chaves, Colfax,

Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Rural Category A: \$9,048,443 - NMDOT has awarded \$9,048,443 of FY 2017 5311 apportionment to 21 sub-grantees for Rural projects in the upcoming FY 2018 program year for Operating, Program Administration, Capital Cost of Contract, and including Intercity Bus service 5311(f). 4 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program.

State Administration: \$1,061,421 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$144,045 - NMDOT has awarded RTAP \$144,045 for FY17. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at [http://dot.state.nm.us/content/nmdot/en/Transit\\_Rail.html](http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html)

Period of Performance: Award Start Date: The start date will be set to the date of the award - Award End Date: 9-30-2022

Research and Development: N/A

Indirect Cost Rate: N/A

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

Yes, this application requires E.O. 12372 Review

*State Application ID:**Date Received by State:***Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Deborah	Bach	Rural Transit Programs Supervisor	deborah.bach@state.nm.us	(505) 819-9112
Heriberto	Chavarria	Program Management Specialist	Heriberto.Chavarria@dot.gov	(817) 978-0548

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$10,683,647
Local			\$7,328,102
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$18,011,749</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2018-001-01-01	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$1,061,421.00	\$0.00	\$1,061,421.00	0
NM-2018-001-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,061,421.00	\$0.00	\$1,061,421.00	0

NM-2018-001-02-01	435-00 (435-A1)	RURAL TRANSIT ASST PROGRAM	\$144,045.00	\$0.00	\$144,045.00	0
NM-2018-001-02-01		43.50.01 TRAINING	\$50,000.00	\$0.00	\$50,000.00	0
NM-2018-001-02-01		43.50.04 RELATED SUPPORT SERVICES	\$94,045.00	\$0.00	\$94,045.00	0
NM-2018-001-03-01	600-00 (600-A3)	OTHER PROGRAM COSTS	\$7,886,050.00	\$6,201,307.00	\$14,087,357.00	0
NM-2018-001-03-01		11.79.00 PROJECT ADMINISTRATION	\$2,246,325.00	\$561,582.00	\$2,807,907.00	0
NM-2018-001-03-01		30.09.01 UP TO 50% FEDERAL SHARE	\$5,639,725.00	\$5,639,725.00	\$11,279,450.00	0
NM-2018-001-03-01	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$1,592,131.00	\$1,126,795.00	\$2,718,926.00	0
NM-2018-001-03-01		11.71.12 CAPITAL COST OF 3RD PARTY CONTRACTING	\$300,000.00	\$75,000.00	\$375,000.00	0
NM-2018-001-03-01		11.79.00 PROJECT ADMINISTRATION	\$320,448.00	\$80,112.00	\$400,560.00	0
NM-2018-001-03-01		30.09.01 UP TO 50% FEDERAL SHARE	\$971,683.00	\$971,683.00	\$1,943,366.00	0

### Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

## Project Title: NMDOT FY17 5311 Rural Transit State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2018-001-01-01	1564-2017-2-P1	2/25/2019	6/30/2018	6/29/2022

### Project Description

Provide comprehensive program administration to 5311 sub-grantees for Program of Projects in rural areas across the State of New Mexico.

See attached Program of Projects and Budget workbook.

### Project Benefits

State provided program administration to sub grantees is designed to (1) help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) assist in the development and support of intercity bus transportation; and (5) provide for the participation of private transportation providers in non-urbanized transportation to the maximum extent feasible.

### Additional Information

The service area covers 24 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Dona Ana, Sierra, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna and Pueblo of Zuni.

### Location Description

The service area covers 24 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Dona Ana, Sierra, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna and Pueblo of Zuni.

## Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

## Congressional District Information

State	District	Representative
New Mexico	1	Michelle Lujan Grisham
New Mexico	2	Stevan Pearce
New Mexico	3	Ben R Lujan

## Program Plan Information

### STIP/TIP

Date: 9/25/2016

Description: Not Provided

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$1,061,421
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,061,421</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2018-001-01-01	610-00 STATE / PROGRAMS (610-A2) ADMINISTRATION	\$1,061,421.00	\$0.00	\$1,061,421.00	0
NM-2018-001-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,061,421.00	\$0.00	\$1,061,421.00	0

**Project Budget Activity Line Items**

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity

STATE / PROGRAMS	11.80.00	STATE OR PROGRAM	STATE / PROGRAM	0
ADMINISTRATION (610-00)		ADMINISTRATION	ADMINISTRATION	

**Extended Budget Description**

State provided program administration to sub grantees is designed to (1) help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) assist in the development and support of intercity bus transportation; and (5) provide for the participation of private transportation providers in non-urbanized transportation to the maximum extent feasible.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$1,061,421
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,061,421</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	
End Date	6/30/2022	

**Project Environmental Findings**

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
---

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or



program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,061,421.00	\$1,061,421.00

**Project Title: NMDOT FY17 5311 Rural Transit Assistance Program (RTAP)**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2018-001-02-01	1564-2017-2-P2	2/25/2019	6/30/2018	6/29/2020

**Project Description**

Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and to facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

RTAP Section 5311(b): (Scope 435-00): \$144,045

\$50,000 for training related activities

\$94,045 for related support services

See attached Program of Projects and Budget workbook.

**Project Benefits**

Project benefits include:

- (1) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (2) promote the coordination of public and private transportation services;
- (3) build a national database of information about the rural transit industry.

**Additional Information**

In New Mexico 24 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Dona Ana, Sierra, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna and Pueblo of Zuni.

**Location Description**

In New Mexico 24 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Dona Ana, Sierra, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna and Pueblo of Zuni.

## Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

## Congressional District Information

State	District	Representative
New Mexico	1	Michelle Lujan Grisham
New Mexico	2	Stevan Pearce
New Mexico	3	Ben R Lujan

## Program Plan Information

### STIP/TIP

Date: 9/25/2016

Description: Not Provided

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$144,045
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$144,045</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA	Total Eligible	Quantity
----------------	-------------	------------	---------	----------------	----------

			Amount	Amount		
NM-2018-001-02-01	435-00 (435-A1)	RURAL TRANSIT ASST PROGRAM	\$144,045.00	\$0.00	\$144,045.00	0
NM-2018-001-02-01		43.50.01 TRAINING	\$50,000.00	\$0.00	\$50,000.00	0
NM-2018-001-02-01		43.50.04 RELATED SUPPORT SERVICES	\$94,045.00	\$0.00	\$94,045.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

#### Extended Budget Description

RTAP Section 5311(b): \$50,000 - to provide training and training assistance to rural sub-grantees to achieve the following:

- Improve the quality of training and technical assistance resources available to the rural transit industry
- Encourage and assist state, local, and peer networks to address training and technical assistance needs

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$50,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$50,000</b>

Milestone Name	Est. Completion Date	Description
----------------	----------------------	-------------

Start Date	7/1/2018
End Date	6/30/2020

**Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

RTAP Section 5311(b): \$94,045 - to provide support related services and assistance to rural sub-grantees to achieve the following:

- Improve the quality of training and technical assistance resources available to the rural transit industry
- Encourage and assist state, local, and peer networks to address training and technical assistance needs
- Promote the coordination of transportation services
- Build a national database of information about the rural transit industry to share with the public

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$94,045
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$94,045</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	
End Date	6/30/2020	

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either

individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	0	\$50,000.00	\$50,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.04	RELATED SUPPORT SERVICES	0	\$94,045.00	\$94,045.00

**Project Title: NMDOT FY17 5311 Rural Transit Programs Sub-grantee**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2018-001-03-01	1564-2017-2-P3	2/25/2019	9/30/2017	12/30/2021

**Project Description**

2/25/2019 Amendment 1: NMDOT is applying for the remaining balance in the amount of \$429,738, of our FFY 2017 5311 apportionment (\$10,758,251) to the State of New Mexico posted in the Federal Register / Vol. 82, No. 130 / Monday, July 10, 2017 for our 5311 Public Rural Transportation program for FFY 2019 Operating and Program Administration. The funding will be programmed for the Rural Category A.

Rural Category A FY 2019: NMDOT has awarded RMRTD an amount of \$429,738 for Operating Assistance for Rural projects in Sandoval, Bernalillo, and Valencia counties.

UZA 350000

Rural: \$429,738

Operating Assistance Rural: \$429,737 (Scope 600-00) FPC 09

See attached POP and Budget workbook.

---

---

Rural Category A FY 2018: \$9,048,443 - NMDOT has awarded \$9,048,443 of FY 2017 5311 apportionment to 21 sub-grantees for 25 projects (UZA 35000) in the upcoming FY 2018 program year for Project Administration, Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 4 intercity bus projects are programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. NMDOT has also accepted a request from Ohkay Owingeh to apply directly for administration and operating costs totaling \$74,604.80 (see uploaded direct allocation letter). To summarize, the breakdown of the awards are as follows:

Project Administration Rural: \$2,246,325 (Scope 600-00)

Operating Assistance Rural: \$5,209,987 (Scope 600-00)

Project Administration Intercity: \$320,448 (Scope 634-00)

Operating Assistance Intercity: \$971,683 (Scope 634-00)

Capital Cost of Contract Intercity: \$300,000 (Scope 634-00)

See attached Program of Projects and Budget workbook.

**Project Benefits**

Project benefits include:

- (1) Help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation;
- (2) assist in the maintenance, development, improvement and use of public transportation systems;
- (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight;
- (4) assist in the development and support of intercity bus transportation;
- (5) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (6) promote the coordination of public and private transportation services;
- (7) build a national database of information about the rural transit industry.

**Additional Information**

There are 25 projects are in Category A, for 21 sub-grantees in 24 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Dona Ana, Sierra, Luna, San Miguel, Los Alamos,

Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

**Location Description**

There are 25 projects are in Category A, for 21 sub-grantees in 24 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Dona Ana, Sierra, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
350000	New Mexico

**Congressional District Information**

State	District	Representative
New Mexico	1	Michelle Lujan Grisham
New Mexico	2	Stevan Pearce
New Mexico	3	Ben R Lujan

**Program Plan Information**

**STIP/TIP**

Date: 9/25/2016

Description: Not Provided

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$9,478,181
Local			\$7,328,102
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$16,806,283</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2018-001-03-01 600-00 (600-A3)	OTHER PROGRAM COSTS	\$7,886,050.00	\$6,201,307.00	\$14,087,357.00	0
NM-2018-001-03-01	11.79.0 PROJECT ADMINISTRATION	\$2,246,325.00	\$561,582.00	\$2,807,907.00	0
NM-2018-001-03-01	30.09.0 UP TO 50% FEDERAL SHARE	\$5,639,725.00	\$5,639,725.00	\$11,279,450.00	0
NM-2018-001-03-01 634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$1,592,131.00	\$1,126,795.00	\$2,718,926.00	0
NM-2018-001-03-01	11.71.1 CAPITAL COST OF 3RD PARTY CONTRACTING	\$300,000.00	\$75,000.00	\$375,000.00	0
NM-2018-001-03-01	11.79.0 PROJECT ADMINISTRATION	\$320,448.00	\$80,112.00	\$400,560.00	0
NM-2018-001-03-01	30.09.0 UP TO 50% FEDERAL SHARE	\$971,683.00	\$971,683.00	\$1,943,366.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0



**Extended Budget Description**

The sub-grantees providing Program Administration in rural areas include:

- City of Carlsbad: \$150,975
- City of Clovis: \$209,966
- The Community Pantry: \$93,174
- Golden Spread Coalition: \$63,304
- Grant County: \$228,800
- City of Hobbs: \$66,961
- Pueblo of Laguna: \$24,789
- City of Las Vegas: \$67,434
- Village of Milan: \$81,179
- Los Alamos: \$36,452
- NCRTD: \$402,550
- City of Portales: \$56,943
- Town of Red River: \$45,551
- City of Roswell: \$215,992
- City of Ruidoso Downs: \$40,782
- SCRTD: \$148,062
- City of Socorro: \$38,209
- Zia Therapy: \$199,452
- Zuni Pueblo: \$75,750

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$2,246,325
Local			\$561,582
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,807,907</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2017	

End Date	12/31/2021
----------	------------

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

2/25/2019 Amendment 1: NMDOT has awarded RMRTD an amount of \$429,738 for Operating Assistance for FY 2019 Rural projects in Sandoval, Bernalillo, and Valencia counties, as follows:  
 Operating Assistance Rural: \$429,738 (Scope 600-00)

FY 2018: 20 subgrantees across the state of New Mexico will receive operating assistance for their transit agencies as follows:

- City of Carlsbad: \$250,178
- City of Clovis: \$316,859
- The Community Pantry: \$128,962
- Golden Spread Coalition: \$80,541
- Grant County: \$333,998
- City of Hobbs: \$344,293
- Pueblo of Laguna: \$82,725
- City of Las Vegas: \$83,556
- Los Alamos County: \$334,232
- Village of Milan: \$100,479
- NCRTD: \$916,867
- City of Portales: \$94,475
- Town of Red River: \$51,335
- RMRTD: \$620,000
- City of Roswell: \$551,550
- City of Ruidoso Downs: \$46,343
- SCRTD: \$271,024
- City of Socorro: \$80,152
- Zia Therapy: \$417,168
- Zuni Pueblo: \$105,250

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$5,639,725
Local			\$5,639,725
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$11,279,450</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2017	
End Date	12/31/2021	

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Sub-grantees providing Operating Assistance for Intercity Bus Service include:

Navajo Nation: \$328,738

RMRTD: \$200,000

NCRTD: \$442,945

-----  
Total: \$971,683

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$971,683
Local			\$971,683
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,943,366</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2017	
End Date	12/31/2020	

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

Sub-grantees providing Project Administration for Intercity Bus Service include:

Navajo Nation: \$112,603

NCRTD: \$207,845

Total: \$320,448

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$320,448
Local			\$80,112
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$400,560</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2017	
End Date	12/31/2020	

**Budget Activity Line Item: 11.71.12 - CAPITAL COST OF 3RD PARTY CONTRACTING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	3RD PARTY CONTRACTS	0

**Extended Budget Description**

\$300,000 to New Mexico Park and Ride to provide intercity bus service. Current program, total cost of \$5,406,895, provides service to Sandoval/Santa Fe/Los Alamos/Rio Arriba/San Miguel counties across New Mexico. NMNDOT will use a third party contractor to provide turnkey operations for the program to serve the general public in 5 counties across the state of New Mexico. NMDOT Park and Ride has been serving New Mexico since 2003. The benefits of this service are impressive. Some of the benefits in fiscal year 2015 included the following:

- ? -Reduced traffic congestion by removing 9.2 million vehicle miles of travel from our busiest highways during the busiest commute hours
- ? -Reduced carbon dioxide emissions (a Greenhouse Gas) by 4,464 tons
- ? -Reduced gasoline consumption by 460,262 gallons (assumes 20 mpg average)
- ? -Served an Average Daily Ridership of 1,162.9 passengers
- ? -Provides a safe means of travel: In FY 2015, Park and Ride buses provided over 12 million passenger miles without a fatality or serious injury.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$300,000
Local			\$75,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$375,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2017	
End Date	12/31/2018	

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	0	\$2,246,325.00	\$2,807,907.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$5,639,725.00	\$11,279,450.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment

or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$971,683.00	\$1,943,366.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	0	\$320,448.00	\$400,560.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	0	\$300,000.00	\$375,000.00

**Part 4: Fleet Details**

No fleet data exists for this application.

**Part 5: FTA Review Comments**  
**Application Review Comments**

Comment By Christopher Macneith

Comment Type	Application Details
Date	4/5/2019

New Mexico Department of Transportation must comply with all applicable Federal laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board’s ADA Accessibility Guidelines. Found here: <http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities>

Specifically, New Mexico Department of Transportation must comply with the ADA Standards for Transportation Facilities in the construction/renovation for the following project:

Comment - /11/2017 NMDOT is applying for \$10,253,909 of our FFY 2017 5311 apportionment (\$10,758,251) to the State of New Mexico posted in the Federal Register / Vol. 82, No. 130 / Monday, July 10, 2017 for our 5311 Public Rural Transportation program for FFY 2018 Operating, Program Administration, Capital Cost of Contract, State Administration, and RTAP (\$144,045 full allocation). The total application request is for \$10,253,909. NMDOT has also accepted a request from Ohkay Owingeh to apply directly for administration and operating costs totaling \$74,604.80 (see uploaded direct allocation letter). The funding request for the grant projects are as follows: RTAP Section 5311(b): (435-00) \$144,045 - UZA35000 State Administration: (610-00) \$1,061,421 - UZA 35000 Sub-grantees: (600-00) \$9,048,443 - UZA 35000 See attached Program of Projects and Budget workbook. There are 25 total projects are in Category A, for 21 sub-grantees in



24 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni. Rural Category A: \$9,048,443 - NMDOT has awarded \$9,048,443 of FY 2017 5311 apportionment to 21 sub-grantees for Rural projects in the upcoming FY 2018 program year for Operating, Program Administration, Capital Cost of Contract, and including Intercity Bus service 5311(f). 4 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program. State Administration: \$1,061,421 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration is programmed within the 10% allowed. Rural Transit Assistance Program (RTAP): \$144,045 - NMDOT has awarded RTAP \$144,045 for FY17. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies. The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds. NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14. The Plans can be found on our web-site at [http://dot.state.nm.us/content/nmdot/en/Transit\\_Rail.html](http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html) Period of Performance: Award Start Date: The start date will be set to the date of the award - Award End Date: 9-30-2022

The following is a non-exhaustive list of ADA standards that New Mexico Department of Transportation must comply with when completing this project:

#### Requirements to Remember for ADA Compliance in Construction Projects

The ADA Standards issued by the Department of Transportation (DOT) apply to facilities used by state and local governments to provide designated public transportation services, including bus stops and stations, and rail stations. Other types of facilities covered by the ADA are subject to similar ADA Standards issued by the Department of Justice. Both the DOT and DOJ standards are based on the United States Access Board ADA Accessibility Guidelines (ADAAG).

DOT's ADA Standards (2006) are consistent with the Access Board's updated ADA (and ADAAG) guidelines but includes a few additional requirements concerning:

- Location of Accessible Routes (206.3)
- Detectable Warnings on Curb Ramps (406.8)
- Bus Boarding and Alighting Areas (810.2.2)
- Rail Station Platforms (810.5.3)

The complete ADA Standards for Transportation Facilities can be found at the US Access Board Website: (ADA Standards for Transportation Facilities)

#### Requirements to Remember for: New Construction of Facilities

Sixty percent of all public entrances to the facility must be accessible. If there are only two entrances, both must be accessible. (DOT ADA Standard 206.4.1.)

For rail projects, no flange way gap can be greater than 2.5" where passenger circulation paths cross tracks at grade (i.e. a street-level pedestrian crossing over streetcar tracks). (DOT ADA Standard 810.10.)

Accessible routes that coincide with, or are located in the same area, as general

circulation paths and elements such as ramps, elevators, and fare vending and collection must be placed so as to minimize the distance that wheelchair users and other persons who cannot climb steps must travel in comparison to the general public. (DOT ADA Standard 206.3.)

Curb ramps must have detectable warnings. (DOT ADA Standard 406.8.)

Bus boarding and alighting areas must be in compliance with the ADA-ABA Guidelines (Section 810.2), which address surfaces (sturdy), dimensions (96" long x 60" wide); connection to sidewalks, streets and pedestrian paths; slope (not steeper than 1:48); signs; and public address systems. (DOT ADA Standard 810.2.)

Station platforms must be coordinated with the vehicle floor height. (DOT ADA Standard 810.5.3.)

Requirements to Remember for: Alterations or Additions to Facilities

Altered or added portions of the facility must be made accessible. (DOT ADA Standard 201 and DOT ADA Regulation 49 C.F.R. 37.43(a)(1).)

The path of travel to the altered or added portion of the facility must be made accessible, to the maximum extent feasible. (DOT ADA Regulation 49 C.F.R. 37.43(a)(2) and DOT ADA Standard 202.3.)

If the path of travel cannot be made accessible, the grantee must submit to FTA an analysis demonstrating that the cost of making the path of travel accessible is disproportionate to (i.e., > 20%) the cost of the alterations or additions to the primary function area. (DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(e)(1).)

If the path of travel cannot be made accessible, the grantee must submit to FTA an analysis demonstrating that site-specific conditions prevent you from making the path of travel accessible. Include relevant diagrams and maps. (DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(b).)

Requirements to Remember for: FTA-assisted vehicle purchases:

Only those transit vehicle manufacturers listed on FTA's certified list of Transit Vehicle Manufacturers, or that have submitted a goal methodology to FTA that have been approved or has not been disapproved, at the time of solicitation are eligible to bid per 49 CFR Part 26.49(a)(1).

As your agency undergoes the procurement process for vehicles, please ensure that you are in compliance with these requirements and verifying that any manufacturers bidding on the contract have so complied. Please find linked here a list of transit vehicle manufacturers (TVMs) that have DBE programs and goals which are FTA-approved. Therefore, these TVMs are approved to bid on and be awarded FTA-assisted vehicle contracts. This list is regularly updated by FTA and should be used as reference for your upcoming vehicle purchases, but if you do not see a TVM on the list and are under the impression that it had an FTA-approved program, please contact me to confirm.

As a side note, there are a number of TVMs that have submitted DBE programs to FTA, but they are currently under review. The list of TVMs that fall into this category is not published by FTA, but if you receive a bid from a TVM that is not on the list linked above

and would like to verify whether it has submitted a program under review, please feel free to contact me for that information.

The 2014 DBE regulation updates now require FTA recipients to report transit vehicle procurement awards. Effective November 2014, FTA recipients are required to submit, within 30 days of making an award, the name of the successful bidder and the total dollar value of the contract. Remember, only eligible TVMs may bid on FTA-assisted transit vehicle procurements.

Recipients must use the online Transit Vehicle Award Reporting Form [external link] to report the required information on transit vehicle procurement awards. For more information on the TVM program requirements, please refer to the TVM webpage. The link to the electronic reporting form may also be found on the list of eligible TVMs.

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to ADA and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA.

## Application Review Comments

**Comment By** Heriberto Chavarria

---

Comment Type Application Details

Date 4/11/2019

Comment Increased Operating Assistance Rural: \$429,737 by \$1.00 to \$429,738

## Application Review Comments

**Comment By** Heriberto Chavarria

---

Comment Type FTA Post Review Comments for Grantee

Date 3/15/2019

Comment Returned for funding adjustment.

**Comment By** Heriberto Chavarria

---

Comment Type FTA Post Review Comments for Grantee

Date 4/11/2019

Comment Returned - budget revision and budget amendment will be done separately.

**Comment By** Heriberto Chavarria

---

Comment Type FTA Post Review Comments for Grantee

Date 4/25/2019

Returning it as we need to do the budget revision first and then add the lapsing funds.  
Comment Additionally, in the BR the deob amount is (\$32,050) and the reob amount is \$35,000, these amounts have to match.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, New Mexico Department Of

Recipient ID: 1564

DUNS No: 117883178

#### **Application Information**

Federal Award Identification Number: NM-2018-001-01

Application Name: NMDOT FY 17 5311 AWARD

Application Start Date: 12/22/2017

Application End Date: 9/29/2023

Application Executive Summary: 2/25/2019 Amendment 1: NMDOT is applying for the remaining balance in the amount of \$429,738, of our FFY 2017 5311 apportionment (\$10,758,251) to the State of New Mexico posted in the Federal Register / Vol. 82, No. 130 / Monday, July 10, 2017 for our 5311 Public Rural Transportation program for FFY 2019 Operating and Program Administration. The funding will be programmed for the Rural Category A.

Rural Category A FY 2019 - NMDOT has awarded RMRTD an amount of \$429,738 for Operating Assistance for Rural projects in Sandoval, Bernalillo, and Valencia counties.

UZA 350000

Rural: \$429,738

Operating Assistance Rural: \$429,738 (Scope 600-00) FPC 09

See attached POP and Budget workbook.

---

---

9/11/2017 NMDOT is applying for \$10,253,909 of our FFY 2017 5311 apportionment (\$10,758,251) to the State of New Mexico posted in the Federal Register / Vol. 82, No. 130 / Monday, July 10, 2017 for our 5311 Public Rural Transportation program for FFY 2018 Operating, Program Administration, Capital Cost of Contract, State Administration, and RTAP (\$144,045 full allocation). The total application request is for \$10,253,909. NMDOT has also accepted a request from Ohkay Owingeh to apply directly for administration and operating costs totaling \$74,604.80 (see uploaded direct allocation letter).

The funding request for the grant projects are as follows:

RTAP Section 5311(b): (435-00) \$144,045 - UZA35000

State Administration: (610-00) \$1,061,421 - UZA 35000

Sub-grantees: (600-00) \$9,048,443 - UZA 35000

See attached Program of Projects and Budget workbook.

There are 25 total projects are in Category A, for 21 sub-grantees in 24 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Sierra, Dona Ana, Bernalillo & Santa Fe) including 3 tribal governments; Navajo Nation, Pueblo of Laguna, and Pueblo of Zuni.

Rural Category A: \$9,048,443 - NMDOT has awarded \$9,048,443 of FY 2017 5311 apportionment to 21 sub-grantees for Rural projects in the upcoming FY 2018 program year for Operating, Program Administration, Capital Cost of Contract, and including Intercity Bus service 5311(f). 4 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program.

State Administration: \$1,061,421 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$144,045 - NMDOT has awarded RTAP \$144,045 for FY17. RTAP will promote the safe and effective delivery of public transportation services in rural areas and

facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants & contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

The Plans can be found on our web-site at [http://dot.state.nm.us/content/nmdot/en/Transit\\_Rail.html](http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html)

Period of Performance: Award Start Date: The start date will be set to the date of the award - Award End Date: 9-30-2022

Research and Development: N/A

Indirect Cost Rate: N/A

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

**Application Budget**

Total Application Budget: \$18,011,749.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$429,738.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$429,738.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$10,683,647.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$7,328,102.00

**Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$10,683,647
Local			\$7,328,102
Local/In-Kind			\$0
State			\$0

State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$18,011,749</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Review Decision: DOL Concur - Certified  
Original Certification Date: 12/19/2017

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, New Mexico Department Of*