

Application

Federal Award Identification Number (FAIN)	IN-2019-020-00
Temporary Application Number	1189-2019-2
Application Name	Section 5311 and Section 5339 Grant for SFY 2020 for Operating Assistance, Intercity Bus, RTAP, State Administration, Replacement Vehicles and Expansion Vehicles
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, Indiana Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1189	State Agency	INDIANA DEPARTMENT OF TRANSPORTATION	824799555

Location Type	Address	City	State	Zip
Headquarters	200 W WASHINGTON ST STE 206	INDIANAPOLIS	IN	462042731
Physical Address	100 N SENATE AVE RM N758	INDIANAPOLIS	IN	46204
Mailing Address	100 N SENATE AVE RM N749	INDIANAPOLIS	IN	46204

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Section 5311 and Section 5339 Grant for SFY 2020 for Operating Assistance, Intercity Bus, RTAP, State Administration, Replacement Vehicles and Expansion Vehicles

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
IN-2019-020-00	Final Concurrence / Reservation	Grant	6/9/2019	6/9/2019	No

Application Start Date

The start date will be set to the date of the award

Application End Date

3/29/2024

Application Executive Summary

This grant to the Indiana Department of Transportation (INDOT) will utilize FFY 2019 and FFY 2017 Section 5311 Funds and FY 2019, FY 2018, and FFY 2017 Section 5339. The funds will be used for operating assistance for 42 rural transit providers, operating assistance for 2 intercity bus providers, RTAP, and 67 replacement transit vehicles (Body on Van Chassis and Mini-Vans), 7 expansion transit vehicles (Body on Van Chassis and Mini-Vans), and State Administration. The local match for these projects will come from city and county governments, local agencies' general funds, Title III, Medicaid, In-kind, and the state Public Mass Transportation Fund (PMTF). The local match for the 2 Intercity Projects will be in-kind match provided by Greyhound. The transportation providers are listed on the attached program of projects (Operating POP #1 and Capital POP #2).

The federal funding includes the following:

Program Year Amount Account Class Code

- Section 5311 2019 \$14,860,618 (2019.25.18.81) - Operating Assistance
- Section 5311 2019 \$2,726,871 (2019.25.18.81) - Intercity Operating Assistance
- Section 5311 2019 \$336,989 (2019.25.18.81) - State Administration
- Section 5311 2017 \$254,661 (2017.25.18.81) - Operating Assistance
- Section 5311(RTAP) 2019 \$318,624 (2019.25.18.R7) - RTAP
- Section 5339 2019 \$2,329,178 (2019.25.34.31) - Vehicles
- Section 5339 2018 \$482,956 (2018.25.34.31) - Vehicles
- Section 5339 2017 \$2,106 (2017.25.34.31) - Vehicles

Total \$21,312,003

Attachments to this application include STIP documentation, Section 5311/5339 Apportionments, Program of Projects (Operating POP #1 and Capital POP #2), a list of replacement vehicles, Section 5311/5339 State Management Plan, In-Kind match documentation, Intercity Bus Grant Application, Intercity Bus In-Kind Match support documentation (including bus schedules and route maps), INDOT Intercity Bus Study, INDOT TAM Plan, and DOL documentation.

The award date is the same as the date of award.

The award end date is 3/30/2024.

This application does not include funds for Research and/or Development activities.

Indirect costs will not be applied to this application and its scope of work.

1. In executing this grant, INDOT agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

2. As Grant Agreement includes an FTA funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

DES NUMBERS 1700458 and 1700460

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
------------	-----------	-------	----------------	-------

Menghui Lai	General Engineer	menghui.lai@dot.gov	312-353-3879
Alexandria Burns	Transportation Program Specialist	Alexandria.Burns@dot.gov	312-353-3770
Larry Buckel	Section Manager	lbuckel@indot.in.gov	317-232-5292

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$18,497,763
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$2,814,240
Local			\$9,001,106
Local/In-Kind			\$2,801,871
State			\$6,742,733
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$39,857,713

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2019-020-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$15,115,279.00	\$15,115,279.00	\$30,230,558.00	1
IN-2019-020-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$15,115,279.00	\$15,115,279.00	\$30,230,558.00	1
IN-2019-020-02-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$336,989.00	\$0.00	\$336,989.00	1
IN-2019-020-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$336,989.00	\$0.00	\$336,989.00	1
IN-2019-020-03-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$318,624.00	\$0.00	\$318,624.00	1
IN-2019-	43.50.02 TECHNICAL ASSISTANCE	\$318,624.00	\$0.00	\$318,624.00	1

020-03-00							
IN-2019-020-04-00	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$2,726,871.00	\$2,726,871.00	\$5,453,742.00		1
IN-2019-020-04-00		30.09.01 UP TO 50% FEDERAL SHARE	\$2,726,871.00	\$2,726,871.00	\$5,453,742.00		1
IN-2019-020-05-00	111-00 (111-A5)	BUS - ROLLING STOCK	\$1,674,640.00	\$418,660.00	\$2,093,300.00		38
IN-2019-020-05-00		11.12.04 BUY REPLACEMENT	\$1,674,640.00	\$418,660.00	\$2,093,300.00		38
IN-2019-020-06-00	111-00 (111-A6)	BUS - ROLLING STOCK	\$860,720.00	\$215,180.00	\$1,075,900.00		29
IN-2019-020-06-00		11.12.15 BUY REPLACEMENT VAN	\$860,720.00	\$215,180.00	\$1,075,900.00		29
IN-2019-020-07-00	111-00 (111-A7)	BUS - ROLLING STOCK	\$130,480.00	\$32,620.00	\$163,100.00		3
IN-2019-020-07-00		11.13.04 BUY	\$130,480.00	\$32,620.00	\$163,100.00		3
IN-2019-020-08-00	111-00 (111-A8)	BUS - ROLLING STOCK	\$148,400.00	\$37,100.00	\$185,500.00		5
IN-2019-020-08-00		11.13.15 BUY VAN FOR SVC EXPANSION	\$148,400.00	\$37,100.00	\$185,500.00		5

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: FFY 2019 and FFY 2017 Section 5311 Funds for Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2019-020-01-00	1189-2019-2-P1	6/10/2019	12/31/2019	6/29/2021

Project Description

The INDOT is utilizing FFY 2017 (\$254,661) and FFY 2019 Section 5311 (\$14,860,618) Funds in the total amount of \$15,115,279 (2017.25.18.81.2 and 2019.25.18.81.2) for operating assistance to 42 rural transit agencies. The Section 5311 sub grantees are listed on the attached Program of Projects - POP #1.

Operating assistance includes labor, fringe, services, materials, supplies, utilities, casualty and liability insurance, purchased transportation and other operating assistance.

The local match for these projects will come from city and county governments, local agencies' general funds, Title III, Medicaid, In-kind, and the state Public Mass Transportation Fund (PMTF).

DES NUMBER 1700458

Project Benefits

To help offset operating cost for Indiana's 42 rural transit agencies. These agencies will provide over 2 million passenger trips and over 4 million total vehicle miles of public transit service in Rural Areas around the State of Indiana.

Additional Information

The INDOT is utilizing FFY 2017 (\$254,661) and FFY 2019 Section 5311 (\$14,860,618) Funds in the total amount of \$15,115,279 (2017.25.18.81.2 and 2019.25.18.81.2) for operating assistance to 42 rural transit agencies. The operating assistance will help offset operating cost for Indiana's 42 rural transit agencies. These agencies will provide over 2 million passenger trips and over 4 million total vehicle miles of public transit service in Rural Areas around the State of Indiana. The agencies include city transit systems, county transit systems, and multi-county transit systems that serve almost all of the rural areas in the state of Indiana.

Location Description

This grant will provide operating assistance to 42 transit systems all around the state of Indiana in Rural Areas. The agencies include city transit systems, county transit systems, and multi-county transit systems that serve almost all of the rural areas in the state of Indiana.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski

Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

Program Plan Information

STIP/TIP

Date: 7/2/2017

Description: The STIP documentation includes the INDOT FY 2018 - 2021 STIP documents which includes the FTA letter approving the FY 2018 - 2021 STIP, the FY 2018 - 2021 cover page, the STIP introduction, and the FY 2018 - 2021 project pages which include the Section 5311 and Section 5339 projects.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,115,279
Local			\$8,297,546
Local/In-Kind			\$75,000
State			\$6,742,733
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$30,230,558

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2019-020-01-00	300-00 OPERATING ASSISTANCE	\$15,115,279.00	\$15,115,279.00	\$30,230,558.00	1

(300-A1)						
IN-2019-020-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$15,115,279.00	\$15,115,279.00	\$30,230,558.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE
--

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

The INDOT is utilizing FFY 2017 (\$254,661) and FFY 2019 Section 5311 (\$14,860,618) Funds in the total amount of \$15,115,279 (2017.25.18.81.2 and 2019.25.18.81.2) for operating assistance to 42 rural transit agencies. The Section 5311 sub grantees are listed on the attached Program of Projects - POP #1.

Operating assistance includes labor, fringe, services, materials, supplies, utilities, casualty and liability insurance, purchased transportation and other operating assistance.

The local match for these projects will come from city and county governments, local agencies' general funds, Title III, Medicaid, In-kind, and the state Public Mass Transportation Fund (PMTF).

DES NUMBER 1700458

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,115,279
Local			\$8,297,546
Local/In-Kind			\$75,000
State			\$6,742,733
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$30,230,558

Milestone Name	Est. Completion Date	Description
----------------	----------------------	-------------

Start Date	1/1/2020	Local Contracts Start Date is 1/1/2020
End Date	6/30/2021	Local contracts complete and closed

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$15,115,279.00	\$30,230,558.00

Project Title: FFY 2019 5311 State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2019-020-02-00	1189-2019-2-P2	6/10/2019	9/30/2019	9/29/2021

Project Description

The INDOT is utilizing FFY 2019 Section 5311 funds in the amount of \$336,989 (2019.25.18.81.2) for state administration which is at the allowed 100 percent federal share and less than the maximum of 10 percent.

The State Administration funds will pay salaries for the Section 5311 staff and supplement the technical assistance contract. The Indiana DOT, Office of Transit is responsible for administering the grant applications, administering the grant contracts, processing payments, conducting site visits, monitoring program compliance, providing technical assistance and other programming administrative functions.

DES # 1700458

Project Benefits

The State Administration funds will pay salaries for the Section 5311 staff and supplement the technical assistance contract. The Indiana DOT, Office of Transit is responsible for administering the grant applications, administering the grant contracts, processing payments, conducting site visits, monitoring

program compliance, providing technical assistance and other programming administrative functions. These activities will assist the sub-recipients and the Indiana DOT to be in full compliance with all of the FTA requirements.

Additional Information

The State Administration funds will pay salaries for the Section 5311 staff and supplement the technical assistance contract. The Indiana DOT, Office of Transit is responsible for administering the grant applications, administering the grant contracts, processing payments, conducting site visits, monitoring program compliance, providing technical assistance and other programming administrative functions. These activities will assist the sub-recipients and the Indiana DOT to be in full compliance with all of the FTA requirements.

The Office of Transit has a staff of 7 full time employees and we have a contract with RLS and Associates to provide technical assistance to our rural transit providers. The technical assistance contract is will expire in 2021

Location Description

This grant will provide state administration and technical assistance to rural transit systems around the state of Indiana.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

Program Plan Information

STIP/TIP

Date: 7/2/2017

Description: The STIP documentation includes the INDOT FY 2018 - 2021 STIP documents which includes the FTA letter approving the FY 2018 - 2021 STIP, the FY 2018 - 2021 cover page, the STIP introduction, and the FY 2018 - 2021 project pages which include the Section 5311 and Section 5339 projects.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$336,989
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$336,989

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2019-020-02-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$336,989.00	\$0.00	\$336,989.00	1
IN-2019-020-02-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$336,989.00	\$0.00	\$336,989.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

The INDOT is utilizing FFY 2019 Section 5311 funds in the amount of \$336,989 (2019.25.18.81.2) for state administration which is at the allowed 100 percent federal share and less than the maximum of 10 percent.

The State Administration funds will pay salaries for the Section 5311 staff and supplement the technical assistance contract. The Indiana DOT, Office of Transit is responsible for administering the grant applications, administering the grant contracts, processing payments, conducting site visits, monitoring program compliance, providing technical assistance and other programming administrative functions.

The Office of Transit has a staff of 7 full time employees and we have a contract with RLS and Associates to provide technical assistance to our rural transit providers. The technical assistance contract is will expire in 2021.

DES # 1700458

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$336,989
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$336,989

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	INDOT Staff will begin using the State Administration Funds to administer the Section 5311 Grant Program.
End Date	9/30/2021	INDOT Staff will complete using the State Administration Funds to administer the Section 5311 Grant Program.

Project Environmental Findings**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$336,989.00	\$336,989.00

Project Title: FFY 2019 5311 Rural Technical Assistance Program (RTAP)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2019-020-03-00	1189-2019-2-P3	6/10/2019	9/30/2019	12/30/2021

Project Description

The INDOT is utilizing FFY 2019 Section 5311 RTAP Funds in the amount of \$318,624 (2019.25.18.R7.2) for the Indiana RTAP Program. The Indiana Department of Transportation has a contract with RLS and Associates for our nationally recognized Rural Technical Assistance Program (RTAP). They provide technical assistance to our rural transit operators. The technical assistance information can be found at our RTAP web-site at <http://www.indianartap.com>.

DES # 1700458

Project Benefits

To provide technical assistance and training to Indiana Public and Specialized Transportation Providers all around the state. This includes 42 rural transit systems and over 100 specialized transportation providers. The technical assistance and training includes safety and security, drug and alcohol, driving training, evacuations, passenger assistance, and other technical assistance and training regarding public and specialized transportation.

Additional Information

The RTAP office provides technical assistance to Indiana Public and Specialized Transportation Providers.

Location Description

The training and technical assistance is for public and specialized transportation providers all around the state which includes all of the counties in the State of Indiana.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

Program Plan Information

STIP/TIP

Date: 7/2/2017

Description: The STIP documentation includes the INDOT FY 2018 - 2021 STIP documents which includes the FTA letter approving the FY 2018 - 2021 STIP, the FY 2018 - 2021 cover page, the STIP introduction, and the FY 2018 - 2021 project pages which include the Section 5311 and Section 5339 projects.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$318,624
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$318,624

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2019-020-03-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$318,624.00	\$0.00	\$318,624.00	1
IN-2019-020-03-00	43.50.02 TECHNICAL ASSISTANCE	\$318,624.00	\$0.00	\$318,624.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

The INDOT is utilizing FFY 2019 Section 5311 RTAP Funds in the amount of \$318,624 (2019.25.18.R7.2) for the Indiana RTAP Program for the period of October 1, 2019 through December 31, 2021. The Indiana Department of Transportation has a contract with RLS and Associates for our nationally recognized Rural Technical Assistance Program (RTAP). They provide technical assistance to our rural transit operators. The technical assistance information can be found at our RTAP web-site at <http://www.indianartap.com>. There is no local share requirement for RTAP funds as per FTA Circular 9040.1G (Page IX-1 and IX-2, Number 3d.).

DES # 1700458

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$318,624
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$318,624

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Start Date
End Date	12/31/2021	End Date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	1	\$318,624.00	\$318,624.00

Project Title: FFY 2019 5311 Intercity Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2019-020-04-00	1189-2019-2-P4	6/11/2019	12/31/2019	6/29/2021

Project Description

The INDOT is utilizing FFY 2019 Section 5311 Funds in the amount of \$2,726,871 (2019.25.18.81.2) for Intercity Bus Operating Assistance Projects. The INDOT Intercity Bus Study is attached to this application as planning support. INDOT has awarded two intercity bus operating grants. The intercity bus providers are Miller Transportation and Barons Bus. Miller Transportation provides service to 5 routes in Indiana that serve the rural areas and provide meaningful connections. Barons Bus provides service to 4 routes in Indiana that serve the rural areas and provide meaningful connections.

DES # 1700458

Project Benefits

To help offset operating cost for Indiana's Intercity Bus Projects and provide intercity bus service to rural areas around the state which includes 9 intercity bus routes around the state.

Additional Information

The intercity bus providers are Miller Transportation and Barons Bus. Miller Transportation provides service to 5 routes in Indiana that serve the rural areas and provide meaningful connections. Barons Bus provides service to 4 routes in Indiana that serve the rural areas and provide meaningful connections. The route maps and bus schedules are attached to this grant application.

Location Description

The grant will provide access to intercity bus transportation to rural areas through-out the state. The projects includes 9 intercity bus routes around the state of Indiana with meaningful connections.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

Program Plan Information

STIP/TIP

Date: 7/2/2017

Description: The STIP documentation includes the INDOT FY 2018 - 2021 STIP documents which includes the FTA letter approving the FY 2018 - 2021 STIP, the FY 2018 - 2021 cover page, the STIP introduction, and the FY 2018 - 2021 project pages which include the Section 5311 and Section 5339 projects.

UPWP

Date: N/A
 Description: N/A

Long Range Plan

Date: N/A
 Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,726,871
Local			\$0
Local/In-Kind			\$2,726,871
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,453,742

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2019-020-04-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$2,726,871.00	\$2,726,871.00	\$5,453,742.00	1
IN-2019-020-04-00	30.09.01 UP TO 50% FEDERAL SHARE	\$2,726,871.00	\$2,726,871.00	\$5,453,742.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

The INDOT is utilizing FFY 2019 Section 5311 Funds in the amount of \$2,726,871 (2019.25.18.81.2) for Intercity Bus Operating Assistance Projects. The INDOT Intercity Bus Study is attached to this

application as planning support. INDOT has awarded two intercity bus operating grants. The intercity bus providers are Miller Transportation and Barons Bus. Miller Transportation provides service to 5 routes in Indiana that serve the rural areas and provide meaningful connections. Barons Bus provides service to 4 routes in Indiana that serve the rural areas and provide meaningful connections.

The local share will be provided by in-kind match from Greyhound (See the attached in-kind match documentation).

DES # 1700458

DES # 1700458

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,726,871
Local			\$0
Local/In-Kind			\$2,726,871
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,453,742

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2020	Strat Intercity Bus Operating Assistance
End Date	6/30/2021	Complete Intercity Bus Operating Assistance

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$2,726,871.00	\$5,453,742.00

Project Title: INDOT FFY 2019 (FTA FFY 17, 18 & 19 5339) Replacement Less than 30 Foot Transit Vehicles (Body on Van Chassis)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2019-020-05-00	1189-2019-2-P5	6/11/2019	9/14/2015	9/29/2021

Project Description

The INDOT is utilizing FFY 2017, FFY 2018, and FFY 2019 Section 5339 Funds in the amount of \$1,674,640 (2017.25.34.31 \$2,106), (2018.25.34.31 \$482,956), and (2019.25.34.31 \$1,189,578) to purchase 38 replacement transit vehicles (Body on Van Chassis. The INDOT TAM plan is attached to the application as planning support. The transit vehicles being purchased will replace transit vehicles currently that have reached their useful life of 4 years and/or 100,000 miles. A list of the vehicles being replaced is attached and includes the vehicle identification number (VIN), age, current mileage, and the projected mileage for each vehicle. All of the vehicles have reached their useful life (4 years and/or 100,000 miles).

DES NUMBER 1700458

Project Benefits

Newer vehicles will provide for more reliable and efficient service for our transit providers.

Additional Information

The vehicles will be procured through a state contact with Mid-West Transit

Location Description

The replacement vehicles will be used by agencies all around the state of Indiana.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

Program Plan Information

STIP/TIP

Date: 7/2/2017

Description: The STIP documentation includes the INDOT FY 2018 - 2021 STIP documents which includes the FTA letter approving the FY 2018 - 2021 STIP, the FY 2018 - 2021 cover page, the STIP introduction, and the FY 2018 - 2021 project pages which include the Section 5311 and Section 5339 projects.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$1,674,640
Local			\$418,660
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,093,300

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2019-020-05-00	111-00 (111-A5) BUS - ROLLING STOCK	\$1,674,640.00	\$418,660.00	\$2,093,300.00	38
IN-2019-020-05-00	11.12.04 BUY REPLACEMENT	\$1,674,640.00	\$418,660.00	\$2,093,300.00	38

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	38

Extended Budget Description

The INDOT is utilizing FFY 2017, FFY 2018, and FFY 2019 Section 5339 Funds in the amount of \$1,674,640 (2017.25.34.31 \$2,106), (2018.25.34.31 \$482,956), and 2019.25.34.31 \$1,189,578) to purchase 38 replacement transit vehicles. The INDOT TAM plan is attached to the application as planning support. The transit vehicles being purchased will replace transit vehicles currently that have reached their useful life of 4 years and/or 100,000 miles. A list of the vehicles being replaced is attached and includes the vehicle identification number (VIN), age, current mileage, and the projected mileage for each vehicle. All of the vehicles have reached their useful life (4 years and/or 100,000 miles).

The source of the local match include the following: City General Funds, County General Funds, Local Agency Funds, Fund Raisers, and Private Donations.

DES NUMBER 1700460

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$1,674,640
Local			\$418,660
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$2,093,300

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	9/15/2015	The vehicles will be procured through a State Contract with Mid-West Transit
Contract Award Date	2/1/2016	The vehicles will be procured through a State Contract with Mid-West Transit
Initial Delivery Date	4/30/2020	The first vehicle(s) will be delivered
Final Delivery Date	6/30/2021	The final vehicle(s) will be delivered
Contract Completion Date	9/30/2021	The contract will be closed

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	38	\$1,674,640.00	\$2,093,300.00

Project Title: FFY 2019 5339 Replacement Vans (Mini-Vans)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
----------------	--------------------------	--------------	------------	----------

IN-2019-020-06-00

1189-2019-2-P6

6/11/2019

9/14/2015

9/29/2021

Project Description

The INDOT is utilizing FFY 2019 Section 5339 Funds in the amount of \$860,720 (2019.25.34.31) to purchase 29 replacement vans (Mini-Vans). The INDOT TAM plan is attached to the application as planning support. The transit vehicles being purchased will replace transit vehicles currently that have reached their useful life of 4 years and/or 100,000 miles. A list of the vehicles being replaced is attached and includes the vehicle identification number (VIN), age, current mileage, and the projected mileage for each vehicle. All of the vehicles have reached their useful life (4 years and/or 100,000 miles).

DES NUMBER 1700458

Project Benefits

Newer vehicles will provide for more reliable and efficient service for our transit providers.

Additional Information

The vehicles will be procured through a state contact with Mid-West Transit

Location Description

The replacement vehicles will be used by agencies all around the state of Indiana.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

Program Plan Information

STIP/TIP

Date: 7/2/2019

Description: The STIP documentation includes the INDOT FY 2018 - 2021 STIP documents which includes the FTA letter approving the FY 2018 - 2021 STIP, the FY 2018 - 2021 cover page, the STIP

introduction, and the FY 2018 - 2021 project pages which include the Section 5311 and Section 5339 projects.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$860,720
Local			\$215,180
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,075,900

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2019-020-06-00	111-00 (111-A6) BUS - ROLLING STOCK	\$860,720.00	\$215,180.00	\$1,075,900.00	29
IN-2019-020-06-00	BUY 11.12.15 REPLACEMENT VAN	\$860,720.00	\$215,180.00	\$1,075,900.00	29

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	29

Extended Budget Description

The INDOT is utilizing FFY 2019 Section 5339 Funds in the amount of \$860,720 (2019.25.34.31) to purchase 29 replacement mini- vans. The INDOT TAM plan is attached to the application as planning support. The transit vehicles being purchased will replace transit vehicles currently that have reached their useful life of 4 years and/or 100,000 miles. A list of the vehicles being replaced is attached and includes the vehicle identification number (VIN), age, current mileage, and the projected mileage for each vehicle. All of the vehicles have reached their useful life (4 years and/or 100,000 miles).

The source of the local match include the following: City General Funds, County General Funds, Local Agency Funds, Fund Raisers, and Private Donations.

DES NUMBER 1700460

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$860,720
Local			\$215,180
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,075,900

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	9/15/2015	The vehicles will be procured through a State Contract with Mid-West Transit
Contract Award Date	2/1/2016	The vehicles will be procured through a State Contact with Mid-West Transit
Initial Delivery Date	4/30/2020	The first vehicle(s) will be delivered
Final Delivery Date	6/30/2021	The final vehicle(s) will be delivered
Contract Completion Date	9/30/2021	The contract will be closed

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	29	\$860,720.00	\$1,075,900.00

Project Title: FFY 2019 5339 Expansion Less Than 30 Foot Transit Vehicles (Body on Van Chassis)
--

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2019-020-07-00	1189-2019-2-P7	6/11/2019	9/14/2015	9/29/2021

Project Description

The INDOT is utilizing FFY 2019 Section 5339 Funds in the amount of \$130,480 (2019.25.34.31) to purchase 3 expansion less than 30 transit vehicles (Body on Van Chassis). The INDOT TAM plan is attached to the application as planning support. The transit vehicles will be used to meet increased demand. The useful life of the transit vehicles will be 4 years and/or 100,000 miles.

DES# 1700460

Project Benefits

The transit vehicles will be used to transport citizens in rural areas around the state.

Additional Information

INDOT will procure the transit vehicles from the State Contract with Mid-West Transit.

Location Description

The transit vehicles will be used by agencies in rural areas to transport citizens all over the state of Indiana.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

Program Plan Information

STIP/TIP

Date: 7/2/2019

Description: The STIP documentation includes the INDOT FY 2018 - 2021 STIP documents which includes the FTA letter approving the FY 2018 - 2021 STIP, the FY 2018 - 2021 cover page, the STIP introduction, and the FY 2018 - 2021 project pages which include the Section 5311 and Section 5339 projects.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$130,480
Local			\$32,620
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$163,100

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2019-020-07-00	111-00 (111-A7) BUS - ROLLING STOCK	\$130,480.00	\$32,620.00	\$163,100.00	3
IN-2019-020-07-00	11.13.04 BUY	\$130,480.00	\$32,620.00	\$163,100.00	3

Project Budget Activity Line Items

Budget Activity Line Item: 11.13.04 - BUY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	3

Extended Budget Description

The INDOT is utilizing FFY 2019 Section 5339 Funds in the amount of \$89,920 (2019.25.34.31) to purchase 2 expansion less than 30 transit vehicles. The INDOT TAM plan is attached to the application as planning support. The transit vehicles will be used to meet increased demand. The useful life of the transit vehicles will be 4 years and/or 100,000 miles.

The source of the local match include the following: City General Funds, County General Funds, Local Agency Funds, Fund Raisers, and Private Donations.

DES NUMBER 1700460

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$130,480
Local			\$32,620
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$163,100

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	9/15/2015	The vehicles will be procured through a State Contract with Mid-West Transit
Contract Award Date	2/1/2016	The vehicles will be procured through a State Contract with Mid-West Transit
Initial Delivery Date	4/30/2020	The first vehicle(s) will be delivered
Final Delivery Date	6/30/2021	The final vehicle(s) will be delivered
Contract Completion Date	9/30/2021	The contract will be closed

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	3	\$130,480.00	\$163,100.00

Project Title: FFY 2019 5339 Expansion Vans (Mini-Vans)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IN-2019-020-08-00	1189-2019-2-P8	6/11/2019	9/14/2015	9/29/2021

Project Description

The INDOT is utilizing FFY 2019 Section 5339 Funds in the amount of \$148,400 (2019.25.34.31) to purchase 5 vans (Mini-Vans). The INDOT TAM plan is attached to the application as planning support. The transit vehicles will be used to meet increased demand. The useful life of the transit vehicles will be 4 years and/or 100,000 miles.

DES# 1700460

Project Benefits

The transit vehicles will be used to transport citizens in rural areas around the state.

Additional Information

INDOT will procure the transit vehicles from the State Contract with Mid-West Transit.

Location Description

The transit vehicles will be used by agencies in rural areas to transport citizens all over the state of Indiana.

Project Location (Urbanized Areas)

UZA Code	Area Name
180000	Indiana

Congressional District Information

State	District	Representative
Indiana	1	Pete Visclosky
Indiana	2	Jackie Walorski
Indiana	3	Jim Banks
Indiana	4	Todd Rokita
Indiana	5	Susan Brooks
Indiana	6	Luke Messer
Indiana	7	Andre Carson
Indiana	8	Larry Bucshon
Indiana	9	Trey Hollingsworth

Program Plan Information

STIP/TIP

Date: 7/2/2017

Description: The STIP documentation includes the INDOT FY 2018 - 2021 STIP documents which includes the FTA letter approving the FY 2018 - 2021 STIP, the FY 2018 - 2021 cover page, the STIP introduction, and the FY 2018 - 2021 project pages which include the Section 5311 and Section 5339 projects.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$148,400
Local			\$37,100
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$185,500

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IN-2019-020-08-00	111-00 (111-A8) BUS - ROLLING STOCK	\$148,400.00	\$37,100.00	\$185,500.00	5
IN-2019-020-08-00	11.13.15 BUY VAN FOR SVC EXPANSION	\$148,400.00	\$37,100.00	\$185,500.00	5

Project Budget Activity Line Items

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity

BUS - ROLLING
STOCK (111-00)

11.13.15

BUY VAN FOR SVC
EXPANSION

BUY EXPANSION -
CAPITAL BUS

5

Extended Budget Description

The INDOT is utilizing FFY 2019 Section 5339 Funds in the amount of \$148,400 (2019.25.34.31) to purchase 5 vans. The INDOT TAM plan is attached to the application as planning support. The transit vehicles will be used to meet increased demand. The useful life of the transit vehicles will be 4 years and/or 100,000 miles.

The source of the local match include the following: City General Funds, County General Funds, Local Agency Funds, Fund Raisers, and Private Donations.

DES# 1700460

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$148,400
Local			\$37,100
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$185,500

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	9/15/2015	The vehicles will be procured through a State Contract with Mid-West Transit
Contract Award Date	2/1/2016	The vehicles will be procured through a State Contact with Mid-West Transit
Initial Delivery Date	4/30/2020	The first vehicle(s) will be delivered
Final Delivery Date	6/30/2021	The final vehicle(s) will be delivered
Contract Completion Date	9/30/2021	The contract will be closed

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	5	\$148,400.00	\$185,500.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:
(1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018,
<http://www.transit.dot.gov>,

- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Indiana Department Of

Recipient ID: 1189

DUNS No: 824799555

Application Information

Federal Award Identification Number: IN-2019-020-00

Application Name: Section 5311 and Section 5339 Grant for SFY 2020 for Operating Assistance, Intercity Bus, RTAP, State Administration, Replacement Vehicles and Expansion Vehicles

Application Start Date: The start date will be set to the date of the award

Application End Date: 3/29/2024

Application Executive Summary: This grant to the Indiana Department of Transportation (INDOT) will utilize FFY 2019 and FFY 2017 Section 5311 Funds and FY 2019, FY 2018, and FFY 2017 Section 5339. The funds will be used for operating assistance for 42 rural transit providers, operating assistance for 2 intercity bus providers, RTAP, and 67 replacement transit vehicles (Body on Van Chassis and Mini-Vans), 7 expansion transit vehicles (Body on Van Chassis and Mini-Vans), and State Administration. The local match for these projects will come from city and county governments, local agencies' general funds, Title III, Medicaid, In-kind, and the state Public Mass Transportation Fund (PMTF). The local match for the 2 Intercity Projects will be in-kind match provided by Greyhound. The transportation providers are listed on the attached program of projects (Operating POP #1 and Capital POP #2).

The federal funding includes the following:

Program Year Amount Account Class Code

Section 5311 2019 \$14,860,618 (2019.25.18.81) - Operating Assistance
Section 5311 2019 \$2,726,871 (2019.25.18.81) - Intercity Operating Assistance
Section 5311 2019 \$336,989 (2019.25.18.81) - State Administration

Section 5311 2017 \$254,661 (2017.25.18.81) - Operating Assistance
Section 5311(RTAP) 2019 \$318,624 (2019.25.18.R7) - RTAP
Section 5339 2019 \$2,329,178 (2019.25.34.31) - Vehicles
Section 5339 2018 \$482,956 (2018.25.34.31) - Vehicles
Section 5339 2017 \$2,106 (2017.25.34.31) - Vehicles

Total \$21,312,003

Attachments to this application include STIP documentation, Section 5311/5339 Apportionments, Program of Projects (Operating POP #1 and Capital POP #2), a list of replacement vehicles, Section 5311/5339 State Management Plan, In-Kind match documentation, Intercity Bus Grant Application, Intercity Bus In-Kind Match support documentation (including bus schedules and route maps), INDOT Intercity Bus Study, INDOT TAM Plan, and DOL documentation.

The award date is the same as the date of award.

The award end date is 3/30/2024.

This application does not include funds for Research and/or Development activities.

Indirect costs will not be applied to this application and its scope of work.

1. In executing this grant, INDOT agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

2. As Grant Agreement includes an FTA funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR § 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making a FTA assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

DES NUMBERS 1700458 and 1700460

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$39,857,713.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$21,312,003.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$18,545,710.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$21,312,003.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$18,545,710.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$18,497,763
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$2,814,240
Local			\$9,001,106
Local/In-Kind			\$2,801,871
State			\$6,742,733
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$39,857,713

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION

U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Indiana Department Of