

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	AK-2019-012-00
<b>Temporary Application Number</b>	7320-2019-2
<b>Application Name</b>	FY19 5311 TTP Formula for Operations, Indirect Costs
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

## Part 1: Recipient Information

**Name: Ninilchik Village**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7320	Indian Tribe	Ninilchik	614159697

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	15910 STERLING HWY	NINILCHIK	AK	99639
Mailing Address	P.O. BOX 39070	NINILCHIK	AK	99639

## Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: FY19 5311 TTP Formula for Operations, Indirect Costs**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
AK-2019-012-00	Final Concurrence / Reservation	Grant	5/1/2019	5/1/2019	No

**Application Start Date**

The start date will be set to the date of the award

**Application End Date**

9/29/2019

**Application Executive Summary**

This application includes \$54,839 in FY19 Section 5311 TTP Formula funding for operating and administration costs through the use of a Federally approved indirect cost rate for fixed route transit service on the Kenai Peninsula.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The BIA approved the Ninilchik Village Tribe indirect cost rate on June 12, 2018 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The BIA requires tribes to renegotiate their rates annually. The approved rate of 38.11% or any future approved rates will be applied to the applicable activity line descriptions.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Darrel	Williams	Resource Director	darrel@ninilchiktribe-nsn.gov	907-567-3815
Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461

Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965
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## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$54,839
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$54,839</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2019-012-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$54,839.00	\$0.00	\$54,839.00	0
AK-2019-012-01-00	11.79.00 Project Administration - Indirect Costs (19 5311 TTP Formula 100:0)(00)	\$5,484.00	\$0.00	\$5,484.00	0
AK-2019-012-01-00	30.09.01 Operating Assistance (19 5311 TTP Formula 100:0)(00)	\$49,355.00	\$0.00	\$49,355.00	0

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

**Project Title: FY19 5311 TTP Formula Operations, Indirect Costs**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2019-012-01-00	7320-2019-2-P1	5/1/2019	9/30/2018	9/29/2020

### Project Description

This application includes \$54,839 in FY19 Section 5311 TTP Formula funding for operating and administration costs through the use of a Federally approved indirect cost rate for fixed route transit service on the Kenai Peninsula.

### Project Benefits

The purpose of the program is to create an affordable transportation choice that serves the community, economically disadvantaged, non-drivers, senior citizens, and people with special needs. By providing a service, it will connect people to local educational institutions, medical and dental care facilities, employment opportunities, and commerce while hopefully decreasing the overall economic burden of transportation to the rural residents of the Ninilchik Village Tribe. The Ninilchik Village is located centrally on the Kenai Peninsula and is the most economical choice for the development of a transportation hub to serve the greater community.

Changes in rural Alaska have always driven the needs of communities. Due to weekly changes in fuel prices; increasing costs of living, and commuting long distances for work has increased the need for the longer commutes between communities. Having access to economic centers provide unique challenges for non-drivers and the elderly and also affects commuters who need access to schools, medical care, and commerce. Starting in 2005 the Ninilchik Village Tribe has engaged in efforts to address the transportation needs of the community. Rural communities in Alaska have few places for commuters to meet and develop basic transportation activities such as carpooling. The tribe constructed a building and provided a place for commuter's to engage in transportation activities. Now, instead of parking cars in a variety of places, there is a dedicated place to do this. This has provided for carpooling, ride sharing and a meeting place for commuters. The tribe realizes that they are not meeting the larger needs of the community any longer and has proposed to provide a bus service to meet these needs.

The tribe intends to meet these transportation needs through the transit program, which would include service to the neighboring communities of Clam Gulch, Kasilof, Kenai, Soldotna, Happy Valley, and Anchor Point. Ninilchik is centrally located on the Kenai Peninsula and is an ideal location for a transportation hub. Connecting routes, through these communities, will provide access to smaller communities along the way and provide for the fundamental need of the Alaska Native community to interact with a modern world using a sustainable transportation system. The transit system will include three basic routes consisting of local area, Redline North and Blueline South. Each route will operate independently and be scheduled for concurrent connections at the Ninilchik transit hub. Creating agreements with local land owners has also provided an opportunity to develop bus stops and pick up points along the identified routes. The service will provide transportation choices to a combined population of approximately 26,000 people of which 14% are elderly (2010 Census).

The expansion of the program includes obtaining a small bus that will provide transportation to the north and south locality of Ninilchik. The Ninilchik program will provide a service area to include travel 45 miles to the north (Kenai) and 35 miles south (Homer) of Ninilchik to northern and southern destinations. Scheduling will allow for a commuter to travel from the northern Kenai Peninsula to the southern Kenai Peninsula twice a day. The projected outcomes of this proposal will provide for a regularly scheduled service for commuters, reduce local emissions, promote efficient travel, and reduce accidents on the Kenai Peninsula.

### Additional Information

*None provided.*

### Location Description

The tribe intends to meet these transportation needs through the transit program, which would include service to the neighboring communities of Clam Gulch, Kasilof, Kenai, Soldotna, Happy Valley, and Anchor Point. Ninilchik is centrally located on the Kenai Peninsula and is an ideal location for a

transportation hub. Connecting routes, through these communities, will provide access to smaller communities along the way and provide for the fundamental need of the Alaska Native community to interact with a modern world using a sustainable transportation system.

## Project Location (Urbanized Areas)

UZA Code	Area Name
020000	Alaska

## Congressional District Information

State	District	Representative
Alaska	1	Don Young

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: STIP There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$54,839
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$54,839</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2019-012-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$54,839.00	\$0.00	\$54,839.00	0
AK-2019-012-01-00	11.79.00 Project Administration - Indirect Costs (19 5311 TTP Formula 100:0)(00)	\$5,484.00	\$0.00	\$5,484.00	0
AK-2019-012-01-00	30.09.01 Operating Assistance (19 5311 TTP Formula 100:0)(00)	\$49,355.00	\$0.00	\$49,355.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.01 - Operating Assistance (19 5311 TTP Formula 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

### Extended Budget Description

This activity uses \$49,355 in FY2019 5311 TTP formula funds for operating assistance for the time period of 10/1/2018-9/30/2020.

### STIP

There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$49,355
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$49,355</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2020	

**Budget Activity Line Item: 11.79.00 - Project Administration - Indirect Costs (19 5311 TTP Formula 100:0)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

This activity uses \$5,484 in FY2019 Section 5311 TTP Formula funding at 100% Federal 0% match ratio for Administrative cost using the most current Federally approved indirect costs rate for the time period of 10/1/2018 to 9/30/2020.

**STIP**

There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$5,484
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,484</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2020	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

There are no review comments to display at this time.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this



FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Ninilchik Village

Recipient ID: 7320

DUNS No: 614159697

#### **Application Information**

Federal Award Identification Number: AK-2019-012-00

Application Name: FY19 5311 TTP Formula for Operations, Indirect Costs

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2019

Application Executive Summary: This application includes \$54,839 in FY19 Section 5311 TTP Formula funding for operating and administration costs through the use of a Federally approved indirect cost rate for fixed route transit service on the Kenai Peninsula.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

## Application Budget

Total Application Budget: \$54,839.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$54,839.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$54,839.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

## Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$54,839
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$54,839</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

## U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

## Special Conditions

There are no special conditions.

## FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

## FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Ninilchik Village*