

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

|   |   |
|---|---|
| <b>Federal Award Identification Number (FAIN)</b> | AK-2019-011-00                                    |
| <b>Temporary Application Number</b>               | 6666-2019-2                                       |
| <b>Application Name</b>                           | FY2019 5311 TTP Formula Operations, Project Admin |
| <b>Application Status</b>                         | Final Concurrence / Reservation                   |
| <b>Application Budget Number</b>                  | 0   |

## Part 1: Recipient Information

**Name: Chickaloon Native Village**

| Recipient ID | Recipient OST Type | Recipient Alias           | Recipient DUNS |
|--------------|--------------------|---------------------------|----------------|
| 6666         | Indian Tribe       | CHICKALOON NATIVE VILLAGE | 037410123      |

| Location Type    | Address              | City       | State | Zip   |
|------------------|----------------------|------------|-------|-------|
| Headquarters     |                      |            |       |       |
| Physical Address | 9255 N GLENN HIGHWAY | PALMER     | AK    | 99645 |
| Mailing Address  | P. O. BOX 1105       | CHICKALOON | AK    | 99674 |

## Union Information

|                   |                                    |
|-------------------|------------------------------------|
| <b>Union Name</b> | <b>GENERAL TEAMSTERS LOCAL 959</b> |
| Address 1         |                                    |
| Address 2         |                                    |
| City              |                                    |
| State             |                                    |
| Zipcode           |                                    |
| Contact Name      | Derek Musto                        |
| Telephone         | 9075868219                         |
| Fax               |                                    |
| E-mail            | dmusto@akteamsters.com             |

|                   |   |
|-------------------|---|
| Website           |   |
| <b>Union Name</b> | <b>INTERNATIONAL UNION OF OPERATING ENGINEERS LOCAL 302</b> |
| Address 1         | 4001 Demali St.   |
| Address 2         |   |
| City              | Anchorage   |
| State             | Alaska  |
| Zipcode           | 99503   |
| Contact Name      | Shane Linse   |
| Telephone         | 9075615288  |
| Fax               |   |
| E-mail            | slinse@iuoe302.org  |
| Website           |   |

## Part 2: Application Information

### Title: FY2019 5311 TTP Formula Operations, Project Admin

| FAIN           | Application Status              | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|------------------|--------------|-------------------|------------|
| AK-2019-011-00 | Final Concurrence / Reservation | Grant            | 3/12/2019    | 3/12/2019         | No         |

#### Application Start Date

The start date will be set to the date of the award

#### Application End Date

12/31/2019

#### Application Executive Summary

This application includes \$108,606 in FY2019 Section 5311 TTP formula funding for operating and administration costs through the use of a Federally approved indirect cost rate for the operation of a general public Demand Response service.

#### Frequency of Milestone Progress Reports (MPR)

Annual

#### Frequency of Federal Financial Reports (FFR)

Annual

#### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

#### Pre-Award Authority

This award is using Pre-Award Authority.

#### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The DOI approved the Chickaloon Native Village indirect cost rate on 10/16/2017 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The approved rate of 25.74% or the most currently approved annual rate will be applied to the applicable activity line descriptions. The current Indirect Cost Rate proposal has been submitted to DOI and is awaiting negotiation and approval.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

| First Name | Last Name | Title                             | E-mail Address              | Phone          |
|------------|-----------|-----------------------------------|-----------------------------|----------------|
| Louis      | Friend    | Transit Coordinator               | lhfriend@chickaloon-nsn.gov | (907) 745-1753 |
| Annette    | McKelvey  | Transportation Program Specialist | Annette.McKelvey@dot.gov    | (206) 220-4461 |
| Scot       | Rastelli  | Transportation Program Specialist | Scot.Rastelli@dot.gov       | 206-220-7965   |

**Application Budget Control Totals**

| Funding Source                        | Section of Statute | CFDA Number | Amount           |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B            | 20509       | \$108,606        |
| Local                                 |                    |             | \$0              |
| Local/In-Kind                         |                    |             | \$0              |
| State                                 |                    |             | \$0              |
| State/In-Kind                         |                    |             | \$0              |
| Other Federal                         |                    |             | \$0              |
| Transportation Development Credit     |                    |             | \$0              |
| Adjustment                            |                    |             | \$0              |
| <b>Total Eligible Cost</b>            |                    |             | <b>\$108,606</b> |

**Application Budget**

| Project Number    | Budget Item   | FTA Amount   | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|--------------|----------------|-----------------------|----------|
| AK-2019-011-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS                               | \$108,606.00 | \$0.00         | \$108,606.00          | 2        |
| AK-2019-011-01-00 | 11.79.00 Project Administration (2019 5311 TTP Formula 100:0)(00) | \$10,861.00  | \$0.00         | \$10,861.00           | 1        |
| AK-2019-011-01-00 | 30.09.01 Operating (2019 5311 TTP Formula 100:0)(00)              | \$97,745.00  | \$0.00         | \$97,745.00           | 1        |

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: 2019 5311 TTP Formula for Operations, Project Admin

| Project Number    | Temporary Project Number | Date Created | Start Date | End Date  |
|-------------------|--------------------------|--------------|------------|-----------|
| AK-2019-011-01-00 | 6666-2019-2-P1           | 3/12/2019    | 9/30/2018  | 9/29/2019 |

#### Project Description

This project funds \$108,606 in FY2019 5311 TTP Formula funds for Operating and Administration costs through the use of an indirect cost rate for Chickaloon Area Transit System (CATs) from time period October 1, 2018 to September 30, 2019.

#### Project Benefits

Maintain reliable transit services for Tribal citizens and the local community.

#### Additional Information

*None provided.*

#### Location Description

Operate within the Matanuska Susitna Borough.

## Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 020000   | Alaska    |

## Congressional District Information

| State  | District | Representative |
|--------|----------|----------------|
| Alaska | 1        | Don Young      |

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per 9040.1G Chapter X, page X-3, paragraph 10.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

| Funding Source                        | Section of Statute | CFDA Number | Amount           |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B            | 20509       | \$108,606        |
| Local                                 |                    |             | \$0              |
| Local/In-Kind                         |                    |             | \$0              |
| State                                 |                    |             | \$0              |
| State/In-Kind                         |                    |             | \$0              |
| Other Federal                         |                    |             | \$0              |
| Transportation Development Credit     |                    |             | \$0              |
| Adjustment                            |                    |             | \$0              |
| <b>Total Eligible Cost</b>            |                    |             | <b>\$108,606</b> |

## Project Budget

| Project Number    | Budget Item                         | FTA Amount   | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-------------------------------------|--------------|----------------|-----------------------|----------|
| AK-2019-011-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$108,606.00 | \$0.00         | \$108,606.00          | 2        |

|                   |          |   |             |        |             |   |
|-------------------|----------|---|-------------|--------|-------------|---|
| AK-2019-011-01-00 | 11.79.00 | Project Administration<br>(2019 5311 TTP Formula 100:0)(00) | \$10,861.00 | \$0.00 | \$10,861.00 | 1 |
| AK-2019-011-01-00 | 30.09.01 | Operating<br>(2019 5311 TTP Formula 100:0)(00)              | \$97,745.00 | \$0.00 | \$97,745.00 | 1 |

## Project Budget Activity Line Items

**Budget Activity Line Item: 11.79.00 - Project Administration (2019 5311 TTP Formula 100:0)(00)**

| Scope Name / Code            | Line Item # | Line Item Name         | Activity                  | Quantity |
|------------------------------|-------------|------------------------|---------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.79.00    | PROJECT ADMINISTRATION | OTHER CAPITAL ITEMS (BUS) | 1        |

### Extended Budget Description

This project funds \$10,861 in FY2019 Section 5311 TTP Formula funding at 100% Federal 0% match ratio for Administrative cost using the most current Federally approved indirect costs rate for the time period of 10/01/2018 to 09/30/2019.

### STIP

There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source                        | Section of Statute | CFDA Number | Amount          |
|---------------------------------------|--------------------|-------------|-----------------|
| 5311 - Tribal Transit Program Formula | 5311-1B            | 20509       | \$10,861        |
| Local                                 |                    |             | \$0             |
| Local/In-Kind                         |                    |             | \$0             |
| State                                 |                    |             | \$0             |
| State/In-Kind                         |                    |             | \$0             |
| Other Federal                         |                    |             | \$0             |
| Transportation Development Credit     |                    |             | \$0             |
| Adjustment                            |                    |             | \$0             |
| <b>Total Eligible Cost</b>            |                    |             | <b>\$10,861</b> |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|-------------|
|----------------|----------------------|-------------|

|            |           |                |
|------------|-----------|----------------|
| Start Date | 10/1/2018 | indirect start |
| End Date   | 9/30/2019 | Indirect end   |

**Budget Activity Line Item: 30.09.01 - Operating (2019 5311 TTP Formula 100:0)(00)**

| Scope Name / Code            | Line Item # | Line Item Name          | Activity             | Quantity |
|------------------------------|-------------|-------------------------|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.01    | UP TO 50% FEDERAL SHARE | OPERATING ASSISTANCE | 1        |

**Extended Budget Description**

This project funds \$97,745 in FY2019 Section 5311 TTP Formula funds at the 100% Federal, 0% Match ration for Operating costs fore CATs Public Demand Response service near Chickaloon, Alaska MP 70 on the Glenn Highway, through Sutton to Palmer, Alaska MP 40. Operating Monday through Friday, for the time period of October 1, 2018 to September 30, 2019.

**STIP**

There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

| Funding Source                        | Section of Statute | CFDA Number | Amount          |
|---------------------------------------|--------------------|-------------|-----------------|
| 5311 - Tribal Transit Program Formula | 5311-1B            | 20509       | \$97,745        |
| Local                                 |                    |             | \$0             |
| Local/In-Kind                         |                    |             | \$0             |
| State                                 |                    |             | \$0             |
| State/In-Kind                         |                    |             | \$0             |
| Other Federal                         |                    |             | \$0             |
| Transportation Development Credit     |                    |             | \$0             |
| Adjustment                            |                    |             | \$0             |
| <b>Total Eligible Cost</b>            |                    |             | <b>\$97,745</b> |

| Milestone Name | Est. Completion Date | Description           |
|----------------|----------------------|-----------------------|
| Start Date     | 10/1/2018            | Operations start date |
| End Date       | 9/30/2019            | Operating end date    |

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### **Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| <b>Date Description</b> | <b>Date</b> |
|-------------------------|-------------|
| Class IIc CE Approved   |             |

## **Part 4: Fleet Details**

No fleet data exists for this application.

## **Part 5: FTA Review Comments**

There are no review comments to display at this time.

## **Part 6: Agreement**

### **UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION**

#### **GRANT AGREEMENT (FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND



CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Chickaloon Native Village

Recipient ID: 6666

DUNS No: 037410123

#### **Application Information**

Federal Award Identification Number: AK-2019-011-00

Application Name: FY2019 5311 TTP Formula Operations, Project Admin

Application Start Date: The start date will be set to the date of the award

Application End Date: 12/31/2019

Application Executive Summary: This application includes \$108,606 in FY2019 Section 5311 TTP formula funding for operating and administration costs through the use of a Federally approved indirect cost rate for the operation of a general public Demand Response service.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

#### **Application Budget**

Total Application Budget: \$108,606.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$108,606.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$108,606.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| <b>Funding Source</b>                 | <b>Section of Statute</b> | <b>CFDA Number</b> | <b>Amount</b>    |
|---------------------------------------|---------------------------|--------------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B                   | 20509              | \$108,606        |
| Local                                 |                           |                    | \$0              |
| Local/In-Kind                         |                           |                    | \$0              |
| State                                 |                           |                    | \$0              |
| State/In-Kind                         |                           |                    | \$0              |
| Other Federal                         |                           |                    | \$0              |
| Transportation Development Credit     |                           |                    | \$0              |
| Adjustment                            |                           |                    | \$0              |
| <b>Total Eligible Cost</b>            |                           |                    | <b>\$108,606</b> |

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or

the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Chickaloon Native Village*