

Application

Federal Award Identification Number (FAIN)	NC-2019-005-00
Temporary Application Number	6726-2018-1
Application Name	5311 FFY 2018 Qualla Boundary Community Transportation Program
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Eastern Band of Cherokee Indians

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6726	Indian Tribe	EASTERN BAND OF CHEROKEE INDIANS	074511999

Location Type	Address	City	State	Zip
Headquarters	88 COUNCIL HOUSE LOOP	CHEROKEE	NC	287190419
Physical Address	88 COUNCIL HOUSE LOOP	CHEROKEE	NC	28719
Mailing Address	P.O. BOX 455	CHEROKEE	NC	28719

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311 FFY 2018 Qualla Boundary Community Transportation Program

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NC-2019-	Final Concurrence /	Grant	3/22/2018	3/22/2018	No

005-00 Reservation

Application Start Date

The start date will be set to the date of the award

Application End Date

3/29/2023

Application Executive Summary

This is an FFY 2018 Section 5311 application in the amount of \$163,644. This application is for 5311 Administrative Assistance for public transportation in rural and small urban areas by way of formula grant program administered by NCDOT, to enhance access to passengers for the purpose health care, shopping, education, recreation, public service, and employment by encouraging the maintenance, development, improvement, and use of public passenger transportation systems. Utilization of these funds will begin when the grant has been awarded. This application is not for research and development. EBCI will be applying for FFY 2019 - 5311 Rural Formula funding in the amount of \$90,265 with a 20% local match of \$22,566 and 5311 Appalachian funding in the amount of \$40,650 with a 20% local match of \$10,163 for a total award amount of \$163,644 and to include indirect cost rate. As federally recognized tribe these funds will come to us as a direct recipient. The overall start date is the grant award date and the overall end date is 03/30/2023.

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: Eastern Band of Cherokee Indians provides their own calculated indirect cost rate. For FY19 the indirect cost rate is 27%

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Valencia	Williams	Transportation Program Specialist	valencia.williams@dot.gov	(404) 865-5634
Kevin	Tafoya	Manager	kevitafo@nc-choke.com	8283596740

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$130,915
Local			\$32,729
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$163,644

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NC-2019-005-01-00	620-00 (620-A1) PROJECT ADMINISTRATION	\$90,265.00	\$22,566.00	\$112,831.00	1
NC-2019-005-01-00	11.79.00 PROJECT ADMINISTRATION	\$90,265.00	\$22,566.00	\$112,831.00	1
NC-2019-005-01-00	648-00 (648-A2) APPALACHIAN DEV PUB TRANSIT ASSIST	\$40,650.00	\$10,163.00	\$50,813.00	1
NC-2019-005-01-00	11.79.00 PROJECT ADMINISTRATION	\$40,650.00	\$10,163.00	\$50,813.00	1

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: Qualla Boundary Community Transportation Program
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
NC-2019-005-01-00	6726-2018-1-P1	3/22/2018	9/30/2018	9/29/2019

Project Description

EBCI will use administrative funding to support the provision of community transportation services to the human service agencies and to the general public.

Project Benefits

Provides administrative for EBCI public transportation system to enhance access of people in our service for purposes such shopping, healthcare, education, recreation, public services and employment through maintenance, development, and improvement of our public transportation system.

Additional Information

This project location will be within our service area located on and in proximity of Eastern Band of Cherokee Indians Tribal Lands on the Qualla Boundary and the Snowbird Community in Robbinsville NC.

Location Description

This project location will be within our service area located on and in proximity of Eastern Band of Cherokee Indians Tribal Lands on the Qualla Boundary and the Snowbird Community in Robbinsville NC.

Project Location (Urbanized Areas)

UZA Code	Area Name
370000	North Carolina

Congressional District Information

State	District	Representative
North Carolina	11	Mark Meadows

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$130,915
Local			\$32,729
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Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.79.00	PROJECT ADMINISTRATION	BUS OTHER CAPITAL ITEMS APPALACHIAN	1

Extended Budget Description

EBCI Transit will utilize funding for the further development of its transit service. Funding will assist with travel and training for coordination with other regional transit systems to gather ideas, and plan for customer service provision. Funding will also be utilized to seek expansion of service from our Snowbird transit office for the Snowbird/Robbinsville area. Being that Snowbird is a community that lacks a good outlet for communications including; internet, mobile/cellular communications the main office of transit manages their administrative activities including marketing, data input, financial requisitions, and billing. Safety and training will also be implemented with the administrative funding. Funding will also be used for costs associated with the administering of drug and alcohol testing. All of these activities will enhance the access of people in our service areas for the purposes of transportation.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$40,650
Local			\$10,163
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Total Eligible Cost	\$50,813

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	Project Administration
End Date	9/30/2019	Project Administration

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	1

Extended Budget Description

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Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$90,265
Local			\$22,566
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$112,831

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	Project Administration
End Date	9/30/2019	Project Administration

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	1	\$90,265.00	\$112,831.00

Finding: Class II(c) - Categorical Exclusions (C-List)

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.79.00	PROJECT ADMINISTRATION	1	\$40,650.00	\$50,813.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By Brittany Lavender

Comment Type Application Details

Date 7/10/2018

Community Planner's Comments

1. Application Title should include the following "[Section of Statute] FFY [XXXX] [Application Description] , "5311 FFY 2018 Qualla Boundary Community Transportation Program."

2. Application Executive Summary Section

a. First sentence template, "This is an FFY XXXX Section XXXX application in the amount of \$XXXX. The application is for (provide description of projects)." Should include: the section of FTA funding, the total federal apportionment, fiscal year and summary of application.

Comment

3. The extended budget descriptions states, Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE, ends on 9/30/20. This date is inconsistent with milestone end date of 9/30/19. Please change.

General Engineer's Comments

4. The Period of Performance end date should be set to the next March 30 a minimum of 2 years beyond the latest milestone date in the application. For example, identify final milestone in the application (e.g., 09/30/2019), add two years (e.g., 09/30/2021). If that milestone falls after March 30 of that year, extend end date to March 30 of the following year (e.g., 03/30/2022).

5. Please provide the document for your indirect cost rate.

Comment By Valencia Williams

Comment Type Application Details

Date 11/16/2018

Comment Attach the indirect cost rate approval documentation.

Comment By Valencia Williams

Comment Type Application Details

Date 2/5/2019

Comment 1. Change application end date to 3/30/2023

2. The application budget should be consistent with the split letter. There should be two scopes and two alis.
3. Use scope 648-00 and ali 11.79.00 for the Appalachian funds.
4. Use scope 620-00 and ali 11.79.00 for the administration funds.

Application Review Comments

Comment By **Brittany Lavender**

Comment Type FTA Post Review Comments for Grantee

Date 6/13/2018

Comment Please see FTA comments.

Comment By **Brittany Lavender**

Comment Type FTA Post Review Comments for Grantee

Date 7/10/2018

Comment Good Morning Kevin,
Please see FTA comments.

Comment By **Brittany Lavender**

Comment Type FTA Post Review Comments for Grantee

Date 7/11/2018

Comment Please see FTA's comments.

Comment By **Valencia Williams**

Comment Type FTA Post Review Comments for Grantee

Date 11/16/2018

Comment Attach the indirect cost rate approval documentation.

Comment By **Valencia Williams**

Comment Type FTA Post Review Comments for Grantee

Date 2/5/2019

Comment Address the additional comments received under the "application comments" section.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Eastern Band of Cherokee Indians

Recipient ID: 6726

DUNS No: 074511999

Application Information

Federal Award Identification Number: NC-2019-005-00

Application Name: 5311 FFY 2018 Qualla Boundary Community Transportation Program

Application Start Date: The start date will be set to the date of the award

Application End Date: 3/29/2023

Application Executive Summary: This is an FFY 2018 Section 5311 application in the amount of \$163,644. This application is for 5311 Administrative Assistance for public transportation in rural and small urban areas by way of formula grant program administered by NCDOT, to enhance access to passengers for the purpose health care, shopping, education, recreation, public service, and employment by encouraging the maintenance, development, improvement, and use of public passenger transportation systems. Utilization of these funds will begin when the grant has been awarded. This application is not for research and development. EBCI will be applying for FFY 2019 - 5311 Rural Formula funding in the amount of \$90,265 with a 20% local match of \$22,566 and 5311 Appalachian funding in the amount of \$40,650 with a 20% local match of \$10,163 for a total award amount of \$163,644 and to include indirect cost rate. As federally recognized tribe these funds will come to us as a direct recipient. The overall start date is the grant award date and the overall end date is 03/30/2023.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$163,644.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$130,915.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$32,729.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$130,915.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$32,729.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$130,915
Local			\$32,729
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$163,644

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Eastern Band of Cherokee Indians