

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

| | |
|---|--|
| Federal Award Identification Number (FAIN) | WA-2019-004-00 |
| Temporary Application Number | 6808-2018-1 |
| Application Name | FY2017-2018 5311 TTP Formula Ops, Indirect |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

Part 1: Recipient Information

Name: Cowlitz Indian Tribe

| Recipient ID | Recipient OST Type | Recipient Alias | Recipient DUNS |
|---------------------|---------------------------|------------------------|-----------------------|
| 6808 | Indian Tribe | COWLITZ INDIAN TRIBE | 607700478 |

| Location Type | Address | City | State | Zip |
|----------------------|--------------------|-------------|--------------|------------|
| Headquarters | 1055 9TH AVE STE B | LONGVIEW | WA | 986322661 |
| Physical Address | 1055 9TH AVE STE B | LONGVIEW | WA | 98632 |
| Mailing Address | P.O. BOX 2547 | LONGVIEW | WA | 98632 |

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY2017-2018 5311 TTP Formula Ops, Indirect

| FAIN | Application Status | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|-------------------------|---------------------|--------------------------|-------------------|
| WA-2019-004-00 | Final Concurrence / Reservation | Grant | 8/6/2018 | 8/6/2018 | No |

Application Start Date

The start date will be set to the date of the award

Application End Date

12/31/2019

Application Executive Summary

This application includes \$122,238 in FY2017-2018 5311 TTP Formula Funds for operating and indirect costs to operate the Cowlitz Tribe Transit Service.

\$42,138 FY2017 5311 TTP Formula

\$80,145 FY2018 5311 TTP Formula

\$122,283 Total 2017-2018 5311 TTP Formula

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The BIA approved the Cowlitz Indian Tribe indirect cost rate on xx/x/20xx and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The BIA requires tribes to renegotiate their rates annually. The approved rate of 18.01% or any future approved rates will be applied to the applicable activity line descriptions.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|----------------------------|--------------------|--------------|
| Kim | Stube | Director of Transportation | kstube@cowlitz.org | 360-353-9428 |

| | | | | |
|----------|----------|-----------------------------------|--------------------------|--------------|
| Scot | Rastelli | Transportation Program Specialist | Scot.Rastelli@dot.gov | 206-220-7965 |
| Kristine | Mcguill | Transportation Program Specialist | kristine.mcguill@dot.gov | 206-220-7963 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$122,283 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Total Eligible Cost | | | \$122,283 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|--------------|----------------|-----------------------|----------|
| WA-2019-004-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$122,283.00 | \$0.00 | \$122,283.00 | 0 |
| WA-2019-004-01-00 | 11.79.00 Project Administration - Indirect (2017-2018 5311 TTP Formula 100:0)(00) | \$12,229.00 | \$0.00 | \$12,229.00 | 0 |
| WA-2019-004-01-00 | 30.09.01 Operating (2017-2018 5311 TTP Formula 100:0)(00) | \$110,054.00 | \$0.00 | \$110,054.00 | 0 |

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: FY2017-2018 5311 TTP Formula Ops, Indirect

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| WA-2019-004-01-00 | 6808-2018-1-P1 | 8/6/2018 | 9/30/2018 | 9/29/2019 |

Project Description

This grant funds \$122,283 in 2017/2018 5311 TTP Formula funds for the Cowlitz Tribe Transit Service and related indirect costs for services in and around the tribal reservation including from Vancouver, to Olympia, WA with stops in between including but not limited to Woodland, Longview, and Chehalis. Trips either end in the urban area or are connections for riders to access urban transit services.

\$42,138 FY2017 5311 TTP Formula

\$80,145 FY2018 5311 TTP Formula

\$0 Local Match

\$122,283 Total 2017-2018 5311 TTP Formula Funds and Project cost

Project Benefits

This project will maintain reliable transit service

Additional Information

None provided.

Location Description

In and around the reservation including Clark, Cowlitz, Lewis and Thurston Counties including but not limited to the cities of Vancouver, Woodland, Longview, Chehalis, and Olympia

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|------------|
| 530000 | Washington |

Congressional District Information

| State | District | Representative |
|------------|----------|-----------------------|
| Washington | 3 | Jaime Herrera Beutler |

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|-----------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$122,283 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |

| | |
|----------------------------|------------------|
| Total Eligible Cost | \$122,283 |
|----------------------------|------------------|

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|--------------|----------------|-----------------------|----------|
| WA-2019-004-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$122,283.00 | \$0.00 | \$122,283.00 | 0 |
| WA-2019-004-01-00 | 11.79.00 Project Administration - Indirect (2017-2018 5311 TTP Formula 100:0)(00) | \$12,229.00 | \$0.00 | \$12,229.00 | 0 |
| WA-2019-004-01-00 | 30.09.01 Operating (2017-2018 5311 TTP Formula 100:0)(00) | \$110,054.00 | \$0.00 | \$110,054.00 | 0 |

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - Project Administration - Indirect (2017-2018 5311 TTP Formula 100:0)(00)

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|------------------------|---------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.79.00 | PROJECT ADMINISTRATION | OTHER CAPITAL ITEMS (BUS) | 0 |

Extended Budget Description

This grant funds \$12,229 in 2017-2018 5311 TTP Formula Funds at the match ratio of 100:0 for indirect cost rates related to the operations of the Cowlitz Tribe Transit System for the time period of 10/1/2018 to 9/30/2019.

\$4,214 FY2017 5311 TTP Formula

\$8,015 FY2018 5311 TTP Formula

\$12,229 Total 2017-2018 5311 TTP Formula funds

STIP

There is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|-----------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$12,229 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Total Eligible Cost | | | \$12,229 |

| Milestone Name | Est. Completion Date | Description |
|----------------------|----------------------|-------------|
| Start Indirect Costs | 10/1/2018 | |
| End Indirect Costs | 9/30/2019 | |

Budget Activity Line Item: 30.09.01 - Operating (2017-2018 5311 TTP Formula 100:0)(00)

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|-------------------------|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.01 | UP TO 50% FEDERAL SHARE | OPERATING ASSISTANCE | 0 |

Extended Budget Description

This grant funds \$110,054 in 2017-2018 5311 TTP Formula Funds at the match ratio of 100:0 for operations of the Cowlitz Tribe Transit System for the time period of 10/1/2018 to 9/30/2018.

\$37,924 FY2017 5311 TTP Formula

\$72,130 FY2018 5311 TTP Formula

\$110,054 Total 2017-2018 5311 TTP Formula funds

STIP

here is no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) requirement under the 5311 TTP Formula or Discretionary Program, per Circular 9040.1G, Chapter X, page X-3, paragraph 10

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|-----------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$110,054 |
| Local | | | \$0 |

| | | |
|-----------------------------------|--|------------------|
| Local/In-Kind | | \$0 |
| State | | \$0 |
| State/In-Kind | | \$0 |
| Other Federal | | \$0 |
| Transportation Development Credit | | \$0 |
| Total Eligible Cost | | \$110,054 |

| Milestone Name | Est. Completion Date | Description |
|-----------------------|----------------------|-------------|
| Start Operating Costs | 10/1/2018 | |
| End Operating Costs | 9/30/2019 | |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

UNITED STATES OF AMERICA

**DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Cowlitz Indian Tribe

Recipient ID: 6808

DUNS No: 607700478

Application Information

Federal Award Identification Number: WA-2019-004-00

Application Name: FY2017-2018 5311 TTP Formula Ops, Indirect

Application Start Date: The start date will be set to the date of the award

Application End Date: 12/31/2019

Application Executive Summary: This application includes \$122,238 in FY2017-2018 5311 TTP Formula Funds for operating and indirect costs to operate the Cowlitz Tribe Transit Service.

\$42,138 FY2017 5311 TTP Formula

\$80,145 FY2018 5311 TTP Formula

\$122,283 Total 2017-2018 5311 TTP Formula

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$122,283.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$122,283.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$122,283.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|---------------------------|--------------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$122,283 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Total Eligible Cost | | | \$122,283 |

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Cowlitz Indian Tribe