

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NM-2019-002-00
Temporary Application Number	6932-2019-1
Application Name	Ohkay Owingeh FY18 5339 Capital Assistance, FY18 5311 Operating and Administrative Funds and FTA TTP Formula Funding
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Ohkay Owingeh Tribal Council

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6932	Indian Tribe	OHKAY OWINGEH PUEBLO	929442408

Location Type	Address	City	State	Zip
Headquarters	STATE ROAD 74	SAN JUAN PUEBLO	NM	87566
Physical Address	STATE ROAD 74	SAN JUAN PUEBLO	NM	87566
Mailing Address	P. O. BOX 1119	OHKAY OWINGEH	NM	87566

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Ohkay Owingeh FY18 5339 Capital Assistance, FY18 5311 Operating and Administrative Funds and FTA TTP Formula Funding

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
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NM-2019-002-00	Final Concurrence / Reservation	Grant	12/11/2018	12/11/2018	No
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Application Start Date

The start date will be set to the date of the award

Application End Date

12/29/2020

Application Executive Summary

Provide operating support for the Tribal Transportation Program in Ohkay Owingeh. The service area covers 10,079 miles; and the primary service area is 4,000 square miles. The Tribal Transit Program, known as the "PoPay Messenger;" is an on demand service that operates Monday through Friday- 7:30AM to 5:30PM. It employs four drivers and one dispatcher. The Program is overseen by the Planner Manager. PoPay Messenger offers services for the 2,900 Tribal Members and the 11,500+ non-Tribal members living within the confines of the reservation.

Requesting federal funds in the amount of \$75,894 for operating and project administration from the FY 2018 Section 5311 funds and \$48,920 for the purchase of 1 vehicle from the FY 18 Section 5339 funds transferred from NMDOT. Requesting federal funds for operating from the FY 2018 Tribal Transit Program in the amount of \$91,954. Total federal funds requested: \$216,768.

The STIP is attached; applicable projects can be found on pages 40-41.

NMDOT has concurred with Ohkay Owingeh's use of FHWA TTP funds as local match toward the Section 5311 and Section 5339 projects in this application budget.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The last approved negotiated indirect cost rate for Ohkay Owingeh is 21.20%; the approval letter has been uploaded in the attachments

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Christy	Van Buren	Planning Manager	christy.vanburen@ohkay.org	505-852-4400 111
Sharon	Coats	Transportation Program Specialist	sharon.coats@dot.gov	817-978-0552

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$75,894
5311 - Tribal Transit Program Formula	5311-1B	20509	\$91,954
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$48,920
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$70,883
Transportation Development Credit			\$0
Total Eligible Cost			\$287,651

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2019-002-01-00	300-00 (300-A3) OPERATING ASSISTANCE	\$52,906.00	\$52,906.00	\$105,812.00	0
NM-2019-002-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$52,906.00	\$52,906.00	\$105,812.00	0
NM-2019-002-01-00	620-00 (620-A1) PROJECT ADMINISTRATION	\$22,988.00	\$5,747.00	\$28,735.00	0

NM-2019-002-01-00	11.79.00	PROJECT ADMINISTRATION	\$22,988.00	\$5,747.00	\$28,735.00	0
NM-2019-002-02-00	111-00 (111-A4)	BUS - ROLLING STOCK	\$48,920.00	\$12,230.00	\$61,150.00	1
NM-2019-002-02-00	11.12.15	BUY REPLACEMENT VAN	\$48,920.00	\$12,230.00	\$61,150.00	1
NM-2019-002-03-00	300-00 (300-A2)	OPERATING ASSISTANCE	\$91,954.00	\$0.00	\$91,954.00	0
NM-2019-002-03-00	30.09.01	UP TO 50% FEDERAL SHARE	\$91,954.00	\$0.00	\$91,954.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: FY 19 5311 Administrative Support & Operating Support

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2019-002-01-00	6932-2019-1-P1	12/11/2018	12/12/2018	12/29/2019

Project Description

Ohkay Owingeh PoPay Messenger Services will utilize funds transferred from New Mexico DOT for operating and administrative/program administration. Administration federal share is \$22,988.80; local share is \$5,747.20. Operating federal share is \$52,906; local share is \$52,906.

Project Benefits

During a 12 month period, the PoPay Messenger Service provided more than 13,000 trips to more than 7,000 passengers. The service focuses on social services related services for our constituents

Additional Information

Due to the Community's lack of resources and the number of low income families and individuals there is no charge for the demand service. It is critical that our Members have access to essential services available on and off the Reservation.

Location Description

Ohkay Owingeh, Rio Arriba County, New Mexico. 1 mile north of Espanola, New Mexico

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	3	Ben R Lujan

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$75,894
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$58,653
Transportation Development Credit			\$0
Total Eligible Cost			\$134,547

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2019-002-01-00	300-00 (300-A3) OPERATING ASSISTANCE	\$52,906.00	\$52,906.00	\$105,812.00	0
NM-2019-002-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$52,906.00	\$52,906.00	\$105,812.00	0
NM-2019-002-01-00	620-00 (620-A1) PROJECT ADMINISTRATION	\$22,988.00	\$5,747.00	\$28,735.00	0
NM-2019-002-01-00	11.79.00 PROJECT ADMINISTRATION	\$22,988.00	\$5,747.00	\$28,735.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Operating support to include vehicle maintenance, fuel, driver & dispatcher salaries/benefits. TTP FHWA funds are being utilized for the local match.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$52,906
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$52,906
Transportation Development Credit			\$0
Total Eligible Cost			\$105,812

Milestone Name	Est. Completion Date	Description
Start Date	12/13/2018	
End Date	12/30/2019	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

Administrative support to include 20% of Planning Managers Salary and 20% of Planning Technician's salary for project oversight (timesheet approvals, processing payment vouchers for fuel and expenditures, FTA/TrAMS reporting and NTD reporting. TTP FHWA funds are being utilized for the local match.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$22,988

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$5,747
Transportation Development Credit			\$0
Total Eligible Cost			\$28,735

Milestone Name	Est. Completion Date	Description
Start Date	12/13/2018	
End Date	12/30/2019	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$22,988.00	\$28,735.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an

environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$52,906.00	\$105,812.00

Project Title: FY19 5339 Van Purchase

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2019-002-02-00	6932-2019-1-P3	12/11/2018	4/30/2019	4/27/2020

Project Description

Purchase an ADA van to better serve our constituents. \$48,920.80 federal share; and \$12,230.20 local share. Section 5339 funds transferred from NMDOT.

Project Benefits

Our on-demand service provides a service to more than 7,000 riders per year. The ADA van will be utilized in order to better serve our ADA clients

Additional Information

The van will be placed in circulation for all riders/patrons

Location Description

Ohkay Owingeh, Rio Arriba County, New Mexico. 1 mile north of Espanola, NM

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
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New Mexico	3	Ben R Lujan
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Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$48,920
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$12,230
Transportation Development Credit			\$0
Total Eligible Cost			\$61,150

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2019-002-02-00	111-00 (111-A4) BUS - ROLLING STOCK	\$48,920.00	\$12,230.00	\$61,150.00	1
NM-2019-002-02-00	11.12.15 BUY REPLACEMENT VAN	\$48,920.00	\$12,230.00	\$61,150.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN				
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Purchase ADA Van for PoPay Messenger to replace PM1. 80/20 split. TTP FHWA funds are being utilized for the local match. The useful life of the Amerivan is 4 years/100,000 miles (FTA Circular 50101D)

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	20 foot

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$48,920
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$12,230
Transportation Development Credit			\$0
Total Eligible Cost			\$61,150

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	5/1/2019	AmeriVan; 5 passenger; 2 Wheelchair positions.
Contract Award Date	7/1/2019	
Initial Delivery Date	4/1/2020	
Final Delivery Date	4/1/2020	
Contract Completion Date	4/28/2020	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	1	\$48,920.00	\$61,150.00
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Project Title: FY19 TTP Formula Funding

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2019-002-03-00	6932-2019-1-P4	12/11/2018	9/30/2018	12/29/2019

Project Description

TTP Formula Funding in the amount of \$91,954 for operating support for the PoPay Messenger program. Operating support is inclusive of salaries for the drivers and dispatcher, fuel expenses, building (utility) expenses, and vehicle maintenance

Project Benefits

Our PoPay Messenger on-demand service provides services to more than 7,000 riders per year. Most of our trips are for social service related activities.

Additional Information

Due the community's lack of resources and the number of low income families and individuals there is no charge for the demand services. It is critical that our Members have access to essential services available on and off the Reservation.

Location Description

Ohkay Owingeh; Rio Arriba County New Mexico. 1 mile north of Espanola, NM

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	3	Ben R Lujan

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$91,954
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Total Eligible Cost		\$91,954

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2019-002-03-00	300-00 (300-A2) OPERATING ASSISTANCE	\$91,954.00	\$0.00	\$91,954.00	0
NM-2019-002-03-00	30.09.01 UP TO 50% FEDERAL SHARE	\$91,954.00	\$0.00	\$91,954.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE				
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Operating support for the PoPay Messenger Program including salaries, fuel, vehicle maintenance.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$91,954
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$91,954

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	12/30/2019	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$91,954.00	\$91,954.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Ohkay Owingeh Tribal Council

Recipient ID: 6932

DUNS No: 929442408

Application Information

Federal Award Identification Number: NM-2019-002-00

Application Name: Ohkay Owingeh FY18 5339 Capital Assistance, FY18 5311 Operating and Administrative Funds and FTA TTP Formula Funding

Application Start Date: The start date will be set to the date of the award

Application End Date: 12/29/2020

Application Executive Summary: Provide operating support for the Tribal Transportation Program in Ohkay Owingeh. The service area covers 10,079 miles; and the primary service area is 4,000 square miles. The Tribal Transit Program, known as the "PoPay Messenger;" is an on demand service that operates Monday through Friday-7:30AM to 5:30PM. It employs four drivers and one dispatcher. The Program is overseen by the Planner Manager. PoPay Messenger offers services for the 2,900 Tribal Members and the 11,500+ non-Tribal members living within the confines of the reservation.

Requesting federal funds in the amount of \$75,894 for operating and project administration from the FY 2018 Section 5311 funds and \$48,920 for the purchase of 1 vehicle from the FY 18 Section 5339 funds transferred from NMDOT. Requesting federal funds for operating from the FY 2018 Tribal Transit Program in the amount of \$91,954. Total federal funds requested: \$216,768.

The STIP is attached; applicable projects can be found on pages 40-41.

NMDOT has concurred with Ohkay Owingeh's use of FHWA TTP funds as local match toward the Section 5311 and Section 5339 projects in this application budget.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$287,651.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$216,768.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$70,883.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$216,768.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$70,883.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$75,894
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$48,920
5311 - Tribal Transit Program Formula	5311-1B	20509	\$91,954
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$70,883
Transportation Development Credit			\$0
Total Eligible Cost			\$287,651

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

Executed By:

Ohkay Owingeh Tribal Council