

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	TN-2018-043-00
<b>Temporary Application Number</b>	1007-2018-5
<b>Application Name</b>	5311 FFY2018
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

### Part 1: Recipient Information

**Name: Transportation, Tennessee Department Of**

<b>Recipient ID</b>	<b>Recipient OST Type</b>	<b>Recipient Alias</b>	<b>Recipient DUNS</b>
1007	Multi State Group	TENNESSEE DEPARTMENT OF TRANSPORTATION	878297712

<b>Location Type</b>	<b>Address</b>	<b>City</b>	<b>State</b>	<b>Zip</b>
Headquarters	600 CHARLOTTE AVE	NASHVILLE	TN	372439034
Physical Address	505 DEADRICK ST STE 700	NASHVILLE	TN	37243
Mailing Address	505 DEADRICK ST STE 800	NASHVILLE	TN	37243

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: 5311 FFY2018**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
TN-2018-043-00	Final Concurrence / Reservation	Grant	12/21/2017	12/21/2017	No

**Application Start Date**

The start date will be set to the date of the award

**Application End Date**

3/29/2023

**Application Executive Summary**

This is a FFY2018 grant application for Section 5311 (Non-Urbanized Area Formula Program) for TDOT for Section 5311, RTAP, and Appalachian Development Public Transportation Assistance Program funds, in the amount of \$21,071,879. This grant will provide funds to nine (9) rural public transportation systems for transportation in non-urban areas and two (2) rural transit-intensive agencies (Pigeon Forge Fun Time Trolley and Gatlinburg: Gatlinburg Mass Transit) in areas serving the tourist trade in East Tennessee. The Appalachian funds are allocated to agencies serving Appalachian areas based on the 2010 Census. TDOT is phasing in a new performance-based formula for the 5311 allocation. FFY2018 allocation is the second year of the phase-in at a 50% old, 50% new formula. Factors for the old formula being phased-out include the 2010 Census non-urbanized population, the number of counties served, and the number of vehicles operated by each system. Factors for the new formula being phased-in include the 2010 Census non-urbanized population, public ridership, and public revenue miles. TDOT will not use 15% of the 5311 funds for intercity bus service, per the attached Intercity Bus Certification Letter.

TDOT will ensure that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

TDOT will check the current listing of Transit Vehicle Manufacturers (TVM) to ensure each is listed as a certified TVM in compliance with FTA regulations.

TDOT will follow all 3rd party procurement policies as defined in C4220. 1F (Third Party Contracting Guidance).

All subrecipients will follow useful life standards as defined in the TDOT State Management Plan.

TDOT will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Recipients receiving grant awards over \$25,000 from FTA or any other Federal agency after October 1, 2010, must comply with the Federal Financial Accountability and Transparency Act (FFATA) sub-award reporting requirements.

The FFY2018 Program of Projects (POP) is attached. Funds are allocated to 11 public agencies in the following categories: State Administration, Project Administration, Capital, Operating, and RTAP.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details:* N/A

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Holly	Liles	Community Planner	holly.liles@dot.gov	404-865-5637
Matthew	Sedgwick	Program Monitor	matthew.sedgwick@tn.gov	615-253-7259

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$21,071,879
Local			\$8,032,757
Local/In-Kind			\$0
State			\$8,032,760
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$37,137,396</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2018-043-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$288,454.00	\$72,114.00	\$360,568.00	2
TN-2018-043-01-00	11.12.04 BUY REPLACEMENT	\$288,454.00	\$72,114.00	\$360,568.00	2

TN-2018-043-01-00	117-00 (117-A3)	OTHER CAPITAL ITEMS (BUS)	\$527,055.00	\$131,764.00	\$658,819.00	0
TN-2018-043-01-00	11.7A.00	PREVENTIVE MAINTENANCE	\$527,055.00	\$131,764.00	\$658,819.00	0
TN-2018-043-01-00	300-00 (300-A4)	OPERATING ASSISTANCE	\$13,723,191.00	\$13,723,192.00	\$27,446,383.00	0
TN-2018-043-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$13,723,191.00	\$13,723,192.00	\$27,446,383.00	0
TN-2018-043-01-00	610-00 (610-A5)	STATE / PROGRAMS ADMINISTRATION	\$982,456.00	\$0.00	\$982,456.00	0
TN-2018-043-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$982,456.00	\$0.00	\$982,456.00	0
TN-2018-043-01-00	620-00 (620-A6)	PROJECT ADMINISTRATION	\$4,127,957.00	\$1,031,989.00	\$5,159,946.00	0
TN-2018-043-01-00	11.79.00	PROJECT ADMINISTRATION	\$4,127,957.00	\$1,031,989.00	\$5,159,946.00	0
TN-2018-043-01-00	635-00 (635-A2)	RURAL TRANSIT ASSISTANCE PROGRAM	\$312,766.00	\$0.00	\$312,766.00	0
TN-2018-043-01-00	43.50.01	TRAINING	\$44,000.00	\$0.00	\$44,000.00	0
TN-2018-043-01-00	43.50.02	TECHNICAL ASSISTANCE	\$268,766.00	\$0.00	\$268,766.00	0
TN-2018-043-01-00	648-00 (648-A7)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,110,000.00	\$1,106,458.00	\$2,216,458.00	1
TN-2018-043-01-00	11.12.04	BUY REPLACEMENT	\$4,723.00	\$1,181.00	\$5,904.00	1
TN-2018-043-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$1,105,277.00	\$1,105,277.00	\$2,210,554.00	0

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

<b>Project Title: 5311 FFY2018</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
TN-2018-043-01-00	1007-2018-5-P1	6/18/2018	2/27/2017	6/29/2020

### Project Description

These funds will be used for operating, capital, planning, and administrative activities of rural public transportation agencies and to provide technical assistance to grantees. The subrecipients will own, operate, and procure vehicles under this grant. TDOT, the pass-through entity, will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, subrecipients will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. Purchased vehicles will be ADA compliant. The Appalachian funds will be used for rolling stock, associated capital, and operating activities of rural public transit agencies located in the Appalachian region. Grantees in the Appalachian region include ETHRA, FTHRA, Gatlinburg, Pigeon Forge, SCTDD, SETHRA, and UCHRA.

### Project Benefits

Section 5311 funds support rural transportation service statewide in Tennessee.

### Additional Information

*None provided.*

### Location Description

Section 5311 funds support rural transportation service statewide in Tennessee.

## Project Location (Urbanized Areas)

UZA Code	Area Name
470000	Tennessee

## Congressional District Information

State	District	Representative
Tennessee	1	David P Roe
Tennessee	2	John J Duncan
Tennessee	3	Charles J Fleischmann
Tennessee	4	Scott DesJarlais
Tennessee	5	Jim Cooper

Tennessee	6	Diane Black
Tennessee	7	Marsha Blackburn
Tennessee	8	Stephen Fincher
Tennessee	9	Steve Cohen

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$21,071,879
Local			\$8,032,757
Local/In-Kind			\$0
State			\$8,032,760
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$37,137,396</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2018-043-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$288,454.00	\$72,114.00	\$360,568.00	2
TN-2018-043-01-00	11.12.04 BUY REPLACEMENT	\$288,454.00	\$72,114.00	\$360,568.00	2
TN-2018-043-01-00	117-00 (117-A3) OTHER CAPITAL ITEMS (BUS)	\$527,055.00	\$131,764.00	\$658,819.00	0
TN-2018-043-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$527,055.00	\$131,764.00	\$658,819.00	0
TN-2018-043-01-00	300-00 (300-A4) OPERATING ASSISTANCE	\$13,723,191.00	\$13,723,192.00	\$27,446,383.00	0
TN-2018-043-01-	30.09.01 UP TO 50% FEDERAL SHARE	\$13,723,191.00	\$13,723,192.00	\$27,446,383.00	0

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TN-2018-043-01-00	610-00 (610-A5)	STATE / PROGRAMS ADMINISTRATION	\$982,456.00	\$0.00	\$982,456.00	0	
TN-2018-043-01-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$982,456.00	\$0.00	\$982,456.00	0	
TN-2018-043-01-00	620-00 (620-A6)	PROJECT ADMINISTRATION	\$4,127,957.00	\$1,031,989.00	\$5,159,946.00	0	
TN-2018-043-01-00		11.79.00 PROJECT ADMINISTRATION	\$4,127,957.00	\$1,031,989.00	\$5,159,946.00	0	
TN-2018-043-01-00	635-00 (635-A2)	RURAL TRANSIT ASSISTANCE PROGRAM	\$312,766.00	\$0.00	\$312,766.00	0	
TN-2018-043-01-00		43.50.01 TRAINING	\$44,000.00	\$0.00	\$44,000.00	0	
TN-2018-043-01-00		43.50.02 TECHNICAL ASSISTANCE	\$268,766.00	\$0.00	\$268,766.00	0	
TN-2018-043-01-00	648-00 (648-A7)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,110,000.00	\$1,106,458.00	\$2,216,458.00	1	
TN-2018-043-01-00		11.12.04 BUY REPLACEMENT	\$4,723.00	\$1,181.00	\$5,904.00	1	
TN-2018-043-01-00		30.09.01 UP TO 50% FEDERAL SHARE	\$1,105,277.00	\$1,105,277.00	\$2,210,554.00	0	

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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RURAL TRANSIT ASSISTANCE  
PROGRAM (635-00)

43.50.01 TRAINING

RURAL TRANSIT ASST  
PROGRAM 0

**Extended Budget Description**

Funds to be used by all grantees of the program for receiving and/or accessing training or technical assistance.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$44,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$44,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	
End Date	6/30/2020	

**Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

Funds to be used for facilitating and providing technical assistance to all grantees of the program

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$268,766
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0



Other Federal		\$0
Transportation Development Credit		\$0
<b>Total Eligible Cost</b>		<b>\$268,766</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	
End Date	6/30/2020	

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

ETHRA, SETHRA, and SWHRA do not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life). The period for Preventative Maintenance will be from July 1, 2018 through June 30, 2019.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$527,055
Local			\$65,882
Local/In-Kind			\$0
State			\$65,882
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$658,819</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Begin Preventative Maintenance
End Date	6/30/2019	Preventative Maintenance period ends.

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0
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#### Extended Budget Description

Operating funds for 10 rural public agencies (not Pigeon Forge) for the period of July 1, 2018 through June 30, 2019. Funds will be used by grantees for salary, wages, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$13,723,191
Local			\$6,861,596
Local/In-Kind			\$0
State			\$6,861,596
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$27,446,383</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Period of operations activities begins.
End Date	6/30/2019	Period of operations activities ends

#### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

#### Extended Budget Description

Funds will be used by TDOT Multimodal for grantee monitoring activities, staff travel and training expenses, staff salaries, and office stationary and supplies.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$982,456

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
<b>Total Eligible Cost</b>		<b>\$982,456</b>

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Date	7/1/2018	Start of Program Admin activities.
End Date	6/30/2019	End of Program Admin activities.

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

<b>Scope Name / Code</b>	<b>Line Item #</b>	<b>Line Item Name</b>	<b>Activity</b>	<b>Quantity</b>
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

**Extended Budget Description**

Funds will be used by grantees from 7/1/2018 - 6/30/2019 for salary, wages, benefits, and payroll taxes for administrative staff, travel and training, professional fees, licenses and insurance, administrative expenses, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, advertising, other direct costs, indirect costs, and administrative expenses including incidental costs associated with operating and maintaining administrative premises.

Grantees with sales tax exemptions will attempt to remove all sales tax from reimbursement requests; however, the reimbursement of sales tax when exempted is allowed.

Grantees will attempt to remove all late fees from reimbursement requests; however, the reimbursement of late fees is allowed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$4,127,957
Local			\$515,994
Local/In-Kind			\$0
State			\$515,995
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

<b>Total Eligible Cost</b>	<b>\$5,159,946</b>
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<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Date	7/1/2018	Start of Project Admin activities.
End Date	6/30/2019	End of Project Admin activities.

**Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT**

<b>Scope Name / Code</b>	<b>Line Item #</b>	<b>Line Item Name</b>	<b>Activity</b>	<b>Quantity</b>
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - BUS ROLLING STOCK APPALACHIAN	1

**Extended Budget Description**

Pigeon Forge will procure, own, operate, and maintain a replacement trolley. TDOT will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, Pigeon Forge will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. Purchased vehicle will be ADA compliant.

Funds in this ALI represent partial funding of Pigeon Forge replacing a 2005, SPECIALTY, TROLLEY, 4UZAACBW95CV19084 with 154900 miles.

The useful life of the new trolley is 7 years/ 200,000 miles.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$4,723
Local			\$590
Local/In-Kind			\$0
State			\$591
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$5,904</b>

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
First Vehicle Delivered	6/1/2019	First Vehicle Delivered.
Last Vehicle Delivered	6/1/2019	Last Vehicle Delivered

RFP/ITB Issued	2/28/2017	RFP for vehicles issued
Contract Start Date	4/24/2017	Contract Start Date
Contract End Date	4/30/2020	Contract End Date

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

**Extended Budget Description**

Operating funds to be used by six (6) Appalachian area grantees from 7/1/2018 through 6/30/2019. Funds will be used for salary, wages, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,105,277
Local			\$552,638
Local/In-Kind			\$0
State			\$552,639
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$2,210,554</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Operating activities begin.
End Date	6/30/2019	Operating activities end.

**Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	2

**Extended Budget Description**

Pigeon Forge will procure, own, operate, and maintain a replacement trolley. TDOT will maintain satisfactory

continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, Pigeon Forge will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. Purchased vehicle will be ADA compliant.

Funds in this ALI represent the purchase of 1 trolley replacing 2005, SPECIALTY, TROLLEY, 4UZAACBW05CV19085, with 189,8001 miles .  
The useful life of the new trolley is 7 years/ 200,000 miles.

Remaining funds will be used for partial funding to replace a 2005, SPECIALTY, TROLLEY, 4UZAACBW95CV19084 with 154900 miles.  
The useful life of the new trolley is 7 years/ 200,000 miles.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$288,454
Local			\$36,057
Local/In-Kind			\$0
State			\$36,057
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$360,568</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	4/24/2017	RFP Issued.
Contract Award Date	2/28/2018	Contract Awarded.
Initial Delivery Date	6/1/2019	First Vehicle Delivered.
Final Delivery Date	6/1/2020	Last vehicle delivered.
Contract Completion Date	6/30/2020	Contract completed.

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	2	\$288,454.00	\$360,568.00

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$44,000.00	\$44,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	0	\$268,766.00	\$268,766.00

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$527,055.00	\$658,819.00

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program



guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$13,723,191.00	\$27,446,383.00

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

##### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$982,456.00	\$982,456.00

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

##### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Class IIc CE Approved
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Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$4,127,957.00	\$5,159,946.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.12.04	BUY REPLACEMENT	1	\$4,723.00	\$5,904.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item	Line Item Name	Quantity	FTA Amount	Total Eligible
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	Number			Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$1,105,277.00 \$2,210,554.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### Application Review Comments

**Comment By** Matthew Sedgwick

**Comment Type** Application Details

**Date** 7/10/2018

**Comment** FTA comments addressed. Match levels are corrected.

### Application Review Comments

**Comment By** Holly Liles

**Comment Type** FTA Post Review Comments for Grantee

**Date** 7/9/2018

**Comment** Please address emailed comments re: Some budget items do not add up to 80/20 match

## Part 6: Agreement

### UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

### GRANT AGREEMENT (FTA G-24, October 1, 2017)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:  
(1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017,  
<http://www.transit.dot.gov>,

- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

## **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

### **Recipient Information**

Recipient Name: Transportation, Tennessee Department Of

Recipient ID: 1007

DUNS No: 878297712

### **Application Information**

Federal Award Identification Number: TN-2018-043-00

Application Name: 5311 FFY2018

Application Start Date: The start date will be set to the date of the award

Application End Date: 3/29/2023

Application Executive Summary: This is a FFY2018 grant application for Section 5311 (Non-Urbanized Area Formula Program) for TDOT for Section 5311, RTAP, and Appalachian Development Public Transportation Assistance Program funds, in the amount of \$21,071,879. This grant will provide funds to nine (9) rural public transportation systems for transportation in non-urban areas and two (2) rural transit-intensive agencies (Pigeon Forge Fun Time Trolley and Gatlinburg: Gatlinburg Mass Transit) in areas serving the tourist trade in East Tennessee. The Appalachian funds are allocated to agencies serving Appalachian areas based on the 2010 Census. TDOT is phasing in a new performance-based formula for the 5311 allocation. FFY2018 allocation is the second year of the phase-in at a 50% old, 50% new formula. Factors for the old formula being phased-out include the 2010 Census non-urbanized population, the number of counties served, and the number of vehicles operated by each system. Factors for the new formula being phased-in include the 2010 Census non-urbanized population, public ridership, and public revenue miles. TDOT will not use 15% of the 5311 funds for intercity bus service, per the attached Intercity Bus Certification Letter.

TDOT will ensure that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

TDOT will check the current listing of Transit Vehicle Manufacturers (TVM) to ensure each is listed as a

certified TVM in compliance with FTA regulations.

TDOT will follow all 3rd party procurement policies as defined in C4220. 1F (Third Party Contracting Guidance).

All subrecipients will follow useful life standards as defined in the TDOT State Management Plan.

TDOT will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Recipients receiving grant awards over \$25,000 from FTA or any other Federal agency after October 1, 2010, must comply with the Federal Financial Accountability and Transparency Act (FFATA) sub-award reporting requirements.

The FFY2018 Program of Projects (POP) is attached. Funds are allocated to 11 public agencies in the following categories: State Administration, Project Administration, Capital, Operating, and RTAP.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

#### **Application Budget**

Total Application Budget: \$37,137,396.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$21,071,879.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$16,065,517.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$21,071,879.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$16,065,517.00

#### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$21,071,879
Local			\$8,032,757
Local/In-Kind			\$0
State			\$8,032,760
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

<b>Total Eligible Cost</b>	<b>\$37,137,396</b>
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(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Tennessee Department Of*