

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	OR-2018-032-00
Temporary Application Number	1723-2018-2
Application Name	2018 5311 OPS, PM, PROJ ADM, MM, INTERCITY, RTAP, STATE ADM
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, Oregon Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1723	State Agency	OREGON DEPARTMENT OF TRANSPORTATION	195234364

Location Type	Address	City	State	Zip
Headquarters	355 CAPITOL ST NE MS21	SALEM	OR	973013935
Physical Address	555 13TH ST NE STE 3	SALEM	OR	97301
Mailing Address	555 13TH ST NE STE 3	SALEM	OR	97301

Union Information

Union Name	NONE
Address 1	
Address 2	
City	
State	
Zipcode	00000
Contact Name	

Telephone	
Fax	00000
E-mail	
Website	

Part 2: Application Information

Title: 2018 5311 OPS, PM, PROJ ADM, MM, INTERCITY, RTAP, STATE ADM

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OR-2018-032-00	Final Concurrence / Reservation	Grant	6/1/2018	6/1/2018	No

Application Start Date

The start date will be set to the date of the award

Application End Date

6/29/2020

Application Executive Summary

This application includes \$11,680,599 in FY 2018 Section 5311 funding (of \$13,058,618 available) for rural operations, preventive maintenance, project administration, intercity operations, rural training assistance, and state administration projects in Oregon.

The balance remaining in the state's allocation (totaling \$1,378,019) will be applied for with a future amendment after pending STIP amendments (to bring estimated STIP dollars equal to the allocation) are executed.

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Federal Highway Administration approved ODOT's FY 2018 indirect cost rate on 4/10/2017 and a copy of the approved indirect cost rate is on file with FTA in TrAMS on the recipient profile. The approved rate of 7.87% will be applied to the applicable activity line descriptions.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Maile	Boals	Analyst	maile.boals@odot.state.or.us	503-986-3372
Jeremy	Borrego	Transportation Program Specialist	jeremy.borrego@dot.gov	206-220-7956
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	206-220-7965

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$11,680,599
Local			\$6,482,028
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$18,162,627

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2018-032-01-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$465,106.00	\$53,234.00	\$518,340.00	0
OR-2018-032-01-00	11.7A.00 Preventive Maintenance	\$450,466.00	\$51,559.00	\$502,025.00	0

OR-2018-032-01-00		(2018 5311 89.73:10.27)(00) Mobility Management (2018 5311 89.73:10.27)(00)	11.7L.00	\$14,640.00	\$1,675.00	\$16,315.00	0
OR-2018-032-01-00	300-00 (300-A1)	OPERATING ASSISTANCE		\$6,094,954.00	\$4,773,366.00	\$10,868,320.00	0
OR-2018-032-01-00		Operating (2018 5311 56.08:43.92)(00)	30.09.02	\$6,094,954.00	\$4,773,366.00	\$10,868,320.00	0
OR-2018-032-01-00	435-00 (435-A5)	RURAL TRANSIT ASST PROGRAM		\$181,000.00	\$0.00	\$181,000.00	0
OR-2018-032-01-00		RTAP Training (2018 5311 100:00)(00)	43.50.01	\$100,000.00	\$0.00	\$100,000.00	0
OR-2018-032-01-00		RTAP Technical Assistance (2018 5311 100:00)(00)	43.50.02	\$81,000.00	\$0.00	\$81,000.00	0
OR-2018-032-01-00	610-00 (610-A6)	STATE / PROGRAMS ADMINISTRATION		\$1,287,059.00	\$0.00	\$1,287,059.00	0
OR-2018-032-01-00		State Or Program Administration Indirect (2018 5311 100:0)(00)	11.80.00	\$322,059.00	\$0.00	\$322,059.00	0
OR-2018-032-01-00		State Or Program Administration Direct (2018 5311 100:0)(00)	11.80.00	\$965,000.00	\$0.00	\$965,000.00	0
OR-2018-032-01-00	620-00 (620-A3)	PROJECT ADMINISTRATION		\$1,950,080.00	\$223,194.00	\$2,173,274.00	0
OR-2018-032-01-00		Project Administration (2018 5311 89.73:10.27)(00)	11.79.00	\$1,950,080.00	\$223,194.00	\$2,173,274.00	0
OR-2018-032-01-00	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION		\$1,702,400.00	\$1,432,234.00	\$3,134,634.00	0

OR-2018-032-01-00	11.7A.00	Preventive Maintenance (2018 5311(f) 80:20)(00)	\$360,222.00	\$90,056.00	\$450,278.00	0
OR-2018-032-01-00	30.09.01	Operating (2018 5311(f) 50:50)(00)	\$1,342,178.00	\$1,342,178.00	\$2,684,356.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: 2018 5311 Operations, Preventive Maintenance, Project Administration, Mobility Management, Intercity, Rural Transit Assistance Program, and State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OR-2018-032-01-00	1723-2018-2-P1	6/1/2018	6/29/2018	6/29/2020

Project Description

This project provides \$11,680,599 in FY 2018 5311 funds for operating, preventive maintenance, and project administration assistance to 36 non-urbanized area transit providers throughout Oregon. It also funds intercity operations and capital projects, training and technical assistance to rural transit providers, and state administration. The period of this project is July 1, 2018 to June 30, 2020.

MATCH

The Federal/Non-Federal match ratio for this grant is:

- 5311: 89.73:10.27 for capital and mobility management, 56.08:43.92 for operations and 100:0 for state administration
- Intercity 5311(f): 50:50 for operating, 80:20 for capital
- RTAP 5311: 100:0 for training and technical assistance

The Non-federal share will be provided through state funds, local taxes, donations, cash reserves, and/or contract revenues

Project Benefits

This project enables rural transit providers to continue operations to serve rural populations' transit needs. This includes trips to work, leisure, school, medical appointments, and social events. It also provides connections for travelers between rural areas, learning opportunities (drug and alcohol program training, conferences, etc.) and technical assistance to transit providers.

Additional Information

None provided.

Location Description

This project occurs throughout and between the rural areas of Oregon, so all "Project Location" codes have been selected.

Project Location (Urbanized Areas)

UZA Code	Area Name
410000	Oregon
410280	Portland, OR-WA
411440	Eugene, OR
411940	Salem, OR
413540	Longview, WA--OR
413560	Medford, OR
413930	Corvallis, OR
414010	Bend, OR
415160	Grants Pass, OR
419550	Albany, OR

Congressional District Information

State	District	Representative
Oregon	1	Suzanne Bonamici
Oregon	2	Greg Walden
Oregon	3	Earl Blumenauer
Oregon	4	Peter A DeFazio
Oregon	5	Kurt Schrader

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$11,680,599
Local			\$6,482,028
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$18,162,627

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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OR-2018-032-01-00	117-00 (117-A2)	OTHER CAPITAL ITEMS (BUS)	\$465,106.00	\$53,234.00	\$518,340.00	0
OR-2018-032-01-00	11.7A.00	Preventive Maintenance (2018 5311 89.73:10.27)(00)	\$450,466.00	\$51,559.00	\$502,025.00	0
OR-2018-032-01-00	11.7L.00	Mobility Management (2018 5311 89.73:10.27)(00)	\$14,640.00	\$1,675.00	\$16,315.00	0
OR-2018-032-01-00	300-00 (300-A1)	OPERATING ASSISTANCE	\$6,094,954.00	\$4,773,366.00	\$10,868,320.00	0
OR-2018-032-01-00	30.09.02	Operating (2018 5311 56.08:43.92)(00)	\$6,094,954.00	\$4,773,366.00	\$10,868,320.00	0
OR-2018-032-01-00	435-00 (435-A5)	RURAL TRANSIT ASST PROGRAM	\$181,000.00	\$0.00	\$181,000.00	0
OR-2018-032-01-00	43.50.01	RTAP Training (2018 5311 100:00)(00)	\$100,000.00	\$0.00	\$100,000.00	0
OR-2018-032-01-00	43.50.02	RTAP Technical Assistance (2018 5311 100:00)(00)	\$81,000.00	\$0.00	\$81,000.00	0
OR-2018-032-01-00	610-00 (610-A6)	STATE / PROGRAMS ADMINISTRATION	\$1,287,059.00	\$0.00	\$1,287,059.00	0
OR-2018-032-01-00	11.80.00	State Or Program Administration Indirect (2018 5311 100:0)(00)	\$322,059.00	\$0.00	\$322,059.00	0
OR-2018-032-01-00	11.80.00	State Or Program Administration Direct (2018 5311 100:0)(00)	\$965,000.00	\$0.00	\$965,000.00	0
OR-2018-032-01-00	620-00 (620-A3)	PROJECT ADMINISTRATION	\$1,950,080.00	\$223,194.00	\$2,173,274.00	0
OR-2018-032-01-00	11.79.00	Project Administration	\$1,950,080.00	\$223,194.00	\$2,173,274.00	0

(2018 5311 89.73:10.27)(00)						
OR-2018-032-01-00	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$1,702,400.00	\$1,432,234.00	\$3,134,634.00	0
OR-2018-032-01-00	11.7A.00	Preventive Maintenance (2018 5311(f) 80:20)(00)	\$360,222.00	\$90,056.00	\$450,278.00	0
OR-2018-032-01-00	30.09.01	Operating (2018 5311(f) 50:50)(00)	\$1,342,178.00	\$1,342,178.00	\$2,684,356.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - State Or Program Administration Indirect (2018 5311 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$322,059 in FY18 5311 funds for state's indirect administration for the period 07/01/2018 through 06/30/2020 - see attached POP for details.

STIP

This project was originally approved in the 2015-2018 STIP, Key #18950, federally approved on May 20, 2015. The project is in the current 2018-2021 STIP under the same key number, federally approved on October 1, 2017.

INDIRECT COST RATE

This activity will use the approved indirect cost rate of 7.87%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$322,059
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Total Eligible Cost		\$322,059

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	State indirect administration activities begin.
End Date	6/30/2020	State indirect administration activities complete.

Budget Activity Line Item: 30.09.02 - Operating (2018 5311 56.08:43.92)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	0

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$6,094,954 in FY18 5311 funds for rural operations for 33 providers for the period 07/01/2018 thru 06/30/2020 - see attached POP for details.

STIP

This project was originally approved in the 2015-2018 STIP, Key #18952, federally approved on May 20, 2015. The project is in the current 2018-2021 STIP under the same key number, federally approved on October 1, 2017.

INDIRECT COST RATE

This activity may use the approved indirect cost rate of 7.87%.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,094,954
Local			\$4,773,366
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$10,868,320

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	First Subrecipient Agreement Executed and First

Project Begins		
End Date	6/30/2020	All Projects Complete and All Subrecipient Agreements Closed

Budget Activity Line Item: 11.7A.00 - Preventive Maintenance (2018 5311 89.73:10.27)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$450,466 in FY18 5311 funds for preventive maintenance on vehicles for 7 providers for the period 07/01/2018 thru 06/29/2020 - see attached POP for details.

STIP

This project was originally approved in the 2015-2018 STIP, Key #18952, federally approved on May 20, 2015. The project is in the current 2018-2021 STIP under the same key number, federally approved on October 1, 2017.

INDIRECT COST RATE

This activity may use the approved indirect cost rate of 7.87%.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$450,466
Local			\$51,559
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$502,025

Milestone Name	Est. Completion Date	Description
Start Date	6/30/2018	Begin Preventive Maintenance Activities.
End Date	6/30/2020	All Preventive Maintenance Activities Complete.

Budget Activity Line Item: 11.79.00 - Project Administration (2018 5311 89.73:10.27)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0
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Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$1,950,080 in FY18 5311 funds for project administration for 20 providers for the period 07/01/2018 thru 06/30/2020 - see attached POP for details.

STIP

This project was originally approved in the 2015-2018 STIP, Key #18952, federally approved on May 20, 2015. The project is in the current 2018-2021 STIP under the same key number, federally approved on October 1, 2017.

INDIRECT COST RATE

This activity may use the approved indirect cost rate of 7.87%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,950,080
Local			\$223,194
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$2,173,274

Milestone Name	Est. Completion Date	Description
Start Date	6/30/2018	Begin Project Administration Activities
End Date	6/30/2020	Project Administration Activities Complete

Budget Activity Line Item: 11.7L.00 - Mobility Management (2018 5311 89.73:10.27)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$14,640 in FY18 5311 funds for a mobility management project for 1 provider (Malheur County 31926) for the period 07/01/2018 through 06/30/2020 - see attached POP for details.

STIP

This project was originally approved in the 2015-2018 STIP, Key #18952, federally approved on May 20,

2015. The project is in the current 2018-2021 STIP under the same key number, federally approved on October 1, 2017.

INDIRECT COST RATE

This activity may use the approved indirect cost rate of 7.87%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,640
Local			\$1,675
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$16,315

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Subrecipient Agreement Executed
End Date	6/30/2020	Project Complete and Subrecipient Agreement Closed

Budget Activity Line Item: 30.09.01 - Operating (2018 5311(f) 50:50)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$1,342,178 in FY18 5311(f) funds for intercity operations for 5 providers for the period 07/01/2018 thru 06/30/2020 - see attached POP for details.

STIP

This project was originally approved in the 2015-2018 STIP, Key #18951, federally approved on May 20, 2015. The project is in the current 2018-2021 STIP under the same key number, federally approved on October 1, 2017.

INDIRECT COST RATE

This activity may use the approved indirect cost rate of 7.87%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,342,178
Local			\$1,342,178
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$2,684,356

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	First Subrecipient Agreement Executed and First Project Begins
End Date	6/30/2020	All Projects Complete and Last Subrecipient Agreement Closed

Budget Activity Line Item: 11.7A.00 - Preventive Maintenance (2018 5311(f) 80:20)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$360,222 in FY18 5311 (f) funds for preventive maintenance on intercity vehicles for 5 providers for the period 07/01/2018 through 06/30/2020. See attached POP for details.

STIP

This project was originally approved in the 2015-2018 STIP, Key #18951, federally approved on May 20, 2015. The project is in the current 2018-2021 STIP under the same key number, federally approved on October 1, 2017.

INDIRECT COST RATE

This activity may use the approved indirect cost rate of 7.87%.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$360,222
Local			\$90,056
Local/In-Kind			\$0
State			\$0

State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
Total Eligible Cost	\$450,278

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	Intercity PM Activities Begin
End Date	6/30/2020	Intercity PM Activities Complete

Budget Activity Line Item: 43.50.01 - RTAP Training (2018 5311 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$100,000 in FY18 5311 funds for rural training across the state for the period 07/01/2018 through 06/30/2020 - see attached POP for details.

STIP

This project was originally approved in the 2015-2018 STIP, Key #18953, federally approved on May 20, 2015. The project is in the current 2018-2021 STIP under the same key number, federally approved on October 1, 2017.

INDIRECT COST RATE

This activity may use the approved indirect cost rate of 7.87%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$100,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$100,000

Milestone Name	Est. Completion Date	Description
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Start Date	7/1/2018	First Rural Training Project Begins
End Date	6/30/2020	All Training Projects Complete

Budget Activity Line Item: 43.50.02 - RTAP Technical Assistance (2018 5311 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$81,000 in FY18 5311 funds for rural technical assistance across the state for the period 07/01/2018 thru 06/30/2020 - see attached POP for details.

STIP

This project was originally approved in the 2015-2018 STIP, Key #18953, federally approved on May 20, 2015. The project is in the current 2018-2021 STIP under the same key number, federally approved on October 1, 2017.

INDIRECT COST RATE

This activity may use the approved indirect cost rate of 7.87%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$81,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$81,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	First Rural Technical Assistance Project Begins
End Date	6/30/2020	All Rural Technical Assistance Projects Complete

Budget Activity Line Item: 11.80.00 - State Or Program Administration Direct (2018 5311 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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STATE / PROGRAMS	11.80.00	STATE OR PROGRAM	STATE / PROGRAM	0
ADMINISTRATION (610-00)		ADMINISTRATION	ADMINISTRATION	

Extended Budget Description

INITIAL APPLICATION (00)

This grant will use \$965,000 in FY18 5311 funds for state's direct administration for the period 07/01/2018 through 06/30/2020 - see attached POP for details.

STIP

This project was originally approved in the 2015-2018 STIP, Key #18950, federally approved on May 20, 2015. The project is in the current 2018-2021 STIP under the same key number, federally approved on October 1, 2017.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$965,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$965,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2018	State Direct Administration Activities Begin
End Date	6/30/2020	State Direct Administration Activities Complete

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.02	Operating (2018 5311 56.08:43.92)(00)	0	\$6,094,954.00	\$10,868,320.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	Preventive Maintenance (2018 5311 89.73:10.27)(00)	0	\$450,466.00	\$502,025.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	Project Administration (2018 5311 89.73:10.27)(00)	0	\$1,950,080.00	\$2,173,274.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7L.00	Mobility Management (2018 5311 89.73:10.27)(00)	0	\$14,640.00	\$16,315.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item	Line Item Name	Quantity	FTA Amount	Total Eligible
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	Number			Cost
INTERCITY BUS TRANSPORTATION (634- 00)	30.09.01	Operating (2018 5311(f) 50:50)(00)	0	\$1,342,178.00 \$2,684,356.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634- 00)	11.7A.00	Preventive Maintenance (2018 5311(f) 80:20)(00)	0	\$360,222.00	\$450,278.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-	43.50.01	RTAP Training (2018 5311 100:00)(00)	0	\$100,000.00	\$100,000.00

00)

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.02	RTAP Technical Assistance (2018 5311 100:00)(00)	0	\$81,000.00	\$81,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	State Or Program Administration Direct (2018 5311 100:0)(00)	0	\$965,000.00	\$965,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	State Or Program Administration Indirect (2018 5311 100:0)(00)	0	\$322,059.00	\$322,059.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By Christopher Macneith

Comment Type	Application Details
Date	8/2/2018
	Concur - Move forward
	Oregon Transportation Department must comply with all applicable Federal laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board's ADA Accessibility Guidelines. Found here: http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities
Comment	Specifically, Oregon Transportation Department must comply with the ADA Standards for Transportation Facilities in the construction/renovation for the following project: <ul style="list-style-type: none"> - This application includes 2018 5311 Operations, Preventive Maintenance, Project Administration, Mobility Management, Intercity, Rural Transit Assistance Program, and State Administration The following is a non-exhaustive list of ADA standards that Oregon Transportation Department must comply with when completing this project:

Requirements to Remember for ADA Compliance in Construction Projects

The ADA Standards issued by the Department of Transportation (DOT) apply to facilities used by state and local governments to provide designated public transportation services, including bus stops and stations, and rail stations. Other types of facilities covered by the ADA are subject to similar ADA Standards issued by the Department of Justice. Both the DOT and DOJ standards are based on the United States Access Board ADA Accessibility Guidelines (ADAAG).

DOT's ADA Standards (2006) are consistent with the Access Board's updated ADA (and ADAAG) guidelines but includes a few additional requirements concerning:

- Location of Accessible Routes (206.3)
- Detectable Warnings on Curb Ramps (406.8)
- Bus Boarding and Alighting Areas (810.2.2)
- Rail Station Platforms (810.5.3)

The complete ADA Standards for Transportation Facilities can be found at the US Access Board Website: (ADA Standards for Transportation Facilities)

Requirements to Remember for: New Construction of Facilities

Sixty percent of all public entrances to the facility must be accessible. If there are only two entrances, both must be accessible. (DOT ADA Standard 206.4.1.)

For rail projects, no flange way gap can be greater than 2.5" where passenger circulation paths cross tracks at grade (i.e. a street-level pedestrian crossing over streetcar tracks). (DOT ADA Standard 810.10.)

Accessible routes that coincide with, or are located in the same area, as general circulation paths and elements such as ramps, elevators, and fare vending and collection must be placed so as to minimize the distance that wheelchair users and other persons who cannot climb steps must travel in comparison to the general public. (DOT ADA Standard 206.3.)

Curb ramps must have detectable warnings. (DOT ADA Standard 406.8.)

Bus boarding and alighting areas must be in compliance with the ADA-ABA Guidelines (Section 810.2), which address surfaces (sturdy), dimensions (96" long x 60" wide); connection to sidewalks, streets and pedestrian paths; slope (not steeper than 1:48); signs; and public address systems. (DOT ADA Standard 810.2.)

Station platforms must be coordinated with the vehicle floor height. (DOT ADA Standard 810.5.3.)

Requirements to Remember for: Alterations or Additions to Facilities

Altered or added portions of the facility must be made accessible. (DOT ADA Standard 201 and DOT ADA Regulation 49 C.F.R. 37.43(a)(1).)

The path of travel to the altered or added portion of the facility must be made accessible, to the maximum extent feasible. (DOT ADA Regulation 49 C.F.R. 37.43(a)(2) and DOT ADA Standard 202.3.)

If the path of travel cannot be made accessible, the grantee must submit to FTA an analysis demonstrating that the cost of making the path of travel accessible is disproportionate to (i.e., > 20%) the cost of the alterations or additions to the primary function area. (DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(e)(1).)

If the path of travel cannot be made accessible, the grantee must submit to FTA an analysis demonstrating that site-specific conditions prevent you from making the path of travel accessible. Include relevant diagrams and maps. (DOT ADA Standard 202.4 and

DOT ADA Regulation 49 C.F.R. 37.43(b.)

Requirements to Remember for: FTA-assisted vehicle purchases:

Only those transit vehicle manufacturers listed on FTA's certified list of Transit Vehicle Manufacturers, or that have submitted a goal methodology to FTA that have been approved or has not been disapproved, at the time of solicitation are eligible to bid per 49 CFR Part 26.49(a)(1).

As your agency undergoes the procurement process for vehicles, please ensure that you are in compliance with these requirements and verifying that any manufacturers bidding on the contract have so complied. Please find linked here a list of transit vehicle manufacturers (TVMs) that have DBE programs and goals which are FTA-approved. Therefore, these TVMs are approved to bid on and be awarded FTA-assisted vehicle contracts. This list is regularly updated by FTA and should be used as reference for your upcoming vehicle purchases, but if you do not see a TVM on the list and are under the impression that it had an FTA-approved program, please contact me to confirm.

As a side note, there are a number of TVMs that have submitted DBE programs to FTA, but they are currently under review. The list of TVMs that fall into this category is not published by FTA, but if you receive a bid from a TVM that is not on the list linked above and would like to verify whether it has submitted a program under review, please feel free to contact me for that information.

The 2014 DBE regulation updates now require FTA recipients to report transit vehicle procurement awards. Effective November 2014, FTA recipients are required to submit, within 30 days of making an award, the name of the successful bidder and the total dollar value of the contract. Remember, only eligible TVMs may bid on FTA-assisted transit vehicle procurements.

Recipients must use the online Transit Vehicle Award Reporting Form [external link] to report the required information on transit vehicle procurement awards. For more information on the TVM program requirements, please refer to the TVM webpage. The link to the electronic reporting form may also be found on the list of eligible TVMs. The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to ADA and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA.

Application Review Comments

Comment By Jeremy Borrego

Comment Type	FTA Post Review Comments for Grantee
Date	6/19/2018
Comment	FTA's initial review comments for ODOT grant application 1723-2018-2 are in my email dated June 18, 2018. Please make the necessary changes to the application in TrAMS, and send an updated copy of the Word document to me with your changes noted. Please do not retransmit the grant until after I have review and approved your edits. Thank you.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Oregon Department Of

Recipient ID: 1723

DUNS No: 195234364

Application Information

Federal Award Identification Number: OR-2018-032-00

Application Name: 2018 5311 OPS, PM, PROJ ADM, MM, INTERCITY, RTAP, STATE ADM

Application Start Date: The start date will be set to the date of the award

Application End Date: 6/29/2020

Application Executive Summary: This application includes \$11,680,599 in FY 2018 Section 5311 funding (of \$13,058,618 available) for rural operations, preventive maintenance, project administration, intercity operations, rural training assistance, and state administration projects in Oregon.

The balance remaining in the state's allocation (totaling \$1,378,019) will be applied for with a future amendment after pending STIP amendments (to bring estimated STIP dollars equal to the allocation) are executed.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$18,162,627.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$11,680,599.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$6,482,028.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$11,680,599.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$6,482,028.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$11,680,599
Local			\$6,482,028
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$18,162,627

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Oregon Department Of