

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	OK-2018-023-00
<b>Temporary Application Number</b>	1565-2018-2
<b>Application Name</b>	OKDOT FY2018 SEC 5311 Funds
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

### Part 1: Recipient Information

**Name: Transportation, Oklahoma Department Of**

<b>Recipient ID</b>	<b>Recipient OST Type</b>	<b>Recipient Alias</b>	<b>Recipient DUNS</b>
1565	State Agency	OKLAHOMA DEPARTMENT OF TRANSPORTATION	824700074

<b>Location Type</b>	<b>Address</b>	<b>City</b>	<b>State</b>	<b>Zip</b>
Headquarters	2300 N LINCOLN BLVD RM 212 STATE CAPITAL BLDG	OKLAHOMA CITY	OK	731054801
Physical Address	200 NE 21ST	OKLAHOMA CITY	OK	73105
Mailing Address	COMPTROLLER DIVISION	OKLAHOMA CITY	OK	73105

### Union Information

<b>Union Name</b>	<b>(Not applicable)</b>
Address 1	-
Address 2	
City	-
State	Oklahoma
Zipcode	-

Contact Name	- -
Telephone	-
Fax	
E-mail	-
Website	
<hr/>	
<b>Union Name</b>	<b>(Not applicable)</b>
Address 1	-
Address 2	
City	-
State	Oklahoma
Zipcode	-
Contact Name	- -
Telephone	-
Fax	
E-mail	-
Website	

## Part 2: Application Information

### Title: OKDOT FY2018 SEC 5311 Funds

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OK-2018-023-00	Final Concurrence / Reservation	Grant	7/19/2018	7/19/2018	No

#### Application Start Date

The start date will be set to the date of the award

#### Application End Date

9/28/2023

#### Application Executive Summary

SUMMARY OF FTA FUNDS REQUESTED

Period of Performance: Award Start Date: Date of Grant Award: Award End Date: 3/29/2024

\$15,613,998 - FY-2018 Apportionment for Section 5311

\$ 239,036 - FY-2018 Apportionment for RTAP

\$15,853,034 - TOTAL FUNDS AVAILABLE

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\$ 383,200 - Capital Funds

\$10,027,298 - Section 5311 Project Administration and Operating

\$ 2,342,099 - Section 5311(f) Intercity Bus Services

\$ 1,561,400 - State Administration and Technical Assistance

\$14,313,997 TOTAL FTA FUNDS REQUESTED

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\$15,853,034 - Total funds available (including RTAP)

\$14,313,997 - Total funds requested under this application

\$ 239,036 - Unobligated RTAP funds  
\$ 1,300,001 - Unobligated funds  
\$ 1,539,037 - Total Unobligated funds

The funds programmed under this grant will be used to help finance the operating and non-operating costs for 20 subrecipients to maintain reliable transit services within 75 counties. No subrecipient is a Tribal government.

All projects are in category A and zero projects are in category B.

Net costs may exceed required local match minimums; subrecipients will overmatch the Federal Funds as necessary.

Local Match may be provided through the following: cash, service agreements, State Transit Revolving Fund and in-kind contributions. In-Kind Contributions are as follows:

Enid Public Transit - \$33,889 operational, \$97,787 administrative for a total of \$131,676

Call-A-Ride Public Transit - \$6,500 operational, \$110,400 administrative for a total of \$116,900

Cherokee Strip Transit - \$5,640 operational for a total of \$5,640

Project Administration and Operating Assistance to be used for administrative and operational activities/services, supporting 20 transit agencies. These agencies will provide reliable and accessible transit services to the rural public in accordance with Section 5311 guidelines.

Intercity Project Administration and Operating Assistance to be used for administrative and operation activities/services, supporting 14 transit agencies. These agencies will provide reliable and accessible intercity feeder services for the rural public in accordance with Section 5311 Intercity guidelines.

RTAP Training funds to be used for the following: travel, lodging and per diem for transit managers and employees to attend workshops, seminars, conferences, development/presentation of training programs, and the purchase of audiovisual equipment and training materials to complement the training program. RTAP Technical Assistance funds to be used for maintenance of computerized record keeping and reporting system. This element could include the purchasing of computers and associated equipment and software. Contract for outside services to provide technical assistance to subrecipients (this will include, but is not limited to, on-site technical assistance).

State Administration funds to be used for ODOT's expenses related to the administration and oversight of rural transit agencies providing transit services as well as providing technical assistance. ODOT is the designated recipient and may apply for these funds directly.

NOTE: See Program of Projects for additional information and subrecipient allocation breakout data.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds

directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jason	Huff	Project Manager	jhuff@odot.org	(405) 521-2531
Daniel	Peschell	Transportation Program Specialist	daniel.peschell@dot.gov	817-978-0563

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,313,997
Local			\$10,793,820
Local/In-Kind			\$254,216
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$25,362,033</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OK-2018-023-01-00	111-00 (111-A5) BUS - ROLLING STOCK	\$176,600.00	\$31,166.00	\$207,766.00	5
OK-2018-023-01-	11.12.15 BUY REPLACEMENT	\$31,600.00	\$5,577.00	\$37,177.00	1

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OK-2018-023-01-00		11.13.15	BUY VAN FOR SVC EXPANSION	\$145,000.00	\$25,589.00	\$170,589.00	4
OK-2018-023-01-00	114-00 (114-A6)		BUS: SUPPORT EQUIP AND FACILITIES	\$206,600.00	\$51,650.00	\$258,250.00	0
OK-2018-023-01-00		11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$120,960.00	\$30,240.00	\$151,200.00	0
OK-2018-023-01-00		11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	\$85,640.00	\$21,410.00	\$107,050.00	0
OK-2018-023-01-00	300-00 (300-A3)		OPERATING ASSISTANCE	\$8,466,566.00	\$8,466,566.00	\$16,933,132.00	0
OK-2018-023-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$8,466,566.00	\$8,466,566.00	\$16,933,132.00	0
OK-2018-023-01-00	610-00 (610-A1)		STATE / PROGRAMS ADMINISTRATION	\$1,561,400.00	\$0.00	\$1,561,400.00	0
OK-2018-023-01-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$1,561,400.00	\$0.00	\$1,561,400.00	0
OK-2018-023-01-00	620-00 (620-A2)		PROJECT ADMINISTRATION	\$1,560,732.00	\$390,183.00	\$1,950,915.00	0
OK-2018-023-01-00		11.79.00	PROJECT ADMINISTRATION	\$1,560,732.00	\$390,183.00	\$1,950,915.00	0
OK-2018-023-01-00	634-00 (634-A4)		INTERCITY BUS TRANSPORTATION	\$2,342,099.00	\$2,108,471.00	\$4,450,570.00	0
OK-2018-023-01-00		11.79.00	PROJECT ADMINISTRATION	\$311,504.00	\$77,876.00	\$389,380.00	0
OK-2018-023-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$2,030,595.00	\$2,030,595.00	\$4,061,190.00	0

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: Section 5311

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OK-2018-023-01-00	1565-2018-2-P1	7/19/2018	9/30/2018	9/28/2023

#### Project Description

The funds programmed under this grant will be used to help finance the operating and non-operating costs for 20 subrecipients to maintain reliable transit services within 75 counties. No subrecipient is a Tribal government.

Intercity Project Administration and Operating Assistance to be used for administrative and operation activities/services, supporting 14 transit agencies. These agencies will provide reliable and accessible intercity feeder services for the rural public in accordance with Section 5311 Intercity guidelines.

RTAP Training funds to be used for the following: travel, lodging and per diem for transit managers and employees to attend workshops, seminars, conferences, development/presentation of training programs, and the purchase of audiovisual equipment and training materials to complement the training program. RTAP Technical Assistance funds to be used for maintenance of computerized record keeping and reporting system. This element could include the purchasing of computers and associated equipment and software. Contract for outside services to provide technical assistance to subrecipients (this will include, but is not limited to, on-site technical assistance).

State Administration funds to be used for ODOT's expenses related to the administration and oversight of rural transit agencies providing transit services as well as providing technical assistance. ODOT is the designated recipient and may apply for these funds directly.

#### Project Benefits

The 20 subrecipients will provide reliable and accessible transit services to the rural public in accordance with Section 5311 guidelines.

#### Additional Information

*None provided.*

#### Location Description

Oklahoma Statewide: 75 out of 77 Oklahoma Counties

## Project Location (Urbanized Areas)

UZA Code	Area Name
400000	Oklahoma

## Congressional District Information

State	District	Representative
Oklahoma	1	Jim Bridenstine

Oklahoma	2	Markwayne Mullin
Oklahoma	3	Frank D Lucas
Oklahoma	4	Tom Cole
Oklahoma	5	Steve Russell

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,313,997
Local			\$10,793,820
Local/In-Kind			\$254,216
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$25,362,033</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OK-2018-023-01-00	111-00 (111-A5) BUS - ROLLING STOCK	\$176,600.00	\$31,166.00	\$207,766.00	5
OK-2018-023-01-00	11.12.15 BUY REPLACEMENT VAN	\$31,600.00	\$5,577.00	\$37,177.00	1
OK-2018-023-01-00	11.13.15 BUY VAN FOR SVC EXPANSION	\$145,000.00	\$25,589.00	\$170,589.00	4
OK-2018-023-01-00	114-00 (114-A6) BUS: SUPPORT EQUIP AND FACILITIES	\$206,600.00	\$51,650.00	\$258,250.00	0
OK-2018-023-01-00	11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$120,960.00	\$30,240.00	\$151,200.00	0
OK-2018-023-01-00	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$85,640.00	\$21,410.00	\$107,050.00	0

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OK-2018-023-01-00	300-00 (300-A3)	OPERATING ASSISTANCE	\$8,466,566.00	\$8,466,566.00	\$16,933,132.00	0
OK-2018-023-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$8,466,566.00	\$8,466,566.00	\$16,933,132.00	0
OK-2018-023-01-00	610-00 (610-A1)	STATE / PROGRAMS ADMINISTRATION	\$1,561,400.00	\$0.00	\$1,561,400.00	0
OK-2018-023-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$1,561,400.00	\$0.00	\$1,561,400.00	0
OK-2018-023-01-00	620-00 (620-A2)	PROJECT ADMINISTRATION	\$1,560,732.00	\$390,183.00	\$1,950,915.00	0
OK-2018-023-01-00	11.79.00	PROJECT ADMINISTRATION	\$1,560,732.00	\$390,183.00	\$1,950,915.00	0
OK-2018-023-01-00	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$2,342,099.00	\$2,108,471.00	\$4,450,570.00	0
OK-2018-023-01-00	11.79.00	PROJECT ADMINISTRATION	\$311,504.00	\$77,876.00	\$389,380.00	0
OK-2018-023-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$2,030,595.00	\$2,030,595.00	\$4,061,190.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

### Extended Budget Description



Project Administration activities may include but are not limited to the following: Administrative support equipment, advertising, audits, bookkeeper salary, director salary, fringe (admin), indirect (admin), legal services, office furnishings, secretary salary, subscriptions and membership fees, training programs, travel (admin). Project Administration and Operating Assistance to be used for administration and operational activities/services, supporting 20 transit agencies. These agencies will provide reliable and accessible transit services to the rural public in accordance with Section 5311 guidelines. Funds will be used for operating for intercity bus routes to provide reliable service.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$311,504
Local			\$77,876
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$389,380</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/29/2023	

**Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

**Extended Budget Description**

Vehicle Audio and Video Surveillance

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$120,960
Local			\$30,240
Local/In-Kind			\$0

State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$151,200</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/29/2023	

**Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

**Extended Budget Description**

Acquisition of Computers, 2 Laptops for dispatch, Laptop for Transit Director, Bus Video Hardware & Office Building Video Surveillance

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$85,640
Local			\$21,410
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$107,050</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/29/2023	

**Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	1

#### Extended Budget Description

SW Transit is purchasing 1 ADA compliant Minivan, Gasoline Powered, as identified in the attached POP. This vehicle has a useful life of 4 years and/or 100,000 miles.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Minivan

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$31,600
Local			\$5,577
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$37,177</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2018	
Contract Award Date	3/29/2019	
Initial Delivery Date	10/4/2019	
Final Delivery Date	3/31/2020	
Contract Completion Date	10/2/2020	

#### Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - CAPITAL BUS	4

#### Extended Budget Description

Red River Transportation Service is purchasing 4 ADA compliant Minivans, Gasoline Powered, as identified in the attached POP. These vehicles have a useful life of 4 years and/or 100,000 miles.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Minivans

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$145,000
Local			\$25,589
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$170,589</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2018	
Contract Award Date	3/29/2019	
Initial Delivery Date	10/1/2019	
Final Delivery Date	3/31/2020	
Contract Completion Date	10/1/2020	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

State Administration funds to be used for ODOT's expenses related to the administration and oversight of rural transit agencies providing transit services as well as providing technical assistance.

Technical Assistance as described below:

\$565,784 - the University of Oklahoma

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,561,400
Local			\$0
Local/In-Kind			\$0

State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$1,561,400</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/29/2023	

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

**Extended Budget Description**

Project Administration activities may include but are not limited to the following: Administrative support equipment, advertising, audits, bookkeeper salary, director salary, fringe (admin), indirect (admin), legal services, office furnishings, secretary salary, subscriptions and membership fees, training programs, travel (admin). Project Administration and Operating assistance to be used for administrative and operational activities/services, supporting 20 transit agencies. These agencies will provide reliable and accessible transit services to the rural public in accordance with Section 5311 guidelines. Local In-Kind Contributions are as follows: Call-a-Ride Transit: \$110,400; Enid Public Transit: \$97,787 for a Grand Total of \$208,187

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,560,732
Local			\$181,996
Local/In-Kind			\$208,187
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$1,950,915</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	

End Date	9/29/2023
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**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Operating activities may include but are not limited to the following: Building maintenance repairs, clerk salary, dispatcher salary, driver salary, equipment maintenance and repairs, equipment service agreements, fees and licensing, fringe (operational) fuel, insurance (operational), interest expense, mechanic salary, office and garage space, operational support equipment, postage, printing, supervisor salary, supplies, telephone, transportation service agreements, travel (operational), utilities, vehicle insurance, vehicle maintenance and repairs, vehicle service agreements. Project Administration and Operating Assistance to be used for administrative and operational activities/services, supporting 20 transit agencies. These agencies will provide reliable and accessible transit services to the rural public in accordance with Section 5311 guidelines.

Local In-Kind Contributions are as follows: Call-a-Ride Public Transit: \$6,500, Cherokee Strip Transit: \$5,640, Enid Pubic Transit: \$33,889 for a Grand Total of \$46,029

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,466,566
Local			\$8,420,537
Local/In-Kind			\$46,029
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$16,933,132</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/29/2023	

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Operating Assistance to be used for inter city bus routes, administration and operational activities/services, supporting 20 transit agencies. These agencies will provide reliable and accessible transit services to the rural public in accordance with Section 5311 guidelines.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,030,595
Local			\$2,030,595
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$4,061,190</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/29/2023	

**Project Environmental Findings****Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS	11.80.00	STATE OR	0	\$1,561,400.00	\$1,561,400.00

ADMINISTRATION  
(610-00)

PROGRAM  
ADMINISTRATION

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$1,560,732.00	\$1,950,915.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$8,466,566.00	\$16,933,132.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**



Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$2,030,595.00	\$4,061,190.00

#### **Finding: Class II(c) - Categorical Exclusions (C-List)**

##### **Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### **Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	4	\$145,000.00	\$170,589.00

#### **Finding: Class II(c) - Categorical Exclusions (C-List)**

##### **Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	1	\$31,600.00	\$37,177.00

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	0	\$120,960.00	\$151,200.00

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment,

within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	0	\$85,640.00	\$107,050.00

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

##### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	0	\$311,504.00	\$389,380.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### Application Review Comments

Comment By Daniel Peschell

Comment Type FTA Post Review Comments for Grantee

Date	8/1/2018
Comment	Returned per grantee request. I had to have staff select concur so I could return.
<b>Comment By Daniel Peschell</b>	
Comment Type	FTA Post Review Comments for Grantee
Date	8/7/2018
Comment	Please separate our the local match and in-kind amounts in your project budgets.

## Part 6: Agreement

### UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

#### GRANT AGREEMENT (FTA G-24, October 1, 2017)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

#### FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### Recipient Information

Recipient Name: Transportation, Oklahoma Department Of

Recipient ID: 1565

DUNS No: 824700074

## **Application Information**

Federal Award Identification Number: OK-2018-023-00

Application Name: OKDOT FY2018 SEC 5311 Funds

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/28/2023

Application Executive Summary: SUMMARY OF FTA FUNDS REQUESTED

Period of Performance: Award Start Date: Date of Grant Award: Award End Date: 3/29/2024

\$15,613,998 - FY-2018 Apportionment for Section 5311

\$ 239,036 - FY-2018 Apportionment for RTAP

\$15,853,034 - TOTAL FUNDS AVAILABLE

\*\*\*\*\*

\$ 383,200 - Capital Funds

\$10,027,298 - Section 5311 Project Administration and Operating

\$ 2,342,099 - Section 5311(f) Intercity Bus Services

\$ 1,561,400 - State Administration and Technical Assistance

\$14,313,997 TOTAL FTA FUNDS REQUESTED

\*\*\*\*\*

\$15,853,034 - Total funds available (including RTAP)

\$14,313,997 - Total funds requested under this application

\$ 239,036 - Unobligated RTAP funds

\$ 1,300,001 - Unobligated funds

\$ 1,539,037 - Total Unobligated funds

The funds programmed under this grant will be used to help finance the operating and non-operating costs for 20 subrecipients to maintain reliable transit services within 75 counties. No subrecipient is a Tribal government.

All projects are in category A and zero projects are in category B.

Net costs may exceed required local match minimums; subrecipients will overmatch the Federal Funds as necessary.

Local Match may be provided through the following: cash, service agreements, State Transit Revolving Fund and in-kind contributions. In-Kind Contributions are as follows:

Enid Public Transit - \$33,889 operational, \$97,787 administrative for a total of \$131,676

Call-A-Ride Public Transit - \$6,500 operational, \$110,400 administrative for a total of \$116,900

Cherokee Strip Transit - \$5,640 operational for a total of \$5,640

Project Administration and Operating Assistance to be used for administrative and operational activities/services, supporting 20 transit agencies. These agencies will provide reliable and accessible transit services to the rural public in accordance with Section 5311 guidelines.

Intercity Project Administration and Operating Assistance to be used for administrative and operation activities/services, supporting 14 transit agencies. These agencies will provide reliable and accessible intercity feeder services for the rural public in accordance with Section 5311 Intercity guidelines.

RTAP Training funds to be used for the following: travel, lodging and per diem for transit managers and employees to attend workshops, seminars, conferences, development/presentation of training

programs, and the purchase of audiovisual equipment and training materials to complement the training program. RTAP Technical Assistance funds to be used for maintenance of computerized record keeping and reporting system. This element could include the purchasing of computers and associated equipment and software. Contract for outside services to provide technical assistance to subrecipients (this will include, but is not limited to, on-site technical assistance).

State Administration funds to be used for ODOT's expenses related to the administration and oversight of rural transit agencies providing transit services as well as providing technical assistance. ODOT is the designated recipient and may apply for these funds directly.

NOTE: See Program of Projects for additional information and subrecipient allocation breakout data.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

#### **Application Budget**

Total Application Budget: \$25,362,033.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$14,313,997.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$11,048,036.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$14,313,997.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$11,048,036.00

#### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$14,313,997
Local			\$10,793,820
Local/In-Kind			\$254,216
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$25,362,033</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Oklahoma Department Of*