

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NC-2018-046-00
Temporary Application Number	6726-2018-2
Application Name	5311(c) TTP FFY 2018 Eastern Band of Cherokee Indian Transportation Program
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Eastern Band Of Cherokee Indians

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6726	Indian Tribe	EASTERN BAND OF CHEROKEE INDIANS	074511999

Location Type	Address	City	State	Zip
Headquarters	88 COUNCIL HOUSE LOOP	CHEROKEE	NC	287190419
Physical Address	88 COUNCIL HOUSE LOOP	CHEROKEE	NC	28719
Mailing Address	P.O. BOX 455	CHEROKEE	NC	28719

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311(c) TTP FFY 2018 Eastern Band of Cherokee Indian Transportation Program

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NC-2018-	Final Concurrence /	Grant	5/15/2018	5/15/2018	No

046-00 Reservation

Application Start Date

The start date will be set to the date of the award

Application End Date

3/29/2023

Application Executive Summary

EBCI will be applying for FFY 18 TTP 5311(c) funds in the amount of \$569,264. EBCI's indirect cost rate is included in the application. As a federally recognized tribe these funds will come to EBCI as a direct recipient. Operating assistance is being requested for two years in the amount of \$249,753 for FY19; and \$319,511 for FY20. The start date for these funds will be 10/1/18 and end date is 9/30/20 .

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: Eastern Band of Cherokee Indians provides their own calculated indirect cost rate. For FY 19 the indirect cost rate is 27%

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Brittany	Lavender	Community Planner	brittany.lavender@dot.gov	202 366 9852
Charles	Chiu	General Engineer	charles.chiu@dot.gov	404-865-5608
Kevin	Tafoya	Manager	kevitafo@nc-choerokee.com	8283596740

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$569,264
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$569,264

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NC-2018-046-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$569,264.00	\$0.00	\$569,264.00	2
NC-2018-046-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$319,511.00	\$0.00	\$319,511.00	1
NC-2018-046-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$249,753.00	\$0.00	\$249,753.00	1

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NC-2018-046-01-00	6726-2018-2-P1	5/15/2018	9/30/2018	9/29/2020

Project Description

Tribal Transit Program will fund operating assistance activities. TTP also funds driver salaries, a supervisor salary, managers salary, and a receptionist salary. Funding will also provide transportation assistance to substance abuse recovery programs and appointments for riders who are ineligible for other assistance programs. Funding will also be utilized to assist the mobility program with free transportation to shopping and medical appointments for the elderly and disabled who are ineligible for

Medicaid transportation. EBCI Transit will apply for \$249,753 for FY19 to ALI 30.09.01 and \$319,511 for FY20 to ALI 30.09.01 Operating Assistance.

Project Benefits

We operate six (6) routes five days a week. This funding will pay driver salaries, a supervisor's salary, managers salary, and receptionist salary. We will operate from 6:30 A.M. to midnight for safe transportation to and from work in our service area. We operate 4 community routes and 2 in-town routes. The in-town routes also serve agencies and businesses in the service area. We operate two shifts 5 days and nights per week: 6:30 A.M. to 12:00 A.M. We also offer a response demand service to minimize gaps in service and two more vehicles and drivers on our two town-loop routes. Operating these hours allows local night shift workers a safe and reliable ride home. Each community route will travel over 100 miles each night. Additionally, we have two town loops with stops at over 20 places of business that we serve. These routes last year transported 83,567 passengers on our daily and nightly routes. Funding will also support a fee subsidy program for the eligible residents of the main part of the reservation and the Snowbird community. Presently we operate a Mobility program with free transportation to shopping and medical appointments for the elderly and disabled. Tribal Transit Program funding is for those elderly and disabled who are not eligible for Medicaid and cannot afford the fares to medical appointments. Trips for substance abuse recovery programs are also included for riders who are ineligible for transportation assistance through other local programs.

Additional Information

None provided.

Location Description

The project location will be within our service area located on the Eastern Band of Cherokee Indians Tribal lands on the Qualla Boundary and the Snowbird Community in Robbinsville NC.

Project Location (Urbanized Areas)

UZA Code	Area Name
370000	North Carolina

Congressional District Information

State	District	Representative
North Carolina	11	Mark Meadows

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$569,264
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Total Eligible Cost	\$569,264

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NC-2018-046-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$569,264.00	\$0.00	\$569,264.00	2
NC-2018-046-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$319,511.00	\$0.00	\$319,511.00	1
NC-2018-046-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$249,753.00	\$0.00	\$249,753.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Tribal Transit Program will fund operating assistance activities. TTP also funds driver salaries, a supervisor salary, managers salary, and a receptionist salary. Funding will also provide transportation assistance to substance abuse recovery programs and appointments for riders who are ineligible for other assistance programs. Funding will also be utilized to assist the mobility program with free transportation to shopping and medical appointments for the elderly and disabled who are ineligible for Medicaid transportation. EBCI Transit will apply for \$319,511 for FY19 to ALI 30.09.01 operating assistance. The project will start in 10/1/19 and end 9/30/20.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$319,511
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
Total Eligible Cost	\$319,511

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2019	Begin utilizing funding for Operating Assistance
End Date	9/30/2020	Operating Assistance funding to be expended

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Tribal Transit Program will fund operating assistance activities. TTP also funds driver salaries, a supervisor salary, managers salary, and a receptionist salary. Funding will also provide transportation assistance to substance abuse recovery programs and appointments for riders who are ineligible for other assistance programs. Funding will also be utilized to assist the mobility program with free transportation to shopping and medical appointments for the elderly and disabled who are ineligible for Medicaid transportation. EBCI Transit will apply for \$249,753 for FY19 to ALI 30.09.01 operating assistance. The project will start in 10/1/18 and end 9/30/19.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$249,753
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$249,753

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	Begin utilizing funding for Operating Assistance
End Date	9/30/2019	Operating Assistance funding to be expended.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By Brittany Lavender

Comment Type	Application Details
Date	6/12/2018
Comment	The extended budget descriptions states, Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE, ends on 9/30/20. This date is inconsistent with milestone end date of 9/30/19. Please change.

Comment By Brittany Lavender

Comment Type	Application Details
Date	6/19/2018
	Community Planner's Comments
Comment	1. Application Title should include the following "[Section of Statute] FFY [XXXX] [Application Description] , "5311 FFY 2018 Qualla Boundary Community Transportation Program." 2. Application Executive Summary Section

a. First sentence template, "This is an FFY XXXX Section XXXX application in the amount of \$XXXX. The application is for (provide description of projects)." Should include: the section of FTA funding, the total federal apportionment, fiscal year and summary of application.

3. The extended budget descriptions states, Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE, ends on 9/30/20. This date is inconsistent with milestone end date of 9/30/19. Please change.

Comment By **Brittany Lavender**

Comment Type Application Details

Date 6/19/2018

General Engineer's Comments

Comment 4. The Period of Performance end date should be set to the next March 30 a minimum of 2 years beyond the latest milestone date in the application. For example, identify final milestone in the application (e.g., 09/30/2019), add two years (e.g., 09/30/2021). If that milestone falls after March 30 of that year, extend end date to March 30 of the following year (e.g., 03/30/2022).

5. Please provide the document for your indirect cost rate.

Please contact the general engineer, Charles Chiu, charles.chiu@dot.gov

Application Review Comments

Comment By **Brittany Lavender**

Comment Type FTA Post Review Comments for Grantee

Date 6/12/2018

Comment See FTA comments

Comment By **Brittany Lavender**

Comment Type FTA Post Review Comments for Grantee

Date 7/10/2018

Comment Please see FTA's comments from 6/19/18

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

**GRANT AGREEMENT
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Eastern Band Of Cherokee Indians

Recipient ID: 6726

DUNS No: 074511999

Application Information

Federal Award Identification Number: NC-2018-046-00

Application Name: 5311(c) TTP FFY 2018 Eastern Band of Cherokee Indian Transportation Program

Application Start Date: The start date will be set to the date of the award

Application End Date: 3/29/2023

Application Executive Summary: EBCI will be applying for FFY 18 TTP 5311(c) funds in the amount of \$569,264. EBCI's indirect cost rate is included in the application. As a federally recognized tribe these funds will come to EBCI as a direct recipient. Operating assistance is being requested for two years in the amount of \$249,753 for FY19; and \$319,511 for FY20. The start date for these funds will be 10/1/18 and end date is 9/30/20 .

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$569,264.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$569,264.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$569,264.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$569,264
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$569,264

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Eastern Band Of Cherokee Indians