

Application

Federal Award Identification Number (FAIN)	MN-2018-016-00
Temporary Application Number	2039-2018-1
Application Name	CY 2019 5311 Operating and RTAP
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, Minnesota Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
2039	State Agency	MINNESOTA DOT OFFICE OF TRANSIT	957271604

Location Type	Address	City	State	Zip
Headquarters	395 JOHN IRELAND BLVD	SAINT PAUL	MN	551551899
Physical Address	395 JOHN IRELAND BLVD	SAINT PAUL	MN	55155
Mailing Address	395 JOHN IRELAND BLVD.	SAINT PAUL	MN	55155

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: CY 2019 5311 Operating and RTAP

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MN-2018-016-00	Final Concurrence / Reservation	Grant	6/25/2018	6/25/2018	No

Application Start Date

The start date will be set to the date of the award

Application End Date

12/30/2021

Application Executive Summary

This grant to the Minnesota Department of Transportation (MnDOT) is to utilize \$15,876,000 of Section 5311 funds (\$760,072 FFY 2016 funds; \$7,039,577 of FY 2017 funds, and \$8,144,767 FY 2018 funds) for Rural Transit Operating Assistance, Intercity Bus Operating Assistance, and State Program Administration.

This grant will also be used to fund \$502,000 of 5311 RTAP Funds (\$183,691 FY 2016 funds, \$249,893 FY 2017 funds and \$68,416 FY 2018 funds) for RTAP activities from January 1, 2019 to December 31, 2020.

\$5,238,160 of Local Operating funds (cash match) will be generated by the designated City, County, or Joint Powers, Community Action Program (CAP) agency, or Private For-Profit Company of each agency or company that receives federal funds via a sub-recipient agreement, and \$9,013,240 of the State of Minnesota General Fund and Greater Minnesota Transit Assistance funds.

A Program of Projects with Project and Appropriations Information has been added to the Application Documents

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The cognizant agency Federal Highway Administration approved MnDOT's indirect cost rate on 7/xx/18 and a copy of the organization's approved rate is attached to in Application Documents. The approved rate(s) of 18.09% will be applied to State Program Administration Activity Line Items.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Susan	Weber	Community Planner	susan.weber@dot.gov	(312) 353-3888
Hassan	Dastgir	General Engineer	Hassan.Dastgir@dot.gov	312-705-1280
Deborah	Ellis	Federal Program Manager	deborah.ellis@state.mn.us	651-366-4185

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$16,378,000
Local			\$5,238,160
Local/In-Kind			\$0
State			\$9,013,240
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$30,629,400

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2018-016-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$12,051,400.00	\$12,051,400.00	\$24,102,800.00	0
MN-2018-016-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$12,051,400.00	\$12,051,400.00	\$24,102,800.00	0
MN-2018-016-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$1,624,600.00	\$0.00	\$1,624,600.00	0
MN-2018-016-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,624,600.00	\$0.00	\$1,624,600.00	0
MN-2018-016-01-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$2,200,000.00	\$2,200,000.00	\$4,400,000.00	0
MN-2018-016-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$2,200,000.00	\$2,200,000.00	\$4,400,000.00	0

MN-2018-016-01-00	635-00 (635-A4)	RURAL TRANSIT ASSISTANCE PROGRAM	\$502,000.00	\$0.00	\$502,000.00	0
MN-2018-016-01-00		43.50.01 TRAINING	\$502,000.00	\$0.00	\$502,000.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: CY 2019 5311 Operating and 2019-2020 RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2018-016-01-00	2039-2018-1-P1	6/25/2018	12/30/2018	12/30/2021

Project Description

The grant will provide a total of \$16,378,000 for projected 2019 (January 1 - December 31) Transit Assistance and State Public Administration as follows: \$12,051,400 of Section 5311 Transit Operating Assistance [\$132,500 FY 2016 funds, \$4,700,226 FY 2017 funds and \$7,218,674 FY 2018 funds] to rural transit providers; \$2,200,000 of Section 5311 Operating funds (\$564,814 FY 2016 funds and \$1,635,186 FY 2017 funds) to Intercity Bus providers; and \$1,624,600 (\$62,758 FY 2016 funds; \$704,165 FY 2017 funds; and \$857,677 FY 2018 funds) for Associated State Program Administration. Local operating funds (\$5,238,160 cash match) will be generated by the designated City, County, Joint Powers, Community Action Program (CAP) agency, or Private For-Profit company of each agency or company that receives federal funds via a Subrecipient agreement, and by the State of Minnesota (\$9,013,240 State General Fund and Greater Minnesota Transit Assistance funds).

This grant will also allow the Minnesota Department of Transportation to utilize \$502,000 [\$183,691 FY 2016 funds; \$249,893 FY 2017 funds; and \$68,416 FY 2018 funds] for Rural Transit Assistance Program (RTAP). No local match is required for these programs.

The State Program Administrative Costs represents the 10% of State Program funds available (based upon the amount of project funds programmed in this application plus \$370,000 of FY 2018 Section 5311 funds to be transferred to Tribes for direct distribution by the FTA for 2019. A breakdown of the State Program Funds Programming is included on the Program of Projects workbook included in the Application Documents.

A balance of \$3,214,271 for Intercity Bus projects (\$744,388 FY 2017 funds and \$2,469,883 FY 2018 funds) and \$871,662 \$82,710 FY 2017 funds; \$788,912 FY 2018 funds) of available State Program Administration Funds remain unobligated. MnDOT intends to obligate the remaining FFY 2017 balances before they lapse in CY 2019.

A Detailed Program of Projects has been added to the Project Plan documents to provide specific amounts that will be provided to each local transit provider.

Project Benefits

This project will maintain the ability of local transit providers to provide on-going, safe, and reliable, public transportation in the rural communities in Minnesota. It will also allow the Minnesota Department of Transportation the ability to provide required technical assistance and oversight to the local transit providers,

as well as provide training and technical assistance to the public transit workforce in rural Minnesota.

Additional Information

An additional \$233,100 of federal operating funds from projected 2018 projects for Becker County and VINE Faith in MN-2017-001-01, but were not utilized in 2018, will be used to fund CY 2019 projects for those same entities.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

Congressional District Information

State	District	Representative
Minnesota	1	Timothy J Walz
Minnesota	3	Erik Paulsen
Minnesota	4	Betty McCollum
Minnesota	5	Keith Ellison
Minnesota	6	Tom Emmer
Minnesota	7	Collin C Peterson
Minnesota	8	Richard M Nolan
Minnesota	2	Jason Lewis

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$16,378,000
Local			\$5,238,160
Local/In-Kind			\$0
State			\$9,013,240
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$30,629,400

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2018-016-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$12,051,400.00	\$12,051,400.00	\$24,102,800.00	0
MN-2018-016-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$12,051,400.00	\$12,051,400.00	\$24,102,800.00	0
MN-2018-016-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$1,624,600.00	\$0.00	\$1,624,600.00	0
MN-2018-016-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,624,600.00	\$0.00	\$1,624,600.00	0
MN-2018-016-01-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$2,200,000.00	\$2,200,000.00	\$4,400,000.00	0
MN-2018-016-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$2,200,000.00	\$2,200,000.00	\$4,400,000.00	0
MN-2018-016-01-00	635-00 (635-A4) RURAL TRANSIT ASSISTANCE PROGRAM	\$502,000.00	\$0.00	\$502,000.00	0
MN-2018-016-01-00	43.50.01 TRAINING	\$502,000.00	\$0.00	\$502,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Original Application:

Provide CY 2019 Operating Assistance (January 1 - December 31) to operate, maintain, and manage public transit systems that provide services in rural areas:

Arrowhead Economic Opportunity Agency, Inc.; Becker County Transit; Brown County Family Services; Cedar Valley Services, Inc.; Central Community Transit; City of Brainerd; City of Fosston; City of Granite Falls; City of Hibbing; City of Morris; MN River Valley Transit JPA; City of Winona; Faribault-Martin County Transit Board; Hubbard County; Isanti County; Kanabec County; Metropolitan Council; Paul Bunyan Transit; Prairie Five CAC, Inc.; Productive Alternatives, Inc. DBA Transit Alternatives; Rainbow Rider Transit Board; SEMCAC; Southwestern Minnesota Opportunity Council, Inc.; Three Rivers Community Action, Inc.; Trailblazer Joint Powers Board; Tri-County Action Program, Inc.; Tri-Valley Opportunity Council; Wadena County Highway Department; Watonwan County; United Community Action Partnership, Inc. [STIP Project ID#TRF-9101-19,18-00]:

\$4,578,160 Local Operating funds (cash match) will be generated by the designated City, County, or Joint Powers, Community Action Program (CAP) that receives federal funds via a subrecipient agreement, and \$7,473,240 from the State of Minnesota General Fund and Greater Minnesota Transit Assistance Fund.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$12,051,400
Local			\$4,578,160
Local/In-Kind			\$0
State			\$7,473,240
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$24,102,800

Milestone Name	Est. Completion Date	Description
Contracts Executed	12/31/2018	All 2019 Operating Subrecipient Agreements Executed by MnDOT
Projects Start	1/1/2019	2019 Operating Activities Begin
Projects Completed	12/31/2019	2019 Operating Activities End
Contracts Complete	12/31/2021	Final audit of all 2019 sub-recipient agreements to provide operating assistance to rural transit systems that are funded in this grant.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

Administrative expenses associated with monitoring, developing and administering the oversight of the Section 5311 program. MnDOT intends to draw indirect costs of 18.09% of salaries charged to this grant.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,624,600
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$1,624,600

Milestone Name	Est. Completion Date	Description
Program Administration Begins	1/1/2019	Begin utilizing obligated funds for on-going State Program Administration.
Program Administration is Complete	1/31/2019	Final obligated funds expended for on-going State Program Administration. MnDOT intends to draw indirect costs of 18.09% of salaries charged to this grant.
Grant Closed	12/31/2021	Final state program activities completed prior to closing grant.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Intercity Bus Service Operating Projects awarded to Jefferson Lines and Land to Air Express [TRF-9081-19,18-00].

\$1,540,000 of matching funds will be provided by the State of Minnesota General Fund and Greater Minnesota Transit Assistance Fund, with additional local cash match of \$660,000 provided by Jefferson Lines and Land to Air Express.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,200,000
Local			\$660,000

Local/In-Kind		\$0
State		\$1,540,000
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Total Eligible Cost		\$4,400,000

Milestone Name	Est. Completion Date	Description
Contracts Executed	12/31/2018	All 2019 Intercity Bus Subrecipient Agreements Executed by MnDOT
Projects Start	1/1/2019	2019 Intercity Bus Activities Begin
Projects Completed	12/31/2019	2019 Intercity Bus Activities End
Contracts Complete	12/31/2021	Final Expenditures for 2019 Intercity Bus Operating Projects, including final audits of all 2019 Intercity Bus Operating contracts.

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

1. Provide training services for local rural transit managers, drivers, dispatchers and other rural public transit system employees via specialized training workshops, training programs, courses, and/or meetings, conference and bus roadeos.
2. Provide scholarships for qualified 5310 and tribal transit providers to attend many of the trainings available to public transit system employees. These training opportunities will include, but are not limited to, training in defensive driving, maintenance, management training, marketing, passenger assistance, specialized compliance training, customer relations, abuse prevention, dispatching, and drug and alcohol program compliance.
3. Establish and implement an RTAP Advisory Committee. Advisory Committee projects may include, but are not limited to, contracting with consultants to train RTAP Advisory Committee members; development of a 5-year Strategic plan for future clarity and guidance based on needs of the advisory committee; establishment and implementation of a new training curriculum for MnDOT RTAP subrecipients; writing and routinely publishing a RTAP newsletter; development and implementation of a new Minnesota RTAP Leadership Training Program; working with a consultant to determine the feasibility and possible outcomes for purchasing a bus simulator for the purpose of training bus drivers.
4. Maintain the Minnesota RTAP Master Trainers and the trainer networks and periodically update trainer skills by sending them to specialized training programs.
5. Provide technical assistance for qualified transit providers including, but not limited to, Drug & Alcohol training, technical oversight, and procurement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$502,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$502,000

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2019	Begin using RTAP funds from this grant to provide training for local transit managers and other transit system employees (including qualified 5310 providers) to attend specialized training workshops, courses, or meetings and RTAP Advisory Committee Activities.
End Date	12/31/2020	Fully Utilize RTAP Training Funds obligated in this grant.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, Minnesota Department Of

Recipient ID: 2039

DUNS No: 957271604

Application Information

Federal Award Identification Number: MN-2018-016-00

Application Name: CY 2019 5311 Operating and RTAP

Application Start Date: The start date will be set to the date of the award

Application End Date: 12/30/2021

Application Executive Summary: This grant to the Minnesota Department of Transportation (MnDOT) is to utilize \$15,876,000 of Section 5311 funds (\$760,072 FFY 2016 funds; \$7,039,577 of FY 2017 funds, and \$8,144,767 FY 2018 funds) for Rural Transit Operating Assistance, Intercity Bus Operating Assistance, and State Program Administration.

This grant will also be used to fund \$502,000 of 5311 RTAP Funds (\$183,691 FY 2016 funds, \$249,893 FY 2017 funds and \$68,416 FY 2018 funds) for RTAP activities from January 1, 2019 to December 31, 2020.

\$5,238,160 of Local Operating funds (cash match) will be generated by the designated City, County, or Joint Powers, Community Action Program (CAP) agency, or Private For-Profit Company of each agency or company that receives federal funds via a sub-recipient agreement, and \$9,013,240 of the State of Minnesota General Fund and Greater Minnesota Transit Assistance funds.

A Program of Projects with Project and Appropriations Information has been added to the Application Documents

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$30,629,400.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$16,378,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$14,251,400.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$16,378,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$14,251,400.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$16,378,000
Local			\$5,238,160

Local/In-Kind		\$0
State		\$9,013,240
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Total Eligible Cost		\$30,629,400

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Minnesota Department Of