

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	FL-2018-096-00
Temporary Application Number	1001-2017-5
Application Name	Section 5311 Key West FFY17/18 Flex Fund Transfer
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, Florida Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1001	State Agency	FLORIDA DEPARTMENT OF TRANSPORTATION	809397102

Location Type	Address	City	State	Zip
Headquarters	400 S MONROE ST	TALLAHASSEE	FL	323996536
Physical Address	605 SUWANNEE ST	TALLAHASSEE	FL	32399
Mailing Address	605 SUWANNEE ST	TALLAHASSEE	FL	32399

Union Information

Union Name	AMALGAMATED TRANSIT UNION LOCAL 1749
Address 1	1200 W. South Street
Address 2	
City	Orlando
State	Florida
Zipcode	32804
Contact Name	Scott Penvose
Telephone	4078412279

Fax	
E-mail	
Website	
Union Name	AMALGAMATED TRANSIT UNION-LOCAL 1596
Address 1	8000 S. Orange Ave, Suite 106
Address 2	
City	Orlando
State	Florida
Zipcode	32809
Contact Name	Paul Wilson
Telephone	4072401596
Fax	4072401284
E-mail	
Website	
Union Name	TEAMSTERS LOCAL 385
Address 1	126 North Kirkman Rd.
Address 2	
City	Orlando
State	Florida
Zipcode	32811
Contact Name	Jeff Candage
Telephone	3867883872
Fax	
E-mail	
Website	
Union Name	TRANSPORTATION WORKERS UNION - LOCAL 291
Address 1	18350 Northwest 2nd Avenue
Address 2	Suite 600
City	Miami
State	Florida
Zipcode	33169
Contact Name	Terry Daniel
Telephone	3056529250
Fax	
E-mail	TWU291@TWU291.ORG
Website	http://www.twu291.org/

Part 2: Application Information

Title: Section 5311 Key West FFY17/18 Flex Fund Transfer

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
FL-2018-096-00	Final Concurrence / Reservation	Grant	8/3/2017	8/3/2017	No

Application Start Date

The start date will be set to the date of the award

Application End Date

3/29/2022

Application Executive Summary

This project is for the City of Key West and involves a Federal Highway Administration (FHWA) flex funds transfer for \$1,596,480.00 in FTA funds for capital assistance.

Of the purchases addressed below, FDOT will provide 20% of the match for the Capital purchases totaling \$399,120.00 through Transportation Development Credits.

The grantee addresses the: purchase of four (4) 30' to 35' Gillig BRT Low Floor Clean Diesel buses totaling \$1,900,000 (\$475,000 per bus)(ALI Code 11.12.02), four (4) Two-way Radios totaling \$15,600 (\$3,900 per radio)(ALI Code 11.42.10), and four (4) Fareboxes totaling \$80,000 (\$20,000 per farebox)(ALI Code 11.62.03).

The amount flexed to Key West from the FHWA is \$1,996,930. The FDOT is applying on behalf of Key West for \$1,596,480, thus leaving an unobligated balance of \$400,450.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Richelle	Gosman	Community Planner	richelle.gosman@dot.gov	404-865-5478
Heather	Kay	Grants/SIB Accountant	heather.kay@dot.state.fl.us	850-414-4239

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - Nonurbanized Area Programs (FHWA xfer FY2007 fwd)	5311-2	20509	\$1,596,480
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$399,120
Total Eligible Cost			\$1,596,480

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
FL-2018-096-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$1,520,000.00	\$0.00	\$1,520,000.00	4
FL-2018-096-01-00	BUY 11.12.02 REPLACEMENT 35-FT BUS	\$1,520,000.00	\$0.00	\$1,520,000.00	4
FL-2018-096-01-00	114-00 (114-A3) BUS: SUPPORT EQUIP AND FACILITIES	\$64,000.00	\$0.00	\$64,000.00	4
FL-2018-096-01-00	ACQUIRE - 11.42.10 MOBILE FARE COLL EQUIP	\$64,000.00	\$0.00	\$64,000.00	4
FL-2018-096-01-00	116-00 SIGNAL & COMM	\$12,480.00	\$0.00	\$12,480.00	4

01-00	00 (116-A2)	EQUIPMENT (BUS)				
FL-2018-096-01-00	11.62.03	PURCHASE RADIOS	\$12,480.00	\$0.00	\$12,480.00	4

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: Key West FFY17/18 Flex Fund Transfer Capital Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
FL-2018-096-01-00	1001-2017-5-P1	1/10/2018	5/14/2018	9/29/2019

Project Description

The Section 5311 Program assistance allows the City of Key West the ability to continue operations of a fixed-route public transit system that not only serves the citizens and visitors of Key West and Stock Island, Florida, (which is partially an unincorporated area of land that is owned by Monroe County), but those in the Lower Keys up to Marathon. Providing service to Marathon allows passengers the ability to connect to Miami-Dade's public transportation system which services the remaining portion of the Middle and Upper Keys to mainland Florida.

The amount flexed to Key West from the FHWA is \$1,996,930. The FDOT is applying on behalf of Key West for \$1,596,480, thus leaving an unobligated balance of \$400,450.

Project Benefits

The Section 5311 Program assistance allows the City of Key West the ability to continue operations of a fixed-route public transit system.

Additional Information

None provided.

Location Description

Provide capital for eligible Section 5311 Flex Fund activities in Key West Florida.

Project Location (Urbanized Areas)

UZA Code	Area Name
120000	Florida

Congressional District Information

State	District	Representative
Florida	26	Carlos Curbelo

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - Nonurbanized Area Programs (FHWA xfer FY2007 fwd)	5311-2	20509	\$1,596,480
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$399,120
Total Eligible Cost			\$1,596,480

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
FL-2018-096-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$1,520,000.00	\$0.00	\$1,520,000.00	4
FL-2018-096-01-00	BUY 11.12.02 REPLACEMENT 35-FT BUS	\$1,520,000.00	\$0.00	\$1,520,000.00	4
FL-2018-096-01-00	114-00 (114-A3) BUS: SUPPORT EQUIP AND FACILITIES	\$64,000.00	\$0.00	\$64,000.00	4
FL-2018-096-01-00	ACQUIRE - 11.42.10 MOBILE FARE COLL EQUIP	\$64,000.00	\$0.00	\$64,000.00	4
FL-2018-096-01-00	116-00 (116-A2) SIGNAL & COMM EQUIPMENT (BUS)	\$12,480.00	\$0.00	\$12,480.00	4
FL-2018-096-01-00	11.62.03 PURCHASE RADIOS	\$12,480.00	\$0.00	\$12,480.00	4

Project Budget Activity Line Items

Budget Activity Line Item: 11.42.10 - ACQUIRE - MOBILE FARE COLL EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.10	ACQUIRE - MOBILE FARE COLL EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	4

Extended Budget Description

Purchase of four Fareboxes (useful life of 10 years).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - Nonurbanized Area Programs (FHWA xfer FY2007 fwd)	5311-2	20509	\$64,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$16,000
Total Eligible Cost			\$64,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	5/15/2018	
Contract Award Date	5/31/2018	
Initial Delivery Date	6/30/2018	
Final Delivery Date	7/31/2018	
Contract Completion Date	9/30/2019	

Budget Activity Line Item: 11.62.03 - PURCHASE RADIOS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.03	PURCHASE RADIOS	AQUISITION	4

Extended Budget Description

Purchase four (4) Two-way radios (\$3,900/radio)

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - Nonurbanized Area Programs (FHWA xfer FY2007 fwd)	5311-2	20509	\$12,480
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$3,120
Total Eligible Cost			\$12,480

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	5/15/2018	
Contract Award Date	5/31/2018	
Initial Delivery Date	6/30/2018	
Final Delivery Date	7/31/2018	
Contract Completion Date	9/30/2019	

Budget Activity Line Item: 11.12.02 - BUY REPLACEMENT 35-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	4

Extended Budget Description

Purchase of four replacement 30' to 35' Gillig BRT Low Floor Clean Diesel buses. The current 2001 and 2003's Gillig Buses have met their useful life. The new buses will have a useful life of 12 years/500,000 miles. A list of vehicles that will be replaced is included in the application documents (one 2001 Gillig VIN 15GGE181411090406 and three 2003 Gilligs VINS 15GGE181631090684, 15GGE181831090685, 15GGE181X31090686). The new buses will be procured through contract.

Vehicles purchased will be ADA compliant unless a certification of equivalent service is filed.

FDOT shall provide continuing control over purchased vehicles through inspections and placing liens on all vehicles.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	30' to 35' bus

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - Nonurbanized Area Programs (FHWA xfer FY2007 fwd)	5311-2	20509	\$1,520,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$380,000
Total Eligible Cost			\$1,520,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	5/15/2018	
Contract Award Date	5/31/2018	
Initial Delivery Date	6/30/2018	
Final Delivery Date	7/31/2018	
Contract Completion Date	9/30/2019	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	4	\$1,520,000.00	\$1,520,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.03	PURCHASE RADIOS	4	\$12,480.00	\$12,480.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-	11.42.10	ACQUIRE - MOBILE FARE COLL EQUIP	4	\$64,000.00	\$64,000.00

00)

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By **Richelle Gosman**

Comment Type **Application Details**

Date **4/23/2018**

1. Application
a. Attach FHWA Form(s) 1576 demonstrating flex numbers and agreed uses.
b. Include Section 5311 in Application name.
c. NEW POLICY: Under Application Details, Application End Date should be set to the date of March 30 at least 2 years after last milestone date in the application (3/30/2022, in this case).
d. Application Details section mentions that FDOT will be providing entire local share. Will that match be in the form of TDCs for the capital portion? If so, please include that description and attach approval letter indicating that those credits have been set aside.

2. Project
a. In the budget of each ALI, must include required local share under Local, State, or Transportation Development Credit
b. For each ALI, choose yes or no where prompted for 3rd Party Contractors. If you choose yes, MUST include 3 minimum required milestones (IFB/RFP Date, Contract Award, and Contract Complete date). Check selections and milestones for 11.12.02, 11.61.01, 11.71.11.
c. 11.12.02
i. Include justification for replacement of vehicles (have they met useful life?)
ii. Include list of vehicles to be replaced.
iii. Include useful life of new vehicles.
iv. When vehicles are for sub-recipients, include who will be doing the procurement and how FDOT will ensure satisfactory continuing control of the Federal Interest over the life span of the assets (e.g., FDOT will hold a lien on the vehicles or enter into a sub-recipient agreement with the sub-grantee, etc.)
d. 11.61.01. Incorrect ALI. Suggest Radio acquisition ALI. (ALI tree may be found on the FTA webpage: <https://www.transit.dot.gov/funding/grantee-resources/teamtrams/scope-activity-line-item-tree>)
e. 11.71.11
i. Incorrect ALI. Suggest Fare Collection ALI. (ALI tree may be found on the FTA webpage: <https://www.transit.dot.gov/funding/grantee-resources/teamtrams/scope-activity-line-item-tree>)
ii. Include useful life of fareboxes if over \$5k.
f. 30.09.01
i. Include Period of Operating Assistance to be supported under this application.
ii. Include list/explanation of types of activities to be supported under this ALI.

Application Review Comments

Comment By **Richelle Gosman**

Comment Type	FTA Post Review Comments for Grantee
Date	7/25/2018
Comment	1. Please select "Yes" where indicated for all ALIs involving 3rd Party Contractors (check rolling stock ALI). 2. How will radios/fare collection be procured? No contracts indicated now. Please either include contract dates or otherwise confirm full and open competition. 3. Please indicate which vehicles will be replaced with funding in the current application in the attached Vehicle Inventory list.

Comment By **Richelle Gosman**

Comment Type	FTA Post Review Comments for Grantee
Date	8/1/2018
Comment	It appears that operating is not eligible under the 5311 FHWA flex program (per Appendix D of C 9040.1D). Please remove that line item and adjust the budget accordingly.

Comment By **Richelle Gosman**

Comment Type	FTA Post Review Comments for Grantee
Date	8/13/2018
Comment	Review all funding numbers in the Application Executive Summary AND Project Description (especially the last sentence). Ensure that funding amounts are correct and correspond to ALI budget.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-23, October 1, 2016)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:
(1) "Federal Transit Administration Master Agreement," FTA MA(23), October 1, 2016,
<http://www.fta.dot.gov>,

- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal grant as follows:

Recipient Information

Recipient Name: Transportation, Florida Department Of

Recipient ID: 1001

DUNS No: 809397102

Application Information

Federal Award Identification Number: FL-2018-096-00

Application Name: Section 5311 Key West FFY17/18 Flex Fund Transfer

Application Start Date: The start date will be set to the date of the award

Application End Date: 3/29/2022

Application Executive Summary: This project is for the City of Key West and involves a Federal Highway Administration (FHWA) flex funds transfer for \$1,596,480.00 in FTA funds for capital assistance.

Of the purchases addressed below, FDOT will provide 20% of the match for the Capital purchases totaling \$399,120.00 through Transportation Development Credits.

The grantee addresses the: purchase of four (4) 30' to 35' Gillig BRT Low Floor Clean Diesel buses totaling \$1,900,000 (\$475,000 per bus)(ALI Code 11.12.02), four (4) Two-way Radios totaling \$15,600 (\$3,900 per radio)(ALI Code 11.42.10), and four (4) Fareboxes totaling \$80,000 (\$20,000 per farebox)(ALI Code 11.62.03).

The amount flexed to Key West from the FHWA is \$1,996,930. The FDOT is applying on behalf of Key West for \$1,596,480, thus leaving an unobligated balance of \$400,450.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$1,596,480.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,596,480.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,596,480.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - Nonurbanized Area Programs (FHWA xfer FY2007 fwd)	5311-2	20509	\$1,596,480
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$399,120
Total Eligible Cost			\$1,596,480

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FTA APPLICATION OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, Florida Department Of