

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	CA-2018-133-00
Temporary Application Number	1622-2018-3
Application Name	5311 CMAQ - Bus Replacements - (Calif ID #0019000013)
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, California Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1622	State Agency	CALIFORNIA STATE DOT (CALTRANS) DIVISION OF MASS TRANSPORTATION	135253776

Location Type	Address	City	State	Zip
Headquarters	1120 N ST	SACRAMENTO	CA	958145680
Physical Address	1120 N STREET RM 3300	SACRAMENTO	CA	95814
Mailing Address	1120 N STREET, ROOM 3300 - MS39	SACRAMENTO	CA	94274

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311 CMAQ - Bus Replacements - (Calif ID #0019000013)

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
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CA-2018-133-00	Final Concurrence / Reservation	Grant	6/22/2018	6/22/2018	No
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Application Start Date

The start date will be set to the date of the award

Application End Date

6/29/2021

Application Executive Summary

The California Department of Transportation (Caltrans) is a Designated Recipient. This application is for federal assistance from Congestion Mitigation and Air Quality (CMAQ) funds (Federal Fiscal Year 2017 appropriation), which have been transferred from the Federal Highway Administration (FHWA) to the Federal Transit Administration (FTA) for administration under Section 5311. The grant application requests \$282,000 for two (2) projects.

The projects to be funded from the award are capital bus replacements for Caltrans subrecipients Mountain Area Regional Transit and City of Dinuba. A Program of Projects (POP), Project Descriptions per programming documents, and Project Details are entered as part of Activity Line Items (ALIs) and/or uploaded documents associated to this application in the TrAMS system.

Both projects meet the following CMAQ eligibility requirements.

- 1) All projects are transportation projects.
- 2) All projects generate an emissions reductions.
- 3) All projects are located in or benefit a non-attainment or maintenance area.

Grant funding is \$282,000 from Federal Share, of which \$17,025 is attributable to Transportation Development (Toll) Credits, and \$18,000 from Local Share. Total Project Costs equal \$300,000. Because California has a signed agreement with FHWA for sliding scale rates pursuant to 23 USC 120(b)(2), CMAQ funds flexed to 5311 have a minimum required Local Share of 11.47% and a maximum Federal Share of 88.53%. Due to the use of Toll Credits in lieu of Local Share in this application, the effective Local Share for this application is 6.00% and the effective Federal Share is 94.00%. A copy of the agreement is attached to this application.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Julene	Paul	Transportation Program Specialist (PMF)	julene.paul@dot.gov	415-734-9481
Darin	Allan	Community Planner	Darin.Allan@dot.gov	(415) 734-9470
Tracy	Harrison	Chief, Grants Management Branch	tracy_harrison@dot.ca.gov	916-654-5289

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$282,000
Local			\$18,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$17,205
Total Eligible Cost			\$300,000

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2018-133-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$282,000.00	\$18,000.00	\$300,000.00	2
CA-2018-133-01-00	BUY 11.12.02 REPLACEMENT 35-FT BUS	\$132,000.00	\$18,000.00	\$150,000.00	1
CA-2018-133-	11.12.04 BUY	\$150,000.00	\$0.00	\$150,000.00	1

01-00	REPLACEMENT
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Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: Capital Bus Replacement

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2018-133-01-00	1622-2018-3-P1	6/22/2018	9/30/2018	6/29/2021

Project Description

Project 1: Mountain Area Regional Transit Authority (MARTA) Vehicle Replacement

Project 2: City of Dinuba Vehicle Replacement

Project Benefits

Both project will provide net emissions reductions as shown in the attached documents:

Project 1: See attachment entitled MARTA Emission Calculations (refer to page 5 for measurements)

Project 2: See attachment entitled Dinuba Emission Calculations

Additional Information

None provided.

Location Description

Project 1 Location: Communities of Big Bear Lake, Big Bear City, Fawnskin, Lake Arrowhead, Crestline, Sky Forest, Running Springs, Blue Jay, Twin Peaks and Rim Forest, all of which are located within the South Coast Air Quality Management District.

Project 2 Location: Cities of Dinuba and Reedley, which are located within the San Joaquin Unified Air Pollution Control District.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	8	Paul Cook
California	22	Devin Nunes

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$282,000
Local			\$18,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$17,205
Total Eligible Cost			\$300,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2018-133-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$282,000.00	\$18,000.00	\$300,000.00	2
CA-2018-133-01-00	11.12.02 BUY REPLACEMENT 35-FT BUS	\$132,000.00	\$18,000.00	\$150,000.00	1
CA-2018-133-01-00	11.12.04 BUY REPLACEMENT	\$150,000.00	\$0.00	\$150,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.02 - BUY REPLACEMENT 35-FT BUS					
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity	
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1	

Extended Budget Description

City of Dinuba: Purchase Replacement Bus (CNG)

Vehicle being replaced is VIN 1GBG5V1G49F403700 (Useful Life: 7 years, 200,000 miles)

In Service 1/1/2009, Out of Service 6/22/2018; 225,318 miles when removed from Revenue Service

Local Share of 12.00% exceeds minimum required 11.47% so that project will be fully funded.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
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N/A

Compressed Natural Gas

New

35 feet

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$132,000
Local			\$18,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$150,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2018	
Contract Award Date	1/1/2019	
Initial Delivery Date	10/1/2019	
Final Delivery Date	10/15/2019	
Contract Completion Date	10/15/2020	
Caltrans Final Reconciliations	6/30/2021	

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Mountain Area Regional Transit Authority: Purchase Replacement Bus (Gas)

Vehicle being replaced is VIN 1FD4E4FS1DDB27278 (Useful Life: 5 years, 150,000 miles)

In Service 12/23/2013, Out of Service 6/22/2018; 193,411 miles when removed from Revenue Service

Toll Credits are requested in lieu of Local Share. Project will be 100% federally funded.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$150,000

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$17,205
Total Eligible Cost			\$150,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2018	
Contract Award Date	3/1/2019	
Initial Delivery Date	4/23/2019	
Final Delivery Date	6/23/2019	
Contract Completion Date	6/23/2020	
Caltrans Final Reconciliations	3/31/2021	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

Application Review Comments

Comment By Cecilia Crenshaw

Comment Type FTA Post Review Comments for Grantee

Date 7/19/2018

Comment returning for reassignment to appropriate manager

Comment By Cecilia Crenshaw

Comment Type FTA Post Review Comments for Grantee

Date 7/20/2018

Comment sent to recipient

Comment By Darin Allan

Comment Type FTA Post Review Comments for Grantee

Date 8/7/2018

Comment

1) Application Name - Preferred Convention: Please rename "5307 CMAQ Bus Replacements (Calif ID #0019000013)" to facilitate identification from among a listing of grants in TrAMS.

2) Application End Date - I think this should equal the latest milestone, which is 6/23/2020. If the milestones are reasonable, this will be sufficient as you have 90 days after that date to close the grant.

3) Executive Summary & Budget - I'm unaware of an increased federal share allowable per FTA's "Growing State" component of the 5340 program (which is additive to 5307 apportionments). An 88.53% federal share is allowable in FTA 5307 (transferred FHWA funds) and 5311 grants pursuant to 23 USC 120(b)(2) provided the state has entered into an agreement with USDOT/FHWA. In the absence of such an agreement, a lower sliding scale rate of 83.57% per 23 USC 120(b)(1) may be applied.

4) MPR & FFR Reporting - No need to indicate as this information will be pre-populated in the grant per selection by FTA at FAIN assignment.

Part 6: Agreement

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT
(FTA G-24, October 1, 2017)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, California Department Of

Recipient ID: 1622

DUNS No: 135253776

Application Information

Federal Award Identification Number: CA-2018-133-00

Application Name: 5311 CMAQ - Bus Replacements - (Calif ID #0019000013)

Application Start Date: The start date will be set to the date of the award

Application End Date: 6/29/2021

Application Executive Summary: The California Department of Transportation (Caltrans) is a Designated Recipient. This application is for federal assistance from Congestion Mitigation and Air Quality (CMAQ) funds (Federal Fiscal Year 2017 appropriation), which have been transferred from the Federal Highway Administration (FHWA) to the Federal Transit Administration (FTA) for administration under Section 5311. The grant application requests \$282,000 for two (2) projects.

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Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$300,000.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$282,000.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$18,000.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$282,000.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$18,000.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$282,000
Local			\$18,000
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State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$17,205
Total Eligible Cost			\$300,000

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, California Department Of