

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	CA-2018-114-00
<b>Temporary Application Number</b>	1622-2018-2
<b>Application Name</b>	5311 – Buses, PM, Operations – FFY2018\$   RTAP – FFYs2016,2017,2018\$ – (Calif ID #0019000012)
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

### Part 1: Recipient Information

**Name: Transportation, California Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1622	State Agency	CALIFORNIA STATE DOT (CALTRANS) DIVISION OF MASS TRANSPORTATION	135253776

Location Type	Address	City	State	Zip
Headquarters	1120 N ST	SACRAMENTO	CA	958145680
Physical Address	1120 N STREET RM 3300	SACRAMENTO	CA	95814
Mailing Address	1120 N STREET, ROOM 3300 - MS39	SACRAMENTO	CA	94274

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: 5311 – Buses, PM, Operations – FFY2018\$ | RTAP – FFYs2016,2017,2018\$ – (Calif ID #0019000012)**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CA-2018-114-00	Final Concurrence / Reservation	Grant	6/19/2018	6/19/2018	No

#### **Application Start Date**

The start date will be set to the date of the award

#### **Application End Date**

9/29/2023

#### **Application Executive Summary**

The California Department of Transportation is a Designated Recipient. This application for Section 5311 and 5311(f), and RTAP Federal Assistance is consistent with the suballocation identified in the Suballocation report attached to this application.

The Department is submitting grant application CA-2018-2 Section 5311 Grant (Calif ID #0019000012), Fiscal Year 2018 for \$28,397,371 to fund:  
fourteen (14) Capital projects,  
two (2) Preventive Maintenance projects,  
seventy four (74) 5311 Operating Assistance projects,  
twenty seven (27) 5311(f) Operating Assistance projects,  
technical assistance to local agencies funded from Rural Transit Assistance Program and State Administrative costs.

Rural Transit Assistance Program (RTAP) funds are requested from apportionment amounts for Federal Fiscal Years (FFY) 2016, 2017 and 2018.

A complete Program of Projects (POP) is attached in TrAMS, with further Project details entered as part of Activity Line Items (ALIs). Projects to be implemented under this grant would be funded with FFY 2018 federal apportionment.

Projects on this grant application are funded as follows: Federal Share of \$28,397,371, of which \$167,477 is from Transportation Development Credits (TDC, or Toll Credits) for one (1) project, and Local Share of \$93,221,524. Total project costs are \$121,618,895. There is a remainder of \$1,539,340 in FFY 2018 Section 5311 funds available to the State of California for obligation in the future.

California has a signed agreement with FHWA pursuant to 23 USC 120(b)(2) and, therefore, has a Federal Share of 88.53% and Minimum Local Share of 11.47% for capital projects. California has a Federal Share of 55.33% and Minimum Local Share of 44.67% for operating assistance projects. A copy of the agreement is attached to this application and is also saved in the Recipient Documents. The use of Toll Credits and additional Local Share for certain projects may result in different ratios of Federal Share to Local Share.

#### **Frequency of Milestone Progress Reports (MPR)**

Annual

#### **Frequency of Federal Financial Reports (FFR)**

Annual

#### **Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

#### **Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Julene	Paul	Transportation Program Specialist (PMF)	julene.paul@dot.gov	415-734-9481
Darin	Allan	Community Planner	Darin.Allan@dot.gov	(415) 734-9470
Tracy	Harrison	Chief, Grants Management Branch	tracy_harrison@dot.ca.gov	916-654-5289

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$28,397,371
Local			\$93,221,524
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$167,477
<b>Total Eligible Cost</b>			<b>\$121,618,895</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-111-	BUS - ROLLING STOCK	\$1,082,395.00	\$191,650.00	\$1,274,045.00	14

2018-114-01-00	00 (111-A1)					
CA-2018-114-01-00		11.12.04 BUY REPLACEMENT	\$375,000.00	\$100,000.00	\$475,000.00	5
CA-2018-114-01-00		11.12.04 BUY REPLACEMENT	\$518,396.00	\$67,164.00	\$585,560.00	7
CA-2018-114-01-00		11.12.15 BUY REPLACEMENT VAN	\$42,924.00	\$5,561.00	\$48,485.00	1
CA-2018-114-01-00		11.13.04 BUY	\$146,075.00	\$18,925.00	\$165,000.00	1
CA-2018-114-02-00	600-00 (600-A2)	OTHER PROGRAM COSTS	\$18,998,874.00	\$83,654,298.00	\$102,653,172.00	74
CA-2018-114-02-00		30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$18,998,874.00	\$83,654,298.00	\$102,653,172.00	74
CA-2018-114-03-00	117-00 (117-A3)	OTHER CAPITAL ITEMS (BUS)	\$108,873.00	\$22,057.00	\$130,930.00	2
CA-2018-114-03-00		11.7A.00 PREVENTIVE MAINTENANCE	\$108,873.00	\$22,057.00	\$130,930.00	2
CA-2018-114-04-00	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$4,900,141.00	\$9,353,519.00	\$14,253,660.00	27
CA-2018-114-04-00		30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$4,900,141.00	\$9,353,519.00	\$14,253,660.00	27
CA-2018-114-05-00	635-00 (635-A5)	RURAL TRANSIT ASSISTANCE PROGRAM	\$1,112,335.00	\$0.00	\$1,112,335.00	1
CA-2018-114-05-00		43.50.02 TECHNICAL ASSISTANCE	\$1,112,335.00	\$0.00	\$1,112,335.00	1

00							
CA-2018-114-06-00	610-00 (610-A6)	STATE / PROGRAMS ADMINISTRATION	\$2,194,753.00	\$0.00	\$2,194,753.00	1	
CA-2018-114-06-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$2,194,753.00	\$0.00	\$2,194,753.00	1	

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: 5311 Capital Purchase

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2018-114-01-00	1622-2018-2-P1	7/9/2018	9/30/2018	9/29/2022

#### Project Description

Purchase Replacement Buses

#### Project Benefits

Improve rural transit access by replacing equipment at the end of its Useful Service Life

#### Additional Information

*None provided.*

#### Location Description

Humboldt, Marin, Sonoma, Sutter, Yuba and Tulare Counties

## Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

## Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	6	Doris O Matsui

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,082,395
Local			\$191,650
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$1,274,045</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2018-114-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$1,082,395.00	\$191,650.00	\$1,274,045.00	14
CA-2018-114-01-00	11.12.04 BUY REPLACEMENT	\$375,000.00	\$100,000.00	\$475,000.00	5
CA-2018-114-01-00	11.12.04 BUY REPLACEMENT	\$518,396.00	\$67,164.00	\$585,560.00	7
CA-2018-114-01-00	11.12.15 BUY REPLACEMENT VAN	\$42,924.00	\$5,561.00	\$48,485.00	1
CA-2018-114-01-00	11.13.04 BUY	\$146,075.00	\$18,925.00	\$165,000.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	7

### Extended Budget Description

Sonoma County Transit: Purchase 6 Buses for Replacement (Gasoline)

VIN 1FDEE3FL8CDA16935; In Svc: 1/1/2011; Out of Svc: 12/1/2018; Mileage 161,787

VIN 1FDEE3FL8CDA15235; In Svc: 1/1/2011; Out of Svc: 12/1/2018; Mileage 178,603

VIN 1FDEE3FL9CDA50797; In Svc: 5/1/2012; Out of Svc: 12/1/2018; Mileage 164,534  
 VIN 1FDEE3FL9CDA50798; In Svc: 5/1/2012; Out of Svc: 12/1/2018; Mileage 161,836  
 VIN 1FDEE3FL9CDA50799; In Svc: 5/1/2012; Out of Svc: 12/1/2018; Mileage 178,848  
 VIN 1FDEE3FL1CDA50800; In Svc: 5/1/2012; Out of Svc: 12/1/2018; Mileage 182,717

City of Woodlake: Purchase 1 Replacement Bus (Gasoline)  
 VIN 1FDXE45522HA1681; In Svc: 1/1/2001; Out of Svc: TBD; Mileage 115,138

California is classified as a "Growing State" and has a Federal Share of 88.53% and Minimum Local Share of 11.47% for capital projects.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$518,396
Local			\$67,164
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$585,560</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2018	
Contract Award Date	3/31/2020	
Initial Delivery Date	9/30/2020	
Final Delivery Date	3/31/2021	
Contract Completion Date	9/30/2021	

**Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT	BUY REPLACEMENTS - CAPITAL BUS	5

**Extended Budget Description**

Yuba-Sutter Transit Authority: Purchase 5 Buses for Replacement (Diesel)  
 VIN 1GB9G5A61A1107383; In Svc: 3/1/2010; Out of Svc: 4/1/2019; Mileage 198,610

VIN 1GB9G5A65A1107421; In Svc: 3/1/2010; Out of Svc: 4/1/2019; Mileage 209,914  
VIN 1GB9G5A63A1107790; In Svc: 3/1/2010; Out of Svc: 4/1/2019; Mileage 181,027  
VIN 1GB9G5A68A1107932; In Svc: 3/13/2010; Out of Svc: 4/1/2019; Mileage 220,763  
VIN 1GB9G5A63A1108759; In Svc: 3/1/2010; Out of Svc: 4/1/2019; Mileage 209,318

California is classified as a "Growing State" and has a Federal Share of 88.53% and Minimum Local Share of 11.47% for capital projects.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$375,000
Local			\$100,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$475,000</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2018	
Contract Award Date	3/31/2021	
Initial Delivery Date	9/30/2021	
Final Delivery Date	3/31/2022	
Contract Completion Date	9/30/2022	

**Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	1

**Extended Budget Description**

Sonoma County Transit: Purchase 1 Replacement Van (Gasoline)  
VIN 1GBDV131X8D134732; In Svc: 1/1/2008; Out of Svc: 12/1/2018; Mileage 107,978

California is classified as a "Growing State" and has a Federal Share of 88.53% and Minimum Local Share of 11.47% for capital projects.



**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Van

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$42,924
Local			\$5,561
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$48,485</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2018	
Contract Award Date	9/30/2020	
Initial Delivery Date	3/31/2021	
Final Delivery Date	9/30/2021	
Contract Completion Date	9/30/2022	

**Budget Activity Line Item: 11.13.04 - BUY**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	1

**Extended Budget Description**

Humboldt Transit Authority: Purchase 1 Expansion Bus (Electric)

California is classified as a "Growing State" and has a Federal Share of 88.53% and Minimum Local Share of 11.47% for capital projects.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Electric Propulsion	New	<30

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$146,075
Local			\$18,925
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$165,000</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2018	
Contract Award Date	3/31/2021	
Initial Delivery Date	9/30/2021	
Final Delivery Date	3/31/2022	
Contract Completion Date	9/30/2022	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

### Project Title: 5311 Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
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CA-2018-114-02-00

1622-2018-2-P2

7/9/2018

9/30/2018

9/29/2020

**Project Description**

Provide operating assistance

**Project Benefits**

Improve rural transit access.

**Additional Information**

Alpine, Amador, Butte, Contra Costa, Colusa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Imperial, Inyo, Kern, Kings, Los Angeles, Lake, Lassen, Madera, Mariposa, Mendocino, Merced, Mono, Modoc, Monterey, Napa, Nevada, Orange, Placer, Plumas, Riverside, Sacramento, Santa Barbara, San Bernardino, San Benito, Santa Clara, Santa Cruz, San Diego, Shasta, Sierra, Siskiyou, San Joaquin, San Luis Obispo, San Mateo, Solano, Sonoma, Stanislaus, Sutter, Trinity, Tulare, Tuolumne, Ventura, Yolo and Yuba Counties.

**Location Description**

Rural areas throughout California

**Project Location (Urbanized Areas)**

UZA Code	Area Name
060000	California

**Congressional District Information**

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	6	Doris O Matsui
California	7	Ami Bera
California	8	Paul Cook
California	9	Jerry McNerney
California	10	Jeff Denham
California	12	Nancy Pelosi
California	14	Jackie Speier
California	16	Jim Costa
California	18	Anna G Eshoo
California	19	Zoe Lofgren
California	20	Sam Farr
California	21	David G Valadao

California	22	Devin Nunes
California	23	Kevin McCarthy
California	24	Lois Capps
California	26	Julia Brownley
California	31	Pete Aguilar
California	35	Norma J Torres
California	36	Raul Ruiz
California	41	Mark Takano
California	42	Ken Calvert
California	46	Loretta Sanchez
California	49	Darrell E Issa
California	50	Duncan Hunter
California	51	Juan Vargas
California	52	Scott H Peters
California	53	Susan A Davis

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$18,998,874
Local			\$83,654,298
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$167,477
<b>Total Eligible Cost</b>			<b>\$102,653,172</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2018-114-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$18,998,874.00	\$83,654,298.00	\$102,653,172.00	74
CA-2018-114-02-00	30.09.02 (5311 OR 5310) SLIDING SCALE PILOT	\$18,998,874.00	\$83,654,298.00	\$102,653,172.00	74

ONLY)

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	74

#### Extended Budget Description

Operating Assistance projects for the following agencies:

Stanislaus County Public Works - Transit Division (1)

City of Solvang (1)

City of Guadalupe (1)

Monterey-Salinas Transit (1)

San Benito County Local Transportation Authority (1)

San Luis Obispo Regional Transit Authority (1)

Santa Cruz Metropolitan Transit District (1)

Alpine County Community Development (1)

County of Los Angeles - Department of Public Works (1)

Lassen Transit Service Agency (1)

Modoc Transportation Agency (1)

Plumas County Transportation Commission (1)

County of Shasta Department of Public Works (1)

Eastern Sierra Transit Authority (2)

City of California City (1)

City of McFarland (1)

City of Tehachapi (1)

City of Shafter (1)

City of Wasco (1)

Kern Regional Transit (1)

City of Ridgecrest (1)

City of Porterville (1)

County of Tulare (1)

City of Dinuba (1)

Victor Valley Transit Authority (1)

Mountain Area Regional Transit Authority (1)

Morongo Basin Transit Authority (1)

City of Needles (1)

Humboldt Transit Authority (1)

Fresno County Rural Transit Agency (1)

Riverside Transit Agency (1)

Madera County (1)

City of Chowchilla (1)

Kings County Area Public Transit Agency (1)

Sierra County Transportation Commission (1)

City of Auburn (1)

Placer County Public Works (TART & PCT) (1)

Glenn County Transportation Commission (1)

El Dorado County Transit Authority (1)

Colusa County Transit Agency (1)  
 County of Sacramento Department of Transportation (1)  
 Yolo County Transportation District (1)  
 Yuba-Sutter Transit Authority (1)  
 Butte County Association of Governments/ Butte Regional Transit (1)  
 Town of Truckee (1)  
 City of Arvin (1)  
 County Connection (Central Contra Costa Transit Authority) (1)  
 City of Dixon (1)  
 Marin County Transit District (1)  
 Napa Valley Transportation Authority (1)  
 San Mateo County Transit District (1)  
 Santa Clara Valley Transportation Authority (1)  
 City of Taft (1)  
 Mendocino Transit Authority (1)  
 County of Siskiyou (1)  
 Trinity County Department of Transportation (1)  
 City of Ojai (1)  
 Amador Transit (1)  
 Transit Joint Powers Authority for Merced County (1)  
 Tuolumne County Transit Agency (TCTA) (1)  
 Lake Transit Authority (1)  
 San Diego Metropolitan Transit System (1)  
 North County Transit District (1)  
 City of Eureka (1)  
 Sunline Transit Agency (1)  
 Imperial County Transportation Commission (1)  
 City of Woodlake (1)  
 City of Corcoran - Corcoran Area Transit (1)  
 County of Nevada Public Works, Transit Services Division (1)  
 Redwood Coast Transit Authority (1)  
 County of Mariposa (1)  
 City of Rio Vista (1)  
 City of Arcata (1)

California is classified as a "Growing State" and therefore has a Federal Share of 55.33% and Minimum Local Share of 44.67% for operating assistance projects.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$18,998,874
Local			\$83,654,298
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$167,477
<b>Total Eligible Cost</b>			<b>\$102,653,172</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2020	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Project Title: 5311 Preventive Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2018-114-03-00	1622-2018-2-P3	7/9/2018	9/30/2018	9/29/2020

#### Project Description

Preventive Maintenance

#### Project Benefits

Support transit operations in rural areas of California

#### Additional Information

*None provided.*

#### Location Description

Madera, Del Norte and Humboldt Counties.

## Project Location (Urbanized Areas)

UZA Code	Area Name
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060000	California
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## Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	16	Jim Costa

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$108,873
Local			\$22,057
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$130,930</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2018-114-03-00	117-00 (117-A3) OTHER CAPITAL ITEMS (BUS)	\$108,873.00	\$22,057.00	\$130,930.00	2
CA-2018-114-03-00	11.7A.00 PREVENTIVE MAINTENANCE	\$108,873.00	\$22,057.00	\$130,930.00	2

## Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	2

### Extended Budget Description



Preventive Maintenance projects for the following agencies:  
Madera County (1)  
Redwood Coast Transit Authority (1)

California is classified as a "Growing State" and has a Federal Share of 88.53% and Minimum Local Share of 11.47% for capital projects.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$108,873
Local			\$22,057
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$130,930</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2020	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

**Project Title: 5311(f) Operating Assistance**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2018-114-04-00	1622-2018-2-P4	7/9/2018	9/30/2018	9/29/2020

**Project Description**

Operating Assistance for Intercity Bus services

**Project Benefits**

Improve transit access in rural areas of state of California

**Additional Information**

*None provided.*

**Location Description**

Inyo, Mono, Mariposa, Merced, Tuolumne, Napa, Lake, Mendocino, Humboldt, Sonoma, Kern, Trinity, Fresno, Del Norte, Riverside, Butte, Shasta, Lassen, Modoc, Plumas, San Diego, Tulare, Santa Barbara, and Monterey Counties.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
060000	California

**Congressional District Information**

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	8	Paul Cook
California	12	Nancy Pelosi
California	13	Barbara Lee
California	16	Jim Costa
California	20	Sam Farr
California	21	David G Valadao
California	22	Devin Nunes
California	23	Kevin McCarthy
California	49	Darrell E Issa
California	50	Duncan Hunter

California	51	Juan Vargas
California	52	Scott H Peters
California	53	Susan A Davis

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,900,141
Local			\$9,353,519
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$14,253,660</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2018-114-04-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$4,900,141.00	\$9,353,519.00	\$14,253,660.00	27
CA-2018-114-04-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$4,900,141.00	\$9,353,519.00	\$14,253,660.00	27

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	27

### Extended Budget Description

Intercity Bus Operating Assistance for the following agencies:

Humboldt Transit Authority (1)

Modoc Transportation Agency (3)

County of Shasta Department of Public Works (1)  
 Butte County Association of Governments/ Butte Regional Transit (1)  
 Napa Valley Transportation Authority (1)  
 Kern Regional Transit (1)  
 Eastern Sierra Transit Authority (2)  
 San Diego Metropolitan Transit System (1)  
 Greyhound Lines, Inc. (1)  
 Monterey-Salinas Transit (4)  
 Plumas County Transportation Commission (1)  
 Lake Transit Authority (2)  
 Trinity County Department of Transportation (1)  
 Sunline Transit Agency (1)  
 Redwood Coast Transit Authority (1)  
 Fresno Council of Governments (1)  
 Yosemite Area Regional Transportation System (1)  
 County of Shasta Department of Public Works (1)  
 City of Visalia (2)  
 City of Santa Maria (1)

California is classified as a "Growing State" and therefore has a Federal Share of 55.33% and Minimum Local Share of 44.67% for operating assistance projects.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,900,141
Local			\$9,353,519
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$14,253,660</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2020	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment

or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

### Project Title: RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2018-114-05-00	1622-2018-2-P5	7/9/2018	9/30/2018	9/29/2023

### Project Description

Rural Transportation Assistance Program

### Project Benefits

Provide training and technical assistance to rural transit operators

### Additional Information

Apportionment from FFYs 2016, 2017 and 2018

### Location Description

Statewide

### Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

### Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	6	Doris O Matsui

California	7	Ami Bera
California	8	Paul Cook
California	9	Jerry McNerney
California	10	Jeff Denham
California	11	Mark DeSaulnier
California	12	Nancy Pelosi
California	13	Barbara Lee
California	14	Jackie Speier
California	15	Eric Swalwell
California	16	Jim Costa
California	17	Michael M Honda
California	18	Anna G Eshoo
California	19	Zoe Lofgren
California	20	Sam Farr
California	21	David G Valadao
California	22	Devin Nunes
California	23	Kevin McCarthy
California	24	Lois Capps
California	25	Stephen Knight
California	26	Julia Brownley
California	27	Judy Chu
California	28	Adam B Schiff
California	29	Tony Cardenas
California	30	Brad Sherman
California	31	Pete Aguilar
California	32	Grace F Napolitano
California	33	Ted Lieu
California	34	Xavier Becerra
California	35	Norma J Torres
California	36	Raul Ruiz
California	37	Karen Bass
California	38	Linda T Sanchez
California	39	Edward R Royce
California	40	Lucille Roybal-Allard
California	41	Mark Takano
California	42	Ken Calvert
California	43	Maxine Waters
California	44	Janice Hahn
California	45	Mimi Walters
California	46	Loretta Sanchez

California	47	Alan S Lowenthal
California	48	Dana Rohrabacher
California	49	Darrell E Issa
California	50	Duncan Hunter
California	51	Juan Vargas
California	52	Scott H Peters
California	53	Susan A Davis

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,112,335
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$1,112,335</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2018-114-05-00	635-00 (635-A5) RURAL TRANSIT ASSISTANCE PROGRAM	\$1,112,335.00	\$0.00	\$1,112,335.00	1
CA-2018-114-05-00	43.50.02 TECHNICAL ASSISTANCE	\$1,112,335.00	\$0.00	\$1,112,335.00	1

## Project Budget Activity Line Items

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

### Extended Budget Description

Rural Transit Assistance Program funding using apportionments from multiple Federal Fiscal Years (FFY):  
 FFY 2016, \$36,1812;  
 FFY 2017, \$370,507; and  
 FFY 2018, \$380,016.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,112,335
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$1,112,335</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2023	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved



## Project Title: 5311 State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2018-114-06-00	1622-2018-2-P6	7/9/2018	9/30/2018	9/29/2023

### Project Description

State Administration

### Project Benefits

Provide administrative oversight for rural transit operations

### Additional Information

*None provided.*

### Location Description

Statewide

## Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

## Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	6	Doris O Matsui
California	7	Ami Bera
California	8	Paul Cook
California	9	Jerry McNerney
California	10	Jeff Denham
California	11	Mark DeSaulnier
California	12	Nancy Pelosi
California	13	Barbara Lee
California	14	Jackie Speier
California	15	Eric Swalwell
California	16	Jim Costa
California	17	Michael M Honda
California	18	Anna G Eshoo

California	19	Zoe Lofgren
California	20	Sam Farr
California	21	David G Valadao
California	22	Devin Nunes
California	23	Kevin McCarthy
California	24	Lois Capps
California	25	Stephen Knight
California	26	Julia Brownley
California	27	Judy Chu
California	28	Adam B Schiff
California	29	Tony Cardenas
California	30	Brad Sherman
California	31	Pete Aguilar
California	32	Grace F Napolitano
California	33	Ted Lieu
California	34	Xavier Becerra
California	35	Norma J Torres
California	36	Raul Ruiz
California	37	Karen Bass
California	38	Linda T Sanchez
California	39	Edward R Royce
California	40	Lucille Roybal-Allard
California	41	Mark Takano
California	42	Ken Calvert
California	43	Maxine Waters
California	44	Janice Hahn
California	45	Mimi Walters
California	46	Loretta Sanchez
California	47	Alan S Lowenthal
California	48	Dana Rohrabacher
California	49	Darrell E Issa
California	50	Duncan Hunter
California	51	Juan Vargas
California	52	Scott H Peters
California	53	Susan A Davis

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,194,753

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$2,194,753</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2018-114-06-00	610-00 (610-A6) STATE / PROGRAMS ADMINISTRATION	\$2,194,753.00	\$0.00	\$2,194,753.00	1
CA-2018-114-06-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$2,194,753.00	\$0.00	\$2,194,753.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

### Extended Budget Description

State program administration

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,194,753
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
<b>Total Eligible Cost</b>		<b>\$2,194,753</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2018	
End Date	9/30/2023	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### Application Review Comments

**Comment By** Cecilia Crenshaw

**Comment Type** FTA Post Review Comments for Grantee

**Date** 7/19/2018

**Comment** returning for reassignment by intake manager

**Comment By** Cecilia Crenshaw

Comment Type FTA Post Review Comments for Grantee

Date 7/20/2018

Comment sending to the recipient

## Application Review Comments

**Comment By** Darin Allan

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Comment Type Line Item Review

Date 8/14/2018

Project Title 5311(f) Operating Assistance

Project Number CA-2018-114-04-00

Comment The "Growing State" statement should be deleted. Instead, see the Executive Summary which indicates Caltrans applies sliding scale rates per agreement with FHWA pursuant to 23 USC 120(b)(2).

**Comment By** Darin Allan

---

Comment Type Line Item Review

Date 8/14/2018

Project Title 5311 Preventive Maintenance

Project Number CA-2018-114-03-00

Comment The "Growing State" statement should be deleted. Instead, see the Executive Summary which indicates Caltrans applies sliding scale rates per agreement with FHWA pursuant to 23 USC 120(b)(2).

**Comment By** Darin Allan

---

Comment Type Line Item Review

Date 8/14/2018

Project Title 5311 Operating Assistance

Project Number CA-2018-114-02-00

Comment The "Growing State" statement should be deleted. Instead, see the Executive Summary which indicates Caltrans applies sliding scale rates per agreement with FHWA pursuant to 23 USC 120(b)(2).

**Comment By** Darin Allan

---

Comment Line Item Review

Type

Date	8/14/2018
Project Title	5311 Capital Purchase
Project Number	CA-2018-114-01-00
Comment	The "Growing State" statement should be deleted. Instead, see the Executive Summary which indicates Caltrans applies sliding scale rates per agreement with FHWA pursuant to 23 USC 120(b)(2).

---

**Comment By**   **Darin Allan**

Comment Type	Line Item Review
Date	8/14/2018
Project Title	5311 Capital Purchase
Project Number	CA-2018-114-01-00
Comment	The "Growing State" statement should be deleted. Instead, see the Executive Summary which indicates Caltrans applies sliding scale rates per agreement with FHWA pursuant to 23 USC 120(b)(2).

---

**Comment By**   **Darin Allan**

Comment Type	Line Item Review
Date	8/14/2018
Project Title	5311 Capital Purchase
Project Number	CA-2018-114-01-00
Comment	The "Growing State" statement should be deleted. Instead, see the Executive Summary which indicates Caltrans applies sliding scale rates per agreement with FHWA pursuant to 23 USC 120(b)(2).

---

**Comment By**   **Darin Allan**

Comment Type	Line Item Review
Date	8/14/2018
Project Title	5311 Capital Purchase
Project Number	CA-2018-114-01-00
Comment	The "Growing State" statement should be deleted. Instead, see the Executive Summary which indicates Caltrans applies sliding scale rates per agreement with FHWA pursuant to 23 USC 120(b)(2).

# Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

## **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

### **Recipient Information**

Recipient Name: Transportation, California Department Of

Recipient ID: 1622

DUNS No: 135253776

### **Application Information**

Federal Award Identification Number: CA-2018-114-00

Application Name: 5311 – Buses, PM, Operations – FFY2018\$ | RTAP – FFYs2016,2017,2018\$ – (Calif ID #0019000012)

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2023

Application Executive Summary: The California Department of Transportation is a Designated Recipient. This application for Section 5311 and 5311(f), and RTAP Federal Assistance is consistent with the suballocation identified in the Suballocation report attached to this application.

The Department is submitting grant application CA-2018-2 Section 5311 Grant (Calif ID #0019000012), Fiscal Year 2018 for \$28,397,371 to fund:  
fourteen (14) Capital projects,  
two (2) Preventive Maintenance projects,  
seventy four (74) 5311 Operating Assistance projects,  
twenty seven (27) 5311(f) Operating Assistance projects,  
technical assistance to local agencies funded from Rural Transit Assistance Program and State Administrative costs.

Rural Transit Assistance Program (RTAP) funds are requested from apportionment amounts for Federal Fiscal Years (FFY) 2016, 2017 and 2018.

A complete Program of Projects (POP) is attached in TrAMS, with further Project details entered as part of Activity Line Items (ALIs). Projects to be implemented under this grant would be funded with FFY 2018 federal apportionment.

Projects on this grant application are funded as follows: Federal Share of \$28,397,371, of which \$167,477 is from Transportation Development Credits (TDC, or Toll Credits) for one (1) project, and Local Share of \$93,221,524. Total project costs are \$121,618,895. There is a remainder of \$1,539,340 in FFY 2018 Section 5311 funds available to the State of California for obligation in the future.

California has a signed agreement with FHWA pursuant to 23 USC 120(b)(2) and, therefore, has a Federal Share of 88.53% and Minimum Local Share of 11.47% for capital projects. California has a Federal Share of 55.33% and Minimum Local Share of 44.67% for operating assistance projects. A copy of the agreement is attached to this application and is also saved in the Recipient Documents. The use of Toll Credits and additional Local Share for certain projects may result in different ratios of Federal Share to Local Share.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$121,618,895.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$28,397,371.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$93,221,524.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$28,397,371.00



Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$93,221,524.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Rural Area Formula	5311-1A	20509	\$28,397,371
Local			\$93,221,524
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$167,477
<b>Total Eligible Cost</b>			<b>\$121,618,895</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, California Department Of*