

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

|   |  |
|---|--|
| <b>Federal Award Identification Number (FAIN)</b> | AZ-2018-017-00   |
| <b>Temporary Application Number</b>               | 1635-2018-1  |
| <b>Application Name</b>                           | AZ 5311 Rural Public Transit Funds - Combined Formula and STBG FY 2018 |
| <b>Application Status</b>                         | Final Concurrence / Reservation  |
| <b>Application Budget Number</b>                  | 0  |

## Part 1: Recipient Information

**Name: Transportation, Arizona Dept Of**

| <b>Recipient ID</b> | <b>Recipient OST Type</b> | <b>Recipient Alias</b>               | <b>Recipient DUNS</b> |
|---------------------|---------------------------|--------------------------------------|-----------------------|
| 1635                | State Agency              | ARIZONA DEPARTMENT OF TRANSPORTATION | 098416667             |

| <b>Location Type</b> | <b>Address</b>         | <b>City</b> | <b>State</b> | <b>Zip</b> |
|----------------------|------------------------|-------------|--------------|------------|
| Headquarters         | 206 S 17TH AVE         | PHOENIX     | AZ           | 85007      |
| Physical Address     | 206 S 17TH AVE RM 133  | PHOENIX     | AZ           | 85007      |
| Mailing Address      | 206 S 17TH AVE, RM 133 | PHOENIX     | AZ           | 85007      |

## Union Information

|                   |                  |
|-------------------|------------------|
| <b>Union Name</b> | <b>NO UNIONS</b> |
| Address 1         |                  |
| Address 2         |                  |
| City              |                  |
| State             |                  |
| Zipcode           |                  |
| Contact Name      | Non Applicable   |

|           |  |
|-----------|--|
| Telephone |  |
| Fax       |  |
| E-mail    |  |
| Website   |  |

## Part 2: Application Information

### Title: AZ 5311 Rural Public Transit Funds - Combined Formula and STBG FY 2018

| FAIN           | Application Status              | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|------------------|--------------|-------------------|------------|
| AZ-2018-017-00 | Final Concurrence / Reservation | Grant            | 2/2/2018     | 2/2/2018          | No         |

#### Application Start Date

The start date will be set to the date of the award

#### Application End Date

9/29/2022

#### Application Executive Summary

This application contains 5311 Formula (11,622,707) and STBG (\$1,000,000) federal funds for a federal total of \$12,622,707 awarded to 24 sub-recipients. Two new 5311 subrecipients are receiving funds in this application: Payson Senior Center and the City of Winslow.

Please obligate:

5311 FY 2018 Formula Apportionment \$11,448,667 (includes 440,000 in federal funds from the FY 2017 apportionment not originally obligated)

RTAP FY 2018 Apportionment \$174,040

5311 FY2017 STBG Apportionment \$1,000,000

Total Federal Share \$12,622,707

Total Project Cost \$18,142,317

Three (3) tribes requested transfer of award to FTA as direct recipients per FTA's Circular 9040.1G, pending approval by FTA. This represents the first year that White Mountain Apache Tribe has requested funds through ADOT.

Hualapai Indian Tribe (\$539,000 fed)

San Carlos Apache Tribe (\$700,586 fed)

White Mountain Apache (\$263,500 fed)

#### 5311 FY 2018 Formula Apportionment Summary:

State administration \$1,251,176 (fed)

RTAP \$174,040 (fed)

24 Sub-recipients \$10,197,491 (fed)

Total project cost: \$11,622,707 (fed), \$16,934,190 (total)

24 Sub-recipients will receive the following amounts (fed):

Project Administration (Transit Programs): \$2,616,338 - 80/20

Operating: \$4,122,894 - 58/42

Intercity: \$1,989,326 - 58/42  
Capital: \$978,660 - 90/10  
Capital: \$490,273 - 80/20 (Preventive Maintenance)

This project will include ADA Complementary Paratransit, Bus Signage, Bus Stop Improvements, 2 Expansion: Buses < 30 FT, 2 Expansion Vans, Portable Mobile Lift, 2 Bus Rehabilitation, 1 Replacement Buses < 30 FT, 2 Replacement Vans, 1 Security System and 1 Vanpool project. A Federal ratio of 90/10 will apply.

5311 FY2017 STBG Apportionment Summary:

This project is funding state administration and 9 Sub-recipients.

This project will include 1 replacement < 30ft bus, 1 replacement van and 1 expansion van. A Federal ratio of 90/10 will apply. Preventive maintenance for 8 agencies is included in this project at a Federal ratio of 80/20.

Capital - \$211,484 - 90/10  
Capital Preventive Maintenance - \$738,516 - 80/20  
State Admin - \$50,000 (100%)

Total Federal share: \$1,000,000  
Total Project cost: \$1,208,127

Higher federal share rates for capital costs is available to Arizona who has obtained a signed agreement with FHWA under 23 U.S.C. 120(b) (2). The maximum federal match allowed for Arizona is 94.3% for capital projects and 58.94% for operating. Arizona chooses to use a 90% federal match for capital and a 58% federal match for operating. Preventive Maintenance is included in this project with an 80% federal match and ADA Complementary Paratransit is included with a 90% federal match.

For more information on the scope please see the extended budget description in the body of the application.

**Frequency of Milestone Progress Reports (MPR)**

Quarterly

**Frequency of Federal Financial Reports (FFR)**

Quarterly

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Approved indirect rate for transit program: 6.67% (letter from FHWA dated March 27, 2018)

**Requires E.O. 12372 Review**

Yes, this application requires E.O. 12372 Review

*State Application ID:* 1635

*Date Received by State:* 6/12/2018 8:00 PM EDT

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

| First Name | Last Name  | Title                                   | E-mail Address          | Phone          |
|------------|------------|---|-------------------------|----------------|
| Alexander  | Smith      | Transportation Planner                  | Alexander.Smith@dot.gov | (415) 734-9472 |
| Julene     | Paul       | Transportation Program Specialist (PMF) | julene.paul@dot.gov     | 415-734-9481   |
| Sarah      | Fitzgerald | Transit Program Manager                 | sfitzgerald@azdot.gov   | 602-712-7106   |

## Application Budget Control Totals

| Funding Source                          | Section of Statute | CFDA Number | Amount              |
|---|--------------------|-------------|---------------------|
| 5311 - Rural Area Formula               | 5311-1A            | 20509       | \$11,622,707        |
| FHWA Transfer to 5311Rural Area Formula | 5311-2             | 20509       | \$1,000,000         |
| Local                                   |                    |             | \$3,724,065         |
| Local/In-Kind                           |                    |             | \$1,795,545         |
| State                                   |                    |             | \$0                 |
| State/In-Kind                           |                    |             | \$0                 |
| Other Federal                           |                    |             | \$0                 |
| Transportation Development Credit       |                    |             | \$0                 |
| <b>Total Eligible Cost</b>              |                    |             | <b>\$18,142,317</b> |

## Application Budget

| Project Number    | Budget Item                         | FTA Amount   | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-------------------------------------|--------------|----------------|-----------------------|----------|
| AZ-2018-017-01-00 | 111-00 (111-B2) BUS - ROLLING STOCK | \$683,910.00 | \$75,990.00    | \$759,900.00          | 24       |
| AZ-2018-017-01-00 | 11.12.04 BUY REPLACEMENT            | \$144,000.00 | \$16,000.00    | \$160,000.00          | 1        |
| AZ-2018-          | 11.12.15 BUY                        | \$110,700.00 | \$12,300.00    | \$123,000.00          | 2        |

|                   |                 |   |                |                |                |    |
|-------------------|-----------------|---|----------------|----------------|----------------|----|
| AZ-2018-017-01-00 |                 | REPLACEMENT VAN                         |                |                |                |    |
| AZ-2018-017-01-00 | 11.13.04        | BUY                                     | \$274,500.00   | \$30,500.00    | \$305,000.00   | 2  |
| AZ-2018-017-01-00 | 11.13.15        | BUY VAN FOR SVC EXPANSION               | \$72,000.00    | \$8,000.00     | \$80,000.00    | 2  |
| AZ-2018-017-01-00 | 11.14.04        | REHAB/REBUILD                           | \$48,150.00    | \$5,350.00     | \$53,500.00    | 2  |
| AZ-2018-017-01-00 | 11.18.15        | LEASE VANS                              | \$34,560.00    | \$3,840.00     | \$38,400.00    | 15 |
| AZ-2018-017-01-00 | 113-00 (113-B1) | BUS - STATION/STOPS/TERMINALS           | \$18,000.00    | \$2,000.00     | \$20,000.00    | 10 |
| AZ-2018-017-01-00 | 11.32.09        | ACQUIRE - BUS ROUTE SIGNING             | \$18,000.00    | \$2,000.00     | \$20,000.00    | 10 |
| AZ-2018-017-01-00 | 114-00 (114-B3) | BUS: SUPPORT EQUIP AND FACILITIES       | \$49,500.00    | \$5,500.00     | \$55,000.00    | 10 |
| AZ-2018-017-01-00 | 11.42.09        | ACQUIRE - MOBILE SURV/SECURITY EQUIP    | \$9,000.00     | \$1,000.00     | \$10,000.00    | 6  |
| AZ-2018-017-01-00 | 11.42.20        | ACQUIRE - MISC SUPPORT EQUIPMENT        | \$40,500.00    | \$4,500.00     | \$45,000.00    | 4  |
| AZ-2018-017-01-00 | 117-00 (117-A9) | OTHER CAPITAL ITEMS (BUS)               | \$717,523.00   | \$147,818.00   | \$865,341.00   | 16 |
| AZ-2018-017-01-00 | 11.7A.00        | PREVENTIVE MAINTENANCE                  | \$490,273.00   | \$122,568.00   | \$612,841.00   | 13 |
| AZ-2018-017-01-00 | 11.7C.00        | NON FIXED ROUTE ADA PARATRANSIT SERVICE | \$227,250.00   | \$25,250.00    | \$252,500.00   | 3  |
| AZ-2018-017-01-00 | 300-00 (300-A7) | OPERATING ASSISTANCE                    | \$4,122,894.00 | \$2,985,544.00 | \$7,108,438.00 | 21 |
| AZ-2018-017-01-00 | 30.09.02        | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | \$4,122,894.00 | \$2,985,544.00 | \$7,108,438.00 | 21 |

|                   |                 |  |                |                |                |    |
|-------------------|-----------------|--|----------------|----------------|----------------|----|
| AZ-2018-017-01-00 | 610-00 (610-A5) | STATE / PROGRAMS ADMINISTRATION                  | \$1,251,176.00 | \$0.00         | \$1,251,176.00 | 1  |
| AZ-2018-017-01-00 |                 | 11.80.00 STATE OR PROGRAM ADMINISTRATION         | \$1,251,176.00 | \$0.00         | \$1,251,176.00 | 1  |
| AZ-2018-017-01-00 | 620-00 (620-A6) | PROJECT ADMINISTRATION                           | \$2,616,338.00 | \$654,085.00   | \$3,270,423.00 | 23 |
| AZ-2018-017-01-00 |                 | 11.79.00 PROJECT ADMINISTRATION                  | \$2,616,338.00 | \$654,085.00   | \$3,270,423.00 | 23 |
| AZ-2018-017-01-00 | 634-00 (634-A8) | INTERCITY BUS TRANSPORTATION                     | \$1,989,326.00 | \$1,440,546.00 | \$3,429,872.00 | 8  |
| AZ-2018-017-01-00 |                 | 30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY) | \$1,989,326.00 | \$1,440,546.00 | \$3,429,872.00 | 8  |
| AZ-2018-017-02-00 | 635-00 (635-A1) | RURAL TRANSIT ASSISTANCE PROGRAM                 | \$174,040.00   | \$0.00         | \$174,040.00   | 1  |
| AZ-2018-017-02-00 |                 | 43.50.01 TRAINING                                | \$174,040.00   | \$0.00         | \$174,040.00   | 1  |
| AZ-2018-017-03-00 | 111-00 (111-A4) | BUS - ROLLING STOCK                              | \$211,484.00   | \$23,498.00    | \$234,982.00   | 3  |
| AZ-2018-017-03-00 |                 | 11.12.04 BUY REPLACEMENT                         | \$117,884.00   | \$13,098.00    | \$130,982.00   | 1  |
| AZ-2018-017-03-00 |                 | 11.12.15 BUY REPLACEMENT VAN                     | \$46,800.00    | \$5,200.00     | \$52,000.00    | 1  |
| AZ-2018-017-03-00 |                 | 11.13.15 BUY VAN FOR SVC EXPANSION               | \$46,800.00    | \$5,200.00     | \$52,000.00    | 1  |
| AZ-2018-017-03-00 | 117-00 (117-A3) | OTHER CAPITAL ITEMS (BUS)                        | \$738,516.00   | \$184,629.00   | \$923,145.00   | 8  |
| AZ-2018-017-03-00 |                 | 11.7A.00 PREVENTIVE MAINTENANCE                  | \$738,516.00   | \$184,629.00   | \$923,145.00   | 8  |
| AZ-2018-017-03-00 | 610-00          | STATE / PROGRAMS ADMINISTRATION                  | \$50,000.00    | \$0.00         | \$50,000.00    | 1  |

|                   |          |                                 |             |        |             |   |
|-------------------|----------|---------------------------------|-------------|--------|-------------|---|
| 00                | (610-A2) |                                 |             |        |             |   |
| AZ-2018-017-03-00 | 11.80.00 | STATE OR PROGRAM ADMINISTRATION | \$50,000.00 | \$0.00 | \$50,000.00 | 1 |

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Part 3: Project Information

### Project Title: 5311 Rural Public Transit and 5311f Intercity 2018 funds - Formula

| Project Number    | Temporary Project Number | Date Created | Start Date | End Date  |
|-------------------|--------------------------|--------------|------------|-----------|
| AZ-2018-017-01-00 | 1635-2018-1-P2           | 6/12/2018    | 4/14/2015  | 9/29/2023 |

#### Project Description

This project is funding the following:

State administration \$1,251,176 (fed)  
RTAP \$174,040 (fed)  
24 Sub-recipients \$10,197,491 (fed)  
Total project cost: \$11,622,707 (fed), \$16,934,190 (total)

24 Sub-recipients will receive the following amounts (fed):  
Project Administration (Transit Programs): \$2,616,338 - 80/20  
Operating: \$4,122,894 - 58/42  
Intercity: \$1,989,326 - 58/42  
Capital: \$978,660 - 90/10  
Capital: \$490,273 - 80/20 (Preventive Maintenance)

Capital items in this project include ADA Complementary Paratransit, Bus Signage, Bus Stop Improvements, 2 Expansion: Buses < 30 FT, 2 Expansion Vans, Portable Mobile Lift, 2 Bus Rehabilitation, 1 Replacement Buses < 30 FT, 2 Replacement Vans, 1 Security System and 1 Vanpool project. A Federal ratio of 90/10 will apply.

Amounts below shown in FED:

Bisbee, City of Administration \$96,000 Operating \$110,200 Preventive Maintenance \$15,600  
City of Benson ADA Complementary Paratransit \$45,000 Administration \$68,000 Operating \$52,200 Preventive Maintenance \$20,000  
City of Bullhead City ADA Complementary Paratransit \$162,000 Administration \$130,840 Operating \$201,503  
City of Coolidge Administration \$220,000 Intercity \$93,712 Operating \$118,821 Preventive Maintenance \$64,000 Replacement: Van \$45,000  
City of Cottonwood ADA Complementary Paratransit \$20,250 Administration \$43,192 Operating \$116,063  
City of Douglas Administration \$189,800 Intercity \$114,082 Operating \$187,920 Preventive Maintenance \$24,000  
City of Kingman Administration \$140,000 Operating \$178,681 Replacement : Bus < 30 FT \$144,000  
City of Maricopa Administration \$25,600 Operating \$169,360 Preventive Maintenance \$24,000  
City of Show Low Administration \$64,000 Intercity \$71,092 Operating \$348,000 Preventive Maintenance \$38,400

City of Winslow Administration \$44,000 Bus stop improvements \$13,500 Expansion : Bus < 30 FT \$117,000  
Expansion : Vans \$72,000  
Gila River Indian Community Administration \$97,000 Operating \$223,880 Preventive Maintenance \$20,000  
Greyhound Lines, Inc. Intercity \$957,000  
Helping Hands Agency Administration \$200,000 Operating \$232,000 Preventive Maintenance \$70,112  
Hopi Tribe Administration \$104,000 Intercity \$34,130 Operating \$130,500 Preventive Maintenance \$60,000  
Navajo Transit System Administration \$175,906 Expansion : Bus < 30 FT \$157,500 Operating \$521,000  
Preventive Maintenance \$56,000  
Northern Arizona Intergovernmental Public Transportation Authority Administration \$16,000 Vanpool Service  
Contract \$34,560  
Payson Senior Center, Inc. Administration \$52,000 Bus Signage \$4,500 Operating \$58,000 Preventive  
Maintenance \$4,000 Rehabilitation: Bus < 30 FT \$48,150  
Regional Public Transportation Authority Administration \$80,000 Intercity \$276,347 Operating \$81,200  
Portable Mobile Lifts \$40,500 Preventive Maintenance \$54,161  
Regional Transportation Authority of Pima County Administration \$200,000 Intercity \$142,236 Operating  
\$464,000  
Salt River Pima-Maricopa Indian Community Administration \$128,000 Operating \$261,000  
Town of Miami Administration \$80,000 Operating \$151,786  
Town of Quartzsite Administration \$52,000 Operating \$34,800 Surveillance/Security System \$9,000  
Yavapai Regional Transit, Inc Administration \$130,000 Operating \$133,980 Preventive Maintenance \$40,000  
Yuma County Intergovernmental Public Transportation Authority Administration \$280,000 Intercity \$300,727  
Operating \$348,000 Replacement: Van \$65,700

#### **Project Benefits**

See project description.

#### **Additional Information**

*None provided.*

#### **Location Description**

This rural public transit program grant will impact communities statewide. 24 rural and intercity bus agencies will receive funding from this grant.

### **Project Location (Urbanized Areas)**

| <b>UZA Code</b> | <b>Area Name</b> |
|-----------------|------------------|
| 040000          | Arizona          |

### **Congressional District Information**

| <b>State</b> | <b>District</b> | <b>Representative</b> |
|--------------|-----------------|-----------------------|
| Arizona      | 2               | Martha McSally        |
| Arizona      | 3               | Raul M Grijalva       |
| Arizona      | 4               | Paul A Gosar          |
| Arizona      | 6               | David Schweikert      |
| Arizona      | 7               | Ruben Gallego         |
| Arizona      | 8               | Trent Franks          |



|         |   |                |
|---------|---|----------------|
| Arizona | 1 | Tom O'Halleran |
| Arizona | 5 | Andrew S Biggs |
| Arizona | 9 | Kyrsten Sinema |

## Project Control Totals

| Funding Source                    | Section of Statute | CFDA Number | Amount              |
|-----------------------------------|--------------------|-------------|---------------------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$11,448,667        |
| Local                             |                    |             | \$3,515,938         |
| Local/In-Kind                     |                    |             | \$1,795,545         |
| State                             |                    |             | \$0                 |
| State/In-Kind                     |                    |             | \$0                 |
| Other Federal                     |                    |             | \$0                 |
| Transportation Development Credit |                    |             | \$0                 |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$16,760,150</b> |

## Project Budget

| Project Number    | Budget Item                         | FTA Amount   | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-------------------------------------|--------------|----------------|-----------------------|----------|
| AZ-2018-017-01-00 | 111-00 (111-B2) BUS - ROLLING STOCK | \$683,910.00 | \$75,990.00    | \$759,900.00          | 24       |
| AZ-2018-017-01-00 | 11.12.04 BUY REPLACEMENT            | \$144,000.00 | \$16,000.00    | \$160,000.00          | 1        |
| AZ-2018-017-01-00 | 11.12.15 BUY REPLACEMENT VAN        | \$110,700.00 | \$12,300.00    | \$123,000.00          | 2        |
| AZ-2018-017-01-00 | 11.13.04 BUY                        | \$274,500.00 | \$30,500.00    | \$305,000.00          | 2        |
| AZ-2018-017-01-00 | 11.13.15 BUY VAN FOR SVC EXPANSION  | \$72,000.00  | \$8,000.00     | \$80,000.00           | 2        |
| AZ-2018-017-01-00 | 11.14.04 REHAB/REBUILD              | \$48,150.00  | \$5,350.00     | \$53,500.00           | 2        |

|                   |                 |  |                |                |                |    |
|-------------------|-----------------|--|----------------|----------------|----------------|----|
| AZ-2018-017-01-00 |                 | 11.18.15 LEASE VANS                              | \$34,560.00    | \$3,840.00     | \$38,400.00    | 15 |
| AZ-2018-017-01-00 | 113-00 (113-B1) | BUS - STATION/STOPS/TERMINALS                    | \$18,000.00    | \$2,000.00     | \$20,000.00    | 10 |
| AZ-2018-017-01-00 |                 | 11.32.09 ACQUIRE - BUS ROUTE SIGNING             | \$18,000.00    | \$2,000.00     | \$20,000.00    | 10 |
| AZ-2018-017-01-00 | 114-00 (114-B3) | BUS: SUPPORT EQUIP AND FACILITIES                | \$49,500.00    | \$5,500.00     | \$55,000.00    | 10 |
| AZ-2018-017-01-00 |                 | 11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP    | \$9,000.00     | \$1,000.00     | \$10,000.00    | 6  |
| AZ-2018-017-01-00 |                 | 11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT        | \$40,500.00    | \$4,500.00     | \$45,000.00    | 4  |
| AZ-2018-017-01-00 | 117-00 (117-A9) | OTHER CAPITAL ITEMS (BUS)                        | \$717,523.00   | \$147,818.00   | \$865,341.00   | 16 |
| AZ-2018-017-01-00 |                 | 11.7A.00 PREVENTIVE MAINTENANCE                  | \$490,273.00   | \$122,568.00   | \$612,841.00   | 13 |
| AZ-2018-017-01-00 |                 | 11.7C.00 NON FIXED ROUTE ADA PARATRANSIT SERVICE | \$227,250.00   | \$25,250.00    | \$252,500.00   | 3  |
| AZ-2018-017-01-00 | 300-00 (300-A7) | OPERATING ASSISTANCE                             | \$4,122,894.00 | \$2,985,544.00 | \$7,108,438.00 | 21 |
| AZ-2018-017-01-00 |                 | 30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY) | \$4,122,894.00 | \$2,985,544.00 | \$7,108,438.00 | 21 |
| AZ-2018-017-01-00 | 610-00 (610-A5) | STATE / PROGRAMS ADMINISTRATION                  | \$1,251,176.00 | \$0.00         | \$1,251,176.00 | 1  |
| AZ-2018-          |                 | 11.80.00 STATE OR PROGRAM                        | \$1,251,176.00 | \$0.00         | \$1,251,176.00 | 1  |

|                   |                 |   |                |                |                |    |
|-------------------|-----------------|---|----------------|----------------|----------------|----|
| 017-01-00         | ADMINISTRATION  |   |                |                |                |    |
| AZ-2018-017-01-00 | 620-00 (620-A6) | PROJECT ADMINISTRATION                  | \$2,616,338.00 | \$654,085.00   | \$3,270,423.00 | 23 |
| AZ-2018-017-01-00 | 11.79.00        | PROJECT ADMINISTRATION                  | \$2,616,338.00 | \$654,085.00   | \$3,270,423.00 | 23 |
| AZ-2018-017-01-00 | 634-00 (634-A8) | INTERCITY BUS TRANSPORTATION            | \$1,989,326.00 | \$1,440,546.00 | \$3,429,872.00 | 8  |
| AZ-2018-017-01-00 | 30.09.02        | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | \$1,989,326.00 | \$1,440,546.00 | \$3,429,872.00 | 8  |

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

| Scope Name / Code            | Line Item # | Line Item Name            | Activity                    | Quantity |
|------------------------------|-------------|---------------------------|-----------------------------|----------|
| BUS - ROLLING STOCK (111-00) | 11.13.15    | BUY VAN FOR SVC EXPANSION | BUY EXPANSION - CAPITAL BUS | 2        |

### Extended Budget Description

The City of Winslow will purchase 2 vans for their new transit system using the State contract.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Propulsion | Fuel Type | Vehicle Condition | Vehicle Size (ft.) |
|------------|-----------|-------------------|--------------------|
| N/A        | Gasoline  | New               | 20'                |

| Funding Source                    | Section of Statute | CFDA Number | Amount   |
|-----------------------------------|--------------------|-------------|----------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$72,000 |
| Local                             |                    |             | \$8,000  |
| Local/In-Kind                     |                    |             | \$0      |
| State                             |                    |             | \$0      |
| State/In-Kind                     |                    |             | \$0      |
| Other Federal                     |                    |             | \$0      |
| Transportation Development Credit |                    |             | \$0      |

|                            |                 |
|----------------------------|-----------------|
| <b>Total Eligible Cost</b> | <b>\$80,000</b> |
|----------------------------|-----------------|

| <b>Milestone Name</b>    | <b>Est. Completion Date</b> | <b>Description</b>   |
|--------------------------|-----------------------------|--|
| RFP/IFB Issue Date       | 4/15/2015                   | The State of Arizona solicits and awards GSA style contracts. The current contract for 5311 rolling stock includes all applicable FTA terms and conditions. The State of Arizona will solicit quotations for rolling stock through this process for purchases from the 2018 awarded funds. |
| Contract Award Date      | 8/15/2015                   | State Contract Award Date  |
| Initial Delivery Date    | 12/30/2015                  | Initial Delivery Date  |
| Final Delivery Date      | 10/1/2020                   | Final Delivery Date  |
| Contract Completion Date | 12/30/2020                  | Contract Completion  |

**Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT**

| <b>Scope Name / Code</b>     | <b>Line Item #</b> | <b>Line Item Name</b> | <b>Activity</b>                | <b>Quantity</b> |
|------------------------------|--------------------|-----------------------|--------------------------------|-----------------|
| BUS - ROLLING STOCK (111-00) | 11.12.04           | BUY REPLACEMENT       | BUY REPLACEMENTS - CAPITAL BUS | 1               |

**Extended Budget Description**

The City of Kingman will purchase 1

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| <b>Propulsion</b> | <b>Fuel Type</b> | <b>Vehicle Condition</b> | <b>Vehicle Size (ft.)</b> |
|-------------------|------------------|--------------------------|---------------------------|
| N/A               | Gasoline         | New                      | 29'                       |

| <b>Funding Source</b>             | <b>Section of Statute</b> | <b>CFDA Number</b> | <b>Amount</b>    |
|-----------------------------------|---------------------------|--------------------|------------------|
| 5311 - Rural Area Formula         | 5311-1A                   | 20509              | \$144,000        |
| Local                             |                           |                    | \$16,000         |
| Local/In-Kind                     |                           |                    | \$0              |
| State                             |                           |                    | \$0              |
| State/In-Kind                     |                           |                    | \$0              |
| Other Federal                     |                           |                    | \$0              |
| Transportation Development Credit |                           |                    | \$0              |
| <b>Total Eligible Cost</b>        |                           |                    | <b>\$160,000</b> |

| <b>Milestone Name</b> | <b>Est. Completion Date</b> | <b>Description</b>                                 |
|-----------------------|-----------------------------|--|
| RFP/IFB Issue Date    | 4/15/2015                   | The State of Arizona solicits and awards GSA style |

|                          |            |   |
|--------------------------|------------|---|
|                          |            | contracts. The current contract for 5311 rolling stock includes all applicable FTA terms and conditions. The State of Arizona will solicit quotations for rolling stock through this process for purchases from the 2018 awarded funds. |
| Contract Award Date      | 8/15/2015  | State Contract Award Date   |
| Initial Delivery Date    | 12/30/2015 | Initial Delivery Date   |
| Final Delivery Date      | 10/1/2020  | Final Delivery Date   |
| Contract Completion Date | 12/30/2020 | Contract Completion   |

**Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN**

| Scope Name / Code            | Line Item # | Line Item Name      | Activity                       | Quantity |
|------------------------------|-------------|---------------------|--------------------------------|----------|
| BUS - ROLLING STOCK (111-00) | 11.12.15    | BUY REPLACEMENT VAN | BUY REPLACEMENTS - CAPITAL BUS | 2        |

**Extended Budget Description**

The following two agencies will be purchasing replacing vans using the State contract:

The City of Coolidge will purchase a 20' gas van replacing VIN#7071

YCIPTA will purchase a gas van replacing VIN#2932

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Propulsion | Fuel Type | Vehicle Condition | Vehicle Size (ft.) |
|------------|-----------|-------------------|--------------------|
| N/A        | Gasoline  | New               | 20                 |

| Funding Source                    | Section of Statute | CFDA Number | Amount           |
|-----------------------------------|--------------------|-------------|------------------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$110,700        |
| Local                             |                    |             | \$12,300         |
| Local/In-Kind                     |                    |             | \$0              |
| State                             |                    |             | \$0              |
| State/In-Kind                     |                    |             | \$0              |
| Other Federal                     |                    |             | \$0              |
| Transportation Development Credit |                    |             | \$0              |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$123,000</b> |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|-------------|
|----------------|----------------------|-------------|

|                          |            |  |
|--------------------------|------------|--|
| RFP/IFB Issue Date       | 4/15/2015  | The State of Arizona solicits and awards GSA style contracts. The current contract for 5311 rolling stock includes all applicable FTA terms and conditions. The State of Arizona will solicit quotations for rolling stock through this process for purchases from the 2018 awarded funds. |
| Contract Award Date      | 8/15/2015  | State Contract Award Date  |
| Initial Delivery Date    | 12/30/2015 | Initial Delivery Date  |
| Final Delivery Date      | 10/1/2020  | Final Delivery Date  |
| Contract Completion Date | 12/30/2020 | Contract Completion  |

**Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP**

| Scope Name / Code                          | Line Item # | Line Item Name                       | Activity                                    | Quantity |
|--|-------------|--------------------------------------|---|----------|
| BUS: SUPPORT EQUIP AND FACILITIES (114-00) | 11.42.09    | ACQUIRE - MOBILE SURV/SECURITY EQUIP | ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES | 6        |

**Extended Budget Description**

This project is for the Town of Quartzsite and will provide 6 cameras for their buses and will include GPS locator services.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source                    | Section of Statute | CFDA Number | Amount          |
|-----------------------------------|--------------------|-------------|-----------------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$9,000         |
| Local                             |                    |             | \$1,000         |
| Local/In-Kind                     |                    |             | \$0             |
| State                             |                    |             | \$0             |
| State/In-Kind                     |                    |             | \$0             |
| Other Federal                     |                    |             | \$0             |
| Transportation Development Credit |                    |             | \$0             |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$10,000</b> |

| Milestone Name | Est. Completion Date | Description                            |
|----------------|----------------------|--|
| Start Date     | 10/1/2018            | Issue quotes for security system       |
| End Date       | 9/30/2019            | Cameras will be installed by this date |

**Budget Activity Line Item: 11.18.15 - LEASE VANS**

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|-------------------|-------------|----------------|----------|----------|
|-------------------|-------------|----------------|----------|----------|

BUS - ROLLING STOCK (111-00) 11.18.15 LEASE VANS LEASE - EXPANSION - CAPITAL BUS 15

#### Extended Budget Description

Northern Arizona Intergovernmental Public Transportation Authority vanpool lease program. Provides Vehicles, insurance, and maintenance as part of the vehicle lease. This also provides sufficient spare ratio for the vanpool program. The lease was more cost effective than the purchase and maintenance of the vehicles for the vanpool program. This lease covers 1 year of the program.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Propulsion | Fuel Type | Vehicle Condition | Vehicle Size (ft.) |
|------------|-----------|-------------------|--------------------|
| N/A        | Gasoline  | Used              | 20                 |

| Funding Source                    | Section of Statute | CFDA Number | Amount          |
|-----------------------------------|--------------------|-------------|-----------------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$34,560        |
| Local                             |                    |             | \$3,840         |
| Local/In-Kind                     |                    |             | \$0             |
| State                             |                    |             | \$0             |
| State/In-Kind                     |                    |             | \$0             |
| Other Federal                     |                    |             | \$0             |
| Transportation Development Credit |                    |             | \$0             |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$38,400</b> |

| Milestone Name           | Est. Completion Date | Description              |
|--------------------------|----------------------|--------------------------|
| RFP/IFB Issue Date       | 6/8/2018             | RFP/IFB Issue Date       |
| Contract Award Date      | 8/15/2018            | Contract Award Date      |
| Initial Delivery Date    | 10/1/2018            | Vanpool program begins   |
| Final Delivery Date      | 9/30/2023            | Vanpool program complete |
| Contract Completion Date | 9/30/2023            | Vanpool program complete |

#### Budget Activity Line Item: 11.14.04 - REHAB/REBUILD

| Scope Name / Code            | Line Item # | Line Item Name | Activity                    | Quantity |
|------------------------------|-------------|----------------|-----------------------------|----------|
| BUS - ROLLING STOCK (111-00) | 11.14.04    | REHAB/REBUILD  | REHAB/REBUILD - CAPITAL BUS | 2        |

#### Extended Budget Description

The Payson Senior Center will rehabilitate two of their vans for a new 5311 service.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Propulsion | Fuel Type | Vehicle Condition | Vehicle Size (ft.) |
|------------|-----------|-------------------|--------------------|
| N/A        | Gasoline  | Used              | 20'                |

| Funding Source                    | Section of Statute | CFDA Number | Amount          |
|-----------------------------------|--------------------|-------------|-----------------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$48,150        |
| Local                             |                    |             | \$5,350         |
| Local/In-Kind                     |                    |             | \$0             |
| State                             |                    |             | \$0             |
| State/In-Kind                     |                    |             | \$0             |
| Other Federal                     |                    |             | \$0             |
| Transportation Development Credit |                    |             | \$0             |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$53,500</b> |

| Milestone Name           | Est. Completion Date | Description                               |
|--------------------------|----------------------|---|
| RFP/IFB Issue Date       | 11/1/2018            | RFP will be issued for bus rehabilitation |
| Contract Award Date      | 12/1/2018            | Contract Awarded                          |
| Initial Delivery Date    | 1/1/2019             | Rehabilitation complete                   |
| Final Delivery Date      | 1/1/2019             | Rehabilitation complete                   |
| Contract Completion Date | 3/31/2019            | Contract Complete                         |

**Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT**

| Scope Name / Code                          | Line Item # | Line Item Name                   | Activity                                    | Quantity |
|--|-------------|----------------------------------|---|----------|
| BUS: SUPPORT EQUIP AND FACILITIES (114-00) | 11.42.20    | ACQUIRE - MISC SUPPORT EQUIPMENT | ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES | 4        |

**Extended Budget Description**

RPTA will purchase four portable battery operated mobile lifts.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source            | Section of Statute | CFDA Number | Amount   |
|---------------------------|--------------------|-------------|----------|
| 5311 - Rural Area Formula | 5311-1A            | 20509       | \$40,500 |
| Local                     |                    |             | \$4,500  |
| Local/In-Kind             |                    |             | \$0      |
| State                     |                    |             | \$0      |
| State/In-Kind             |                    |             | \$0      |
| Other Federal             |                    |             | \$0      |



|                                   |                 |
|-----------------------------------|-----------------|
| Transportation Development Credit | \$0             |
| <b>Total Eligible Cost</b>        | <b>\$45,000</b> |

| Milestone Name | Est. Completion Date | Description                                |
|----------------|----------------------|--|
| Start Date     | 10/1/2018            | Issue bid for portable mobile lifts        |
| End Date       | 9/30/2019            | Purchase of mobile lifts will be complete. |

**Budget Activity Line Item: 11.13.04 - BUY**

| Scope Name / Code            | Line Item # | Line Item Name | Activity                    | Quantity |
|------------------------------|-------------|----------------|-----------------------------|----------|
| BUS - ROLLING STOCK (111-00) | 11.13.04    | BUY            | BUY EXPANSION - CAPITAL BUS | 2        |

**Extended Budget Description**

The City of Winslow is being funded for one expansion bus for their new service that is in the implementation stages and will purchase from the State contract. Navajo Transit is being awarded a

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Propulsion | Fuel Type | Vehicle Condition | Vehicle Size (ft.) |
|------------|-----------|-------------------|--------------------|
| N/A        | Gasoline  | New               | 28                 |

| Funding Source                    | Section of Statute | CFDA Number | Amount           |
|-----------------------------------|--------------------|-------------|------------------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$274,500        |
| Local                             |                    |             | \$30,500         |
| Local/In-Kind                     |                    |             | \$0              |
| State                             |                    |             | \$0              |
| State/In-Kind                     |                    |             | \$0              |
| Other Federal                     |                    |             | \$0              |
| Transportation Development Credit |                    |             | \$0              |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$305,000</b> |

| Milestone Name      | Est. Completion Date | Description  |
|---------------------|----------------------|--|
| RFP/IFB Issue Date  | 4/15/2015            | The State of Arizona solicits and awards GSA style contracts. The current contract for 5311 rolling stock includes all applicable FTA terms and conditions. The State of Arizona will solicit quotations for rolling stock through this process for purchases from the 2018 awarded funds. |
| Contract Award Date | 8/5/2015             | State Contract Award Date  |

|                          |            |                       |
|--------------------------|------------|-----------------------|
| Initial Delivery Date    | 12/30/2015 | Initial Delivery Date |
| Final Delivery Date      | 10/1/2020  | Final Delivery Date   |
| Contract Completion Date | 12/30/2020 | Contract Completion   |

**Budget Activity Line Item: 11.32.09 - ACQUIRE - BUS ROUTE SIGNING**

| Scope Name / Code                      | Line Item # | Line Item Name              | Activity                             | Quantity |
|--|-------------|-----------------------------|--------------------------------------|----------|
| BUS - STATION/STOPS/TERMINALS (113-00) | 11.32.09    | ACQUIRE - BUS ROUTE SIGNING | ACQUISITION - BUS STATIONS/TERMINALS | 10       |

**Extended Budget Description**

The City of Winslow and Payson Senior Center will be installing and upgrading bus route signing for their new 5311 transit services.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source                    | Section of Statute | CFDA Number | Amount          |
|-----------------------------------|--------------------|-------------|-----------------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$18,000        |
| Local                             |                    |             | \$2,000         |
| Local/In-Kind                     |                    |             | \$0             |
| State                             |                    |             | \$0             |
| State/In-Kind                     |                    |             | \$0             |
| Other Federal                     |                    |             | \$0             |
| Transportation Development Credit |                    |             | \$0             |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$20,000</b> |

| Milestone Name | Est. Completion Date | Description                      |
|----------------|----------------------|----------------------------------|
| Start Date     | 10/1/2018            | Begin upgrading route signage    |
| End Date       | 9/30/2019            | Complete upgrading route signage |

**Budget Activity Line Item: 11.7C.00 - NON FIXED ROUTE ADA PARATRANSIT SERVICE**

| Scope Name / Code                  | Line Item # | Line Item Name                          | Activity                  | Quantity |
|------------------------------------|-------------|---|---------------------------|----------|
| OTHER CAPITAL ITEMS (BUS) (117-00) | 11.7C.00    | NON FIXED ROUTE ADA PARATRANSIT SERVICE | OTHER CAPITAL ITEMS (BUS) | 3        |

**Extended Budget Description**

Three agencies are receiving funds for ADA Complementary Paratransit:

City of Benson

City of Bullhead City

City of Cottonwood

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

| Funding Source                    | Section of Statute | CFDA Number | Amount           |
|-----------------------------------|--------------------|-------------|------------------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$227,250        |
| Local                             |                    |             | \$25,250         |
| Local/In-Kind                     |                    |             | \$0              |
| State                             |                    |             | \$0              |
| State/In-Kind                     |                    |             | \$0              |
| Other Federal                     |                    |             | \$0              |
| Transportation Development Credit |                    |             | \$0              |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$252,500</b> |

| Milestone Name | Est. Completion Date | Description                         |
|----------------|----------------------|-------------------------------------|
| Start Date     | 10/1/2018            | ADA Complementary Paratransit begin |
| End Date       | 9/30/2022            | ADA Complementary Paratransit end   |

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

| Scope Name / Code                  | Line Item # | Line Item Name         | Activity                  | Quantity |
|------------------------------------|-------------|------------------------|---------------------------|----------|
| OTHER CAPITAL ITEMS (BUS) (117-00) | 11.7A.00    | PREVENTIVE MAINTENANCE | OTHER CAPITAL ITEMS (BUS) | 13       |

**Extended Budget Description**

13 subrecipients will receive funds for preventive maintenance: Bisbee, City of, City of Benson, City of Coolidge, City of Douglas, City of Maricopa, City of Show Low, Gila River Indian Community, Helping Hands Agency, Hopi Tribe, Navajo Transit System, Payson Senior Center, Inc., Regional Public Transportation Authority and Yavapai Regional Transit, Inc

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source                    | Section of Statute | CFDA Number | Amount    |
|-----------------------------------|--------------------|-------------|-----------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$490,273 |
| Local                             |                    |             | \$122,568 |
| Local/In-Kind                     |                    |             | \$0       |
| State                             |                    |             | \$0       |
| State/In-Kind                     |                    |             | \$0       |
| Other Federal                     |                    |             | \$0       |
| Transportation Development Credit |                    |             | \$0       |

|                            |                  |
|----------------------------|------------------|
| <b>Total Eligible Cost</b> | <b>\$612,841</b> |
|----------------------------|------------------|

| <b>Milestone Name</b> | <b>Est. Completion Date</b> | <b>Description</b>                   |
|-----------------------|-----------------------------|--------------------------------------|
| Start Date            | 10/1/2018                   | Preventive Maintenance start date    |
| End Date              | 9/30/2022                   | Preventive Maintenance complete date |

**Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)**

| <b>Scope Name / Code</b>              | <b>Line Item #</b> | <b>Line Item Name</b>                   | <b>Activity</b>      | <b>Quantity</b> |
|---------------------------------------|--------------------|---|----------------------|-----------------|
| INTERCITY BUS TRANSPORTATION (634-00) | 30.09.02           | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | OPERATING ASSISTANCE | 8               |

**Extended Budget Description**

Operating Assistance for Intercity Bus Transportation is being provided 8 agencies: City of Coolidge, City of Douglas, City of Show Low, Greyhound Lines, Inc., Hopi Tribe, Regional Public Transportation Authority, Regional Transportation Authority of Pima County, and Yuma County Intergovernmental Public Transportation Authority

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| <b>Funding Source</b>             | <b>Section of Statute</b> | <b>CFDA Number</b> | <b>Amount</b>      |
|-----------------------------------|---------------------------|--------------------|--------------------|
| 5311 - Rural Area Formula         | 5311-1A                   | 20509              | \$1,989,326        |
| Local                             |                           |                    | \$0                |
| Local/In-Kind                     |                           |                    | \$1,440,546        |
| State                             |                           |                    | \$0                |
| State/In-Kind                     |                           |                    | \$0                |
| Other Federal                     |                           |                    | \$0                |
| Transportation Development Credit |                           |                    | \$0                |
| <b>Total Eligible Cost</b>        |                           |                    | <b>\$3,429,872</b> |

| <b>Milestone Name</b> | <b>Est. Completion Date</b> | <b>Description</b>  |
|-----------------------|-----------------------------|---|
| Start Date            | 10/1/2018                   | Operating Assistance for Intercity Bus Transportation begin |
| End Date              | 9/30/2022                   | Operating Assistance for Intercity Bus Transportation end   |

**Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)**

| <b>Scope Name / Code</b> | <b>Line Item #</b> | <b>Line Item Name</b>                   | <b>Activity</b> | <b>Quantity</b> |
|--------------------------|--------------------|---|-----------------|-----------------|
| OPERATING ASSISTANCE     | 30.09.02           | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | OPERATING       | 21              |

(300-00)

PILOT ONLY)

ASSISTANCE

**Extended Budget Description**

Operating Assistance is being provided to 21 agencies: Bisbee, City of, City of Benson, City of Bullhead City, City of Coolidge, City of Cottonwood, City of Douglas, City of Kingman, City of Maricopa, City of Show Low, Gila River Indian Community, Helping Hands Agency, Hopi Tribe, Navajo Transit System, Payson Senior Center, Inc., Regional Public Transportation Authority, Regional Transportation Authority of Pima County, Salt River Pima-Maricopa Indian Community, Town of Miami, Town of Quartzsite, Yavapai Regional Transit, Inc. and Yuma County Intergovernmental Public Transportation Authority

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source                    | Section of Statute | CFDA Number | Amount             |
|-----------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$4,122,894        |
| Local                             |                    |             | \$2,766,786        |
| Local/In-Kind                     |                    |             | \$218,758          |
| State                             |                    |             | \$0                |
| State/In-Kind                     |                    |             | \$0                |
| Other Federal                     |                    |             | \$0                |
| Transportation Development Credit |                    |             | \$0                |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$7,108,438</b> |

| Milestone Name | Est. Completion Date | Description                     |
|----------------|----------------------|---------------------------------|
| Start Date     | 10/1/2018            | Operating assistance start date |
| End Date       | 9/30/2022            | Operating assistance end date   |

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

| Scope Name / Code               | Line Item # | Line Item Name         | Activity               | Quantity |
|---------------------------------|-------------|------------------------|------------------------|----------|
| PROJECT ADMINISTRATION (620-00) | 11.79.00    | PROJECT ADMINISTRATION | PROJECT ADMINISTRATION | 23       |

**Extended Budget Description**

23 subrecipients will receive administration funds: Bisbee, City of, City of Benson, City of Bullhead City, City of Coolidge, City of Cottonwood, City of Douglas, City of Kingman, City of Maricopa, City of Show Low, City of Winslow, Gila River Indian Community, Helping Hands Agency, Hopi Tribe, Navajo Transit System, Northern Arizona Intergovernmental Public Transportation Authority, Payson Senior Center, Inc., Regional Public Transportation Authority, Regional Transportation Authority of Pima County, Salt River Pima-Maricopa Indian Community, Town of Miami, Town of Quartzsite, Yavapai Regional Transit, Inc. and Yuma County Intergovernmental Public Transportation Authority

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source                    | Section of Statute | CFDA Number | Amount             |
|-----------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$2,616,338        |
| Local                             |                    |             | \$517,844          |
| Local/In-Kind                     |                    |             | \$136,241          |
| State                             |                    |             | \$0                |
| State/In-Kind                     |                    |             | \$0                |
| Other Federal                     |                    |             | \$0                |
| Transportation Development Credit |                    |             | \$0                |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$3,270,423</b> |

| Milestone Name | Est. Completion Date | Description                  |
|----------------|----------------------|------------------------------|
| Start Date     | 10/1/2018            | Begin program administration |
| End Date       | 9/30/2022            | End program administration   |

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

| Scope Name / Code                        | Line Item # | Line Item Name                  | Activity                       | Quantity |
|--|-------------|---------------------------------|--------------------------------|----------|
| STATE / PROGRAMS ADMINISTRATION (610-00) | 11.80.00    | STATE OR PROGRAM ADMINISTRATION | STATE / PROGRAM ADMINISTRATION | 1        |

**Extended Budget Description**

Funds will be used for eligible program administration activities.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source                    | Section of Statute | CFDA Number | Amount             |
|-----------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$1,251,176        |
| Local                             |                    |             | \$0                |
| Local/In-Kind                     |                    |             | \$0                |
| State                             |                    |             | \$0                |
| State/In-Kind                     |                    |             | \$0                |
| Other Federal                     |                    |             | \$0                |
| Transportation Development Credit |                    |             | \$0                |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$1,251,176</b> |

| Milestone Name | Est. Completion Date | Description       |
|----------------|----------------------|-------------------|
| Start Date     | 10/1/2018            | State admin begin |
| End Date       | 9/30/2022            | State admin end   |

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

| Scope Name / Code                           | Line Item Number | Line Item Name                     | Quantity | FTA Amount     | Total Eligible Cost |
|---|------------------|------------------------------------|----------|----------------|---------------------|
| STATE / PROGRAMS<br>ADMINISTRATION (610-00) | 11.80.00         | STATE OR PROGRAM<br>ADMINISTRATION | 1        | \$1,251,176.00 | \$1,251,176.00      |

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

| Scope Name / Code                  | Line Item Number | Line Item Name            | Quantity | FTA Amount     | Total Eligible Cost |
|------------------------------------|------------------|---------------------------|----------|----------------|---------------------|
| PROJECT<br>ADMINISTRATION (620-00) | 11.79.00         | PROJECT<br>ADMINISTRATION | 23       | \$2,616,338.00 | \$3,270,423.00      |

### Finding: Class II(c) - Categorical Exclusions (C-List)

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date | Description           |
|------|-----------------------|
|      | Class IIc CE Approved |

| Scope Name / Code             | Line Item Number | Line Item Name                          | Quantity | FTA Amount     | Total Eligible Cost |
|-------------------------------|------------------|---|----------|----------------|---------------------|
| OPERATING ASSISTANCE (300-00) | 30.09.02         | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | 21       | \$4,122,894.00 | \$7,108,438.00      |

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date | Description           |
|------|-----------------------|
|      | Class IIc CE Approved |

| Scope Name / Code                     | Line Item Number | Line Item Name                          | Quantity | FTA Amount     | Total Eligible Cost |
|---------------------------------------|------------------|---|----------|----------------|---------------------|
| INTERCITY BUS TRANSPORTATION (634-00) | 30.09.02         | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | 8        | \$1,989,326.00 | \$3,429,872.00      |

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.



### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

| Scope Name / Code                  | Line Item Number | Line Item Name         | Quantity | FTA Amount   | Total Eligible Cost |
|------------------------------------|------------------|------------------------|----------|--------------|---------------------|
| OTHER CAPITAL ITEMS (BUS) (117-00) | 11.7A.00         | PREVENTIVE MAINTENANCE | 13       | \$490,273.00 | \$612,841.00        |

#### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

| Scope Name / Code                  | Line Item Number | Line Item Name                          | Quantity | FTA Amount   | Total Eligible Cost |
|------------------------------------|------------------|---|----------|--------------|---------------------|
| OTHER CAPITAL ITEMS (BUS) (117-00) | 11.7C.00         | NON FIXED ROUTE ADA PARATRANSIT SERVICE | 3        | \$227,250.00 | \$252,500.00        |

#### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning

requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

| Date | Description           |
|------|-----------------------|
|      | Class IIc CE Approved |

| Scope Name / Code                      | Line Item Number | Line Item Name              | Quantity | FTA Amount  | Total Eligible Cost |
|--|------------------|-----------------------------|----------|-------------|---------------------|
| BUS - STATION/STOPS/TERMINALS (113-00) | 11.32.09         | ACQUIRE - BUS ROUTE SIGNING | 10       | \$18,000.00 | \$20,000.00         |

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

##### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date | Description           |
|------|-----------------------|
|      | Class IIc CE Approved |

| Scope Name / Code            | Line Item Number | Line Item Name | Quantity | FTA Amount   | Total Eligible Cost |
|------------------------------|------------------|----------------|----------|--------------|---------------------|
| BUS - ROLLING STOCK (111-00) | 11.13.04         | BUY            | 2        | \$274,500.00 | \$305,000.00        |

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

##### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be

accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

| Scope Name / Code                          | Line Item Number | Line Item Name                   | Quantity | FTA Amount  | Total Eligible Cost |
|--|------------------|----------------------------------|----------|-------------|---------------------|
| BUS: SUPPORT EQUIP AND FACILITIES (114-00) | 11.42.20         | ACQUIRE - MISC SUPPORT EQUIPMENT | 4        | \$40,500.00 | \$45,000.00         |

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

##### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

| Scope Name / Code            | Line Item Number | Line Item Name | Quantity | FTA Amount  | Total Eligible Cost |
|------------------------------|------------------|----------------|----------|-------------|---------------------|
| BUS - ROLLING STOCK (111-00) | 11.14.04         | REHAB/REBUILD  | 2        | \$48,150.00 | \$53,500.00         |

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

##### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description | Date |
|------------------|------|
|------------------|------|

|                       |
|-----------------------|
| Class IIc CE Approved |
|-----------------------|

| Scope Name / Code            | Line Item Number | Line Item Name  | Quantity | FTA Amount   | Total Eligible Cost |
|------------------------------|------------------|-----------------|----------|--------------|---------------------|
| BUS - ROLLING STOCK (111-00) | 11.12.04         | BUY REPLACEMENT | 1        | \$144,000.00 | \$160,000.00        |

|   |
|---|
| <b>Finding: Class II(c) - Categorical Exclusions (C-List)</b> |
|---|

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

| Scope Name / Code            | Line Item Number | Line Item Name      | Quantity | FTA Amount   | Total Eligible Cost |
|------------------------------|------------------|---------------------|----------|--------------|---------------------|
| BUS - ROLLING STOCK (111-00) | 11.12.15         | BUY REPLACEMENT VAN | 2        | \$110,700.00 | \$123,000.00        |

|   |
|---|
| <b>Finding: Class II(c) - Categorical Exclusions (C-List)</b> |
|---|

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|-------------------|------------------|----------------|----------|------------|---------------------|
|-------------------|------------------|----------------|----------|------------|---------------------|

|   |          |   |   |            |             |
|---|----------|---|---|------------|-------------|
| BUS: SUPPORT EQUIP<br>AND FACILITIES (114-<br>00) | 11.42.09 | ACQUIRE - MOBILE<br>SURV/SECURITY EQUIP | 6 | \$9,000.00 | \$10,000.00 |
|---|----------|---|---|------------|-------------|

|   |
|---|
| <b>Finding: Class II(c) - Categorical Exclusions (C-List)</b> |
|---|

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

| Scope Name / Code            | Line Item Number | Line Item Name | Quantity | FTA Amount  | Total Eligible Cost |
|------------------------------|------------------|----------------|----------|-------------|---------------------|
| BUS - ROLLING STOCK (111-00) | 11.18.15         | LEASE VANS     | 15       | \$34,560.00 | \$38,400.00         |

|   |
|---|
| <b>Finding: Class II(c) - Categorical Exclusions (C-List)</b> |
|---|

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

| Scope Name / Code            | Line Item Number | Line Item Name            | Quantity | FTA Amount  | Total Eligible Cost |
|------------------------------|------------------|---------------------------|----------|-------------|---------------------|
| BUS - ROLLING STOCK (111-00) | 11.13.15         | BUY VAN FOR SVC EXPANSION | 2        | \$72,000.00 | \$80,000.00         |

## Project Title: RTAP 5311 FY2018

| Project Number    | Temporary Project Number | Date Created | Start Date | End Date  |
|-------------------|--------------------------|--------------|------------|-----------|
| AZ-2018-017-02-00 | 1635-2018-1-P3           | 6/12/2018    | 9/30/2018  | 9/29/2022 |

### Project Description

This application includes the 2018 apportionment for RTAP.

RTAP FY 2017 Apportionment \$174,040.

These funds will provide training and support for grantees statewide.

### Project Benefits

These funds will provide training and support for grantees statewide.

### Additional Information

*None provided.*

### Location Description

Grantees statewide benefit from RTAP training and projects.

## Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 040000   | Arizona   |

## Congressional District Information

| State   | District | Representative   |
|---------|----------|------------------|
| Arizona | 2        | Martha McSally   |
| Arizona | 3        | Raul M Grijalva  |
| Arizona | 4        | Paul A Gosar     |
| Arizona | 6        | David Schweikert |
| Arizona | 7        | Ruben Gallego    |
| Arizona | 8        | Trent Franks     |
| Arizona | 1        | Tom O'Halleran   |
| Arizona | 5        | Andrew S Biggs   |
| Arizona | 9        | Kyrsten Sinema   |

## Project Control Totals

| Funding Source            | Section of Statute | CFDA Number | Amount    |
|---------------------------|--------------------|-------------|-----------|
| 5311 - Rural Area Formula | 5311-1A            | 20509       | \$174,040 |
| Local                     |                    |             | \$0       |

|                                   |  |  |                  |
|-----------------------------------|--|--|------------------|
| Local/In-Kind                     |  |  | \$0              |
| State                             |  |  | \$0              |
| State/In-Kind                     |  |  | \$0              |
| Other Federal                     |  |  | \$0              |
| Transportation Development Credit |  |  | \$0              |
| <b>Total Eligible Cost</b>        |  |  | <b>\$174,040</b> |

## Project Budget

| Project Number    | Budget Item                                      | FTA Amount   | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|--------------|----------------|-----------------------|----------|
| AZ-2018-017-02-00 | 635-00 (635-A1) RURAL TRANSIT ASSISTANCE PROGRAM | \$174,040.00 | \$0.00         | \$174,040.00          | 1        |
| AZ-2018-017-02-00 | 43.50.01 TRAINING                                | \$174,040.00 | \$0.00         | \$174,040.00          | 1        |

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

| Scope Name / Code                         | Line Item # | Line Item Name | Activity                   | Quantity |
|---|-------------|----------------|----------------------------|----------|
| RURAL TRANSIT ASSISTANCE PROGRAM (635-00) | 43.50.01    | TRAINING       | RURAL TRANSIT ASST PROGRAM | 1        |

### Extended Budget Description

Funding will be used for eligible statewide RTAP Trainings / Scholarships for sub-recipients.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source                    | Section of Statute | CFDA Number | Amount           |
|-----------------------------------|--------------------|-------------|------------------|
| 5311 - Rural Area Formula         | 5311-1A            | 20509       | \$174,040        |
| Local                             |                    |             | \$0              |
| Local/In-Kind                     |                    |             | \$0              |
| State                             |                    |             | \$0              |
| State/In-Kind                     |                    |             | \$0              |
| Other Federal                     |                    |             | \$0              |
| Transportation Development Credit |                    |             | \$0              |
| <b>Total Eligible Cost</b>        |                    |             | <b>\$174,040</b> |

| Milestone Name | Est. Completion Date | Description        |
|----------------|----------------------|--------------------|
| Start Date     | 10/1/2018            | RTAP start date    |
| End Date       | 9/30/2022            | RTAP Complete date |

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

### Project Title: 5311 STBG FY 2017

| Project Number    | Temporary Project Number | Date Created | Start Date | End Date  |
|-------------------|--------------------------|--------------|------------|-----------|
| AZ-2018-017-03-00 | 1635-2018-1-P4           | 6/12/2018    | 4/14/2015  | 9/29/2022 |

#### Project Description

5311 FY2017 STBG Apportionment Summary:

This project is funding state administration (\$50,000) and 9 Sub-recipients (\$950,000).

This project will include 1 replacement < 30ft bus, 1 replacement van and 1 expansion van. A Federal ratio of 90/10 will apply. Preventive maintenance for 8 agencies is included in this project at a Federal ratio of 80/20.

Capital - \$211,484 - 90/10

Capital Preventive Maintenance - \$738,516 - 80/20

State Admin - \$50,000 (100%)

Total Federal share: \$1,000,000

Total Project cost: \$1,208,127

Amounts below shown in FED:

City of Bullhead City Preventive Maintenance \$152,116



City of Cottonwood Preventive Maintenance \$192,000  
City of Kingman Preventive Maintenance \$52,000  
Helping Hands Agency Replacement: Bus < 30 FT \$117,884  
Regional Transportation Authority of Pima County Preventive Maintenance \$168,000  
Salt River Pima-Maricopa Indian Community Expansion: Van \$46,800.00, Preventive Maintenance \$20,000, Replacement: Van \$46,800  
Town of Miami Preventive Maintenance \$26,400  
Town of Quartzsite Preventive Maintenance \$8,000  
Yuma County Intergovernmental Public Transportation Authority Preventative Maintenance \$120,000

#### Project Benefits

Please see project description.

#### Additional Information

*None provided.*

#### Location Description

This funding will benefit 9 transit agencies statewide.

### Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 040000   | Arizona   |

### Congressional District Information

| State   | District | Representative   |
|---------|----------|------------------|
| Arizona | 2        | Martha McSally   |
| Arizona | 3        | Raul M Grijalva  |
| Arizona | 4        | Paul A Gosar     |
| Arizona | 6        | David Schweikert |
| Arizona | 7        | Ruben Gallego    |
| Arizona | 8        | Trent Franks     |
| Arizona | 1        | Tom O'Halleran   |
| Arizona | 5        | Andrew S Biggs   |
| Arizona | 9        | Kyrsten Sinema   |

### Project Control Totals

| Funding Source                          | Section of Statute | CFDA Number | Amount      |
|---|--------------------|-------------|-------------|
| FHWA Transfer to 5311Rural Area Formula | 5311-2             | 20509       | \$1,000,000 |
| Local                                   |                    |             | \$208,127   |
| Local/In-Kind                           |                    |             | \$0         |
| State                                   |                    |             | \$0         |

|                                   |  |                    |
|-----------------------------------|--|--------------------|
| State/In-Kind                     |  | \$0                |
| Other Federal                     |  | \$0                |
| Transportation Development Credit |  | \$0                |
| <b>Total Eligible Cost</b>        |  | <b>\$1,208,127</b> |

## Project Budget

| Project Number    | Budget Item                                     | FTA Amount   | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|--------------|----------------|-----------------------|----------|
| AZ-2018-017-03-00 | 111-00 (111-A4) BUS - ROLLING STOCK             | \$211,484.00 | \$23,498.00    | \$234,982.00          | 3        |
| AZ-2018-017-03-00 | 11.12.04 BUY REPLACEMENT                        | \$117,884.00 | \$13,098.00    | \$130,982.00          | 1        |
| AZ-2018-017-03-00 | 11.12.15 BUY REPLACEMENT VAN                    | \$46,800.00  | \$5,200.00     | \$52,000.00           | 1        |
| AZ-2018-017-03-00 | 11.13.15 BUY VAN FOR SVC EXPANSION              | \$46,800.00  | \$5,200.00     | \$52,000.00           | 1        |
| AZ-2018-017-03-00 | 117-00 (117-A3) OTHER CAPITAL ITEMS (BUS)       | \$738,516.00 | \$184,629.00   | \$923,145.00          | 8        |
| AZ-2018-017-03-00 | 11.7A.00 PREVENTIVE MAINTENANCE                 | \$738,516.00 | \$184,629.00   | \$923,145.00          | 8        |
| AZ-2018-017-03-00 | 610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION | \$50,000.00  | \$0.00         | \$50,000.00           | 1        |
| AZ-2018-017-03-00 | 11.80.00 STATE OR PROGRAM ADMINISTRATION        | \$50,000.00  | \$0.00         | \$50,000.00           | 1        |

## Project Budget Activity Line Items

| Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN |             |                     |                                |          |
|---|-------------|---------------------|--------------------------------|----------|
| Scope Name / Code   | Line Item # | Line Item Name      | Activity                       | Quantity |
| BUS - ROLLING STOCK (111-00)                              | 11.12.15    | BUY REPLACEMENT VAN | BUY REPLACEMENTS - CAPITAL BUS | 1        |

### Extended Budget Description

Salt River Pima-Maricopa Indian Community will purchase one replacement van, replacing VIN#2117 using the State contract.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Propulsion | Fuel Type | Vehicle Condition | Vehicle Size (ft.) |
|------------|-----------|-------------------|--------------------|
| N/A        | Gasoline  | New               | 20'                |

| Funding Source                          | Section of Statute | CFDA Number | Amount          |
|---|--------------------|-------------|-----------------|
| FHWA Transfer to 5311Rural Area Formula | 5311-2             | 20509       | \$46,800        |
| Local                                   |                    |             | \$5,200         |
| Local/In-Kind                           |                    |             | \$0             |
| State                                   |                    |             | \$0             |
| State/In-Kind                           |                    |             | \$0             |
| Other Federal                           |                    |             | \$0             |
| Transportation Development Credit       |                    |             | \$0             |
| <b>Total Eligible Cost</b>              |                    |             | <b>\$52,000</b> |

| Milestone Name           | Est. Completion Date | Description  |
|--------------------------|----------------------|--|
| RFP/IFB Issue Date       | 4/15/2015            | The State of Arizona solicits and awards GSA style contracts. The current contract for 5311 rolling stock includes all applicable FTA terms and conditions. The State of Arizona will solicit quotations for rolling stock through this process for purchases from the 2018 awarded funds. |
| Contract Award Date      | 8/15/2015            | State Contract Award Date  |
| Initial Delivery Date    | 12/30/2015           | Initial Delivery Date  |
| Final Delivery Date      | 10/12/2020           | Final Delivery Date  |
| Contract Completion Date | 12/30/2020           | Contract Completion Date   |

**Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION**

| Scope Name / Code            | Line Item # | Line Item Name            | Activity                    | Quantity |
|------------------------------|-------------|---------------------------|-----------------------------|----------|
| BUS - ROLLING STOCK (111-00) | 11.13.15    | BUY VAN FOR SVC EXPANSION | BUY EXPANSION - CAPITAL BUS | 1        |

**Extended Budget Description**

Salt River Pima-Maricopa Indian Community will buy one van for service expansion using the State contract.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Propulsion | Fuel Type | Vehicle Condition | Vehicle Size (ft.) |
|------------|-----------|-------------------|--------------------|
| N/A        | Gasoline  | New               | 20'                |

| Funding Source                          | Section of Statute | CFDA Number | Amount          |
|---|--------------------|-------------|-----------------|
| FHWA Transfer to 5311Rural Area Formula | 5311-2             | 20509       | \$46,800        |
| Local                                   |                    |             | \$5,200         |
| Local/In-Kind                           |                    |             | \$0             |
| State                                   |                    |             | \$0             |
| State/In-Kind                           |                    |             | \$0             |
| Other Federal                           |                    |             | \$0             |
| Transportation Development Credit       |                    |             | \$0             |
| <b>Total Eligible Cost</b>              |                    |             | <b>\$52,000</b> |

| Milestone Name           | Est. Completion Date | Description  |
|--------------------------|----------------------|--|
| RFP/IFB Issue Date       | 4/15/2015            | The State of Arizona solicits and awards GSA style contracts. The current contract for 5311 rolling stock includes all applicable FTA terms and conditions. The State of Arizona will solicit quotations for rolling stock through this process for purchases from the 2018 awarded funds. |
| Contract Award Date      | 8/15/2015            | State Contract Award Date  |
| Initial Delivery Date    | 12/30/2015           | Initial Delivery Date  |
| Final Delivery Date      | 10/1/2020            | Final Delivery Date  |
| Contract Completion Date | 12/30/2020           | Contract Completion Date   |

**Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT**

| Scope Name / Code            | Line Item # | Line Item Name  | Activity                       | Quantity |
|------------------------------|-------------|-----------------|--------------------------------|----------|
| BUS - ROLLING STOCK (111-00) | 11.12.04    | BUY REPLACEMENT | BUY REPLACEMENTS - CAPITAL BUS | 1        |

**Extended Budget Description**

Helping Hands Agency will be purchasing 1

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Propulsion | Fuel Type | Vehicle Condition | Vehicle Size (ft.) |
|------------|-----------|-------------------|--------------------|
| N/A        | Gasoline  | New               | 23'                |

| Funding Source                          | Section of Statute | CFDA Number | Amount           |
|---|--------------------|-------------|------------------|
| FHWA Transfer to 5311Rural Area Formula | 5311-2             | 20509       | \$117,884        |
| Local                                   |                    |             | \$13,098         |
| Local/In-Kind                           |                    |             | \$0              |
| State                                   |                    |             | \$0              |
| State/In-Kind                           |                    |             | \$0              |
| Other Federal                           |                    |             | \$0              |
| Transportation Development Credit       |                    |             | \$0              |
| <b>Total Eligible Cost</b>              |                    |             | <b>\$130,982</b> |

| Milestone Name           | Est. Completion Date | Description  |
|--------------------------|----------------------|--|
| RFP/IFB Issue Date       | 4/15/2015            | The State of Arizona solicits and awards GSA style contracts. The current contract for 5311 rolling stock includes all applicable FTA terms and conditions. The State of Arizona will solicit quotations for rolling stock through this process for purchases from the 2018 awarded funds. |
| Contract Award Date      | 8/15/2015            | State Contract Award Date  |
| Initial Delivery Date    | 12/30/2015           | Initial Delivery Date  |
| Final Delivery Date      | 10/1/2020            | Final Delivery Date  |
| Contract Completion Date | 12/30/2020           | Contract Completion Date   |

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

| Scope Name / Code                  | Line Item # | Line Item Name         | Activity                  | Quantity |
|------------------------------------|-------------|------------------------|---------------------------|----------|
| OTHER CAPITAL ITEMS (BUS) (117-00) | 11.7A.00    | PREVENTIVE MAINTENANCE | OTHER CAPITAL ITEMS (BUS) | 8        |

**Extended Budget Description**

8 agencies will be receiving preventive maintenance. All amounts shown in Fed.

City of Bullhead City \$152,116

City of Cottonwood \$192,000

City of Kingman \$52,000

Regional Transportation Authority of Pima County \$168,000

Salt River Pima-Maricopa Indian Community \$20,000

Town of Miami \$26,400

Town of Quartzsite \$8,000

Yuma County Intergovernmental Public Transportation Authority \$120,000

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source                          | Section of Statute | CFDA Number | Amount           |
|---|--------------------|-------------|------------------|
| FHWA Transfer to 5311Rural Area Formula | 5311-2             | 20509       | \$738,516        |
| Local                                   |                    |             | \$184,629        |
| Local/In-Kind                           |                    |             | \$0              |
| State                                   |                    |             | \$0              |
| State/In-Kind                           |                    |             | \$0              |
| Other Federal                           |                    |             | \$0              |
| Transportation Development Credit       |                    |             | \$0              |
| <b>Total Eligible Cost</b>              |                    |             | <b>\$923,145</b> |

| Milestone Name | Est. Completion Date | Description                          |
|----------------|----------------------|--------------------------------------|
| Start Date     | 10/1/2018            | Preventive Maintenance start date    |
| End Date       | 9/30/2022            | Preventive Maintenance complete date |

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

| Scope Name / Code                        | Line Item # | Line Item Name                  | Activity                       | Quantity |
|--|-------------|---------------------------------|--------------------------------|----------|
| STATE / PROGRAMS ADMINISTRATION (610-00) | 11.80.00    | STATE OR PROGRAM ADMINISTRATION | STATE / PROGRAM ADMINISTRATION | 1        |

**Extended Budget Description**

STBG Flex Funds will be used for eligible program administration activities.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

| Funding Source                          | Section of Statute | CFDA Number | Amount          |
|---|--------------------|-------------|-----------------|
| FHWA Transfer to 5311Rural Area Formula | 5311-2             | 20509       | \$50,000        |
| Local                                   |                    |             | \$0             |
| Local/In-Kind                           |                    |             | \$0             |
| State                                   |                    |             | \$0             |
| State/In-Kind                           |                    |             | \$0             |
| Other Federal                           |                    |             | \$0             |
| Transportation Development Credit       |                    |             | \$0             |
| <b>Total Eligible Cost</b>              |                    |             | <b>\$50,000</b> |

| Milestone Name | Est. Completion Date | Description                     |
|----------------|----------------------|---------------------------------|
| Start Date     | 10/1/2018            | Program Administration Start    |
| End Date       | 9/30/2022            | Program Administration Complete |

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

| Scope Name / Code                        | Line Item Number | Line Item Name                  | Quantity | FTA Amount  | Total Eligible Cost |
|--|------------------|---------------------------------|----------|-------------|---------------------|
| STATE / PROGRAMS ADMINISTRATION (610-00) | 11.80.00         | STATE OR PROGRAM ADMINISTRATION | 1        | \$50,000.00 | \$50,000.00         |

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

| Scope Name / Code                  | Line Item Number | Line Item Name         | Quantity | FTA Amount   | Total Eligible Cost |
|------------------------------------|------------------|------------------------|----------|--------------|---------------------|
| OTHER CAPITAL ITEMS (BUS) (117-00) | 11.7A.00         | PREVENTIVE MAINTENANCE | 8        | \$738,516.00 | \$923,145.00        |

### Finding: Class II(c) - Categorical Exclusions (C-List)

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date | Description           |
|------|-----------------------|
|      | Class IIc CE Approved |

| Scope Name / Code            | Line Item Number | Line Item Name  | Quantity | FTA Amount   | Total Eligible Cost |
|------------------------------|------------------|-----------------|----------|--------------|---------------------|
| BUS - ROLLING STOCK (111-00) | 11.12.04         | BUY REPLACEMENT | 1        | \$117,884.00 | \$130,982.00        |

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date | Description           |
|------|-----------------------|
|      | Class IIc CE Approved |

| Scope Name / Code            | Line Item Number | Line Item Name            | Quantity | FTA Amount  | Total Eligible Cost |
|------------------------------|------------------|---------------------------|----------|-------------|---------------------|
| BUS - ROLLING STOCK (111-00) | 11.13.15         | BUY VAN FOR SVC EXPANSION | 1        | \$46,800.00 | \$52,000.00         |

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.



## Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

| Scope Name / Code            | Line Item Number | Line Item Name      | Quantity | FTA Amount  | Total Eligible Cost |
|------------------------------|------------------|---------------------|----------|-------------|---------------------|
| BUS - ROLLING STOCK (111-00) | 11.12.15         | BUY REPLACEMENT VAN | 1        | \$46,800.00 | \$52,000.00         |

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

There are no review comments to display at this time.

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-24, October 1, 2017)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND

CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Transportation, Arizona Dept Of

Recipient ID: 1635

DUNS No: 098416667

#### **Application Information**

Federal Award Identification Number: AZ-2018-017-00

Application Name: AZ 5311 Rural Public Transit Funds - Combined Formula and STBG FY 2018

Application Start Date: The start date will be set to the date of the award

Application End Date: 9/29/2022

Application Executive Summary: This application contains 5311 Formula (11,622,707) and STBG (\$1,000,000) federal funds for a federal total of \$12,622,707 awarded to 24 sub-recipients. Two new 5311 subrecipients are receiving funds in this application: Payson Senior Center and the City of Winslow.

Please obligate:

5311 FY 2018 Formula Apportionment \$11,448,667 (includes 440,000 in federal funds from the FY 2017 apportionment not originally obligated)

RTAP FY 2018 Apportionment \$174,040

5311 FY2017 STBG Apportionment \$1,000,000

Total Federal Share \$12,622,707

Total Project Cost \$18,142,317

Three (3) tribes requested transfer of award to FTA as direct recipients per FTA's Circular 9040.1G, pending approval by FTA. This represents the first year that White Mountain Apache Tribe has requested funds through ADOT.

Hualapai Indian Tribe (\$539,000 fed)

San Carlos Apache Tribe (\$700,586 fed)

White Mountain Apache (\$263,500 fed)

5311 FY 2018 Formula Apportionment Summary:

State administration \$1,251,176 (fed)

RTAP \$174,040 (fed)

24 Sub-recipients \$10,197,491 (fed)  
Total project cost: \$11,622,707 (fed), \$16,934,190 (total)

24 Sub-recipients will receive the following amounts (fed):  
Project Administration (Transit Programs): \$2,616,338 - 80/20  
Operating: \$4,122,894 - 58/42  
Intercity: \$1,989,326 - 58/42  
Capital: \$978,660 - 90/10  
Capital: \$490,273 - 80/20 (Preventive Maintenance)

This project will include ADA Complementary Paratransit, Bus Signage, Bus Stop Improvements, 2 Expansion: Buses < 30 FT, 2 Expansion Vans, Portable Mobile Lift, 2 Bus Rehabilitation, 1 Replacement Buses < 30 FT, 2 Replacement Vans, 1 Security System and 1 Vanpool project. A Federal ratio of 90/10 will apply.

#### 5311 FY2017 STBG Apportionment Summary:

This project is funding state administration and 9 Sub-recipients.

This project will include 1 replacement < 30ft bus, 1 replacement van and 1 expansion van. A Federal ratio of 90/10 will apply. Preventive maintenance for 8 agencies is included in this project at a Federal ratio of 80/20.

Capital - \$211,484 - 90/10  
Capital Preventive Maintenance - \$738,516 - 80/20  
State Admin - \$50,000 (100%)

Total Federal share: \$1,000,000  
Total Project cost: \$1,208,127

Higher federal share rates for capital costs is available to Arizona who has obtained a signed agreement with FHWA under 23 U.S.C. 120(b) (2). The maximum federal match allowed for Arizona is 94.3% for capital projects and 58.94% for operating. Arizona chooses to use a 90% federal match for capital and a 58% federal match for operating. Preventive Maintenance is included in this project with an 80% federal match and ADA Complementary Paratransit is included with a 90% federal match.

For more information on the scope please see the extended budget description in the body of the application.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

#### **Application Budget**

Total Application Budget: \$18,142,317.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$12,622,707.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$5,519,610.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$12,622,707.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$5,519,610.00

### Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| Funding Source                          | Section of Statute | CFDA Number | Amount              |
|---|--------------------|-------------|---------------------|
| 5311 - Rural Area Formula               | 5311-1A            | 20509       | \$11,622,707        |
| FHWA Transfer to 5311Rural Area Formula | 5311-2             | 20509       | \$1,000,000         |
| Local                                   |                    |             | \$3,724,065         |
| Local/In-Kind                           |                    |             | \$1,795,545         |
| State                                   |                    |             | \$0                 |
| State/In-Kind                           |                    |             | \$0                 |
| Other Federal                           |                    |             | \$0                 |
| Transportation Development Credit       |                    |             | \$0                 |
| <b>Total Eligible Cost</b>              |                    |             | <b>\$18,142,317</b> |

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

### Special Conditions

There are no special conditions.

### FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*Transportation, Arizona Dept Of*