



October 17, 2017

Mr. Barry J. Kenny, President
United Association of Plumbers & Steamfitters Local 230
6313 Nancy Ridge Dr.
San Diego, CA 92121

Case Number: 520-6010279 [REDACTED]
LM Number: 022-553

Dear Mr. Kenny:

This office has recently completed an audit of United Association of Plumbers & Steamfitters Local 230 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with you and Office Manager Jerilynn Johnston on October 17, 2017, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Reporting Violations

The audit disclosed a violation of LMRDA Section 201(b), which requires labor organizations to file annual financial reports accurately disclosing their financial condition and operations. The Labor Organization Annual Report Form LM-2 filed by Local 230 for the fiscal year ended December 31, 2015, was deficient in that:

Failure to File Bylaws

The audit disclosed a violation of LMRDA Section 201(a), which requires that a union submit a copy of its revised constitution and bylaws with its LM report when it makes changes to its constitution or bylaws. Local 230 amended its bylaws in 2015, but did not file a copy with its LM report for that year.

Local 230 has now filed a copy of its constitution and bylaws.

I want to extend my personal appreciation United Association of Plumbers & Steamfitters Local 230 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,

Investigator

cc: Mr. Dennis M. Hartley, Business Manager/Financial Secretary-Treasurer