



December 29, 2017

Case Number: 130-6009477 [REDACTED]  
LM Number: 540585

Peter Williams, Financial Secretary  
United Steelworkers Union Local 4-9374  
47 Massachusetts Avenue  
Bay Shore, NY 11706

Dear Peter Williams:

This office has recently completed an audit of United Steelworkers Union Local 4-9374 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with Vice President Albert Caldiero, attorney Alex Kaminsky, and you on September 18, 2017, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

#### Reporting Violations

The audit disclosed a violation of LMRDA Section 201(b), which requires labor organizations to file annual financial reports accurately disclosing their financial condition and operations. Each person required to file a report under section 201(b) shall file such report within ninety days after the end of each of its fiscal years. The investigation revealed that the Form LM-3 Labor Organization Annual Report for fiscal year end December 31, 2015, was subsequently filed after the ninety-day deadline of March 31, 2016.

I want to extend my personal appreciation to United Steelworkers Union Local 4-9374 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,

[REDACTED]  
Investigator

cc: Stan Johnson, International Secretary-Treasurer  
Kimbery Rowehl, Local 4-9373 President