



September 16, 2016

Mr. Dyther C. Waltermate, President
National Treasury Employees Union Chapter 36
2850 NE Independence Ave
Lee's Summit, MO 64064

Case Number: 510-6008993
LM Number: 501244

Dear Mr. Waltermate:

This office has recently completed an audit of NTEU Chapter 36 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Civil Service Reform Act of 1978 (CSRA), 5 U.S.C. 7120, and the Department's regulations, 29 CFR 458. As discussed during the exit interview with you and Treasurer Sabrina Sandeen on August 31, 2016, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Reporting Violation

Pursuant to 29 C.F.R. Section 458.3, the requirement under 29 C.F.R. Section 402.4 implementing LMRDA Section 201(a) is made applicable to labor organizations subject to the requirements of the CSRA. This provision requires labor organizations to file copies of any revised constitution and bylaws when it files its annual financial report. The audit disclosed a violation of this requirement. Chapter 36 amended its constitution and bylaws in 2002, but did not file the required copies with its LM report for that year. Chapter 36 has now filed a copy of its constitution and bylaws.

I want to extend my personal appreciation to NTEU Chapter 36 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,

Investigator

cc: Ms. Sabrina M. Sandeen, Treasurer