

U.S. Department of Labor

Office of Labor-Management Standards
New York District Office
201 Varick Street, Suite 878
New York, NY 10014
(646) 264-3190 Fax: (646) 264-3191



June 14, 2016

Barbara Johnson
Treasury Employees (NTEU)
Local 271
2 Metrotech Center, 7th Floor
Brooklyn, NY 11201

Case Number: 130-6003516 [REDACTED]
LM Number: 540471

Dear Ms. Johnson:

This office has recently completed an audit of Local 271 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed with you during the exit interview on June 13, 2016, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

The audit disclosed a violation of LMRDA Section 201(b), which requires labor organizations to file annual financial reports accurately disclosing their financial condition and operations. The Labor Organization Annual Report (Form LM-3) filed by Local 271 for the fiscal year ended September 30, 2014, was deficient in that it appears there is an imbalance with the cash figures reported in Item 25.

Local 271 must file an amended Form LM-3 for the fiscal year ending September 30, 2014, to correct the deficient item discussed above. The amended Form LM-3 should be submitted to this office at the above address as soon as possible, but not later than June 27, 2016. Before filing, review the report thoroughly to be sure it is complete, accurate, and signed properly with original signatures.

I strongly recommended that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,

[REDACTED]
Investigator