



September 24, 2010

Mrs. Valerie Schropp, General President
American Postal Workers Union, AFL-CIO
Local 1291
P.O. Box 750174
Petaluma, CA 94975-0174

Case Number: [REDACTED]
LM Number: 092652

Dear Mrs. Schropp:

This office has recently completed an audit of American Postal Workers Union, AFL-CIO, Local 1291 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with you, Executive Vice President David Swaney, and Secretary Treasurer Tracy Blakely on September 24, 2010, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Reporting Violations

The audit disclosed a violation of LMRDA Section 201(b), which requires labor organizations to file annual financial reports accurately disclosing their financial condition and operations. The Labor Organization Annual Report Form LM-3 filed by American Postal Workers Union (APWU) Local 1291 for fiscal year ending December 31, 2009, was deficient in the following area.

Failure to File Bylaws

The audit disclosed a violation of LMRDA Section 201(a), which requires that a union submit a copy of its revised constitution or bylaws with its LM report when it makes changes to its constitution or bylaws. APWU Local 1291 amended its constitution and by-laws in May of 2005 but did not file a copy with its LM report for that year.

APWU Local 1291 has now filed a copy of its constitution and by-laws.

I want to extend my personal appreciation to Postal Workers, American, AFL-CIO for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,

[REDACTED]

Investigator

cc: Mr. David Swaney, Executive Vice President
Mrs. Tracy Blakely, Secretary Treasurer