

U.S. Department of Labor

Employment Standards Administration
Office of Labor-Management Standards
Kansas City Resident Investigative Office
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September 12, 2007

Mr. Scott Forbes, Financial Secretary-Treasurer
Plumbers AFL-CIO
Local 533
8600 Hillcrest Road
Kansas City, Missouri 64138

LM File Number 035-249

Case Number: [REDACTED]

Dear Mr. Forbes:

This office has recently completed an audit of Plumbers Local 533 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with you on August 31, 2007, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Reporting Violations

The audit disclosed a violation of LMRDA Section 201(b), which requires labor organizations to file annual financial reports accurately disclosing their financial condition and operations. The Labor Organization Annual Report (Form LM-2) filed by Local 533 for fiscal year ending December 31, 2006, was deficient in the following areas:

1. Title of Officers

Local 533 failed to identify all of the officers by their correct positions. The president, vice president, recording secretary, inside guard, finance committee and examining committee members were listed as board members.

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2. Failure to File Bylaws

The audit disclosed a violation of LMRDA Section 201(a), which requires that a union submit a copy of its revised constitution and bylaws with its LM report when it makes changes to its constitution and bylaws. Local 533 amended its constitution and bylaws in 2002, but did not file a copy with its LM report for that year. Local 533 has now filed a copy of its constitution and bylaws.

I am not requiring that Local 533 file an amended LM report for 2006 to correct the deficient items, but Local 533 has agreed to properly report the deficient items on all future reports it files with OLMS.

I want to extend my personal appreciation to Plumbers Local 533 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,


Investigator

cc: Duane Douglas, President