

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

|                                                   |                                                           |
|---------------------------------------------------|-----------------------------------------------------------|
| <b>Federal Award Identification Number (FAIN)</b> | AZ-2026-016                                               |
| <b>Application Number</b>                         | AZ-2026-016-00                                            |
| <b>Temporary Application Number</b>               | 6721-2026-2                                               |
| <b>Award Name</b>                                 | FY2026 5311 TTP Operating Assistance and Vehicle Purchase |
| <b>Application Status</b>                         | Final Concurrence / Reservation                           |
| <b>Budget Revisions</b>                           | 0                                                         |

|                                         |           |               |               |
|-----------------------------------------|-----------|---------------|---------------|
| Period of Performance Start Date        | N/A       |               |               |
| Original Period of Performance End Date | 1/31/2030 |               |               |
| Current Period of Performance End Date  | 1/31/2030 | Revision #: 0 | Approved?: No |

|                                 |           |
|---------------------------------|-----------|
| <b>Budget Period Start Date</b> | N/A       |
| <b>Budget Period End Date</b>   | 1/31/2030 |

## Part 1: Recipient Information

**Name: YAVAPAI APACHE NATION**

| Recipient ID | Recipient OST Type | Recipient Alias       | UEI          | DUNS      |
|--------------|--------------------|-----------------------|--------------|-----------|
| 6721         | Indian Tribe       | Yavapai Apache Nation | SAGLFZKK7UK2 | 017872552 |

| Location Type    | Address              | City       | State | Zip   |
|------------------|----------------------|------------|-------|-------|
| Mailing Address  | 2400 W. DATSI STREET | CAMP VERDE | AZ    | 86322 |
| Physical Address | 2400 W DATSI ST      | CAMP VERDE | AZ    | 86322 |

## Union Information

There are no union contacts for this application

## Part 2: Application Information

|                                                                         |
|-------------------------------------------------------------------------|
| <b>Title: FY2026 5311 TTP Operating Assistance and Vehicle Purchase</b> |
|-------------------------------------------------------------------------|

| Application Number | Application Status              | Award Type | Application Cost Center | Date Created | Last Updated Date | From TEAM? |
|--------------------|---------------------------------|------------|-------------------------|--------------|-------------------|------------|
| AZ-2026-016-00     | Final Concurrence / Reservation | Grant      | Region 9                | 8/4/2026     | 8/4/2026          | No         |

### Application Executive Summary

The Yavapai-Apache Nation is seeking funding through FY 2026 5311 Tribal Formula Funds in the amount of \$564,614 to strengthen and improve department stability, expand community access to essential services, and ensure safety and reliability remain at the forefront of operational goals.

Overall Grant Funding:

Total Federal Funds \$564,614

No Match

Total Eligible Project Cost \$564,614

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is not using Pre-Award Authority.

### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

### Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

### Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

### Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

### Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

The Yavapai-Apache Nation (YAN) has successfully operated a public transit system located in the Verde Valley for over 16 years. The operation covers three municipalities in the Greater Verde Valley area including the Yavapai-Apache Nation communities and rural Yavapai County. Awarded funding will be used to assist with any necessary improvements and the continued operation of the Yavapai-Apache Nation Transit System. Funds received will be used for employee salaries, benefits, maintenance, fuel, operational supplies, training/travel and to purchase additional transit vehicle. In addition, funding will be used for continued safety training for all transit employees.

**Activities to be performed:**

The proposed activities will include a review of current employee status to ensure appropriate adjustment of salaries and benefits, routine preventative maintenance and safety inspections of agency vehicles, support standard employee safety and operational trainings, and allow for the purchase of one expansion vehicle.

**Expected outcomes:**

Expected outcomes include retention of qualified employees and reduced turnover, ensuring vehicles remain compliant with safety and regulatory standards, increased employee competency and skills, increased transportation availability through fleet reliability, and improvements of overall program efficiency.

**Intended beneficiaries:**

Beneficiaries include members of the Yavapai-Apache Nation, Verde Valley residents of Central Arizona, Yavapai County residents, department employees, and all other members of the general public that utilize the YAN Transit services. All beneficiaries will gain reliable access to additional medical facilities, commercial areas, educational and public resources, and beyond within Yavapai County.

**Subrecipient Activities:**

No sub-recipients will be included in this funding.

**Application Point of Contact Information**

| First Name | Last Name | Title                                | E-mail Address         | Phone          |
|------------|-----------|--------------------------------------|------------------------|----------------|
| Robert     | Mills     | Contracts & Grants Manager           | rmills@yan-tribe.org   | (928) 567-1090 |
| Robert     | Buckley   | Supervisor Transportation Specialist | Robert.Buckley@dot.gov | (404) 865-5618 |
| Michelle   | Ruan      | Community Planner                    | michelle.ruan@dot.gov  | 213-757-5985   |

**Application Budget Control Totals**

| Funding Source                        | Section of Statute | CFDA Number | Amount    |
|---------------------------------------|--------------------|-------------|-----------|
| 5311 - Tribal Transit Program Formula | 5311-1B            | 20509       | \$564,614 |
| Local                                 |                    |             | \$0       |
| Local/In-Kind                         |                    |             | \$0       |
| State                                 |                    |             | \$0       |
| State/In-Kind                         |                    |             | \$0       |
| Other Federal                         |                    |             | \$0       |

|                                   |  |  |                  |
|-----------------------------------|--|--|------------------|
| Transportation Development Credit |  |  | \$0              |
| Adjustment                        |  |  | \$0              |
| <b>Total Eligible Cost</b>        |  |  | <b>\$564,614</b> |

## Application Budget

| Project Number    | Budget Item                                         | FTA Amount   | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-----------------------------------------------------|--------------|----------------|-----------------------|----------|
| AZ-2026-016-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS                 | \$564,614.00 | \$0.00         | \$564,614.00          | 2        |
| AZ-2026-016-01-00 | 11.13.04 BUY <30-FT BUS FOR EXPANSION               | \$100,000.00 | \$0.00         | \$100,000.00          | 1        |
| AZ-2026-016-01-00 | 30.09.01 Op Assist at 100% Fed Share for TTP grants | \$464,614.00 | \$0.00         | \$464,614.00          | 1        |

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FY26 5311 TTP Operating Assistance and Vehicle Purchase

| Project Number    | Temporary Project Number | Date Created | Start Date | End Date  |
|-------------------|--------------------------|--------------|------------|-----------|
| AZ-2026-016-01-00 | 6721-2026-2-P1           | 8/10/2026    | 10/1/2026  | 1/31/2030 |

#### Project Description

Project Funding

Federal Funds: \$564,614

No Local Match

Total Project Cost: \$564,614

The Yavapai-Apache Nation will be using funds from this application from this application to continue the operation of the Yavapai-Apache Nation Transit System for salaries, benefits, maintenance, fuel, operational supplies, staff training/travel and to purchase one vehicle. Funds will be used for employee compensation and continued safety training for all operators and support staff as necessary. Remaining funds will be used to assist in covering fuel costs, operational supplies, vehicle maintenance, and travel/training.

#### Project Benefits

The Yavapai-Apache Nation, residents of the Verde Valley in Central AZ, and residents of Yavapai County will benefit from the access to additional medical and educational facilities, commercial zones and additional areas of Yavapai County through public transit. Yavapai-Apache Nation has successfully operated a public transit system located in the Verde Valley for over 16 years. The operation includes the

Yavapai-Apache Nation communities, the three towns located on the Verde Valley, rural Yavapai County and has expanded to include additional areas of Yavapai County.

**Additional Information**

*None provided.*

**Location Description**

Project will take place in rural Central Arizona.

**Project Location (Urbanized Areas)**

| UZA Code | Area Name |
|----------|-----------|
| 040000   | Arizona   |

**Congressional District Information**

| District | State   |
|----------|---------|
| 2        | Arizona |

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: 9/30/2026

Description: NACOG Plan that includes Yavapai-Apache Nation Transit

**Project Control Totals**

| Funding Source                        | Section of Statute | CFDA Number | Amount           |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B            | 20509       | \$564,614        |
| Local                                 |                    |             | \$0              |
| Local/In-Kind                         |                    |             | \$0              |
| State                                 |                    |             | \$0              |
| State/In-Kind                         |                    |             | \$0              |
| Other Federal                         |                    |             | \$0              |
| Transportation Development Credit     |                    |             | \$0              |
| Adjustment                            |                    |             | \$0              |
| <b>Total Eligible Cost</b>            |                    |             | <b>\$564,614</b> |

## Project Budget

| Project Number    | Budget Item                                         | FTA Amount   | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-----------------------------------------------------|--------------|----------------|-----------------------|----------|
| AZ-2026-016-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS                 | \$564,614.00 | \$0.00         | \$564,614.00          | 2        |
| AZ-2026-016-01-00 | 11.13.04 BUY <30-FT BUS FOR EXPANSION               | \$100,000.00 | \$0.00         | \$100,000.00          | 1        |
| AZ-2026-016-01-00 | 30.09.01 Op Assist at 100% Fed Share for TTP grants | \$464,614.00 | \$0.00         | \$464,614.00          | 1        |

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - Op Assist at 100% Fed Share for TTP grants

| Scope Name / Code            | Line Item # | Line Item Name          | Activity             | Quantity |
|------------------------------|-------------|-------------------------|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.01    | UP TO 50% FEDERAL SHARE | OPERATING ASSISTANCE | 1        |

### Extended Budget Description

Project Funding

Federal FY26 5311 TTP Funds: \$464,614

No Local Match

Total Project Cost: \$464,614

This activity line item will fund operating assistance for the Yavapai-Apache Nation public transit system for the period October 1, 2026 to September 30, 2029. The application requests funding for FY 2026 5311 Tribal Transit Formula apportioned to Yavapai-Apache Nation in the amount of \$464,614. This grant applies Section 5311 funds for the Yavapai-Apache Nation to support operating costs such as salaries, benefits, vehicle maintenance, fuel, operational supplies, training, and travel to state and national conferences.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source                        | Section of Statute | CFDA Number | Amount    |
|---------------------------------------|--------------------|-------------|-----------|
| 5311 - Tribal Transit Program Formula | 5311-1B            | 20509       | \$464,614 |
| Local                                 |                    |             | \$0       |
| Local/In-Kind                         |                    |             | \$0       |
| State                                 |                    |             | \$0       |
| State/In-Kind                         |                    |             | \$0       |

|                                   |  |                  |
|-----------------------------------|--|------------------|
| Other Federal                     |  | \$0              |
| Transportation Development Credit |  | \$0              |
| Adjustment                        |  | \$0              |
| <b>Total Eligible Cost</b>        |  | <b>\$464,614</b> |

| Milestone Name        | Est. Completion Date | Description                                  |
|-----------------------|----------------------|----------------------------------------------|
| Operations Start Date | 10/1/2026            | Service starts 10/01/2026                    |
| Operations End Date   | 9/30/2029            | Operating Assistance End                     |
| Project Closeout      | 1/31/2030            | Invoicing completed, project to be close out |

**Budget Activity Line Item: 11.13.04 - BUY <30-FT BUS FOR EXPANSION**

| Scope Name / Code            | Line Item # | Line Item Name               | Activity                    | Quantity |
|------------------------------|-------------|------------------------------|-----------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.13.04    | BUY <30-FT BUS FOR EXPANSION | BUY EXPANSION - CAPITAL BUS | 1        |

**Extended Budget Description**

Project Funding

Federal FY26 5311 Tribal Formula Funds: \$100,000

No Local Match

Total Project Cost: \$100,000

This activity will fund the purchase of one 15 passenger van to be used by Yavapai-Apache Nation Transit. This shuttle will be ADA compliant with wheelchair lifts and wheelchair locks. Van will be used as an addition to the fleet as hours of operation are expanding and additional drivers will be added to staff.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source                        | Section of Statute | CFDA Number | Amount           |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B            | 20509       | \$100,000        |
| Local                                 |                    |             | \$0              |
| Local/In-Kind                         |                    |             | \$0              |
| State                                 |                    |             | \$0              |
| State/In-Kind                         |                    |             | \$0              |
| Other Federal                         |                    |             | \$0              |
| Transportation Development Credit     |                    |             | \$0              |
| Adjustment                            |                    |             | \$0              |
| <b>Total Eligible Cost</b>            |                    |             | <b>\$100,000</b> |

| Milestone Name  | Est. Completion Date | Description                                             |
|-----------------|----------------------|---------------------------------------------------------|
| Start Date      | 10/1/2026            | Project begins                                          |
| RFP for Vehicle | 10/31/2026           | RFP for vehicle sent to local dealers and state transit |

|                  |           |                                                                                            |
|------------------|-----------|--------------------------------------------------------------------------------------------|
|                  |           | providers                                                                                  |
| Vehicle Delivery | 1/31/2027 | Vehicle to be delivered                                                                    |
| Van in Operation | 3/31/2027 | Van added to fleet to cover additional operation hours.<br>Will begin new expansion hours. |
| Project Closeout | 6/30/2027 | Invoicing complete                                                                         |

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description      | Date      |
|-----------------------|-----------|
| Class IIc CE Approved | 8/19/2026 |

| Scope Name / Code            | Line Item Number | Line Item Name               | Quantity | FTA Amount   | Total Eligible Cost |
|------------------------------|------------------|------------------------------|----------|--------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 11.13.04         | BUY <30-FT BUS FOR EXPANSION | 1        | \$100,000.00 | \$100,000.00        |

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description      | Date      |
|-----------------------|-----------|
| Class IIc CE Approved | 8/19/2026 |

| Scope Name / Code            | Line Item Number | Line Item Name                             | Quantity | FTA Amount   | Total Eligible Cost |
|------------------------------|------------------|--------------------------------------------|----------|--------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 30.09.01         | Op Assist at 100% Fed Share for TTP grants | 1        | \$464,614.00 | \$464,614.00        |

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Robert Buckley

Comment Type DOL Review for Information

Date 8/27/2026

Comment

### Application Review Comments

**Comment By** Robert Buckley

Comment Type Pre-Award Manager Returns Application

Date 8/14/2026

Comment

- Please include a brief justification for the expansion vehicle in the extended budget description for 11.13.04.
- For 11.13.04, please add one additional milestone date - minimum of 5 for vehicle purchase.
- Update POP end date to match project closeout date included in app 1/31/2030