

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	AZ-2026-011
Application Number	AZ-2026-011-00
Temporary Application Number	6720-2026-1
Award Name	Navajo Nation FY2024 AZDOT allocated 5311 Rural Area Formula: Admin, Operating, CAP and CAP PM
Application Status	Final Concurrence / Reservation
Budget Revisions	0

Period of Performance Start Date	N/A		
Original Period of Performance End Date	12/30/2028		
Current Period of Performance End Date	12/30/2028	Revision #: 0	Approved?: No

Budget Period Start Date	N/A
Budget Period End Date	12/30/2028

Part 1: Recipient Information

Name: NAVAJO NATION TRIBAL GOVERNMENT

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6720	Indian Tribe	Navajo Nation	KEBVZNK93W87	009001702

Location Type	Address	City	State	Zip
Physical Address	100 PARKWAY	WINDOW ROCK	AZ	86515
Mailing Address	BOX 646	WINDOW ROCK	AZ	86515

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Navajo Nation FY2024 AZDOT allocated 5311 Rural Area
Formula: Admin, Operating, CAP and CAP PM

Application Number	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2026-011-00	Final Concurrence / Reservation	Grant	Region 9	3/25/2026	3/25/2026	No

Application Executive Summary

This application includes \$576,000 in FY2024 Section 5311 funding apportioned to the Navajo Nation, Arizona from Arizona DOT. Award letter attached. Funds will be used for Operating, PM, capital and Admin.

Federal amount: \$576,000 Rural Areas Formula

Match: Navajo Nation general funds at 42% for operating assistance and 20% rate all other activities

Period of performance: Date of award execution to September 30, 2028. No Pre-award authority selected for cost incurred prior to application award.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The cognizant agency, US Department of Interior, approved The Navajo Nation, on 04-27-26 and information to support the organization's approved rate is on file with FTA. The rate of 13.08% will be applied to the applicable activity line item descriptions.

ALI 30.09.02 in the amount of \$348,000. 13.08% rate x \$307,747 base= \$40,253 indirect cost

ALI 11.79.00 in the amount of \$100,000. 13.08% rate x \$88,433 base= \$11,567 indirect cost

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this award is to provide Admin, Operating and Capital PM funds for the period from date of execution estimated at Jun 29, 2026 to September 30, 2028 to continue to provide public transportation services in our service areas.

Activities to be performed:

The funds will be used for Administrative personnel and associated fringe benefits, Indirect Cost; Operating assistance: personnel salaries, fringe benefits, vehicle operations and maintenance costs, supplies, travel, staff development, fuel, material/supplies, marketing, advertising, insurance, professional services; Capital: Capital Equipment purchase of Vehicle Lift and Diagnostic equipment; capitalized preventative Maintenance, parts, supplies, repairs and maintenance costs.

Expected outcomes:

Funding will allow the continued operation of transit services and reinstatement of some route services post-pandemic.

Intended beneficiaries:

The intended beneficiaries of our transit services are the population of Navajo Transit System service area and area transit riders.

Subrecipient Activities:

None, there are no sub-recipient activities applied

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Florinda	Lynch	Senior Accountant	fglynch@navajo-nsn.gov	928-729-4108
Luciana	Nears	Transportation Program Specialist.	luciana.nears@dot.gov	(817) 978-0569

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$576,000
Local			\$309,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$885,000

Application Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2026-011-01-00	600-00 (600-A2)	OTHER PROGRAM COSTS	\$476,000.00	\$284,000.00	\$760,000.00	0
AZ-2026-011-01-00		11.42.06 ACQUIRE - SHOP EQUIPMENT	\$64,000.00	\$16,000.00	\$80,000.00	0
AZ-2026-011-01-00		11.7A.00 PREVENTIVE MAINTENANCE	\$64,000.00	\$16,000.00	\$80,000.00	0
AZ-2026-011-01-00		30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$348,000.00	\$252,000.00	\$600,000.00	0
AZ-2026-011-01-00	620-00 (620-A1)	PROJECT ADMINISTRATION	\$100,000.00	\$25,000.00	\$125,000.00	0
AZ-2026-011-01-00		11.79.00 PROJECT ADMINISTRATION	\$100,000.00	\$25,000.00	\$125,000.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY24 AZDOT Admin, Operating and CAP PM

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2026-011-01-00	6720-2026-1-P1	5/29/2026	6/2/2026	9/30/2028

Project Description

This application includes a project for transit administration, operations and capitalize preventative maintenance. NTS Administrative section shall continue to manage day-to-day administrative matters including overseeing regulations compliance, financial matters, policy changes, bus fare procedures, training, marketing, insurance and public education. Operations shall continue to manage bus route services. Capitalized preventative maintenance is also a part of this application

Project Benefits

Continue to provide a much needed service to residents and the general public of our service area

Additional Information

None provided.

Location Description

This ADOT FY2024 grant is for route service within the Arizona portion of the Navajo Reservation

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

District	State
1	Arizona

Program Plan Information

STIP/TIP

Date: 9/5/2024

Description: Programmed in FY25 AZDOT STIP. ID 104767, 104768, 104769, 104770, 104771, 105658, 105659, 105660.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$576,000
Local			\$309,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$885,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2026-011-01-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$476,000.00	\$284,000.00	\$760,000.00	0
AZ-2026-011-01-00	11.42.06 ACQUIRE - SHOP EQUIPMENT	\$64,000.00	\$16,000.00	\$80,000.00	0
AZ-2026-011-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$64,000.00	\$16,000.00	\$80,000.00	0
AZ-2026-011-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$348,000.00	\$252,000.00	\$600,000.00	0
AZ-2026-011-01-00	620-00 (620-A1) PROJECT ADMINISTRATION	\$100,000.00	\$25,000.00	\$125,000.00	0
AZ-2026-011-01-00	11.79.00 PROJECT ADMINISTRATION	\$100,000.00	\$25,000.00	\$125,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	0

Extended Budget Description

Operating Assistance for AZDOT allocated fund Rural Area Formula. Federal amount of \$348,000 (58% Federal percentage) and Local amount of \$252,000 (42% local percentage) for a total of \$600,000. Funding information: 5311 Rural Area Formula AZDOT. This activity line item will fund operating assistance for fixed route from the time period of date of award execution to Sep 30, 2028. Salaries, fuel and benefits. All operating activities are performed by Navajo Nation, No 3rd Party Contractors. Dates 6/26-9/30/28

Operating assistance: personnel salaries, fringe benefits, vehicle operations and maintenance costs, supplies, travel, staff development, fuel, material/supplies, marketing, advertising, insurance, professional services and indirect cost.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$348,000
Local			\$252,000

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$600,000

Milestone Name	Est. Completion Date	Description
Start Date	6/2/2026	Start date will be set to execution date
End Date	9/30/2028	End date

Budget Activity Line Item: 11.42.06 - ACQUIRE - SHOP EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

Extended Budget Description

Capital funds for AZDOT allocated fund Rural Area Formula. Federal amount \$64,000 (80% federal share) and local amount \$16,000 (20% local share) for a total of \$80,000. Procurement of Shop Equipment: Vehicle Lift \$50,000 and Diagnostic Equipment \$30,000. Equipment required by NTS Staff to perform specialized maintenance and repairs. Funding information: 5311 Rural Area Formula AZDOT. This activity line item will fund capital equipment from time period of date of award execution to Sep 30, 2028.

Useful life for shop equipment 10 to 20 years

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$64,000
Local			\$16,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$80,000

Milestone Name	Est. Completion Date	Description
----------------	----------------------	-------------

RFP	7/20/2026	
Contract Award	8/14/2026	
Start Date	9/7/2026	
End Date	6/30/2028	

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Capitalized Preventative Maintenance for AZDOT allocated fund Rural Area Formula. Federal amount \$ 64,000 (80% federal share) and local amount \$16,000 (20% local share) for a total of \$80,000. Routine maintenance to be performed by NTS Staff and more specialized maintenance and repairs may be performed by 3rd party contractor. Funding information: 5311 Rural Area Formula AZDOT. This activity line item will fund preventive maintenance for rolling stock from the time period of date of award to Sep 30, 2028.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$64,000
Local			\$16,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$80,000

Milestone Name	Est. Completion Date	Description
RFP	7/20/2026	RFP issued
Contract Award	8/3/2026	
Start Date	8/24/2026	Project start date
End Date	6/30/2028	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT	11.79.00	PROJECT	PROJECT	0

ADMINISTRATION (620-00)

ADMINISTRATION

ADMINISTRATION

Extended Budget Description

Project Administration for AZDOT allocated Rural Area Formula. Federal share \$100,000 (80% share) and Local share \$25,000 (20% share) for total of \$125,000. Funding information: 5311 Rural Area Formula AZDOT. Activities under Project Admin are: Personnel/Fringe benefits costs, Travel, Supplies, Communications/Utilities, Insurance premiums, Marketing/Advertising, and Indirect Costs.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$100,000
Local			\$25,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$125,000

Milestone Name	Est. Completion Date	Description
Start Date	6/2/2026	Start date will be the grant execution date
End Date	9/30/2028	Project end date

Project Environmental Findings**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/27/2026

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$100,000.00	\$125,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/27/2026

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$64,000.00	\$80,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	7/27/2026

Scope Name / Code	Line Item	Line Item Name	Quantity	FTA	Total Eligible
-------------------	-----------	----------------	----------	-----	----------------

	Number			Amount	Cost
OTHER PROGRAM COSTS (600-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	0	\$64,000.00	\$80,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/27/2026

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	0	\$348,000.00	\$600,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Luciana Nears

Comment Type DOL Review for Information

Date 8/7/2026

Comment Department of Labor (DOL) certification is not required. It is for informational purposes—as with regular Section 5311 Program applications