

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	AZ-2025-037
<b>Application Number</b>	AZ-2025-037-00
<b>Temporary Application Number</b>	6720-2025-1
<b>Award Name</b>	Navajo Nation FY2023 Sec 5311 Tribal Transit Formula Grant - Operating Assistance and Capital: two replacement bus
<b>Application Status</b>	Final Concurrence / Reservation
<b>Budget Revisions</b>	0

Period of Performance Start Date	N/A		
Original Period of Performance End Date	9/30/2028		
Current Period of Performance End Date	9/30/2028	Revision #: 0	Approved?: No

<b>Budget Period Start Date</b>	N/A
<b>Budget Period End Date</b>	9/30/2028

## Part 1: Recipient Information

**Name: NAVAJO NATION TRIBAL GOVERNMENT**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6720	Indian Tribe	Navajo Nation	KEBVZNK93W87	009001702

Location Type	Address	City	State	Zip
Physical Address	100 PARKWAY	WINDOW ROCK	AZ	86515
Mailing Address	BOX 646	WINDOW ROCK	AZ	86515

## Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: Navajo Nation FY2023 Sec 5311 Tribal Transit Formula Grant - Operating Assistance and Capital: two replacement bus**

Application Number	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2025-037-00	Final Concurrence / Reservation	Grant	Region 9	2/26/2025	2/26/2025	No

### Application Executive Summary

UZA: AZ, NM, UT

This application includes \$1,054,708 in FY2023 Section 5311 Tribal Transit formula fund apportioned to Navajo Nation: Arizona, New Mexico, Utah; and are for the full apportionment. These funds will be used for operating assistance and bus replacement.

Period of Performance: September 1, 2025 or Date of grant execution to September 30, 2028

The funds will be used for operating assistance; vehicle operations & maintenance costs, supplies, travel/ staff development, fuel, office supplies, material/supply, marketing, advertising, insurance, utilities/ communication.

Federal Funds Operating Assistance FY22 5311 Tribal Transit Program formula funds apportioned to The Navajo Nation, Arizona in the amount of \$1,054,708.

Local Match: \$0.00

Total Project Cost: \$554,708

The funds will be used for 2 Bus replacement: Procure two 30-40 ft diesel operated bus for use on our public transportation routes. These two new buses will replace the following fleet: RVI ID #342227 Bus 3064 Year 2013 with mileage of 347,041 and RVI ID #342225 Bus 3056 2011 Year with mileage of 166,302.

Federal Funds Replacement BusFY22 5311 Tribal Transit Program formula funds apportioned to The Navajo Nation, Arizona in the amount of \$1,054,708.

Local Match: \$0.00

Total Project Cost: \$500,000

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

The purpose of this award is to provide operating funds for the period of September 1, 2025 to September 30, 2028 to continue to provide public transportation services in our service areas; and capital funds for purchase of two 32ft replacement buses.

**Activities to be performed:**

The funds will be used for operating assistance; personnel, vehicle operations, repair & maintenance costs, supplies, travel/staff development, fuel, office supplies, material/supply, marketing, advertising, insurance, utilities/communications. The funds will also be used for the procurement of two 32 ft replacement buses

**Expected outcomes:**

Funding will allow the continued operation of transit services and expansion of some service areas and/or times; and replacement of two buses.

**Intended beneficiaries:**

The intended beneficiaries of our transit services are the population of the Navajo Transit System service area and area transit riders.

**Subrecipient Activities:**

None, there are no sub-recipient activities applies

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Luciana	Nears	Transportation Program Specialist.	luciana.nears@dot.gov	(817) 978-0569
Florinda	Lynch	Senior Accountant	fglynch@navajo-nsn.gov	928-729-4108
Stacy	Alameida	Transportation Program Specialist	stacy.alameida@dot.gov	(213) 202-3953

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$1,054,708
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,054,708</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2025-037-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$1,054,708.00	\$0.00	\$1,054,708.00	2
AZ-2025-037-01-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$500,000.00	\$0.00	\$500,000.00	2
AZ-2025-037-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$554,708.00	\$0.00	\$554,708.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Operating Assistance and Bus Replacement

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2025-037-01-00	6720-2025-1-P1	2/26/2025	9/1/2025	9/30/2028

#### Project Description

PROJECT FUNDING:

Operating Assistance

Procure bus replacement

Federal funds (100%)

FY23 5311 (c)(1)(B) TTP (UZA AZ, NM, UT) 100% \$1,054,708

This application is to request FY2023 5311 Tribal Transit Formula fund apportioned to the Navajo Nation, Arizona, in the amount of \$1,054,708

The funds will be used for operating assistance; vehicle operations & maintenance costs, supplies, travel/ staff development, fuel, office supplies, material/supply, marketing, advertising, insurance, utilities/ communications.

Period of Performance: September 1, 2025 or Date of grant execution to September 30, 2028

Activity 1 Operating Federal Funds: FFY23 5311(c)(1)(B) Tribal Transit Program formula funds apportioned to The Navajo Nation, Arizona, in the amount of \$548,708

Local Match: \$0.00

Total Project Cost: \$554,708

Following is the breakdown of cost that will be utilized with this award: Fleet/Travel \$107,828, Supplies ( operating/office supplies, parts, fuel) \$287,588, Communication/Utilities \$74,040, Repairs & Maintenance \$28,800, Special Transactions (advertising/marketing, insurance premiums, staff development) \$56,454, for total of \$554,708

Activity 2 Capital Bus Replacement Funds: FFY23 5311(c)(1)(B) Tribal Transit Program formula funds apportioned to The Navajo Nation, Arizona, in the amount of \$500,000

Local Match: \$0.00

Total Project Cost: \$500,000

Following is the breakdown of cost that will be utilized with this award: Two bus purchase at \$250,000 each for total of \$500,000

#### **Project Benefits**

The Tribal Transit Program grant funds for operating assistance will be used for normal transit service program costs. The transit services are provided for the Navajo Nation with transport within The Navajo Nation and surrounding communities. Services are fixed route services and mainly include transport for employment and education. The replacement buses will replace older buses that have met their useful life

#### **Additional Information**

These projects are expected to result in a safer and more reliable transportation system for the general public within the Navajo Transit System service area.

#### **Location Description**

Navajo Transit System Admin/Maint facility is located in Fort Defiance AZ (Apache County). Our public transportation bus routes operate on the Navajo Indian reservation located in Arizona, New Mexico, and Utah. All projects within this grant application will be carried out in the Navajo Transit System facility location and service area.

### **Project Location (Urbanized Areas)**

<b>UZA Code</b>	<b>Area Name</b>
040000	Arizona
350000	New Mexico
490000	Utah

### **Congressional District Information**

<b>District</b>	<b>State</b>
3	New Mexico

1	Arizona
3	Utah

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: Section 5311c, No STIP/TIP required

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$1,054,708
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,054,708</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2025-037-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$1,054,708.00	\$0.00	\$1,054,708.00	2
AZ-2025-037-01-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$500,000.00	\$0.00	\$500,000.00	2
AZ-2025-037-01-00	30.09.02 SLIDING SCALE (5311	\$554,708.00	\$0.00	\$554,708.00	0

OR 5310 PILOT  
ONLY)

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	2

#### Extended Budget Description

##### PROJECT FUNDING

Federal Funds \$500,000

Non-Federal Share: \$0

Total amount: \$500,000

This project applies the FY23 Tribal Transit formula grant funds of \$500,000 to bus replacement. NTS will purchase 2 32' diesel powered cutaway buses that have an expected useful life of 10 years or 350,000 miles. The vehicles being replaced have met their useful life of 10 years and/or 350,000 miles and will be disposed of in accordance to Federal requirements. These buses will meet the Clean Air Act standards and the Americans with Disabilities Act requirements. the fleet status of TrAMS has been updated and information for vehicles being replaced has been uploaded to TrAMS under Application documents.

Bus replacement: Procure two 30-40 ft diesel operated bus for use on our public transportation routes.

Useful life of new bus: 10 years and/or 350,000 miles

Vehicle Replacement Information:

Bus 3064, Useful life: 10 years and/or 350,000 miles; Mileage 247,041, Year Make Model: 2013 Odyssey XLT; VIN: 1FVACXBS6DHFF3636

Bus 3056, Useful life: 10 years and/or 350,000 miles; Mileage 166,302, Year Make Model: 2011 Glaval Synergy Bus; VIN: 4UZACBS6BCBA5577

Project Location: AZ, NM, UT

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$500,000</b>

Milestone Name	Est. Completion Date	Description
Open for Advertising	12/31/2025	IFB/RFP open for advertisement
Award contract for bus procurement	3/31/2026	
Bus delivery complete	12/31/2026	Final bus delivered
Contract Closeout	9/30/2028	

**Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	0

**Extended Budget Description**

**PROJECT FUNDING:**

Federal Funds (100%): \$554,708

Non-Federal Share (0%): \$0

Total Project Cost: \$554,708

The grant applies FY23 Section 5311 of \$554,708 for operating assistance. This grant funds operating assistance for direct labor, material, and overhead expenses from the time period of Sep 1, 2025 to Sep 30 2028 for costs incurred in the operation of public transportation services. In general, operating expenses include such costs as salaries, fuel, supplies, travel, insurance, marketing/advertising, office supplies, staff development, utilities/communication, and other expenses incurred in the operation of Navajo Transit System services area. Federal Funds (100%), FY23 Federal Section 5311 TTP (UZA: AZ, NM, UT) (100%) \$1,054,708

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$554,708
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$554,708</b>

Milestone Name	Est. Completion	Description
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Date		
Start Date	9/1/2025	Project start date of Sep 1, 2025 or date of execution
End Date	9/30/2028	Project End date

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	0	\$554,708.00	\$554,708.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	2	\$500,000.00	\$500,000.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Luciana Nears

**Comment Type** DOL Review for Information

**Date** 9/15/2025

Section 5311 for Information ONLY

**Comment** Recipient contact:  
Florinda Lynch, Senior Accountant  
Navajo Transit System  
(928) 729-4108  
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