

Application

Federal Award Identification Number (FAIN)	AZ-2025-035
Application Number	AZ-2025-035-00
Temporary Application Number	6720-2025-2
Award Name	Navajo Nation FY2023 AZDOT allocated 5311 Rural Area Formula: Admin, Operating, and CAP PM
Application Status	Final Concurrence / Reservation
Budget Revisions	0

Period of Performance Start Date	N/A		
Original Period of Performance End Date	9/30/2028		
Current Period of Performance End Date	9/30/2028	Revision #: 0	Approved?: No

Budget Period Start Date	N/A		
Budget Period End Date	9/30/2028		

Part 1: Recipient Information

Name: NAVAJO NATION TRIBAL GOVERNMENT

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6720	Indian Tribe	Navajo Nation	KEBVZNK93W87	009001702

Location Type	Address	City	State	Zip
Physical Address	100 PARKWAY	WINDOW ROCK	AZ	86515
Mailing Address	BOX 646	WINDOW ROCK	AZ	86515

Union Information

There are no union contacts for this application

Part 2: Application Information

**Title: Navajo Nation FY2023 AZDOT allocated 5311 Rural Area
Formula: Admin, Operating, and CAP PM**

Application Number	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2025-035-00	Final Concurrence / Reservation	Grant	Region 9	4/24/2025	4/24/2025	No

Application Executive Summary

This application includes \$520,018 in FY2023 Section 5311 funding apportioned to the Navajo Nation, Arizona.

Period of performance: Date of award to September 30, 2028. Pre-award authority selected for cost incurred prior to application award.

Activity 1 Administrative funds: AZDOT FY2023 Section 5311 funding apportioned to the Navajo Nation, Arizona in the amount of \$129,447

Local Match: \$25,889.40 (Source: Navajo Nation general funds at 20% rate)

Federal Share: \$103,557.60

Total Project Costs: \$129,447

This grant applies \$129,447 to Administrative costs from Apr 24, 2025 to Jun 30, 2027. Following is a breakdown of the costs that will be utilized with this scope: personnel costs \$90,420, Supplies \$5,009, Special Transaction/Insurance \$34,018 for total of \$129,447

Activity 2 Operating Assistance: AZDOT FY2023 Section 5311 funding apportioned to the Navajo Nation, Arizona in the amount of \$649,070

Local Match: \$272,609.400 (Source: Navajo Nation general funds at 42% rate)

Federal Share: \$376,460.60

Total Project Costs: \$649,070.00

This grant applies \$649,070.00 for operating assistance for the time period from Sep 1, 2025 to Sep 30, 2027. Following is a breakdown of the costs that will be utilized with this scope: personnel costs \$454,808, Supplies \$138,445, Special Transactions \$55,817 for total of \$649,070

Activity 3 Capital Preventative Maintenance: AZDOT FY2023 Section 5311 funding apportioned to the Navajo Nation, Arizona in the amount of \$50,000

Local Match: \$10,000 (Source: Navajo Nation general funds at 20% rate)

Federal Share: \$40,000

Total Project Costs: \$50,000

This grant applies \$50,000 to fully fund Navajo Transit System's Preventive Maintenance (PM) program for vehicles/equipment from Jun 30 2025 to Sep 30, 2027. Following is a breakdown of the costs that will be utilized with this scope: Supplies costs \$35,000, Repairs & Maintenance \$15,000 for a total of \$50,000

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this award is to provide Admin, Operating and Capital PM funds for the period from Apr 24, 2024 to September 30, 2027 to continue to provide public transportation services in our service areas.

Activities to be performed:

The funds will be used for Administrative personnel and associated fringe benefits, Indirect Cost; Operating assistance: personnel salaries, fringe benefits, vehicle operations and maintenance costs, supplies, travel, staff development, fuel, material/supplies, marketing, advertising, insurance, professional services; Capital: capitalized preventative Maintenance, parts, supplies, repairs and maintenance costs.

Expected outcomes:

Funding will allow the continued operation of transit services and reinstatement of some route services post-pandemic.

Intended beneficiaries:

The intended beneficiaries of our transit services are the population of Navajo Transit System service area and area transit riders.

Subrecipient Activities:

None, there are no sub-recipient activities applied

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Luciana	Nears	Transportation Program Specialist.	luciana.nears@dot.gov	(817) 978-0569
Florinda	Lynch	Senior Accountant	fglynch@navajo-nsn.gov	928-729-4108
Stacy	Alameida	Transportation Program Specialist	stacy.alameida@dot.gov	(213) 202-3953

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$520,018
Local			\$308,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$828,518

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2025-035-01-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$416,460.00	\$282,610.00	\$699,070.00	0
AZ-2025-035-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$40,000.00	\$10,000.00	\$50,000.00	0
AZ-2025-035-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$376,460.00	\$272,610.00	\$649,070.00	0
AZ-2025-035-01-00	620-00 (620-A1) PROJECT ADMINISTRATION	\$103,558.00	\$25,890.00	\$129,448.00	0
AZ-2025-035-01-00	11.79.00 PROJECT ADMINISTRATION	\$103,558.00	\$25,890.00	\$129,448.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY23 AZDOT Admin, Operating and CAP PM
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2025-035-01-00	6720-2025-2-P1	4/24/2025	4/24/2025	9/30/2027

Project Description

This application includes a project for transit administration, operations and capitalize preventative maintenance. NTS Administrative section shall continue to manage day-to-day administrative matters including overseeing regulations compliance, financial matters, policy changes, bus fare procedures, training, marketing, insurance and public education. Operations shall continue to manage bus route services. Capitalized preventative maintenance is also a part of this application

Project Benefits

Continue to provide a much needed service to residents and the general public of our service area

Additional Information

None provided.

Location Description

This ADOT FY2024 grant is for route service within the Arizona portion of the Navajo Reservation

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona
350000	New Mexico
490000	Utah

Congressional District Information

District	State
1	Arizona

Program Plan Information

STIP/TIP

Date: 7/1/2024

Description: NAVAJO TTIP

Date: 07/01/2024

Description:

TIP Project No ID:

TIP No/Amendment No.:

Project Name: FY24 AZDOT Admin, Operating and CAP PM

MPO Approval Date: 12/29/2022

State DOT Approval Date: 12/29/2022

FTA/FHWA Approval Date: 07/01/2024

Urbanized Area:

UPWP

Date: N/A
 Description: N/A

Long Range Plan

Date: Not Provided
 Description: NTS Long Range Plan

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$520,018
Local			\$308,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$828,518

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2025-035-01-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$416,460.00	\$282,610.00	\$699,070.00	0
AZ-2025-035-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$40,000.00	\$10,000.00	\$50,000.00	0
AZ-2025-035-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$376,460.00	\$272,610.00	\$649,070.00	0
AZ-2025-035-01-00	620-00 (620-A1) PROJECT ADMINISTRATION	\$103,558.00	\$25,890.00	\$129,448.00	0
AZ-2025-035-01-00	11.79.00 PROJECT ADMINISTRATION	\$103,558.00	\$25,890.00	\$129,448.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Capitalized Preventative Maintenance for AZDOT allocated fund Rural Area Formula. Federal amount \$ 40,000 (80% federal share) and local amount \$10,000 (20% local share) for a total of \$50,000. Routine maintenance to be performed by NTS Staff and more specialized maintenance and repairs may be performed by 3rd party contractor. Funding information: 5311 Rural Area Formula AZDOT. This activity line item will fund preventive maintenance for rolling stock from the time period of date of award to Sep 30, 2027. The estimate operating budget, as defined by the NTD Reporting system for Fiscal Year 23 (Oct 2023 through Sep 2024) is \$2,121,813. Estimated Preventive Maintenance costs in the operating budget for vehicles is \$50,000 less \$0 for warranty recovery, leaving \$50,000 available for federal participation.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$40,000
Local			\$10,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$50,000

Milestone Name	Est. Completion Date	Description
RFP	6/30/2025	RFP Date
Contract Award	8/4/2025	Contract Award date
Start Date	9/1/2025	Project Start Date
End Date	6/30/2027	Project End Date
Contract Closeout	9/30/2027	Contract Closeout Date

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Operating Assistance for AZDOT allocated fund Rural Area Formula. Federal amount of \$376,460 (58% Federal percentage) and Local amount of \$272,610 (42% local percentage) for a total of \$649,070. Funding information: 5311 Rural Area Formula AZDOT. This activity line item will fund operating

assistance for fixed route from the time period of date of award or Sept 1, 2025 to Sep 30, 2027. Salaries, fuel and benefits. All operating activities are performed by Navajo Nation, No 3rd Party Contractors. Amounts adjusted for rounding to Fed \$376,460 and Local \$272,610 = \$649,070

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$376,460
Local			\$272,610
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$649,070

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2025	Project Start Date
End Date	9/30/2027	Project End Date

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

Project Administration for AZDOT allocated Rural Area Formula. Federal share \$103,558 (80% share) and Local share \$25,889 (20% share) for total of \$129,448. Funding information: 5311 Rural Area Formula AZDOT

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$103,558
Local			\$25,890
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$129,448
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Milestone Name	Est. Completion Date	Description
Start Date	4/24/2025	Project start date
End Date	6/30/2027	Project End Date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$103,558.00	\$129,448.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date	Description
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$376,460.00	\$649,070.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description

Date

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$40,000.00	\$50,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Luciana Nears

Comment Type DOL Review for Information

Date 9/5/2025

Section 5311 for Information ONLY

Comment Recipient contact:
Florinda Lynch, Senior Accountant
Navajo Transit System

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