

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	AZ-2021-062
<b>Award with Amendment Number</b>	AZ-2021-062-01
<b>Temporary Application Number</b>	6720-2021-2
<b>Award Name</b>	Navajo Nation, FY21 5311 Tribal Transit formula, Operating and Capital
<b>Application Status</b>	Final Concurrence / Reservation
<b>Budget Revisions</b>	0

<b>Period of Performance Start Date</b>	9/24/2021		
<b>Original Period of Performance End Date</b>	9/30/2024		
<b>Current Period of Performance End Date</b>	9/30/2026	Revision #: 1	Approved?: No

## Part 1: Recipient Information

**Name: NAVAJO NATION TRIBAL GOVERNMENT, THE**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6720	Indian Tribe	Navajo Nation	KEBVZNK93W87	009001702

Location Type	Address	City	State	Zip
Physical Address	100 PARKWAY	WINDOW ROCK	AZ	86515
Mailing Address	BOX 646	WINDOW ROCK	AZ	86515

## Union Information

There are no union contacts for this application

## Part 2: Application Information

## Title: Navajo Nation, FY21 5311 Tribal Transit formula, Operating and Capital

Award with Amendment Number	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2021-062-01	Final Concurrence / Reservation	Grant	Region 9	11/4/2024	11/4/2024	No

### Application Executive Summary

Amendment 01 The Navajo Nation/Navajo Transit System's (NTS) cognizant agency, OMB, approved indirect cost rate of 15.90%. letter dated 1/10/2024, attached. Period 10/1/23-9/30/24. Award AZ-2021-062 Revised Operating milestones are 9/24/21- 9/30/26 dates are within range of agreement letter in Project 1 Scope/ALI, 600-00/ 30.09.02 in the amount of \$805,224. 15.90% rate x \$694,758 base= \$110,467 estimated indirect cost.( See extended budget description for details)

NTS submits this grant application for \$945,744 FY2021 Tribal Formula Transit Program (TTP) funds. Start date will 08/17/2021 or the date of grant execution and the proposed end date will be September 30, 2024. The Navajo Transit System (NTS) will use these TTP funds to fund existing tribal transit system operations (\$805,244) and capital project (purchase service truck (\$100,000), misc support equipment (\$30,500) and shop equipment (\$10,000)).

Navajo Transit System currently operates 16 bus routes across the Navajo reservation serving most major communities and operating on major highways on the reservation with plans to expand its route service. Due to the very large size of our service area we exceed 700,000 revenue miles per year.

This grant shall be used to fund our public transportation services to continue to provide services to our customer base. The funds will also be used to purchase a service truck as a new asset to assist with vehicle issues/breakdowns. (2022 Ford F350 crew cab 4x4, diesel). Due to the service area size, a service truck is needed to carry all necessary supplies and equipment to respond to vehicle mechanical issues as quick as possible.

The NTS has a Transit Facility in Fort Defiance, AZ that was completed in 2013 and conducts its operations out of this FTA funded facility with routes exceeding 300 miles per day one way across the Navajo Reservation. The NTS is a department providing public transportation services under the Navajo Nation. The Navajo Nation is a federally recognized tribe with a reservation near the Four Corners region spanning across three states: Arizona, Utah and New Mexico. The main part of the reservation is located in Northeastern Arizona, with portions in Southeastern Utah, and Northeastern New Mexico. The Navajo Reservation is over 27,000 square miles in size. The enormous size of reservation that is the service area for NTS public transportation service is a difficulty in trying to provide public transportation services for majority of its tribal members.

The award of these TTP funds will greatly assist the NTS with continuing to provide quality service to very transit dependent communities.

No subrecipient activities applied

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* Amendment 1 Navajo Nation/Navajo Transit System's cognizant agency, OMB, approved indirect cost rate of 15.90%. letter dated 1/10/2024, attached. Period 10/1/23-9/30/24. Award AZ-2021-062 Revised Operating milestones are 9/24/21- 9/30/26 dates are within range of agreement letter in Project 1 Scope/ALI, 600-00/ 30.09.02 in the amount of \$805,224. 15.90% rate x \$694,758 base= \$110,467 estimated indirect cost.(See extended budget description)

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

The purpose of this award is to provide operating funds for the period of September 25, 2021 to September 30, 2026 to continue to provide public transportation services in our service areas.

**Activities to be performed:**

The funds will be used for operating assistance; personnel salaries, vehicle operations, repair & maintenance costs, supplies, travel/staff development, fuel, office supplies, material/supply, marketing, advertising, insurance, indirect costs, support vehicle, and misc office/computer equipment

**Expected outcomes:**

Funding will allow the continued operation of transit services and expansion of some service areas

**Intended beneficiaries:**

The intended beneficiaries of our transit services are the population of Navajo Transit System service area and area transit riders.

**Subrecipient Activities:**

None

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Luciana	Nears	Transportation Program Specialist.	luciana.nears@dot.gov	(817) 978-0569
Florinda	Lynch	Senior Accountant	fglynch@navajo-nsn.gov	928-729-4108
Stacy	Alameida	Transportation Program Specialist	stacy.alameida@dot.gov	(213) 202-3953

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$945,744
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$945,744</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2021-062-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$945,744.00	\$0.00	\$945,744.00	4
AZ-2021-062-01-01	11.42.06 ACQUIRE - SHOP EQUIPMENT	\$10,000.00	\$0.00	\$10,000.00	1
AZ-2021-062-01-01	11.42.11 ACQUIRE - SUPPORT VEHICLES	\$100,000.00	\$0.00	\$100,000.00	1
AZ-2021-062-01-01	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$30,500.00	\$0.00	\$30,500.00	1
AZ-2021-062-01-01	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY) AMEND01	\$805,244.00	\$0.00	\$805,244.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Transit Operating Assistance and Capital

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2021-062-01-01	6720-2021-2-P1	11/4/2024	9/1/2021	9/30/2024

#### Project Description

Navajo Transit System shall utilize these FY21 TTP funds to continue its operational bus routes within the Navajo reservation and surrounding border town communities of Farmington NM, Gallup NM, Flagstaff AZ and Bluff/Blanding UT. Our public transportation routes under this grant will enable us to continue to provide services crossing state lines which is a unique situation for our tribe.

Capital purchase is for a service truck budgeted at \$100,000. (2022 Ford F350 crew cab 4x4, diesel). This truck will be a new asset to our fleet and will be used to carry necessary roadside equipment and supplies to respond to emergency vehicle assistance throughout our service area.

#### Project Benefits

The grant will allow NTS to continue to provide much needed public transportation services for our passengers who rely on our services for their transportation needs. The services will provide access for members of the community to essential services, employment opportunities, educational institutions, local and regional health services, social and recreational opportunities, and access to other transportation resources such as Greyhound, Amtrak for mobility coordination; enhanced efficiencies and effectiveness of tribal resources; local and regional economic stimulation through both enhanced retail activity and employment. Most tribal members are transit dependent and most major shopping areas are all located off the reservation in border towns where tribal members must travel to receive access. Basic necessities are located in some tribal communities. But for tribal members whom live in the central part of the reservation, they may sometimes travel in excess of 150 miles one way just to access major shopping areas. That is a huge detriment to the livelihood of these members. Often taking an entire day to travel to a major grocery store, department store, or specialty store.

Capital: this vehicle will allow our maintenance staff to properly respond to emergency situations with a vehicle carrying all necessary equipment and supplies to assist in roadside emergencies. This will assist with decreasing vehicle downtime and providing the fastest repair services to limit route disruptions to our passengers.

#### Additional Information

NTS is re-evaluating its route services and new or revised routes may be implemented soon

#### Location Description

Navajo Transit System administrative/maintenance facility is located in Fort Defiance AZ. Our public transportation bus routes operate on the the Navajo Indian reservation located in Arizona, New Mexico and Utah.

## Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona
350000	New Mexico
490000	Utah

## Congressional District Information

District	State
3	New Mexico
1	Arizona
3	Utah

## Program Plan Information

### STIP/TIP

Date: 3/2/2018

Description: Tribal Transportation Improvement Program

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: 9/30/2003

Description: NTS Long Range Plan

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$945,744
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$945,744</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2021-062-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$945,744.00	\$0.00	\$945,744.00	4
AZ-2021-062-01-01	11.42.06 ACQUIRE - SHOP	\$10,000.00	\$0.00	\$10,000.00	1

		EQUIPMENT				
AZ-2021-062-01-01	11.42.11	ACQUIRE - SUPPORT VEHICLES	\$100,000.00	\$0.00	\$100,000.00	1
AZ-2021-062-01-01	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	\$30,500.00	\$0.00	\$30,500.00	1
AZ-2021-062-01-01	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY) AMEND01	\$805,244.00	\$0.00	\$805,244.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY) AMEND01

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

#### Extended Budget Description

Amendment 01 The Navajo Nation/Navajo Transit System's (NTS) cognizant agency, OMB, approved indirect cost rate of 15.90%. letter dated 1/10/2024, attached. Period 10/1/23-9/30/24. Award AZ-2021-062 Revised Operating milestones are 9/24/21- 9/30/26 dates are within range of agreement letter in Project 1 Scope/ALI, 600-00/ 30.09.02 in the amount of \$805,224. 15.90% rate x \$694,758 base= \$110,467 estimated indirect cost.

Operating Assistance: expenses to cover operational expenses such as personnel expenses, fleet expenses, travel, fuel, parts, supplies, communication expenses, utilities, Repairs & Maintenance of vehicles, media advertisements, training expenses, background investigations costs, Insurance premiums, and indirect costs.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$805,244
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$805,244</b>
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<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Date	9/1/2021	Operating Assistance start date
End Date	9/30/2024	Operating Assistance end date

**Budget Activity Line Item: 11.42.06 - ACQUIRE - SHOP EQUIPMENT**

<b>Scope Name / Code</b>	<b>Line Item #</b>	<b>Line Item Name</b>	<b>Activity</b>	<b>Quantity</b>
OTHER PROGRAM COSTS (600-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

**Extended Budget Description**

Shop Equipment: Procurement of shop equipment and tools

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 - Tribal Transit Program Formula	5311-1B	20509	\$10,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$10,000</b>

<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Begin procurement process	11/30/2021	Begin procurement process
Completion of procurement process	9/30/2022	Completion of procurement process

**Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT**

<b>Scope Name / Code</b>	<b>Line Item #</b>	<b>Line Item Name</b>	<b>Activity</b>	<b>Quantity</b>
OTHER PROGRAM COSTS (600-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

**Extended Budget Description**

Misc office/computer/laptop/tablets/printer equipment



**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$30,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$30,500</b>

Milestone Name	Est. Completion Date	Description
Begin procurement process	10/1/2021	Begin Procurement process
End procurement process	9/30/2024	End procurement process

**Budget Activity Line Item: 11.42.11 - ACQUIRE - SUPPORT VEHICLES**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

**Extended Budget Description**

Purchase Support vehicle - service truck. 2022 Ford F350 crew cab 4x4, diesel

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$100,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$100,000</b>

Milestone Name	Est. Completion Date	Description
Begin procurement process	3/31/2022	Begin procurement process: release Request for Quote/ Proposals
Award: PO/Contract	6/30/2022	Award PO/contract to vendor
Vehicle delivered	12/30/2022	Vehicle delivered. complete closeout of this milestone

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/19/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY) AMEND01	1	\$805,244.00	\$805,244.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	8/19/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.06	ACQUIRE - SHOP EQUIPMENT	1	\$10,000.00	\$10,000.00

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

##### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	8/19/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	1	\$30,500.00	\$30,500.00

#### Finding: Class II(c) - Categorical Exclusions (C-List)

##### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

##### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	8/19/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	1	\$100,000.00	\$100,000.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Luciana Nears

**Comment Type** DOL Review for Information

**Date** 9/4/2025

Section 5311c for info ONLY.

**Comment** Recipient contact:  
Florinda Lynch, Senior Accountant  
Navajo Transit System  
(928) 729-4108  
fglynch@navajo-nsn.gov